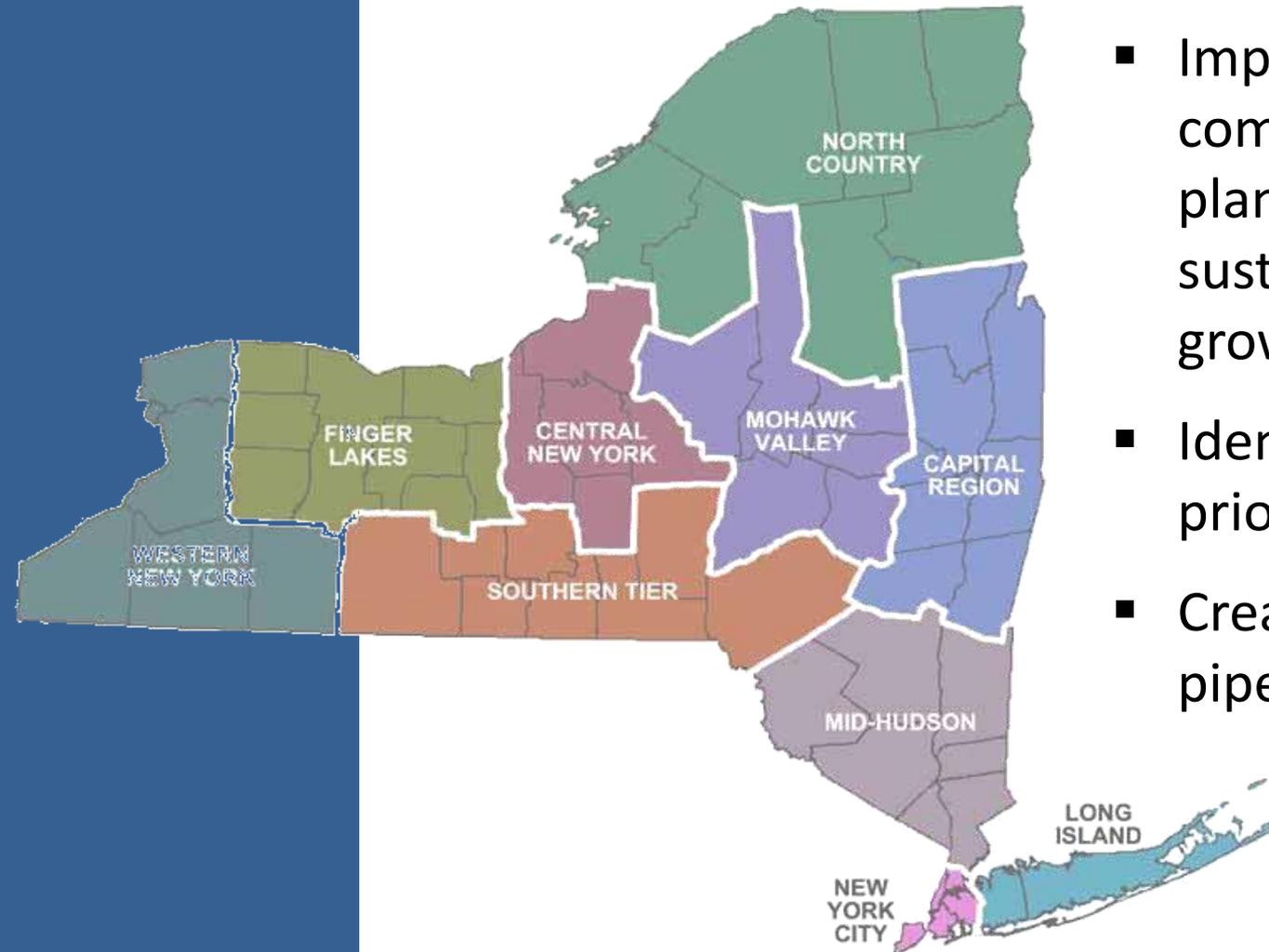




*Welcome to the*  
**2012 Regional  
CFA Workshop**



# Regional Economic Development Councils



- Implement community-driven plans for long-term sustainable economic growth
- Identify regional priorities
- Create project pipelines

# Consolidated Funding Application

The screenshot shows the top portion of a web form titled "NYS Consolidated Funding Application Registration". It includes a header with the "Open BUSINESS" logo and navigation links for "Home", "Help", and "Print Page". The form fields are: "Email Address" (with a "Check" button), "Organization Name" (with a "Check" button), and "Project Alias Name". A "REGISTER NOW" button is at the bottom.

Streamlined approach to funding requests



This is a duplicate of the top section of the form, showing the registration fields and the "REGISTER NOW" button.

Multiple agency programs



This is a duplicate of the top section of the form, showing the registration fields and the "REGISTER NOW" button.

Single application per project





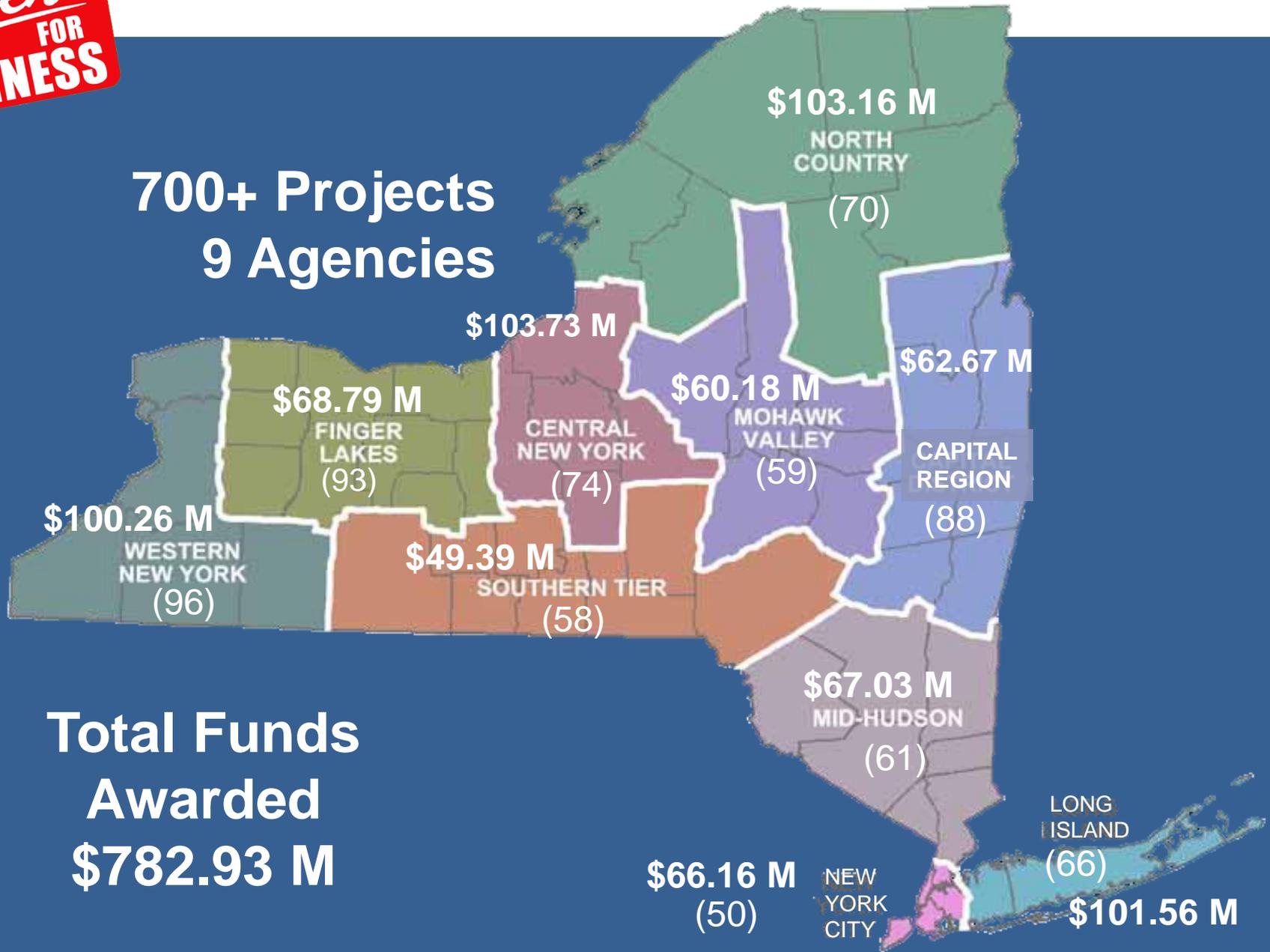
# CFA Improvements

- ✓ More time to apply
- ✓ More time to review applications
- ✓ Mechanism to address time-sensitive and confidential projects
- ✓ Improvements to application
- ✓ More user friendly and improved functionality



# 2011 CFA Awards

700+ Projects  
9 Agencies



Total Funds  
Awarded  
**\$782.93 M**

## Available Resources for Regional Councils in 2012



## NYS Consolidated Funding Application

Total grants, tax credits &  
other resources\*  
(in millions)

<b>Direct Assistance to Businesses</b> .....	<b>up to \$247</b>
Empire State Development	
<b>Community Development</b> .....	<b>up to \$61.2</b>
Homes and Community Renewal	
Office of Parks, Recreation and Historic Preservation	
Council on the Arts	
<b>Agricultural Economic Development</b> .....	<b>up to \$3</b>
Agriculture and Markets	
<b>Waterfront Revitalization</b> .....	<b>up to \$16</b>
Department of State	
Canal Corporation	
<b>Environmental Improvements</b> .....	<b>up to \$3+</b>
Environmental Facilities Corporation	
Empire State Development	
Department of Environmental Conservation	
<b>Energy Improvements</b> .....	<b>up to \$50</b>
Energy Research and Development Authority	
New York Power Authority	
<b>Sustainability</b> .....	<b>up to \$12</b>
Energy Research and Development Authority	
<b>Workforce Development</b> .....	<b>up to \$5</b>
Department of Labor	
<b>Low-Cost Financing</b> .....	<b>up to \$350</b>
<b>Potential Resources Available</b> .....	<b>up to \$750 million</b>

# Available Funding

[NYWorks.ny.gov](http://NYWorks.ny.gov)



# Mission/Vision

- To foster an *ecosystem* in which the private sector, academia and government work in harmony to stimulate economic growth, and make the Region a destination of choice for business, international investment and world – class talent.



# CREDC Strategic Plan Goals

## • *Leverage and Collaborate*

- Leverage existing partnerships and encourage new collaborations among the private sector, government and academia to enhance opportunities to share infrastructure, ideas and resources.

## • *Open New Doors*

- Create ready access to capital to fund businesses and initiatives through the collective efforts of Regional business incubators, Chambers of Commerce, regional economic growth organizations and financial coalitions.

## • *Prepare for Tomorrow*

- Leverage the strength of the education system. Collaborate with the public and private sectors to create a workforce that gives employers what they need and students what they deserve.

## • *Build a Superhighway*

- Ensure that a 21<sup>st</sup> Century infrastructure exists; high speed broadband is vital to improve the economic competitiveness of the Region. Extend high-speed internet access to urban and rural communities to spur regional economies.



# CREDC Strategic Plan Goals cont'

- *Bring Cities to Life*

- Make the cities of the Capital Region 24-hour communities: exciting, attractive places not only to work, but to live, with homes, office entertainment venues, cultural and education institutions and shopping.

- *Celebrate and Optimize our Surroundings*

- Attract visitors, new residents, and businesses by sustaining and optimizing our rural assets and working landscapes that provide a backdrop for the Region.

- *Showcase our Beauty*

- Form a cultural collaborative to work together on many levels. Develop “social centers of gravity”, rich in entertaining, dining and cultural activities, within our urban areas. Support existing and new funding for programs in urban communities that revitalize properties for arts and entertainment.

- *Spotlight our Strengths*

- Increase awareness about economic development programs in the Region, engage the general public and business community and promote the Region to internal and external audiences.



# Priority Project Criteria

- *Regional Advancement*

- Project advances regional identity, while promoting NYS SMART Growth criteria\* for public infrastructure. Promotes/strengthens targeted industry clusters, while establishing the region as a destination for visitors, enterprises and resources; all the time remaining aligned with regional economic development and planning strategies. Addresses emergency needs such as mass layoffs, closure/consolidation of businesses, or natural disasters.

- *Collaboration/Leverage*

- Exhibits regional, inter/intra industry collaboration and cooperation, while leveraging existing regional assets to establish public/private partnerships that promote the use and diffusion of technology, financial resources and recent investments in publicly funded infrastructure.

- *Project Readiness/Organizational Capacity*

- A project construction/implementation timeframe that is clearly defined, proponents that have site control and capacity to bring project to fruition, clearly defined financing that is secured or in process, meets local and state permitting and licensing approval and requirements, and qualified, experienced key project personnel.



# Priority Project Criteria cont'

- *Economic Impact / Return on Investment*
  - Project will attract private investment, maximize return on taxpayer investment, and support global competitiveness. Focus will be to target and invest in regional areas most in need, contemplate sustained investment beyond implementation, enhance, retain and create career opportunities, job creation and high-skilled jobs, as well as broaden skills for incumbent workers while providing new skills or entrepreneurial training for laid-off workers.
- *Community / Inclusiveness*
  - Project is consistent with community goals and has community support, promoting and improving economic development capacity at the local level while maintaining and enhancing quality of life issues and supporting community revitalization, inclusiveness and social diversity.
- *Project Sustainability / Evaluation*
  - Project will demonstrate sustainability beyond implementation phase, as well as clearly defining methods of evaluating outcomes and project performance.



# Application Portal

## NYS Consolidated Funding Application Registration

\* Email Address:

\* Email Address Check:   
Re-enter your email address for a verification check.

\* Organization Name:   
If you do not have a business name yet please enter your DBA. Use only alpha-numeric characters.

\* Project Alias Name:   
This will be the name associated with your project. Use only alpha-numeric characters.

\* Please enter the sum of the following two numbers:  
4 + 3 =

This helps to protect our system from spam.

**REGISTER NOW**

NYS Consolidated Funding Application - Windows Internet Explorer  
https://apps.do.ny.gov/apps/cfa/register.cfm

New York State | State Agencies | Search all of NY.gov

**Open FOR BUSINESS**

Home Help Print Page

### NYS Consolidated Funding Application Registration

Thank you for registering.

#### Instructions

*Important - Before proceeding read the following carefully:*

- 1. Save your information**  
Please make note of the following information. You should print this page and save it for your records now.  
  
Your organization name is: NYS DOS  
Your project alias name is: CFA Work  
Your project token is: **buster7239edna**

PLEASE DO NOT LOSE THE TOKEN SHOWN ABOVE - YOU WILL NEED IT TO ACCESS YOUR APPLICATION ONCE YOUR ACCOUNT HAS BEEN ACTIVATED.

- 2. Check your email**  
An email has been sent to you with information on how to get started with your application.  
You must follow the link contained in this email message to activate your account and to begin the application process.

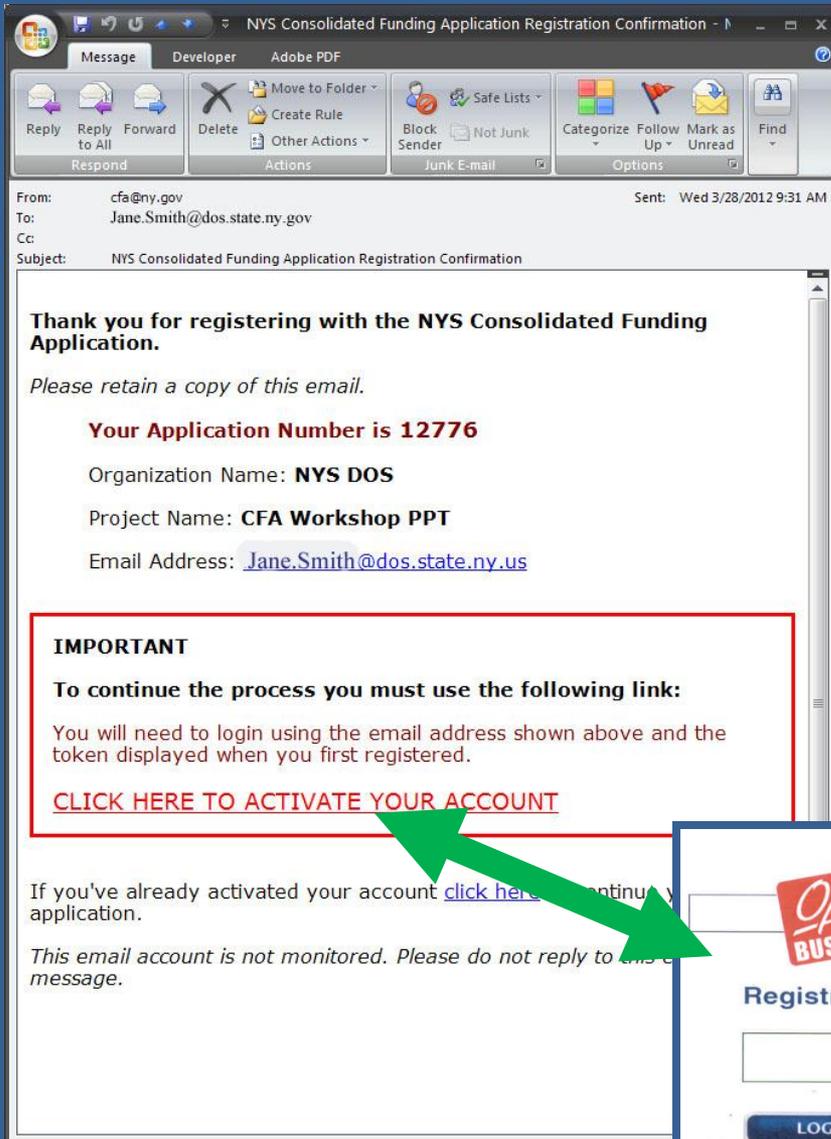
Contact | Disclaimer | Accessibility | Governor's Office  
cpv

# Activate Your Account

Save This Page

Follow the link to the Confirmation page

Click LOGIN to begin answering questions



Message Developer Adobe PDF

From: cfa@ny.gov Sent: Wed 3/28/2012 9:31 AM  
To: Jane.Smith@dos.state.ny.gov  
Cc:  
Subject: NYS Consolidated Funding Application Registration Confirmation

**Thank you for registering with the NYS Consolidated Funding Application.**

Please retain a copy of this email.

**Your Application Number is 12776**

Organization Name: **NYS DOS**

Project Name: **CFA Workshop PPT**

Email Address: [Jane.Smith@dos.state.ny.us](mailto:Jane.Smith@dos.state.ny.us)

**IMPORTANT**

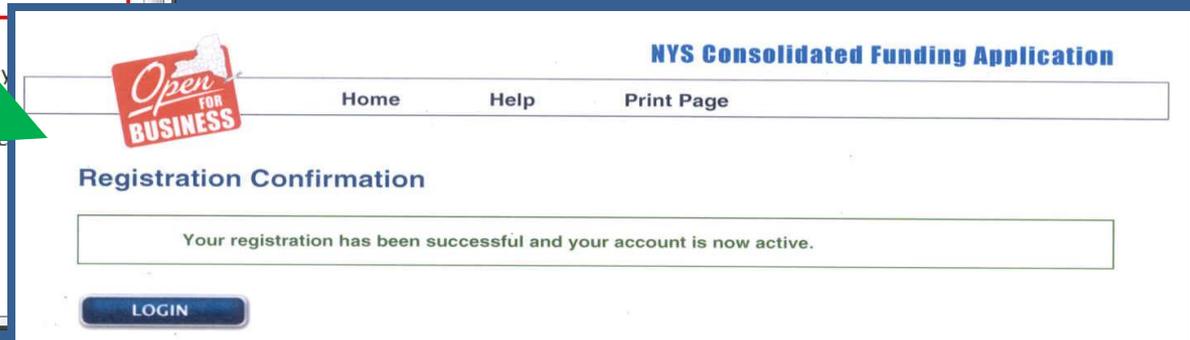
**To continue the process you must use the following link:**

You will need to login using the email address shown above and the token displayed when you first registered.

[CLICK HERE TO ACTIVATE YOUR ACCOUNT](#)

If you've already activated your account [click here](#) to continue your application.

*This email account is not monitored. Please do not reply to this message.*



**Open FOR BUSINESS**

NYS Consolidated Funding Application

Home Help Print Page

**Registration Confirmation**

Your registration has been successful and your account is now active.

**LOGIN**

# Select Project Category & Type

New York State State Agencies Search all of NY.gov

## NYS Consolidated Funding Application

Open FOR BUSINESS

Home Help Application Log Out

Application Number 12776

PROGRAMS LOCATION DOCUMENTS QUESTIONNAIRE FUNDING REVIEW

Application is NOT FINALIZED

### Select Project Category

Welcome to the NYS Consolidated Funding Application.

Please answer the following set of questions as accurately as possible. Based on you may be eligible for will be displayed along with an additional set of questions f

Choose one or more categories below that best represent the CFA Workshop PP

- Direct Assistance to Businesses
- Energy Improvements
- Low Cost Financing
- Municipal/Public Infrastructure
- Sustainability Planning Assistance
- Transportation Infrastructure
- Workforce Development

New York State State Agencies Search all of

## NYS Consolidated Funding Application

Open FOR BUSINESS

Home Help Application Log Out

Application Number 12776

PROGRAMS LOCATION DOCUMENTS QUESTIONNAIRE FUNDING REVIEW

Application is NOT FINALIZED

### Select Type of Project

Choose one or more project types from the list below that best represents your project

- Development of infrastructure such as affordable housing, transportation, water and sewer, communication, energy generation and distribution, and parking garages  
[Show Associated Programs](#)
- Low cost power for businesses (including not-for-profits)  
[Show Associated Programs](#)
- Non Residential Energy Efficiency Projects (Energy Study/Audit/Master Plan/Benchmarking, New Construction/Substantial Renovation, Retrofit to an Existing Building, Industrial and Process Efficiency Improvements)  
[Show Associated Programs](#)

# Programs

 Home Help Application Log Out

Application Number 12776

PROGRAMS  LOCATION DOCUMENTS QUESTIONNAIRE FUNDING REVIEW Application NOT FINALIZED

## PROGRAMS

 Based on your answers to the threshold questions, the programs associated with your application may have changed. Please review below.

Below is a list of program(s) for which you potentially qualify. Please click "Remove Program" for any program for which you do NOT wish to apply, then click the "Proceed With Application" button at the bottom of the page to proceed.

### Your Application's Programs

You potentially qualify for the following programs:

- Excelsior Jobs Program**  
[Show program description](#) [Remove Program](#) ✖
- NY SERDA Energy Efficiency Projects**  
[Show program description](#) [Remove Program](#) ✖
- Recharge New York**  
[Show program description](#) [Remove Program](#) ✖
- Cleaner, Greener Communities Regional Sustainability Planning Program (Long Island, Mohawk Valley, and Finger Lakes ONLY)**  
[Show program description](#) [Remove Program](#) ✖

[Proceed with Application](#)

### Ineligible Programs

Due to your answers, your application is **not eligible** for the following programs:

#### Economic Transformation Program - Tax Credits

[Show program description](#)

Ineligible

#### Economic Transformation Program - Grant

[Show program description](#)

Ineligible

### All Other Programs

The following dropdown list contains programs that are not included in your current list selections. You may add a program to your application by selecting the program from the

Select a program to associate with your application...

Select a program to associate with your application...

Excelsior Jobs Program

Economic Transformation Program - Tax Credits

# Threshold Questions



**NYS Consolidated Funding Application**

Home Help Application Log Out

Application Number 12594

PROGRAMS LOCATION DOCUMENTS QUESTIONNAIRE FUNDING REVIEW

Application is NOT FINALIZED

## THRESHOLD QUESTIONS

### Instructions

Please answer the following questions.

Your answers will be automatically saved whenever you tab to the next question or when you click outside the answer box in which you are working. You may also click the "Save" button at the bottom of the screen to save your responses.

Completed questions: 0 / 3 total, 0 / 3 required

Show only fields below that are incomplete and required

### Legend

-  the question has been answered
-  an answer is required but has not been provided

### Threshold

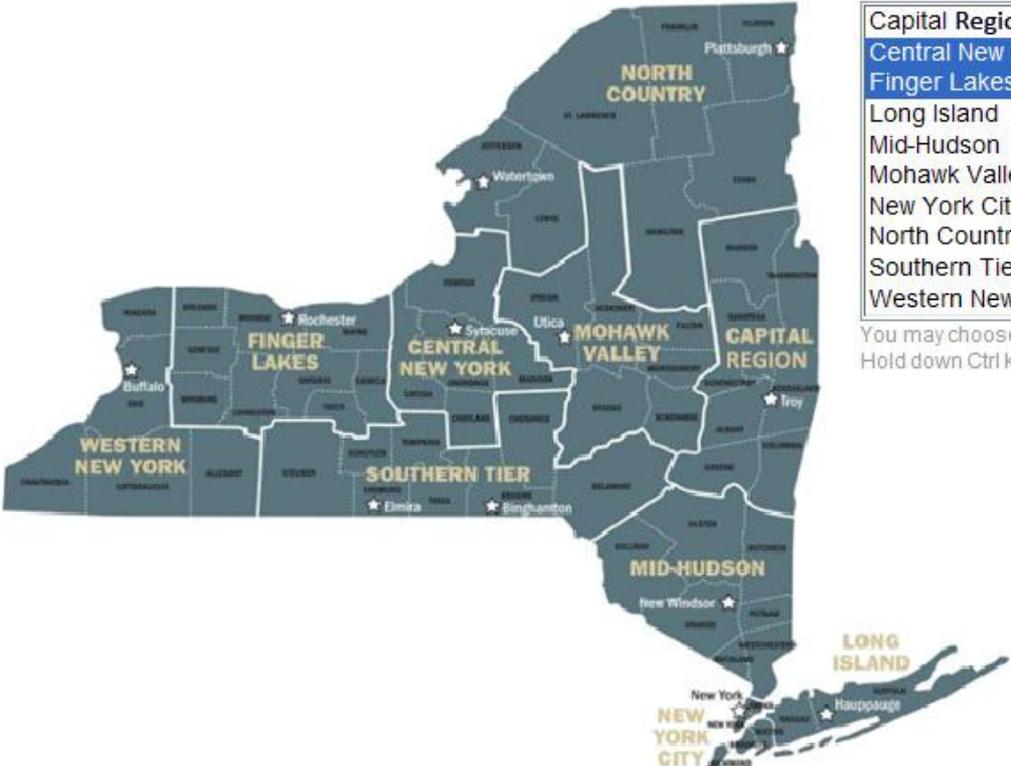
#### Excelsior Jobs Program

-  1. Does this project predominantly involve any of the following activities at the project location: (1) scientific research and development that will create at least 10 net new jobs in NYS; (2) software development that will

# Project Location

## PROJECT LOCATION

Select the region(s) within which your project is located



Capital Region  
Central New York  
Finger Lakes  
Long Island  
Mid-Hudson  
Mohawk Valley  
New York City  
North Country  
Southern Tier  
Western New York

You may choose more than one.  
Hold down Ctrl key while selecting.

Save & Proceed with Application

# Upload Attachments (NEW)

The CFA will indicate which documents to upload before finalizing the application

The screenshot shows the NYS Consolidated Funding Application portal. At the top, there is a navigation bar with 'New York State' and 'State Agencies' on the left, and a search bar on the right. Below this is a blue banner with 'NYS Consolidated Funding Application' and a navigation menu with 'Home', 'Help', 'Application', and 'Log Out'. A red 'Open FOR BUSINESS' logo is on the left. The application number '12776' is displayed. A progress bar shows 'PROGRAMS' and 'LOCATION' as completed (green checkmarks), while 'DOCUMENTS', 'QUESTIONNAIRE', 'FUNDING', and 'REVIEW' are not. A red box on the right says 'Application is NOT FINALIZED'. The main heading is 'REQUIRED & OPTIONAL DOCUMENTS'. Under 'Instructions', it states that documents must be in PDF format and under 5 MB. A legend defines symbols: a green checkmark for uploaded documents, a red minus sign for required but not uploaded, a grey minus sign for optional not provided, and a red 'R' for restricted documents.

New York State State Agencies Search all of NY

**NYS Consolidated Funding Application**

Home Help Application Log Out

Application Number 12776

PROGRAMS ✓ LOCATION ✓ DOCUMENTS QUESTIONNAIRE FUNDING REVIEW

Application is NOT FINALIZED

## REQUIRED & OPTIONAL DOCUMENTS

### Instructions

Your application requires you to upload supporting documents. **These files must in PDF format and cannot be larger than 5 MB in size.** [More information is available on how to create PDFs from other documents.](#)

You may proceed with your application without uploading these documents. However, you must return to this section and upload the required documents before you can finalize and submit your application.

Your answers will be automatically saved whenever you tab to the next question or when you click outside the answer box in which you are working. You may also click the "Save" button at the bottom of the screen to save your responses.

### Legend

- ✓ this document has been uploaded
- this document is required but has not been uploaded
- this document is optional and has not been provided. Optional documents are helpful in the evaluation of your application, but not required.
- Ⓜ the uploaded document is "restricted". The document will only be shared with the state agency/authority that is required to review this application.

# Questionnaire

NYS Consolidated Funding Application - Windows Internet Explorer

https://apps.do.ny.gov/apps/cfa/questionnaire.cfm

NYS Consolidated Funding Application

New York State State Agencies Search all of NY.gov

**Open for BUSINESS**

Home Help Application Log Out

Application Number 12776

Application is NOT FINALIZED

PROGRAMS LOCATION DOCUMENTS **QUESTIONNAIRE** FUNDING REVIEW

## QUESTIONNAIRE

### Instructions

To proceed with your application, please answer the following questions.

If your answers are lengthy, we recommend you type your answers into a word processor and paste them into the application so you will always have a copy of your answers. (Note: By design, most of the formatting you create with your word processor will be lost when you paste answers into the application.)

### Legend

- the question has been answered
- an answer is required but has not been provided
- an answer is not required and has not been provided
- the answer is "restricted": The answer will only be shared with the state agency/authority that is required to review this application.

Disclaimer: The questions posed in the initial sections of the Consolidated Funding Application are designed to elicit general, non-confidential information about the applicant and its project. Information the applicant believes is confidential (e.g., trade secrets or other sensitive business or personal information) should only be provided, to the extent required, in response to questions designated as "Restricted Questions" in the later, program-specific portions of this application. Information provided in response to Restricted Questions will not be provided to members of Regional Economic Development Councils and will be shared only with agencies and public authorities responsible for program administration and making decisions. Application, and will be protected from disclosure to agencies and public authorities in a manner that is consistent with state law.

Completed questions: 25 / 254 total, 20 / 165 required Show only fields below that are incomplete

### Basic

#### General Project Information

#### Applicant Information

1. Legal Name of Applicant (Short Answer, Q\_1B\_34E)

cfa works hop ppt

The CFA questionnaire contains questions relevant to programs for which you are eligible

The end of the questionnaire includes certifications

80. By entering your name in the box below, you certify that you are authorized on behalf of the applicant and its governing body to submit this application. You further certify that all of the information contained in this Application and in all statements, data and supporting documents which have been made or furnished for the purpose of receiving Assistance for the project described in this application, are true, correct and complete to the best of your knowledge and belief. You acknowledge that offering a written instrument knowing that the written instrument contains a false statement or false information, with the intent to defraud the State or any political subdivision, public authority or public benefit corporation of the State, with the knowledge or belief that it will be filed with or recorded by the State or any political subdivision, public authority or public benefit corporation of the State, constitutes a crime under New York State Law. (Short Answer, Q\_1B\_1038)

Save & Proceed with Application

# Minority and Women Owned Business Requirements



For the first time, model MWBE language now exists to standardize MWBE provisions in RFPs and contracts, including Regional Council awards.

Governor Andrew Cuomo signed Executive Order #8 establishing a team to explore ways to expand participation of Minority and Women Owned Businesses (MWBEs) in State contracts

All Regional Council awards will contain language ensuring awardees are pursuing the funding agency's 20+ percent goal of MWBE participation in grantee RFPs and sub-contracts.

# Funding (NEW)

**Funding Requested**

Program	Amount Requested
Excelsior Jobs Program	\$ <input type="text"/>
NYSERDA Energy Efficiency Projects	\$ Answer Not Required
Recharge New York	\$ Answer Not Required
Cleaner, Greener Communities Regional Sustainability Planning Program (Long Island, Mohawk Valley, and Finger Lakes ONLY)	\$ <input type="text"/>
Total Amount Requested	\$ 0

**Budget**

**Excelsior Jobs Program**

Funding Sources (Enter dollar amounts below)

1. Total Project Cost (Short Answer, Q\_18\_657)
2. Amount provided directly by applicant toward project (Short Answer, Q\_18\_659)
3. State sources committed to project (Short Answer, Q\_18\_660)
4. Federal sources committed to project (Short Answer, Q\_18\_662)

[View Help](#)

Indicate the amount of funding that your project will be requesting from each individual program

# Review & Finalize Application



**NYS Consolidated Funding Application**

Home Help Application Log Out

Application Number 12679

PROGRAMS ✓ LOCATION ✓ DOCUMENTS QUESTIONNAIRE FUNDING REVIEW

Application is NOT FINALIZED

## REVIEW

- ✓ Project
- ✓ Programs
- ✓ Location
- Documents
- Questionnaire
- Funding \$0

PROGRAMS ✓ LOCATION ✓ DOCUMENTS ✓ QUESTIONNAIRE ✓ FUNDING ✓ REVIEW

IS NOT FINALIZED

## REVIEW

- ✓ Project
- ✓ Programs
- ✓ Location
- ✓ Documents
- ✓ Questionnaire
- ✓ Funding \$11

**Please Acknowledge**

Please Note: By clicking the button below you will no longer be able to modify or amend your application.

**BY CLICKING HERE, I ACKNOWLEDGE THAT MY APPLICATION IS COMPLETE AND READY FOR FINALIZATION**



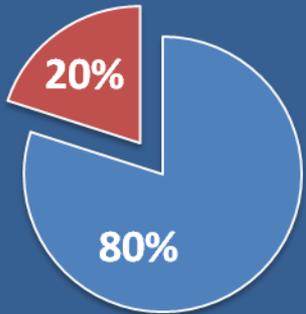
# CFA Review

## Regional Council Statewide Endorsement Standard

For each CFA the Regional Council reviewer will determine the degree to which the application implements the regional strategic plan and assign the corresponding number of points.

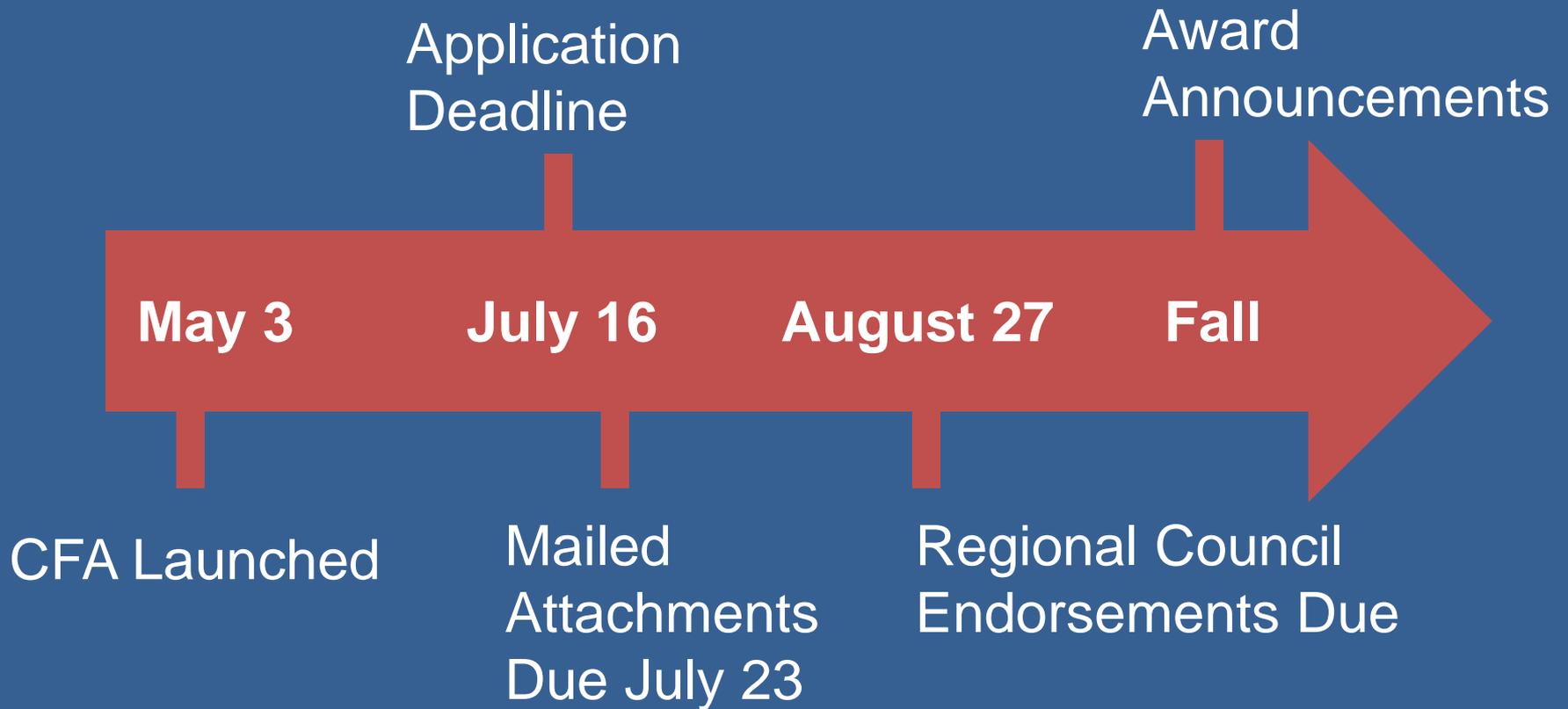
IMPLEMENTATION OF THE PLAN	DEGREE	POINTS
The proposal is identified as a priority project by the Regional Council.	Priority	20
The proposal is identified in the plan and has regional benefits.	Very Strong	15
The proposal advances the plan and has local benefits.	Strong	10
The proposal is consistent with the plan and has project level benefits.	Moderate	5
The proposal has limited relationship to the plan.	Weak	0

Regional  
Council  
Endorsement



Agency  
Technical  
Review

# CFA Timeline



# Resources

NYS Consolidated Funding Application - Windows Internet Explorer  
https://apps.do.ny.gov/apps/cfa/

New York State State Agencies Search all of NY.gov

**Open FOR BUSINESS** Consolidated Funding Application

Regional Economic Development Councils

Home Help Application Log Out

## WELCOME

As part of Governor Cuomo's transformative plan to improve the state's economic development model, a NYS Consolidated Funding Application (CFA) has been created that will streamline and expedite the grant application process. The CFA process marks a fundamental shift in the way state resources are allocated, ensuring less bureaucracy and greater efficiency to fulfill local economic development needs.

Utilizing the CFA serving as the single entry point for access to economic development funding, applicants will no longer have to slowly navigate multiple agencies and sources without any mechanism for coordination. Now, economic development projects will use the CFA as a support mechanism to access multiple state funding sources through one application, making the process quicker, easier, and more productive.

To proceed with your CFA, please use the "Proceed With Application" button below.

**Proceed with Application**

**Links**

- CFA Application Process
- Available CFA Resources
- CFA Workshop Materials
- Common CFA Q & A
- Program Questions

Regional Council Information

Application Process Guide

Description of Available Resources

Workshop Materials

Common Q&A

Program Questions

# Breakout Groups

- **Group 1 – Business Assistance**

Direct Assistance to Business, Low-Cost Financing, Workforce Development, and Agriculture  
– ESD, Ag & Markets, & DOL representatives

- **Group 2 – Community Development**

Waterfront Revitalization, Economic and Community Revitalization, Arts Programs, and Parks, Historic Preservation & Heritage Areas  
– OPRHP, HCR, Canals, DOS & Council on the Arts representatives

- **Group 3 – Energy & Environment**

Energy Improvements, Environmental Improvements & Sustainability  
– NYPA, NYSERDA, DEC, & EFC representatives

