



**Office of Planning  
and Development**

# **Consolidated Funding Application 2016 – 2017**

## **Environmental Protection Fund Local Waterfront Revitalization Program**

**An Office of New York Department of State**

May 2, 2016

# Eligible Applicants

- Villages, towns, or cities, located along New York's coasts or designated inland waterways
- Counties with the consent and on behalf of one or more villages, towns, or cities, located along New York's coasts or designated inland waterways

The Coastal Waterbodies and Designated Inland Waterways List and additional grant program information can be found at <http://www.dos.ny.gov/funding/>

# Eligible Activities

- General planning needed to advance eligible activities
- Project-specific planning, feasibility, design, and/or marketing needed to advance eligible activities
  - Must currently be preparing an LWRP or component, or with an approved LWRP or component
- Construction of projects needed to advance eligible activities
  - Must have an approved LWRP or relevant LWRP component substantially completed

# EPF LWRP Grant Categories

- Preparing or Implementing a Local Waterfront Revitalization Program (LWRP)
- Updating an LWRP to Mitigate Future Physical Climate Risks
- Redeveloping Hamlets, Downtowns and Urban Waterfronts
- Planning or Constructing Land and Water-based Trails
- Preparing or Implementing a Lakewide or Watershed Revitalization Plan
- Implementing a Community Resilience Strategy
- Celebrating the Bicentennial of the Erie Canal – Improving Public Waterfront Access for Canal Communities

# Preparing or Implementing a Local Waterfront Revitalization Program

- Preparing or implementing an LWRP
  - comprehensive land and water use program
  - vision for the waterfront
  - refines policies to reflect local and regional needs
- Preparing or implementing strategies or plans for:
  - Economic development
  - Harbor management
  - Scenic byways
  - Heritage areas
  - Historic preservation
  - Coastal education
  - Tourism promotion & development
  - Public access and open space
  - Natural resource protection/restoration
  - Flooding & erosion management
  - Dredged material management
  - Community resilience



# Preparing or Implementing a Local Waterfront Revitalization Program

Prepare or complete an LWRP or component, including developing strategies or plans on a variety of focal areas such as: economic development; community resilience; historic preservation; harbor management; and/or public access; or a Health Impact Assessment to identify and evaluate public health outcomes of a strategy, approach, or project(s) contained in an existing LWRP, or one under preparation.

With an approved LWRP, or relevant component substantially completed, may apply for implementation funding for project-specific planning, feasibility, design or to construct projects necessary to implement an approved LWRP or a substantially-completed component of an LWRP under preparation.

# Updating an LWRP to Mitigate Future Physical Climate Risks

As part of updating an existing LWRP, an eligible community may consider planning activities such as:

- incorporating of a NYRCR Plan or NY Rising Countywide Resiliency Plan
- assessment of risks associated with coastal/riverine flooding and erosion
- assessment of risks to critical infrastructure and systems
- creation of development tools to optimize land use patterns to meet the needs of vulnerable populations and future growth demands

# Redeveloping Hamlets, Downtowns and Urban Waterfronts

- Spurring appropriate economic activity in previously-developed hamlet, downtown and waterfront areas
- Catalyzing appropriate economic activity through development of water-dependent and water-enhanced uses and activities
- Redeveloping underutilized abandoned buildings/brownfield sites
- Improving the recreational, cultural, environmental and economic value and quality of waterfronts
- Linking downtowns and hamlets with nearby waterfronts
- Creating a positive image of a hamlet center, downtown commercial district, and/or waterfront



# Redeveloping Hamlets, Downtowns and Urban Waterfronts

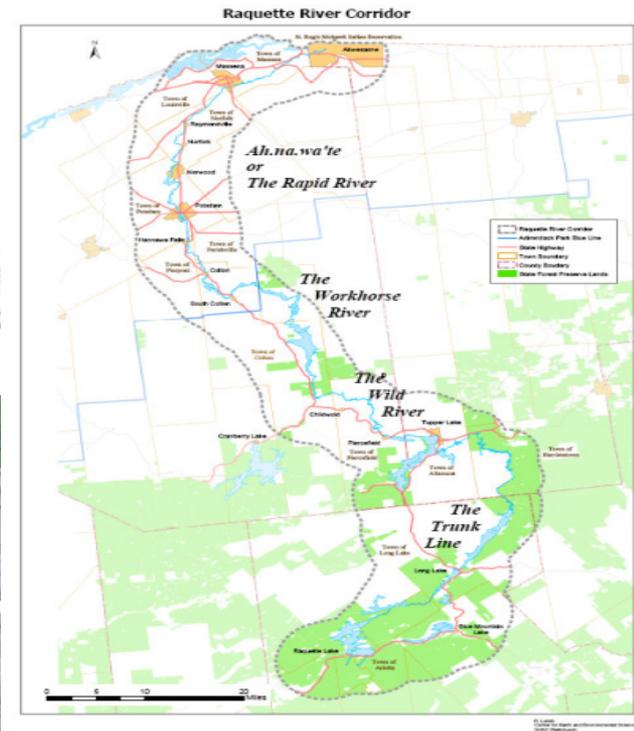
Applicants may apply for funding for planning activities for hamlets, downtowns, and urban waterfronts, including:

- preparation of a comprehensive redevelopment program
- identification of linkages to the waterfront, tourism and recreation
- preparation of a Health Impact Assessment to identify and evaluate public health outcomes of a strategy or project(s)
- preparation of a renewable energy ordinance, developing energy efficiency guidelines, or creating green building codes to encourage renewable energy

Applicants with an approved LWRP, or relevant component substantially completed, may apply for implementation funding for site-specific community and waterfront redevelopment projects, including design, construction and/or implementation activities

# Planning or Constructing Land and Water-based Trails

- Planning and design of local land and water-based trails or regional land and water-based trails (greenways and blueways)
- Design and construction of land and water-based trails, with an approved LWRP or relevant component substantially completed



# Planning or Constructing Land and Water based Trails

Applicants may apply for grant funding to undertake the planning and design of local or regional land and water based trails (greenways and blueways), including:

- route identification and assessment of facilities and infrastructure
- conceptual planning for launch sites, parking, and trail infrastructure
- providing walking and biking opportunities as alternatives to motor vehicle use to reduce emissions and promote physical activity
- preparation of a Health Impact Assessment to identify and evaluate public health outcomes of the trail

Applicants with an approved LWRP or relevant component substantially completed may apply for grant funding to construct land and water based trails.

# Preparing or Implementing a Lakewide or Watershed Revitalization Plan

- Prepare/update a lakewide or watershed revitalization plan
  - Local businesses, recreation, tourism, agriculture, industry, and economic development are dependent on high-quality water resources.
  - Planning for the protection and promotion of natural assets on an intermunicipal, lakewide or watershed basis can make the most of human and financial resources, to address concerns and achieve shared goals
- Implement priority actions to revitalize waterfronts and protect and restore water resources and water dependent uses
  - Must have an approved LWRP or relevant component, lakewide or watershed revitalization plan substantially completed



Guidebooks on waterfront revitalization and watershed planning are available at: <http://www.dos.ny.gov/opd/publications.html>



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# Preparing or Implementing a Lakewide or Watershed Revitalization Plan

Applicants may apply for grant funding to prepare or update a lakewide or watershed plan, including:

- inventory, analyze and characterize the lakewide area or watershed
- identification of water quality problems, impairments and threats
- planning for the protection and promotion of natural assets on an intermunicipal, lakewide or watershed basis
- prioritization of projects and actions on an intermunicipal basis to revitalize a lakewide, or watershed area

Applicants with an approved or substantially completed LWRP or lakewide or watershed plan may apply for grant funding to implement such a program or plan, including but not limited to:

- implementation of priority actions to revitalize waterfronts and protect and restore water resources and water dependent uses

# Implementing a Community Resilience Strategy

Applicants with an approved LWRP or relevant LWRP component substantially completed may apply to advance projects and strategies in an approved NY Rising Community Reconstruction Plan or NY Rising Countywide Resiliency Plan. NY Rising plans can be found at:

<http://stormrecovery.ny.gov/community-reconstruction-program>

Eligible applicants may advance projects such as:

- implementation of priority actions to improve community resilience and reduce risks associated with coastal/riverine flooding, erosion and storm events
- amendment or adoption of local land and water use controls
- green infrastructure projects

# Celebrating the Bicentennial of the Erie Canal – Improving Public Waterfront Access for Canal Communities

Applicants with an approved LWRP, or relevant LWRP component substantially completed, may apply to advance projects which create new waterfront public access sites or improve and/or expand existing sites along the New York State Canal System.

Grants may be awarded for projects such as:

- improving public access to and along the Canal, such as through land and water based trails, boat launches, boat docks, boater facilities and amenities
- providing linkages between the Canal and communities, parks, open spaces, and cultural sites
- marketing materials and campaigns to promote canal activities to strengthen and extend the tourism season

# LWRP Evaluation Criteria

## Vision (4 points)

- LWRP or other regional or local vision for capitalizing on community assets
- Regional Economic Development Strategy
- NY Rising Community Reconstruction Program

## Process (8 pts)

- Public Engagement
- Local Commitment & Previous Performance

## Strategies (28 pts)

- Regional Significance
- Local Significance
- Public Value
- Community Livability
- Sustainability
- Economic Value
- Natural Resource Value

## Implementation (20 pts)

- Scope of Work
- Project Phasing
- Time Frame (5 years maximum)
- Project Readiness
- Project Deliverables

## Leveraging (12 pts)

- Resources
- Advancing Plans
- Development of Partnerships

## Performance Measures (8 pts)

## Evaluation of Budget and Cost (20 pts)

## Funding Priorities (16 pts)

## Regional Economic Development Council Endorsement (20 pts)

# Disbursements Methods

- Total eligible cost of the project = total of costs set forth in the grant application, less any federal assistance and other state assistance from the Environmental Protection Fund
- State assistance awarded and paid shall not exceed 50% of the total eligible project costs set forth in the application and as approved by the Department of State
- Reimbursement payment will be made only upon proper documentation of cost and compliance with reimbursement procedures and all contractual requirements.

# Local Match

- Demonstration of 50% match, within the application, is required. Failure to explicitly specify local match (the amount, type and source) may result in a reduced award amount or in the disqualification of the application
- Local match can include cash, volunteer services, donated services
- Volunteer services - limited to those that significantly contribute to the completion of project tasks
- Land acquisition – may be used only as local match for construction projects

See details in the RFA <http://www.dos.ny.gov/funding>

# Costs

## Eligible Costs:

- Personal Services – including direct salaries, wages, fringe benefits for activities related to project work by municipal employees, including project management and grant administration.
- Non-Personal Services – including supplies and materials, travel, equipment, consultant or contractual services for direct project related costs, project management, limited grant administration, and other goods and services.

Grant administration may not exceed 15% of the award amount or \$50,000, whichever is less.

## Ineligible Costs:

- Indirect or overhead costs
- Salaries and expenses of elected officials
- Fund raising events/expenses
- Federal assistance
- Other EPF awards
- Land acquisition (except as noted)
- Taxes, insurance, fines, deficit funding
- Bond interest and associated fees
- Contingency costs
- Lobbying expenses
- Cost incurred prior to contract start date
- Costs not adequately justified or that do not directly support the project

See details in the RFA

<http://www.dos.ny.gov/funding>

# Request for Applications (RFA # 16-LWRP-6)

<http://www.dos.ny.gov/funding>

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To apply or access related CFA materials:

<http://regionalcouncils.ny.gov>