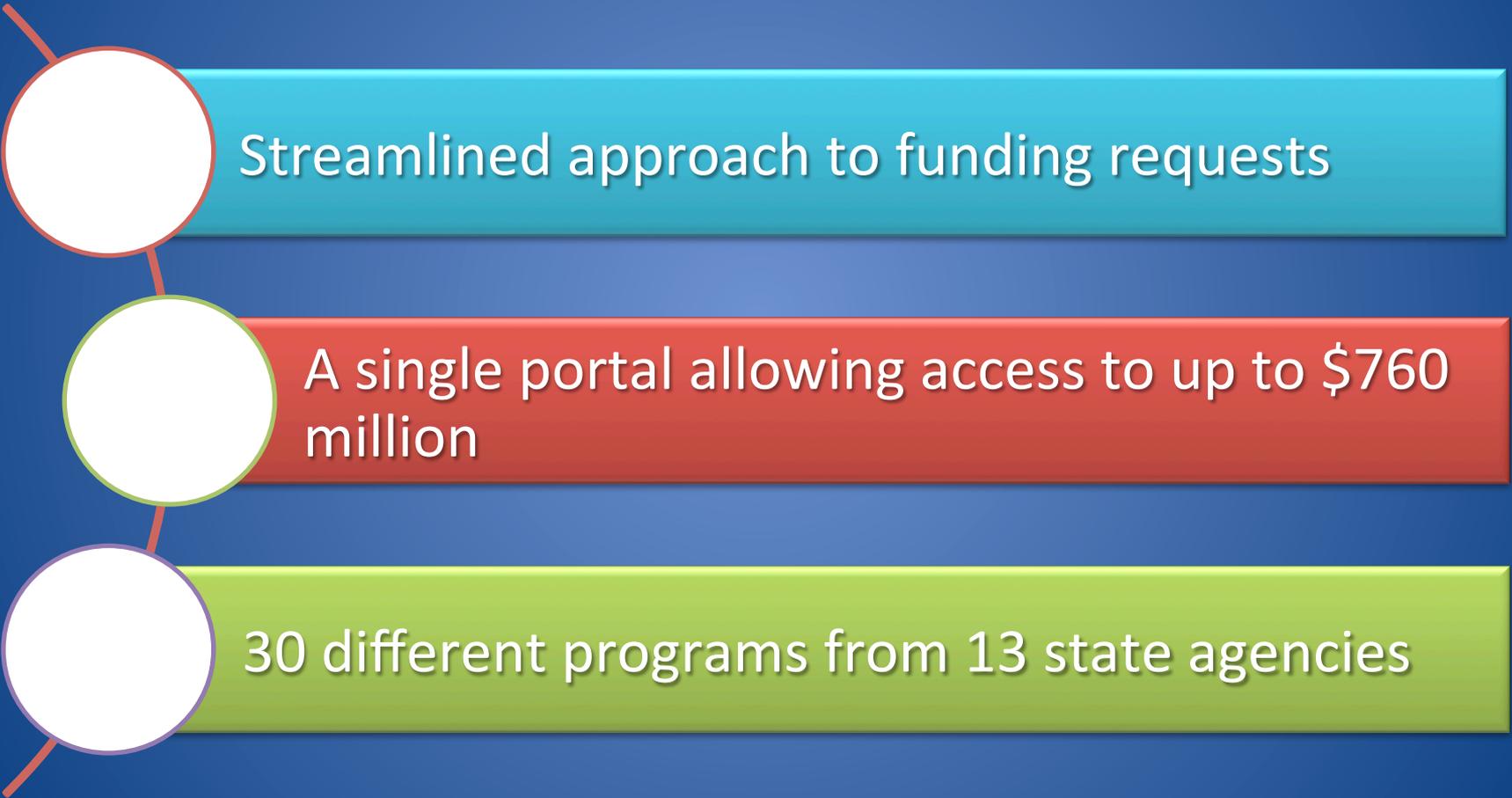




WELCOME TO THE 2013  
CONSOLIDATED FUNDING APPLICATION  
WORKSHOP

# The Consolidated Funding Application (CFA)

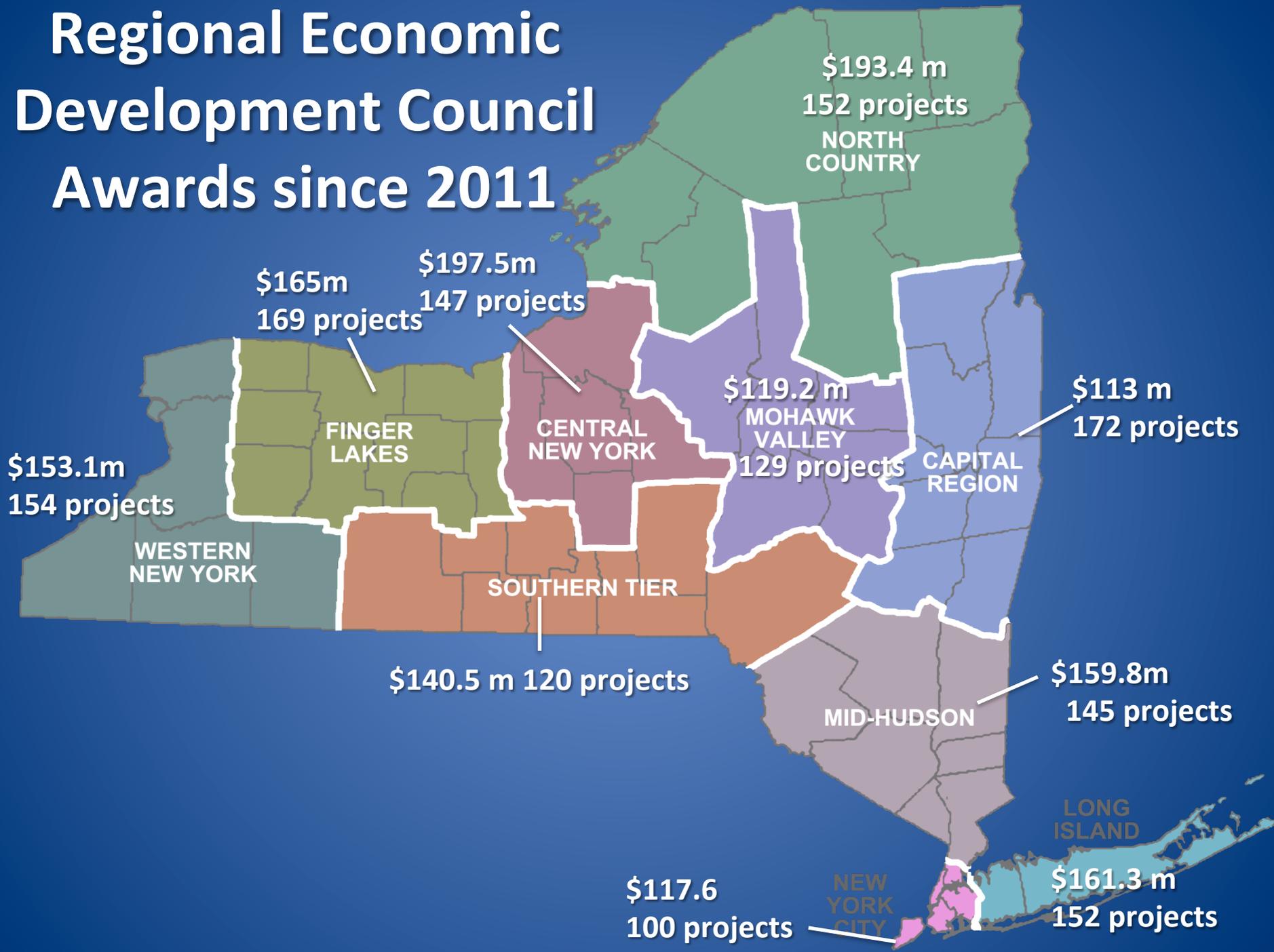


Streamlined approach to funding requests

A single portal allowing access to up to \$760 million

30 different programs from 13 state agencies

# Regional Economic Development Council Awards since 2011



This year, Governor Cuomo has made up to \$760 million available from over 30 programs across 13 state agencies.

For more information on each program, visit [regionalcouncils.ny.gov](http://regionalcouncils.ny.gov) and view the Available Resource Guide

## Available Resources for Regional Councils in 2013



## NYS Consolidated Funding Application

Agency	Total Grants, tax credits & other resources* (in millions)
<b>Direct Assistance to Businesses and Other Organizations</b> .....	<b>up to \$235.25</b>
Empire State Development	
<b>Community Development</b> .....	<b>up to \$52.7</b>
Homes and Community Renewal	
Office of Parks, Recreation and Historic Preservation	
Council on the Arts	
<b>Waterfront Revitalization</b> .....	<b>up to \$13</b>
Department of State	
Canal Corporation	
<b>Environmental Improvements</b> .....	<b>\$13+</b>
Environmental Facilities Corporation	
Department of Environmental Conservation	
Empire State Development	
<b>Energy Improvements</b> .....	<b>up to \$50</b>
Energy Research and Development Authority	
New York State Power Authority	
<b>Sustainability Planning and Implementation</b> .....	<b>up to \$34</b>
Energy Research and Development Authority	
Department of State	
<b>Education/Workforce Development</b> .....	<b>up to \$10.45</b>
Higher Education Services Corporation	
Office of National and Community Service	
Department of Labor	
Office of Temporary and Disability Assistance	
Department of State	
<b>Low-Cost Financing</b> .....	<b>up to \$350</b>

# CFA Round III Timeline

June 17  
CFA Opens

August 12  
CFA Closes  
*At 4:00 PM  
Sharp - No late  
submittals!*

Sept. 24  
Regional  
Council  
Updates and  
Scores Due

December  
Round III  
Awards  
Ceremony

# New This Year...

This year, programs have been added to support the **Opportunity Agenda** initiative, including:

SNAP Opportunities, Community Services Block Grants, Local Government Efficiency Grants, AmeriCorps, College Access Challenge Grants and Department of Health Child and Adult Care Food Program

You can learn more about these programs during the breakout sessions and at [www.regionalcouncils.ny.gov](http://www.regionalcouncils.ny.gov)

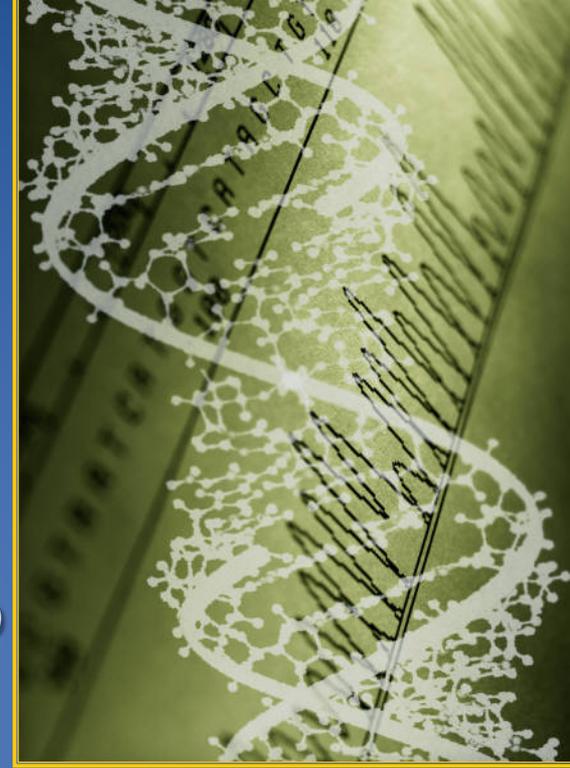
New This Year...

# Innovation Hot Spots

Each Regional Council will be charged with identifying an “Innovation Hot Spot”, which is a higher education-private sector high-tech innovation incubator for start-up companies.

In Innovation Hot Spots, barriers between the laboratory and the marketplace will be removed, better enabling academia and businesses to develop commercially-viable ideas.

Five winners among the regions will be designated annually for two years and receive benefits and support.



# CFA Review and Scoring

## Regional Council Statewide Endorsement Standard

For each CFA the Regional Council reviewer will determine the degree to which the application implements the regional strategic plan and assign the corresponding number of points.

### IMPLEMENTATION OF THE PLAN

### DEGREE

### POINTS

The proposal is identified as a priority project by the Regional Council.

Priority

20

The proposal is identified in the plan and has regional benefits

Very Strong

15

The proposal advances the plan and has local benefits

Strong

10

The proposal is consistent with the plan and has project level benefits.

Moderate

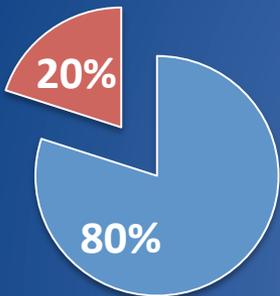
5

The proposal has limited relationship to the plan.

Weak

0

REDC  
Endorsement



Agency  
Technical  
Review

# Apply online at RegionalCouncils.ny.gov

The screenshot shows the homepage of RegionalCouncils.ny.gov. At the top, there is a navigation bar with "New York State" and "State Agencies" on the left, and a search bar and "Contact" link on the right. Below this is a banner with the "The New NY WORKS for Business" logo and the text "REGIONAL ECONOMIC DEVELOPMENT COUNCILS". A horizontal strip of images follows, with a red arrow pointing to the "Consolidated Funding Application" tab. Below the banner is a navigation menu with links for HOME, REGIONAL COUNCILS, MEDIA, FAQs, MAP, and 2011 Archived Materials. The main content area features a map of New York State divided into regional councils: NORTH COUNTRY, WESTERN NEW YORK, FINGER LAKES, CENTRAL NEW YORK, SOUTHERN TIER, MOHAWK VALLEY, MID-HUDSON, CAPITAL REGION, LONG ISLAND, and NEW YORK CITY. To the right of the map is a grid of six buttons: "Joint Letter from Regional Council Co-Chairs", "2012 Regional Council Guidebook", "CFA Resource Manual", "CFA Application Guide", "Consolidated Funding Application Workshops", and "2012 Awards Ceremony".

To access the CFA, click the "Consolidated Funding Application" tab.



# CONSOLIDATED FUNDING APPLICATION



2011 CFA Project Info

Regional Economic Development Councils

Home

Help

Print Page

## WELCOME

As part of Governor Cuomo's transformative plan to improve the state's economic development model, a NYS Consolidated Funding Application (CFA) has been created that will streamline and expedite the grant application process. The CFA process marks a fundamental shift in the way state resources are allocated, ensuring less bureaucracy and greater efficiency to fulfill local economic development needs.

Utilizing the CFA serving as the single entry point for access to economic development funding, applicants will no longer have to slowly navigate multiple agencies and sources without any mechanism for coordination. Now, economic development projects will use the CFA as a support mechanism to access multiple state funding sources through one application, making the process quicker, easier, and more productive.

Applications for open enrollment programs are still being accepted. Open enrollment programs are: Recharge NY, NYSERDA Energy Efficiency Projects and ESD Excelsior Jobs Program.

To proceed with your CFA, please use the Register or Login buttons below.

**Note:** You will need to register separately for each project for which you are applying for funding. You will be given a different "token" for each project that uniquely identifies that project.

REGISTER NOW

LOGIN

### Links

Available CFA Resources

CFA Workshop Materials

Common CFA Q & A

Program Application Questions

Regional Council Information

CFA Resources

Workshop Materials

CFA FAQ and Q&A

Program Application Questions

# CFA Overview

- ❑ Select Project Category and Project type to find out which programs fit with your project
- ❑ Choose programs you would like to apply for
- ❑ Answer threshold questions to determine your eligibility for each program
- ❑ Identify your project location

The screenshot displays the CFA application interface, showing various steps and options:

- Application Number 25665** (Application is NOT FINALIZED)
- PROGRAMS** | LOCATION | DOCUMENTS | QUESTIONNAIRE | FUNDING | REVIEW
- Select Project Category**  
Welcome to the NYS Consolidated Funding Application.  
Please answer the following set of questions as accurately as possible. Based on your answers to the following questions, programs that you may be eligible for will be displayed along with an additional set of questions for each program.
- Choose one or more project types from the list below that best represents your project.
  - Comm
  - Direct
- Select Type of Project**
  - Acquisition, renovation, and construction of commercial industrial and mixed-use facilities in highly distressed area  
[Show Associated Programs](#)
  - Assist in the creation or expansion of an agricultural project.  
[Show Associated Programs](#)
- Programs Recently Added to your Application**
  - Empire State Development
    - Excelsior Jobs Program  
[Show program description](#) [Remove Program](#)
  - NYS Energy Research and Development Authority
- THRESHOLD QUESTIONS**  
Instructions: Please answer the following questions.  
Legend:  the question has been answered
- PROJECT LOCATION**  
Select the region(s) within which your project is located  
  - Capital District
  - Central New York
  - Finger Lakes
  - Long Island
  - Mid-Hudson
  - Mohawk Valley
  - New York City
  - North Country
  - Southern Tier
  - Western New York
  - Long Island
- Save & Proceed with Application**

# Uploading Attachments

The CFA will indicate which materials you must upload before finalizing the application.

This year, no hard copies will be accepted. All documents must be uploaded.

Details on how to create a PDF and upload an attachment are available on the upload page and in the HELP section.

Application Number 25665

Application is NOT FINALIZED

PROGRAMS ✓ LOCATION ✓ DOCUMENTS QUESTIONNAIRE FUNDING

REVIEW

## REQUIRED & OPTIONAL DOCUMENTS

### Instructions

Your application requires you to upload supporting documents. These files must be in PDF format and cannot be larger than 5 MB in size. Avoid using special characters like \*,%,# in your document name as this will cause errors in the upload. If an attachment question requires more than one document, you must create a single PDF file that contains all the required documents. More information is available on [how to create PDFs from other documents](#).

You may proceed with your application without uploading these documents. However, you must return to this section and upload the required documents before you can finalize and submit your application.

Your answers will be automatically saved whenever you tab to the next question or when you click outside the answer box in which you are working. You may also click the "Save" button at the bottom of the screen to save your responses.

### Legend

- ✓ this document has been uploaded
- ⊖ this document is required but has not been uploaded
- ⊖ this document is optional and has not been provided. Optional documents are helpful in the evaluation of your application, but not required.
- Ⓜ the uploaded document is "restricted": The document will only be shared with the state agency/authority that is required to review this application.

# Next Step: Program Specific Questions

Application Number 25665

Application is NOT FINALIZED

PROGRAMS ✓ LOCATION ✓ DOCUMENTS QUESTIONNAIRE FUNDING REVIEW

## QUESTIONNAIRE

### Instructions

To proceed with your application, please answer the following questions.

If your answers are lengthy, we recommend you type your answers into a word processor and paste them into the application so you will always have a copy of your answers. (Note: By design, most of the formatting you create with your word processor will be lost when you paste answers into the application.)

Your answers will be automatically saved whenever you tab to the next question or when you click outside the answer box in which you are working. You may also click the "Save" button at the bottom of the screen to save your responses.

### Legend

- ✓ the question has been answered
- an answer is required but has not been provided
- an answer is not required and has not been provided
- Ⓜ the answer is "restricted": The answer will only be shared with the state agency/authority that is required to review this application.

Disclaimer: The questions on this questionnaire contain confidential information. The applicant believes that the information is sensitive business or financial information to the extent required. "Restricted Questions" are those questions whose answers will not be provided to the public, the public hearing, the public hearing panels, and will be shared only with those individuals that are responsible for reviewing the application on your behalf, the public hearing panels, and public authorities.

The CFA contains questions relevant to programs for which you are eligible

## Certification

### Excelsior Jobs Program

Q\_1038



By entering your name in the box below, you certify that you are authorized on behalf of the applicant and its governing body to submit this application. You further certify that all of the information contained in this Application and in all statements, data and supporting documents which have been made or furnished for the purpose of receiving Assistance for the project described in this application, are true, correct and complete to the best of your knowledge and belief. You acknowledge that offering a written instrument knowing that the written instrument contains a false statement or false information, with the intent to defraud the State or any political subdivision, public authority or public benefit corporation of the State, with the knowledge or belief that it will be filed with or recorded by the State or any political subdivision, public authority or public benefit corporation of the State, constitutes a crime under New York State Law.

### NYSERDA Energy Efficiency Projects

#### General Certifications

Q\_1037



By entering your name in the box below, you certify and agree that you are authorized on behalf of the applicant and its governing body to commit the applicant to comply with the requirements of Article 15-A of the New York State Executive Law: Participation By Minority Group Members and Women With Respect To State Contracts by providing opportunities for MBE/WBE participation. You further certify that the applicant will maintain such records and take such actions necessary to demonstrate such compliance throughout the completion of the project.

The end of the questionnaire includes certifications

# Funding Request and Program Budget

Indicate the amount of funding that your project will be requesting from each individual program

Application Number 23785

PROGRAMS LOCATION DOCUMENTS QUESTIONNAIRE **FUNDING** REVIEW

Application ID: N171777

### PROJECT FUNDING

#### Instructions

The following section will collect information regarding your project's cost and funding. Please follow the instructions associated with each section below.

#### Total Project Cost

The TOTAL cost of the project for which funds are being requested via this CFA. Projects that have multiple phases should include ONLY the phase covered by this CFA round.

Total project cost: \$

SAVE

#### Total Project Funding Requested

The total CFA funds requested is the maximum funding that could be awarded by any program in the CFA.

Total CFA funding being requested from all programs: \$

SAVE

#### Total Project Budget

Indicate the sources and uses of funds required to complete the project for which program funds are being requested via this CFA should include all requested CFA program funds and all non-CFA sources such as private funding, funds from lending institute, kind, as allowed by the program. If a funding source supports multiple uses, each source should be accompanied by a separate amount. If your project has multiple phases that are outside the scope of this CFA request, include ONLY the budget for the phase in this CFA.

Use	Source	Amount	Indicate Source / Comments	Add / Remove
Use	Source	\$		Remove
Total		5	0	Add New

SAVE

### Funding Requested from Programs

The amount you are requesting for each program on your application. If the project is a multi-year and/or multi-phase project, this should include ONLY the phase of the project for which funds are being requested.

Program	Amount Requested
NYSEDA Energy Efficiency Projects	\$ <input type="text"/>
Industrial Development Bond Cap	\$ <input type="text"/>
Total Amount Requested	\$ 0

SAVE

### Program Budget(s)

For each program, indicate the sources and uses of funds required to complete the project for which funds are being requested. If you are requesting funds from multiple programs, the sum of all program requests should equal the total CFA funding requested. The Program Budget should be consistent with any program match requirements or funding limits, as detailed in the program's HFP section. Include all non-CFA sources such as private funding, funds from lending institutions, and in-kind, as allowed by the program. If a funding source supports multiple uses, each source should be accompanied by a separate use and amount.

#### NYSEDA Energy Efficiency Projects

Use	Source	Amount	Indicate Source / Comments	Add / Remove
Use	Source	\$		Remove
Total: NYSEDA Energy Efficiency Projects		5	0	Add New

#### Industrial Development Bond Cap

Use	Source	Amount	Indicate Source / Comments	Add / Remove
Use	Source	\$		Remove
Total: Industrial Development Bond Cap		5	0	Add New

SAVE

# Creating an Account

Save this page for your records

The screenshot shows the registration form on the NYS Consolidated Funding Application website. The header includes 'New York State' and 'Search all of NY.gov'. The main title is 'The New NY WORKS for Business CONSOLIDATED FUNDING APPLICATION'. Below the title are navigation links for 'Home', 'Help', and 'Print Page'. The registration form itself is titled 'NYS Consolidated Funding Application Registration' and contains the following fields and instructions:

- \* Email Address:** [Text input field]
- \* Email Address Check:** [Text input field] Re-enter your email address for a verification check.
- \* Organization Name:** [Text input field] If you do not have a business name yet please enter your DBA "Doing Business As". Use only alpha-numeric characters.
- \* Project Alias Name:** [Text input field] This will be the name associated with your project. Use only alpha-numeric characters.
- \* Please enter the sum of the following two numbers:**  
7 + 0 = [Text input field]

Below the CAPTCHA is the text: 'This helps to protect our system from spam.' and a blue button labeled 'REGISTER NOW'.

**Thank you for registering with the NYS Consolidated Funding Application.**

*Please retain a copy of this email.*

**Your Application Number is 25665**

Organization Name: **NYS DOS**

Project Name: **Sample**

Email Address: [Lori.Heithoff@dos.ny.gov](mailto:Lori.Heithoff@dos.ny.gov)

## IMPORTANT

**To continue the process you must use the following link:**

You will need to login using the email address shown above and the token displayed when you first registered.

[CLICK HERE TO ACTIVATE YOUR ACCOUNT](#)

Follow the link to the Confirmation page

Click LOGIN to begin answering questions

The screenshot shows the confirmation page after registration. The header includes 'Home', 'Help', and 'Print Page'. The main title is 'NYS Consolidated Funding Application Registration'. The page content is as follows:

Thank you for registering.

### Instructions

*Important - Before proceeding read the following carefully:*

- 1. Save your information**  
Please make note of the following information. You should print this page and save it for your records now.

Your organization name is: NYS DOS  
Your project alias name is: Sample  
Your project token is: **every612book**

# Finalizing Your Application

Application Number 25665

Application is NOT FINALIZED

PROGRAMS ✓ LOCATION ✓ DOCUMENTS QUESTIONNAIRE FUNDING REVIEW

## REVIEW

- ✓ Project
- ✓ Programs
  - Exce
  - NYSE
- ✓ Location
- ✗ Documents
- ✗ Questionnaire
- ✗ Funding \$ 0

Application Number 25665

Application is NOT FINALIZED

PROGRAMS ✓ LOCATION ✓ DOCUMENTS ✓ QUESTIONNAIRE ✓ FUNDING ✓ REVIEW

## REVIEW

- ✓ Project
- ✓ Programs
- ✓ Location
- ✓ Documents
- ✓ Questionnaire
- ✓ Funding \$ 0

 You have not completed 3 areas. Please use the links to complete incomplete areas.

Application Number 25665

Application is NOT FINALIZED

PROGRAMS ✓ LOCATION ✓ DOCUMENTS ✓ QUESTIONNAIRE ✓ FUNDING ✓ REVIEW

## REVIEW

- ✓ Project
- ✓ Programs
- ✓ Location
- ✓ Documents
- ✓ Questionnaire
- ✓ Funding \$ 0

### Please Acknowledge

Please Note: By clicking the button below you will no longer be able to modify or amend your application.

**BY CLICKING HERE, I ACKNOWLEDGE THAT MY APPLICATION IS COMPLETE AND READY FOR FINALIZATION**

# Minority and Women Owned Business Requirements

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- ❖ Governor Cuomo signed Executive Order #8
- ❖ Established a team to explore ways to expand participation of Minority and Women Owned Businesses (MWBEs) in State contracts
- ❖ Developed standard MWBE language for RFPs and Contracts.

- ❖ All Regional Council awardees will pursue a goal of 20% or greater MWBE participation in RFPs and sub-contracts



# Break Out Groups

## ➤ **Group 1: Business Assistance (including Low Cost Power)**

Direct Assistance to Businesses and Other Organizations, Low-Cost Financing, Municipal/Public Infrastructure and Workforce Development, Low Cost Power

- **ESD, DOL and NYPA representatives**

## ➤ **Group 2: Community Development**

Affordable Housing, Community & Waterfront Revitalization, Parks, Historic Preservation & Heritage Areas, Arts

- **Arts, Parks, HCR, Canals, DOS LWRP representatives**

## ➤ **Group 3: Energy Efficiency & Environmental Improvements**

Energy & Environmental Improvements, Sustainability Planning Assistance

- **NYSERDA, EFC, & DEC representatives**

## ➤ **Group 4: Community Resources**

Workforce Development, Government Efficiency, Education Opportunities

- **HESC, AmeriCorps, OTDA, DOH, DOS CSBG and LGE representatives**