



**Homes and  
Community Renewal**

# **Office of Community Renewal**

## **New York Main Street (NYMS) Program**

# New York Main Street Program

## Application Webinar Agenda

### Program Overview

- Program Overview
- Eligible Activities
- 2016 Funding Limits
- Preparing to Apply

### Application Process

- Resources
- Navigating the Consolidated Funding Application (CFA)
- NYMS Scoring Criteria Overview



# Program Overview

The New York Main Street Program is a reimbursement, matching grant program.

NYMS provides financial resources and technical assistance to communities to strengthen the economic vitality of the State's traditional Main Streets and neighborhoods. The program stimulates reinvestment in properties located within mixed-use commercial districts and adjacent neighborhoods with the goal of establishing sustainable downtown and neighborhood revitalization.



NYMS grants are available for targeted improvements such as:

- Facade renovations
- Interior commercial renovations
- Residential building renovations
- Streetscape enhancement projects.



# NYMS Eligibility

**Eligible applicants** for NYMS program activities are:

- Units of Local Government
- Organizations incorporated under NYS Not-For-Profit Corporation Law

**Eligible target area** shall mean an area:

(i) that has experienced sustained physical deterioration, decay, neglect, or disinvestment;

(ii) has a number of substandard buildings or vacant residential or commercial units;

**AND (iii)** in which more than fifty percent of the residents are persons whose incomes do not exceed ninety percent of the area median income for the county or metropolitan statistical area (MSA) in which the project is located, **or** which is designated by a state or federal agency to be eligible for a community or economic development program.



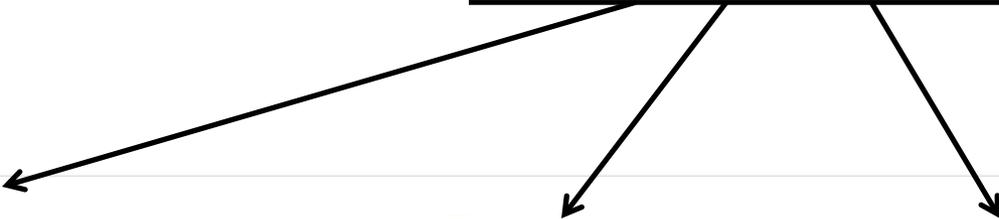
# How does NYMS work?



**Homes and  
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**Municipality  
or  
Not-for-profit Organization  
as Local Program Administrator (LPA)**



**Property  
Owner**

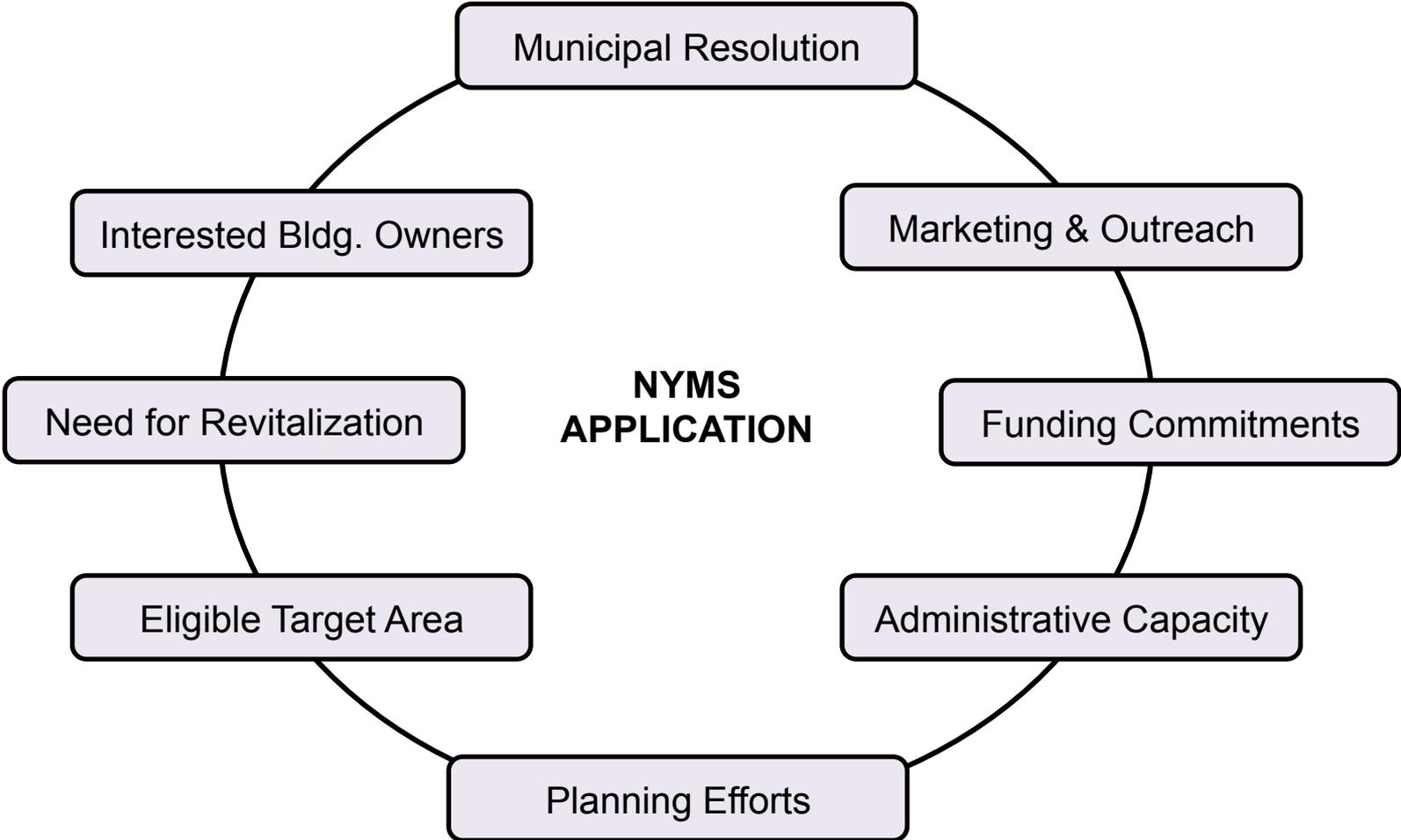


**Property  
Owner**



**Property  
Owner**

# How do I prepare to apply?



# How do I prepare to apply?

Municipal Resolution

**Contact the  
Municipal Board or Community Board  
to be added to the agenda NOW!**

Each municipality in which the proposed program or project will function must approve a formal resolution supporting an application for the proposed NYMS program or project.

- The resolution must be passed prior to the application deadline and attached in the documents section of the application.
- This requirement applies to all NYMS application types and applications without a Municipal Resolution, as described above, will be deemed ineligible.

# Eligible Renovation Activities

- Health and Safety Improvements  
*Fire alarms, sprinklers, fire escape repair, correcting code violations.*
- Accessibility Improvements:  
*Ramps, elevators, widening entryways, automatic doors.*
- Energy Efficiency Improvements:  
*Insulation, HVAC system upgrades, water-conservation improvements.*
- Façade Restoration:  
*Preservation projects, reopening storefronts, removing solid security gates, signs, awnings, re-pointing brick, window repair.*
- Residential Improvements:  
*Convert vacant upper stories into apartments, correct code violations, update existing apartments.*
- Prepare Commercial Spaces for Tenants:  
*Drywall, electrical, plumbing.*

**Tip:** Demonstrate an understanding of eligible activities and present ONLY eligible projects to make the application more competitive.



# Ineligible Activities

Activities not eligible for reimbursement include, but are not limited to:

- Costs incurred prior to award or environmental review;
- Acquisition; new construction; demolition, capitalizing a revolving loan fund;
- Improvements to churches, synagogues, and other religious structures and improvements to municipally-owned buildings used for municipal purposes;
- Non-permanent fixtures, furnishings, appliances, electronics, and business equipment;
- Site work or ancillary activities on a property including septic systems or laterals, grading, parking lots, sidewalks, landscaping, fences, free standing signs or general maintenance;
- General operating expenses.

**Tip:**

When in doubt, contact us. We can discuss project eligibility at any time.



# 2016 NYMS Activity Funding Limits

## **Target Area Building Renovations**

\$50,000 to \$500,000 to provide matching grants to building owners for renovation of mixed-use buildings in a concentrated target area. Up to \$50,000 in grant funds per building, plus \$25,000 per residential unit up to a per building maximum of \$100,000 (not to exceed 75% of project costs).

## **Streetscape**

Up to \$15,000 for activities such as planting trees, installing street furniture and trash cans within target area.

## **Administration**

Up to 7.5% of grant amount for costs associated with administration of grant.

## **Downtown Anchor Project**

\$100,000 to \$500,000 (not to exceed 75% of project cost) to assist in a standalone, single site, “shovel ready” renovation project that is key to local revitalization efforts. Application requires business plan, cost estimate, and funding commitments.

## **Administration**

Up to 5% of grant amount for salaries or other costs associated with administration of grant.

## **Downtown Stabilization Program**

\$50,000 to \$500,000 (not to exceed 75% of project cost) to identify or mitigate risks associated with hazardous materials or remove obstacles to future redevelopment. Funds can assist with environmental remediation and associated construction costs, as well as other innovative approaches to stabilizing and developing downtown, mixed-use buildings.

# Administration & Project Delivery

## Administration

Up to 7.5% of a NYMS Target Area Building Renovation Award, or up to 5% of a Downtown Anchor Project award may be requested for administrative activities such as: staff salaries, consultant expenses, program related equipment and supplies, travel, program marketing.

## Project Delivery

Professional service costs, or “soft costs,” that can be attributed directly to the delivery of project-specific renovation activities may be eligible project delivery expenses. This may include environmental review expenses or architectural expenses. Requires match, proof of payment, and verification of reasonableness of project cost.

### ***Tip:***

These are not required, but must be identified at the time of application if an applicant intends to use NYMS funds for these activities.



# NYMS Technical Assistance Projects

Beginning April 6, 2016, New York Main Street Technical Assistance grants will be available through an open-round application in the Consolidated Funding Application (CFA). Applications for NYMS-TA projects may be submitted throughout the year, and awards will be made on a rolling basis while funds are available.

NYMS-TA grants are intended to improve a community's readiness to administer a future NYMS renovation program. Prior NYMS-TA recipients have used the grants to prepare architectural design guidelines, and to complete pre-development work for potential NYMS renovation participants.



# Application Process

- Resources
- Content of NYMS Consolidated Funding Application (CFA)
- NYMS Scoring Criteria Overview



# Resources

- New York Main Street Program Guide  
<http://www.nyshcr.org/Programs/NYMainStreet/NYMSProgramGuide.pdf>
- New York Main Street Administrative Plan Sample  
<http://www.nyshcr.org/Forms/NYMainStreet/AdminPlanTemplate.pdf>
- Regional Economic Development Councils  
<http://regionalcouncils.ny.gov/>
- Consolidated Funding Application  
<https://apps.cio.ny.gov/apps/cfa/>



# Consolidated Funding Application (CFA)

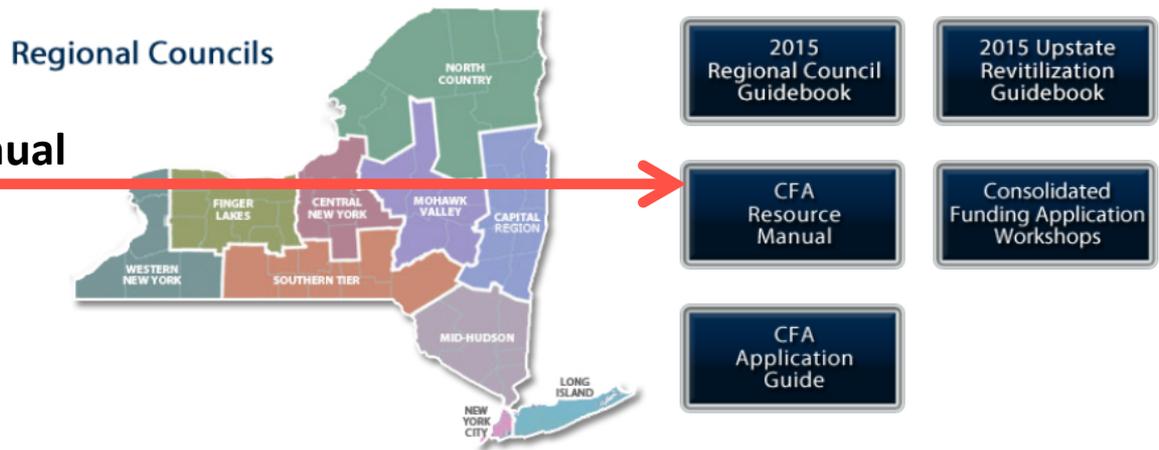
Go to: <http://regionalcouncils.ny.gov/>

Online application



Governor Cuomo Launches Upstate Revitalization Initiative and Round V of Regional Councils

CFA Available Resource Manual



# Consolidated Funding Application (CFA)

**Consolidated Funding Application** | CFA Project Info | Regional Economic Development Councils

Home | Help | Print Page

## WELCOME

As part of Governor Cuomo's transformative plan to improve the state's economic development model, a NYS Consolidated Funding Application (CFA) has been created that will streamline and expedite the grant application process. The CFA process marks a fundamental shift in the way state resources are allocated, ensuring less bureaucracy and greater efficiency to fulfill local economic development needs.

Utilizing the CFA serving as the single entry point for access to economic development funding, applicants will no longer have to slowly navigate multiple agencies and sources without any mechanism for coordination. Now, economic development projects will use the CFA as a support mechanism to access multiple state funding sources through one application, making the process quicker, easier, and more productive.

To proceed with your CFA, please use the **Log In** or **Register** buttons.



<https://apps.cio.ny.gov/apps/cfa/>

## Links

Available CFA Resources

Regional Council Guidebook

CFA Application Manual



Program Recorded Webinars

2014 CFA Workshops

**Tip:**

Applicants are encouraged to download all application questions to review and compose in Word before working in CFA.



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# Consolidated Funding Application (CFA)

## Sections

### Threshold Questions

A series of Yes/No questions. Responses determine program eligibility. Applicant may not proceed to program specific questions if threshold criteria are not met.

### Documents / Attachments

Documents must be uploaded to submit a complete application. These support the responses given to questions in the application.

### Basic Questions

Common to all applicants who are accessing the CFA. One set of Basic Questions per application.

### Standard Questions

Specific to the funding program, e.g. New York Main Street. If multiple programs are included within a single application, Standard Questions for each program will appear.

### Budget Table(s)



# Threshold Questions

A series of Yes/No questions. Responses determine program eligibility. Applicant may not proceed to program specific questions if threshold criteria are not met.

- Applicant Eligibility
- Request Amount
- Target Area Eligibility
- Municipal Resolution
- Matching & Reimbursement Program Structure
- Eligible Activities
- Project Timeline
- Regulatory Term

**Please review the Resource Guide and Program Guide for details related to each.**



# Documents / Attachments

The CFA will require a series of attachments, and allow for several optional attachments. Each attachment field will allow an applicant to upload a single PDF single document.

## 2016 Required Attachments

- Municipal Resolution
- Target Area/ Project Site Map
- Building Information List
- Building Photographs
- Certificate of Incorporation & Filing Receipt - *Required for Not-For-Profits*
- Business Plan & Market Analysis - *Required for Downtown Anchor Projects*

## 2016 Optional Attachments

- Construction Specifications and Cost Estimate(s) - *Required for Downtown Anchor*
- Support Letters
- Financial Commitment Documentation



# Program Budget Table

1.

Use ?	Source ?	Amount	Indicate Source / Comments	Add / Remove
Administration	State	\$ 10000	NYMS	Remove

2.

Construction/Renovation	State	\$ 200000	NYMS	Remove
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3.

Streetscape	State	\$ 15000	NYMS	Remove
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4.

Project Delivery	State	\$ 10000	NYMS	Remove
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Construction/Renovation	Private	\$ 400000	Property Owners/ Pending Approval	Remove
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Administration	Local	\$ 20000	Applicant/ Committed	Remove
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- Use
  - Administration
  - Streetscape
  - Project Delivery
  - Construction/Renovation
- Source
  - Federal
  - State
  - Local
  - Private
  - Lending Institution
  - Cash Equity
  - Other

		\$ 655,000		Add Row
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# Program Budget Table

## 2016 NYMS Activity Funding Limits

- Target Area Building Renovation Program: Request between \$50,000 and \$500,000.
  - Downtown Anchor Project: Request between \$100,000 and \$500,000
  - Downtown Stabilization Project: Request between \$50,000 and \$500,000
- 
- Applications must include a line for each NYMS activity/use for which funds are requested:
    - Administration
    - Project Delivery
    - Construction/Renovation
    - Streetscape (*Not an eligible use for Downtown Anchor Projects*)
    - Additional lines should be included to document each source of required matching funds and additional leverage, by activity/use.

*Under Indicate Source/Comments identify specific source of funds, and either "Committed" or "Pending Approval" and Funding Commitment Documentation must be attached in the Documents section of the application.*

*Uses other than Construction/Renovation, Streetscape, Project Delivery and Admin. are not eligible uses for NYMS, and are not eligible as matching funds.*



# Estimated Timeline for a 2016 CFA Project

## Winter 2016

- Awards announced
- Contract execution
- Environmental Review
- Program development

## Spring 2017

- Marketing and outreach
- Acceptance and review of local applications
- Project development

## Summer 2017 – Summer 2018

- Construction
- Reimbursement

## Winter 2018

- Program completion, monitoring and closeout

### ***Tips:***

Do not propose projects that will begin this summer. Projects that commence prior to award, or cannot be completed within 24 months are not eligible for participation.

Provide a clear, reasonable and eligible project timeline for a more competitive application.



# Application Process

## NYMS Capital Projects Selection Criteria Overview

### 2016 Selection Criteria

*Up to 100 Points*

#### **A) Need - 10 Points**

- Residential
- Commercial

#### **B) Impact - 25 Points**

- Residential
- Commercial
- Program Support
- Design
- Business Strategy

#### **C) Leveraging - 10 Points**

- Public and Private Investment

#### **D) Capacity - 35 Points**

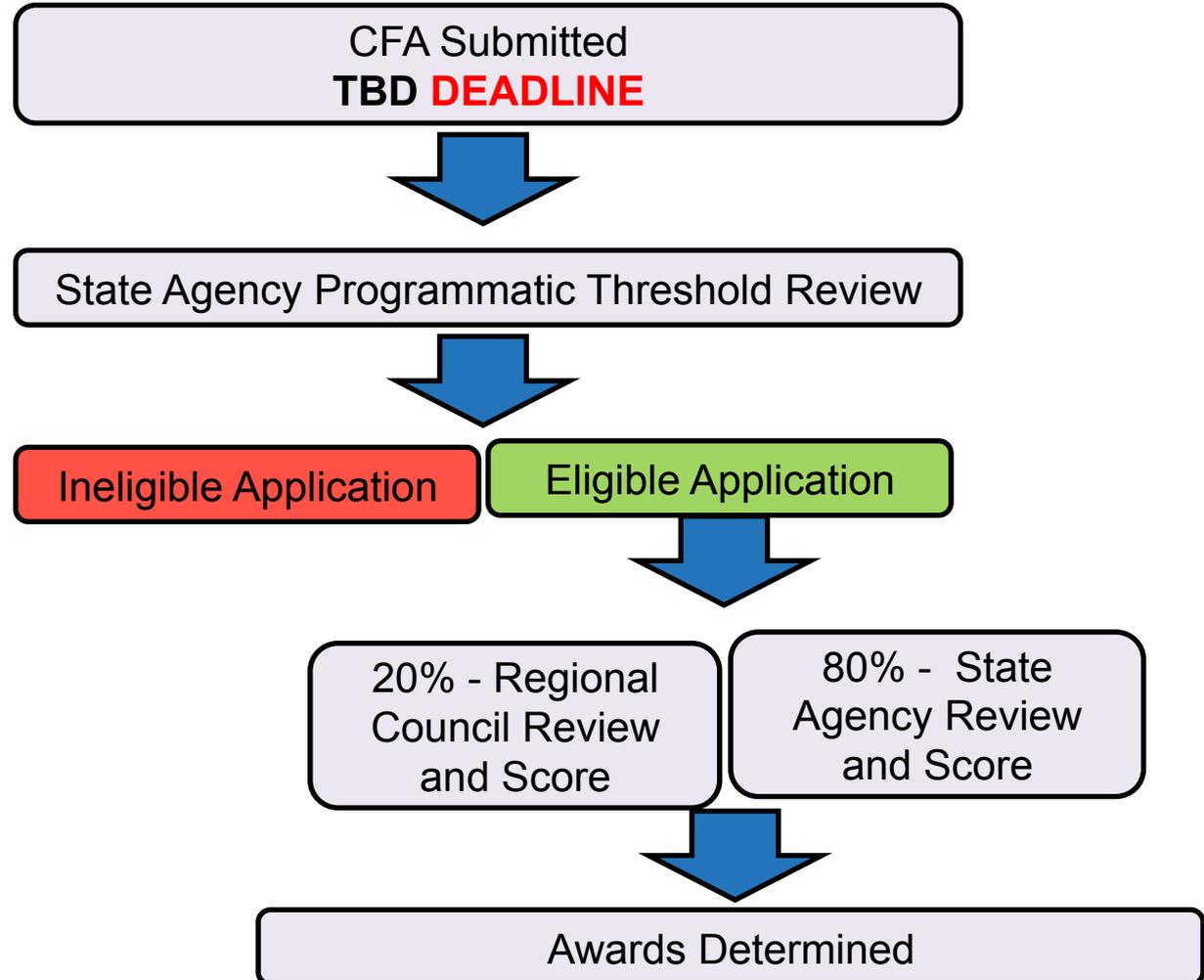
- Program Experience
- Implementation Capacity
- Readiness

#### **E) Total Vision and REDC Strategies – 20 Points**

- Alignment with Regional Council's Strategic Plan



# What happens when we submit the application?



# New York Main Street Program

## QUESTIONS?

[HCR\\_CFA@nyshcr.org](mailto:HCR_CFA@nyshcr.org)

[www.nyshcr.org](http://www.nyshcr.org)



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