



# MOHAWK VALLEY REDC

#OURMOHAWKVALLEY



## 2017 PROGRESS REPORT

FULTON • HERKIMER • MONTGOMERY • ONEIDA • OTSEGO • SCHOHARIE

# MOHAWK VALLEY REGIONAL COUNCIL MEMBERS

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Lieutenant Governor Kathy Hochul

**Mohawk Valley Regional Co-Chairs**  
Lawrence T. Gilroy III- President, Gilroy, Kernan & Gilroy, Inc.  
Dr. Dustin Swanger- President, Fulton-Montgomery Community College

## Council Members

Ladan Alomar, Centro Civico Inc.  
Juanita Bass, Juanita's Soul Classics Inc.  
Shelly Callahan, MV Resource Center for Refugees  
Dr. Laura Casamento, Utica College  
Richard Creedon, Utica National\*  
Steven J. DiMeo, Mohawk Valley EDGE\*  
Charles Green, Assured Information Security\*  
Sarah Goodrich, Schoharie Area Long Term Inc. (SALT)\*  
Wally Hart, Lexington Center\*  
Mark Kilmer, Fulton-Montgomery Chamber\*

Katharine Landers, New York Central Mutual Insurance  
Carolyn A. Lewis, Basset Medical Center  
Nicholas O. Matt, Matt Brewing Company\*  
Ken Meifert, National Baseball Hall of Fame & Museum  
Nancy Pattarini, The Paige Group  
Kenneth Rose, Montgomery County Business Dev. Center\*  
Dr. Renee Scialdo Shevat, Herkimer Diamond Mines  
Dr. Marion Terenzio, SUNY Cobleskill

\* Denotes Executive Committee Membership

## Ex-Officio

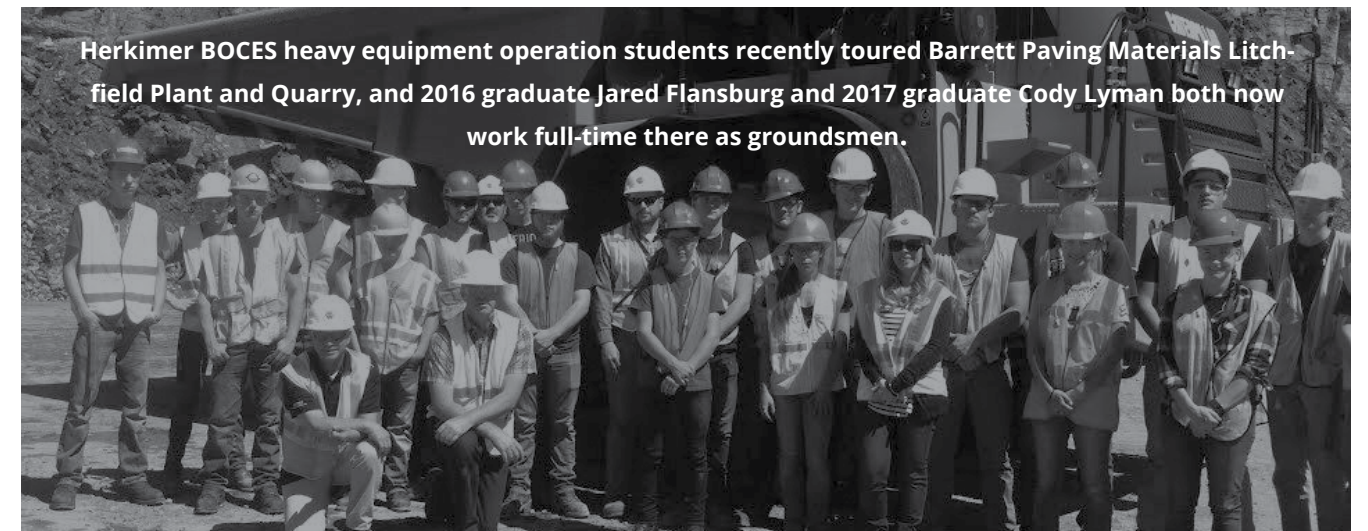
Kathleen Clark, Chair, Otsego County Board of Representatives  
Dayton King, Mayor, City of Gloversville  
Robert Palmieri, Mayor, City of Utica  
Matthew Ossenfort, Montgomery County Executive  
Bernard Peplinski, Sr., Chairman, Herkimer County Legislature  
Anthony Picente, Oneida County Executive  
Earl VanWormer III, Chairman, Schoharie Co. Board of Supervisors  
Michael Villa, Mayor, City of Amsterdam

## NY State Senate Representing MV

Joseph Griffo, Senator, 47th District  
James Tedesco, Senator, 44th District  
James Seward, Senator, 51st District  
George Amedore, Senator, 46th District  
David Valesky, Senator, 49th District

## NY State Assembly Representing MV

Ken Blankenbush, Assembly member, 117th District  
Anthony Brindisi, Assembly member, 116th District  
Marc Butler, Assembly member, 117th District  
Peter Lopez, Assembly member, 127th District  
William Magee, Assembly member, 121st District  
Angelo Santabarbara, Assembly member, 111th District



**Mohawk Valley  
Regional Economic  
Development Council**

Seven years ago, we were tasked with orchestrating the reversal of forty years of economic, cultural, and physical decline. With the promise of a regional approach to economic development, we accepted the challenge. It is remarkable how far we have come since 2011.

Each year's plan builds upon the last, remaining true to our mission to leverage our unique assets to compete – and win – in the global marketplace. From Utica to Oneonta, the momentum is undeniable.

This year's Progress Report and Implementation Plan is **Proof of Concept** of the REDC model. Regional control of priorities – reinforced by targeted funding from New York State – is producing the desired result: a modern manufacturing base, vibrant communities, a culture of innovation, and renewed optimism across the region.

On behalf of the MVREDC, we are proud to submit our 2017 Progress Report & Implementation Plan.

**Larry Gilroy and Dusty Swanger**



#OURMOHAWKVALLEY

MOHAWK VALLEY GATEWAY OVERLOOK

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# I. EXECUTIVE SUMMARY



*“For the first time in what seems like forever, we can feel it.”*

Two hundred years ago, the State of New York embarked upon one of the world’s most ambitious and arduous endeavors: the construction of the Erie Canal. The oxen-drawn plow turned the sod in Rome, New York, and ushered in an era of hope, invention, and prosperity – the likes of which the developing world had never before seen. In a way, the Empire State was born here in the Mohawk Valley.

Our seventh year of REDC is a story of renewed hope, inspired innovation, and the kept promise of prosperity to the Mohawk Valley region.

This year, we:

- Modernize American manufacturing with 10 priority projects creating 79 new jobs;
- Unleash the power of innovation by proposing \$8 million in priority funding for STEM industries and microenterprise assistance programs.
- Restore vibrancy to our urban centers with nearly 50% of our priority projects in our downtowns and advance all seven of our regional DRI plans.
- Grow sustainable food systems through \$7 million in priority funding for supply chain, entrepreneurship, and food processing projects.
- Unlock the true potential of our refugees, immigrants, and veterans with \$3 million in priority funding for new opportunities for hard-to-place workers.

The Upstate Revitalization Initiative in 2015 validated our core values while affording us the opportunity to pivot, rethink, and evolve. Last year, a much-needed and well-deserved win inched the needle further along the dial. The engine is humming, the gears are unstuck, and momentum is building.

Seven years and 462 projects have captured \$444.1million in CFA funding and leveraged \$1.74 billion in new investment. Most of those projects are complete or on-track, with a 86% success rate. Out of the 150 priority projects – those recommended each year for ESD capital grant funding: 78% are complete or on track, and 23% have been terminated...but that’s not the end of the story.

The Regional Council has recaptured nearly \$12 million in ‘broken project’ funding since establishing the Momentum Fund in 2014. Of that \$12 million, the Council has reprogrammed almost \$11 million (89%) towards 23 new business, infrastructure, and workforce projects in the off-season. Over the course of seven years, that amounts to 95% of awarded priority project funding is working for the region.

## Regional Strategies:

- **STEM Intensive Industries**
- **Craft Manufacturing & Food Systems**
- **Tourism & Placemaking**

## INDUSTRIAL REVOLUTION 2.0

Seven years of CFA projects have seen the attraction, growth, and expansion of manufacturers in our region, but few years can compare to 2017. This year, almost dozen major manufacturing projects dominate the priority project list, totaling greater than \$25 million in new investment in modernization, process efficiency, and export growth.

Orders for specialty steel, custom textiles, and craft food and beverages are up, and our plan is to invest \$5 million in the companies that fill those orders. These are the industries that defined the Empire State. This investment creates and retains 79 jobs for a wide spectrum of skilled workers, expands our global manufacturing footprint, plays a critical role in rebuilding storm-ravaged communities, and imports new wealth and talent to our region.

Expansions proposed for Pacemaker Steel in Utica, JBF Stainless in Frankfort, and Mohawk Fabrics in Amsterdam, top the list in 2017 to create high-value STEM-based manufacturing jobs to the Mohawk Valley.

## UNLEASHING THE POWER OF INNOVATION

On this foundation, we are also constructing a 21st Century economy through the infrastructure of innovation. In the first years of the Regional Councils, the Mohawk Valley has promised to make New York the premier destination for UAS. Today, our FAA Test Range is known throughout North America as the most advanced and capable sense-and-avoid test site in the United States. It’s no surprise, then, that Wal-Mart has selected our region to lead R&D for autonomous delivery systems – a technology that is transforming the global landscape as we know it. It starts here.

Building upon more than \$20 million in investment at Griffiss International Airport in the last five years, the next \$1.4 million investment in autonomous systems propels our region past the leading edge of the integration of cyber technology and Unmanned Aerial Systems (UAS) at the Griffiss UAS Test Range.

We continue to support this cluster in 2017, illustrated by a proposed investment in the next big thing: R&D facility build-out at Griffiss International Airport to further enhance our region’s capability to attract global cyber and UAS companies.



MOHAWK VALLEY GATEWAY OVERLOOK



## Vibrant Communities from Amsterdam to Rome

Size doesn't matter. Our region is reimagining the concept of great American cities - characterized by interesting places, strong companies, and sustainable design. Small, gritty, and green; our population centers are connected and accessible by rail, water, trail, and car. Central to our vision for a 21st Century workforce are the cities and villages in which they will live and work.

Once known as "the dead zone" along the Barge Canal, the Mohawk Valley is on the map with new tourism destinations, pedestrian and bike trails, and new public spaces. Placemaking isn't a buzz word around here; it is a core value. Our investments are on the street and along the banks of the canal for everyone to experience. Visitor spending in excess of \$2.1 billion validates that they are working.

Half of our ESD priority projects - ranging from manufacturing to adaptive reuse of historic buildings - are asking \$8 million in assistance focused in downtown and urban centers, totaling greater than \$49 million in revitalization. An additional \$36 million in DOS, DEC, HCR, and EFC placemaking projects are priorities in 2017.

Our regional industry-aligned and place-based strategy is exemplified by projects sponsored by Brewery Omegang and the National Baseball Hall of Fame in Cooperstown. Together, they demonstrate a concentration of resources to leverage and further Cooperstown's international brand.

## Crafting Sustainable Food Systems

In no past year has such diversification in food processing and agribusiness been so prevalent in our priority list. Nine priority projects, ranging from pelletized feed production, to meat processing, ask for \$7 million in CFA funding promise greater than \$56 million in new investment to build our region's food systems, touching five of our six counties.

Craft food and beverage production is ramping up, with manufacturing expansion projects at Adirondack Distilling Co. in Utica, Redco Foods in Little Falls, and Vida Blend in Amsterdam. Each of these niche products amplify our region's global export growth and strengthen our brand in the international marketplace.

Supply chain and entrepreneurial development within the ecosystem factor heavily as well, as we propose funding for the stainless steel tank fabrication in Herkimer, feed pelletizing operations in Schoharie, and the creation of an agribusiness-based microenterprise program in Montgomery County.

## Strength in Inclusion

Our 2017 implementation plan cuts across the full spectrum of manufacturing, STEM industries, tourism, and agribusiness - but it is people that built this economy. People - from the most skilled to the most vulnerable among us - are the true target of each and every dollar.

The Mohawk Valley Resource Center for Refugees is planning the One World Welcome and Opportunity Center in downtown Utica as a sanctuary of hope and opportunity for immigrants, refugees, and marginalized citizens across the region.



Central Association of the Blind and Visually Impaired (CFA #64282) is an economic engine in the Mohawk Valley with sales of products used every day by New York State and the federal governments exceeding \$55 million in 2016. The agency's workforce is skilled, ethnically diverse, and highly inclusive, with blind and sighted employees conducting their craft side-by-side.

As the numbers go, we have cause to be optimistic. The impact of our work cannot simply be measured by the number of direct jobs created in the past seven years. Increases in exports, visitor spending, associates degrees, and patents are positive signs of progress. Wage growth across all sectors - STEM, tourism, and agribusiness - are even more rewarding, and a true indicator of the rising tide. Jobs will come, no doubt; but not by the thousands. We are still assembling the foundation - laying pipes, expanding footprints, bolting new equipment to the ground, repurposing vacant buildings, and retraining our workforce.

We categorically continue to prioritize business investments that create higher-wage jobs and new pathways to prosperity. We are equally resolved, however, to pursue smart growth, aspire to environmental sustainability, and leave vibrant communities to our posterity.

Two hundred years have witnessed the meteoric rise and gradual decline of Upstate New York. Today we are fortunate to take part in something special - the next industrial revolution. It hasn't come easy, but it has arrived. It is certainly rewarding to see it unfold on paper, but it is something else entirely to feel it happening. For the first time in what seems like forever, we can feel it.

**462**  
PROJECTS

**7,072**  
PROPOSED JOBS  
CREATED/RETAINED

**\$1.74 BILLION**  
TOTAL INVESTMENT

**\$444.1 MILLION**  
CFA AWARDS

**7 : 1**  
LEVERAGE RATIO

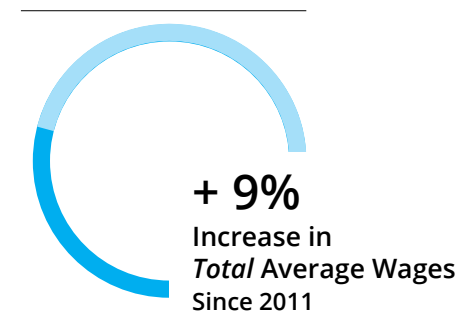


# II. PROGRESS

## STATE OF THE REGION

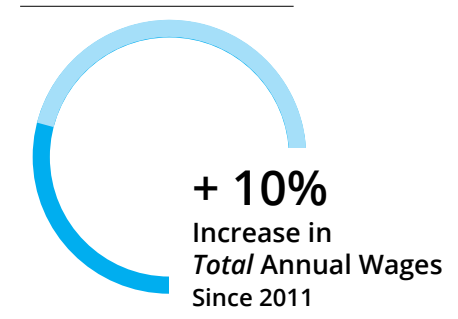
### ECONOMIC INDICATORS

Average Wages (2016)



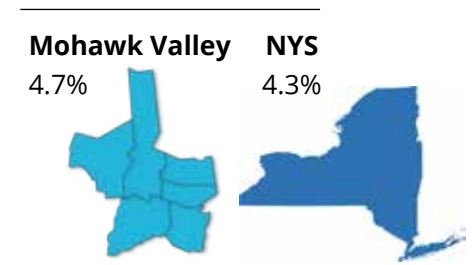
	Mohawk Valley (Growth Since 2011)	NYS
Private	\$38,112 +13%	\$69,099
Public	\$46,291 +9%	\$61,373
Total	\$39,958 +9%	\$67,941

Annual Wages (2016)

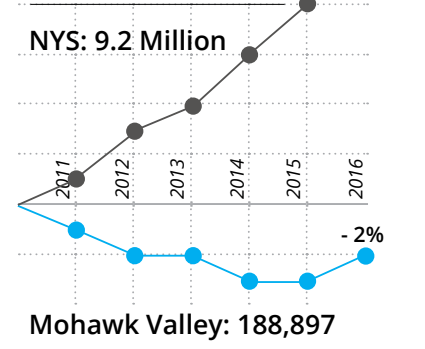


	Mohawk Valley (Growth Since 2011)	NYS
Private	\$5.6 Billion +15%	\$538 Billion
Public	\$2.1 Billion -2%	\$84 Billion
Total	\$7.5 Billion +10%	\$622 Billion

Unemployment (2017)

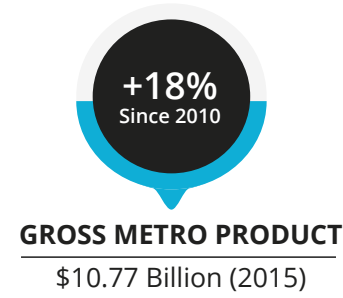


Total Employment



Employment (2016)

Mohawk Valley (Growth Since 2011)	
Private	146,269 +1%
Public	42,628 -10%
NYS	
Private	7.8 Million +13%
Public	1.4 Million -4%



Establishments (2015)

Private: 8,464	Public: 2,949
<b>Mohawk Valley: 11,413</b>	
Private: 438,178	Public: 102,120
<b>NYS: 540,298</b>	

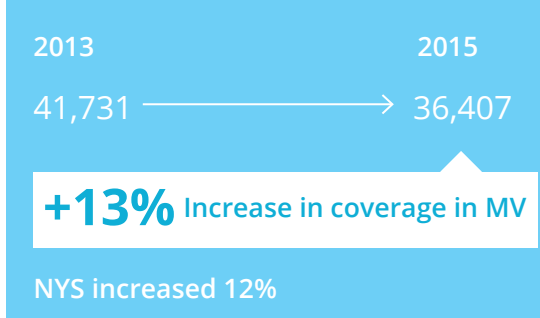
Source: NYS DOL

### QUALITY OF LIFE INDICATORS

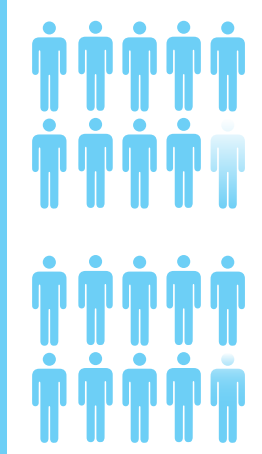
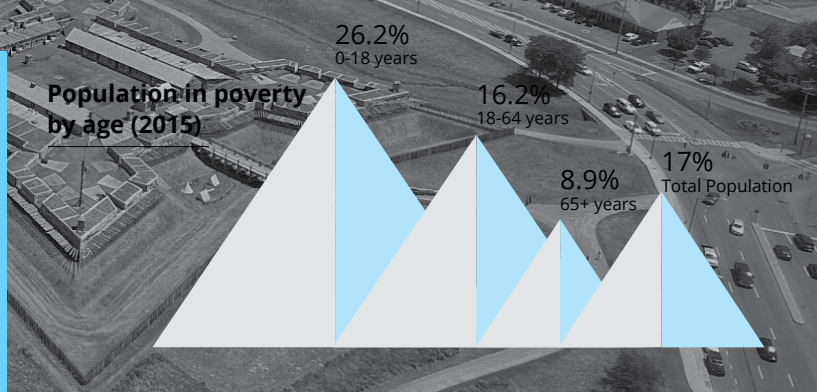
Visitor spending (2011 - 2016)

	Mohawk Valley	NYS
Visitation	+ 16.1%	+ 18.3%
Direct Spending	+ 25.9%	+ 20.2%
State/Local Sales Tax	+ 25.5%	+ 19.6%
Employment	+ 15.5%	+ 15.6%

Residents lacking health insurance (2013 - 2015)



Source: US Census Bureau



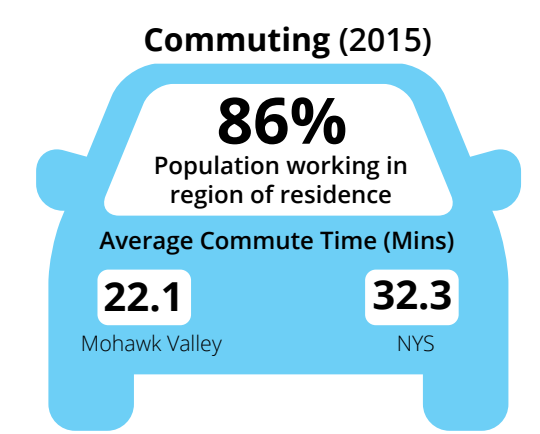
**Migration (2015)**

**94%** -.7% change

Percent population living in the Mohawk Valley as previous year

**1.5%** +.1% change

Percent population leaving NYS

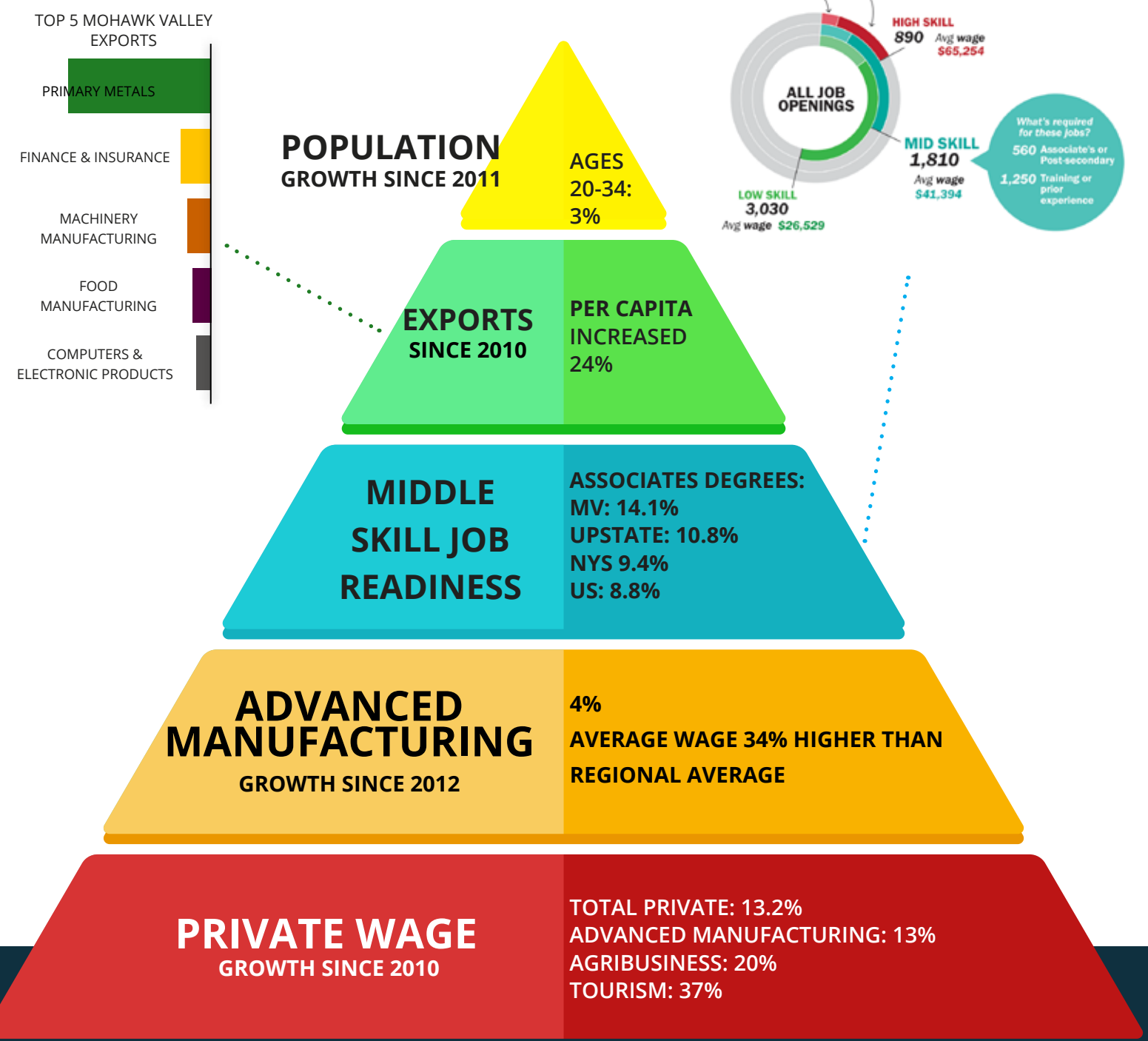




# STATE OF THE REGION

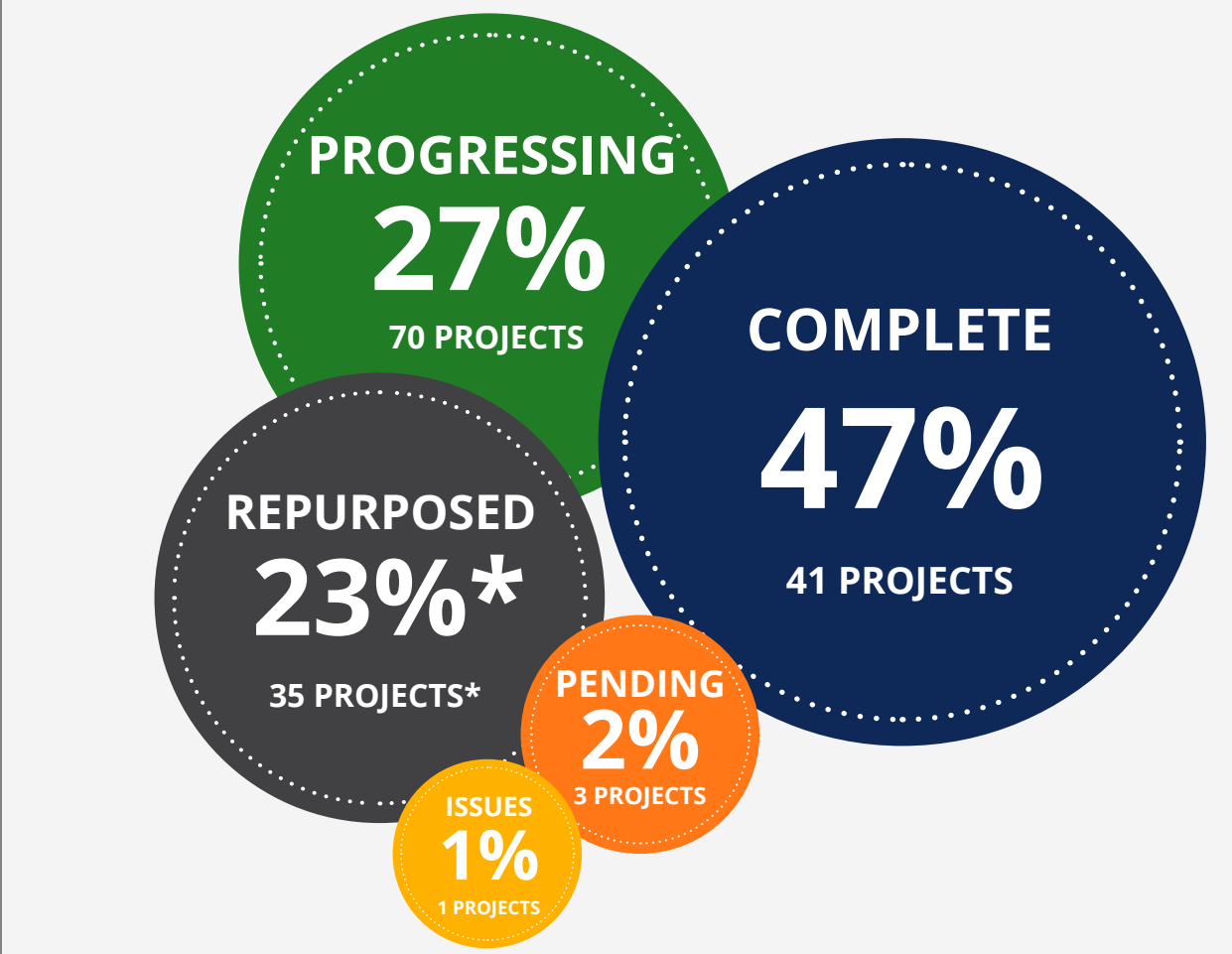
## KEY REGIONAL INDICATORS

FOR A HEALTHY ECONOMY



# STATUS OF PAST PRIORITY PROJECTS

## PROGRESS SINCE 2011

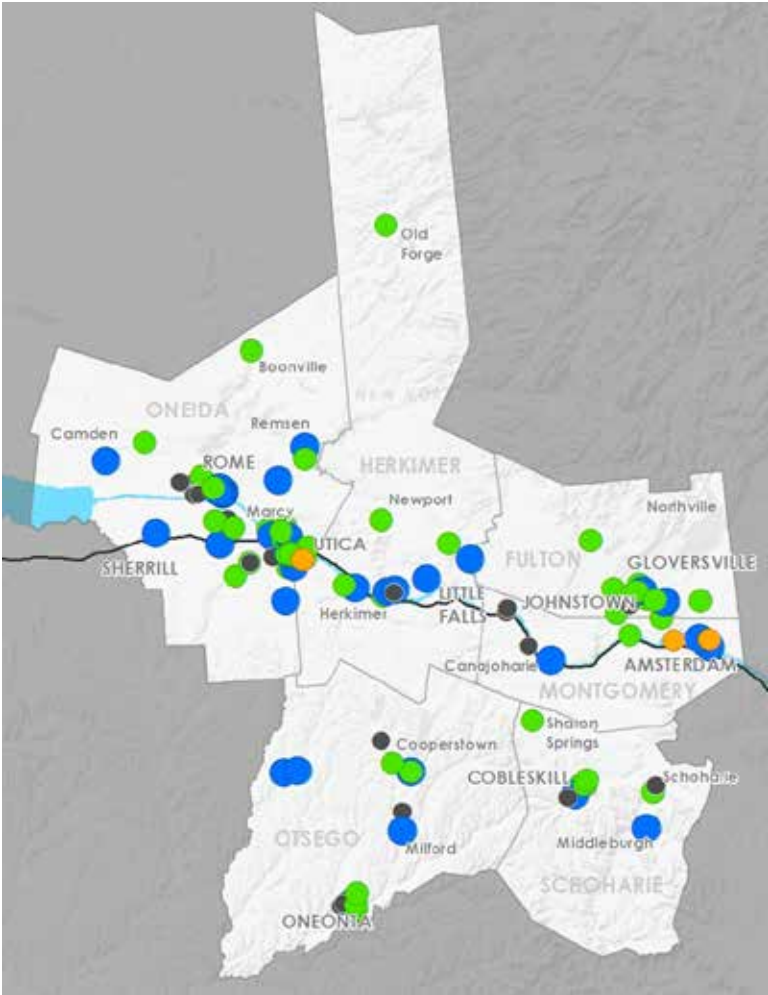


Status	Round 1	Round 2	Round 3	Round 4	Round 5	Round 6	Total
Complete	8	12	13	8	0	0	41
Progressing	2	2	11	9	23	23	70
Pending	0	0	0	0	0	3	3
Issues	0	1	0	0	0	0	1
Repurposed	3	15	11	0	6	0	35
Total	13	30	35	17	28	26	150

\* The MVREDC has repurposed broken project awards for new mixed-use downtown, business expansions, and industrial site development projects.

35 projects terminated = \$11,978,500      Repurposed 89% for 23 projects = \$10,688,635

# STATUS OF PAST PRIORITY PROJECTS PROGRESS SINCE 2011



## PRIORITY PROJECTS ROUND 1-6

- Status
- Complete

Progressing

Pending

Issues

Repurposed

## TRYON TECH PARK + VIREO HEALTH



“In so many ways, this is a microcosm of the MVREDC formula for transformation.”

MOHAWK VALLEY  
REGIONAL PRIORITY

+

NEW YORK  
STATE PRIORITY

=

REVITALIZATION

In 2010, the Tryon Technology Park was nothing more than a shuttered detention facility outside of Gloversville. Today, thanks to Governor Cuomo’s leadership and vision, it is home to one of New York’s first medical cannabis research, development, and production facilities. In 2011 (CFA #4745), Tryon was awarded \$2 million to modernize infrastructure at the park – executing the first phase of the master-plan to attract technology-based companies.

Three years later, in 2014 (CFA #41578) Tryon was awarded \$180,000 for phase two of site development. Fulton County stuck to the plan. The MVREDC stuck with Fulton County. In 2015, Tryon was announced as one of the first five locations for medical cannabis production – placing Fulton County at the leading edge of the budding life sciences industry and attracting new investment from out of state.

Vireo’s advanced medical cannabis cultivation operation is the anchor tenant at the reimagined Tryon Technology Park, made possible by state and county foresight and investment in infrastructure upgrades at the former detention facility. The return on this investment grows year over year. It can be measured in terms of new STEM jobs, and validated by the best-in-class medicine for patients across New York.

In 2015, Vireo was the first company ever to have its medical cannabis products certified as kosher. In 2017, Vireo was the first company in the state to have its home delivery program approved by the state. This delivery service now provides convenience and critical access to homebound patients and other New Yorkers suffering from life-threatening and debilitating diseases like cancer and ALS.

As more patients benefit from Vireo’s compassionate care and the state’s medical marijuana program grows, Fulton County will leverage Vireo’s footprint and successful endeavor to attract additional companies to Tryon and across the county and region. Once a shuttered state facility, now changing lives across New York State and growing the new regional economy.

“A successful manufacturing operation is the key to Vireo’s entire statewide business operations. Fulton County could not be a better partner and Tryon could not be a better location. If you are looking for a place to live, work or grow a business, Fulton County checks all the boxes.” - Vireo Health of New York CEO Ari Hoffnung.

	Number of CFA Projects	Total Project Cost	Total Amount of ESD Capital Awards	Ratio of Total Cost to ESD Capital Awards
Round 1	11	\$ 120,058,026	\$ 34,296,000	3.5
Round 2	20	\$96,563,953	\$7,335,000	13.2
Round 3	24	\$139,174,506	\$18,335,000	7.6
Round 4	16	\$71,159,523	\$11,334,550	6.3
Round 5	25	\$167,682,662	\$26,838,468	6.2
Round 6	8	\$199,555,136	\$24,898,000	8.01
Total*	104	\$794,193,806	\$123,037,018	7.5

\*Only Active Projects



## PROGRESS UPDATE THINCUBATOR



*"We're not creating jobs...We're creating community."*

In 2013, THINCubator (CFA 2013) was named as an Innovation Hot Spot in downtown Utica. Since then, the project has fledged into a 5,000 sf vacant former manufacturing space in Bagg's Square, and is the place where "creative collisions" happen in the Mohawk Valley. After speaking this summer with Ryan Miller, resident entrepreneur and Director of THINC, we stumbled upon something truly special.

A few years ago, a woman from Afghanistan had an idea. Because of family obligations, cultural barriers, or mobility impairments, so many refugee and new American women aren't able to find traditional employment. Balighah came to the Mohawk Valley Resource Center for Refugees (MVRRCR) with the concept that, together, a group of artisans could collaborate and share their talents with their new City while earning extra money to support their households. Organically, a group of women from Afghanistan, Iraq, Palestine, Puerto Rico, and Somalia began to meet, make things, collaborate, and support each other through arts-based business.

Imagine the language and cultural barriers just within that group. Now imagine what they face on the outside. Life is a completely different experience for immigrants and refugees in Utica than it is for the majority of Americans. But that isn't what we heard. From Balighah, what we heard was this: "We are all human...we all want to learn...we all want to make things...we are all the same."

Upon learning of the group, THINC got involved. Over the course of 2016-17, THINC helped the group to organize into a business – One World Artisans LLC.

The group pooled their resources, developed a marketing strategy, and began selling their hand-made jewelry, clothing, and art. 20% of the proceeds are reinvested in the business, and helps to purchase raw materials, technology, or equipment.

Regardless of the profits, something much more profound is happening here. "It's not about making money," explains Nurallah. Nurallah was born in Iraq, moved to Afghanistan, and came to Utica in 2010 manages social media and marketing for One World Artisans. "I don't make jewelry or sew. I taught myself social media and that is what I bring to the business." Remarkable. Some of these women, who until last year had never owned iPad, are using mobile devices to actively manage digital inventory, using point-of-sale technology like Square, and selling their products online to a global audience.

THINC plays this role to so many entrepreneurs and small businesses in the region. People are connecting, learning, and collaborating to share ideas and services to strengthen and grow their businesses. You can feel the energy in the space, and you can see it transforming the City.

As for direct jobs? Maybe a few, according to Ryan. THINC is changing the culture by doubling-down on tactical programming through start-up workshops, refining great ideas, and optimizing for happiness. "We're not creating jobs," says Ryan, "We're creating community."

Balighah, Nurallah, Wafaa, and Doris still meet with THINC staff nearly every Thursday in downtown Utica. Every week, their business gets stronger – and because of it, so does our community.



### GOVERNOR ANDREW CUOMO

New York State and this nation were founded on the premise that we are all created equal. We are a country committed to one nation, indivisible, with liberty and justice for all.... We are one New York and we are all immigrants.

**"WE ARE ALL HUMAN.  
WE ALL WANT TO LEARN.  
WE ALL WANT TO MAKE THINGS.  
WE ARE THE SAME."**

**- BALIGHAH (MOHAWK VALLEY RESOURCE CENTER FOR REFUGEES)**

# STATUS OF PAST PRIORITY PROJECTS

## PROGRESS 2016-2017

### HARTWICK CENTER FOR CRAFT FOOD AND BEVERAGE (CCFB)

Hartwick College has a vision to grow the craft food and beverage industry in the Mohawk Valley.

#### CCFB IMPACTS

266 BUSINESSES  
58 BREWERIES  
10 DISTILLERIES

86 MALT HOUSES  
109 FARMS  
300 ENTREPRENEURS

The number of craft beverage producers in New York has more than doubled since 2011. Combined with distribution and retail, craft beverage producers account for more than \$27 billion in economic impact and support tens of thousands of jobs statewide.

Hartwick College Center for Craft Food & Beverage (CCFB) is a resource for testing, business development, and education that supports small and mid-sized breweries, malthouses, farms, and other craft food and beverage producers. CCFB offers laboratory testing services for beer and brewing raw materials (barley, malt and hops) as well as technical assistance and business planning services and professional development opportunities and education.

The Center’s official opening was in January, and the client list has grown to include farmers, malt houses, breweries, distilleries and cideries, according to Director Aaron MacLeod. The Center started with one customer and now has more than 40 clients, and growing. In its second year, CCFB operations are already fully cost-recovered through service fees.

MacLeod and his team of student grain brains are “doing a lot with barley.” With the growing emphasis on malting barley in Upstate New York, the Center predicts sustained growth in coming years. Over two crop years, the Center has tested over 600 grain samples for producers.

Barley is a notoriously challenging crop in our climate and the information CCFB provides helps farmers to determine the value of their crop, and market it accordingly. The malting and brewing market in New York, for example, currently pays a 600% premium over the commodity feed price, for grain which meets the strict quality requirements, making it a lucrative value added crop for growers.

The artisan beverage and food industry is blooming, and a five year goal for the center is for continued growth sufficient to meet industry needs — and to help more businesses and more students. “If we can help small business grow and create jobs, we can help economic development, and at the same time provide unique and valuable experience for our students,” MacLeod said. Everyone wins.



Throughout the REDC process, we have stayed true to the vision, investing each year on site development and infrastructure to suit semiconductor industry needs:

- 2011 CFA #3319 + 4312 \$10,000 for Marcy Nanocenter site development  
CFA # 8871 \$15,000,000 for Computer Chip Commercialization Center
- 2013 CFA #28106 + 28409 \$3,950,000 for Marcy Nanocenter sewer infrastructure + site development  
CFA #20823 \$3,100,000 for Computer Chip Commercialization Center
- 2014 CFA #39256 \$1,000,000 for Marcy Nanocenter site development to reduce time to market
- 2016 CFA #67409 \$5,100,000 for Marcy Nanocenter natural gas line

### MARCY NANOCENTER

To be viable within the semiconductor industry, a company’s time to market must be as short as possible. Sustained REDC funding has allowed the Marcy site to become what it is today, a true pad-ready site. The Marcy Nanocenter sits on the cusp of success, continuing to prepare for an end user through advancing required infrastructure, meeting supply chain demands, and aggressive marketing - constantly bringing the Mohawk Valley closer and closer to landing an economic game changer that will benefit all of Upstate New York.

Since day one of the REDC process, the Mohawk Valley has been laser-focused on STEM-intensive industries to transform the region. The vision is as clear today as it was seven years prior: the attraction of global semiconductor manufacturers to the Marcy Nanocenter.

In just the past year the Marcy site has seen an exponential increase in lead activity. As the industry evolves, markets such as automotive and industrial electronics, the Internet of Things, and flash memory push the demand for semiconductor products further. Companies are expanding and seeking opportunities to increase capacity – invariably leading them to the Mohawk Valley.

Strengthening the ecosystem is Nano Utica in a state-of-the-art semiconductor R&D facility, constructed largely with the help of REDC dollars. Nano Utica is currently being outfitted by GE Global Research partner Danfoss, who is poised to create more than 100 new STEM jobs by 2019 – and hiring has begun.

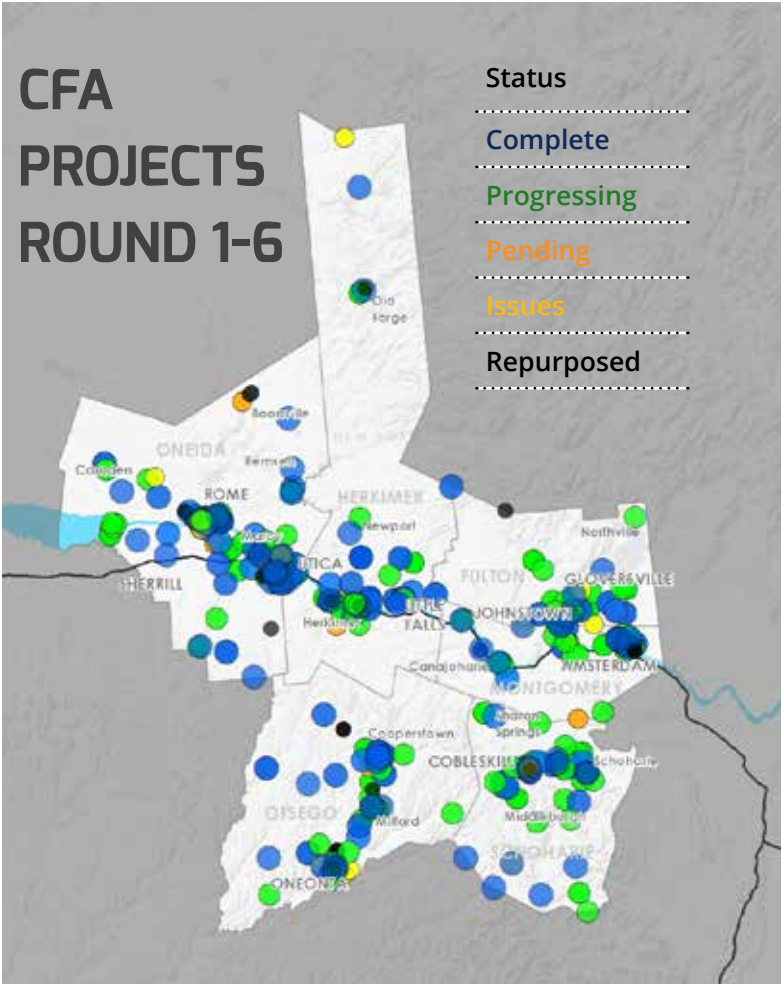
New York State has created waves within this industry. This 25 year effort to develop the upstate region into a leading center of nanotechnology R&D offers a dramatic example of how a single initiative can transform the competitive landscape. The result? Nano Utica is attracting millions in foreign direct investment and talent from around the globe; and Marcy Nanocenter is now considered one of the top sites in the U.S. for semiconductor manufacturing.



STATUS OF PAST PRIORITY PROJECTS

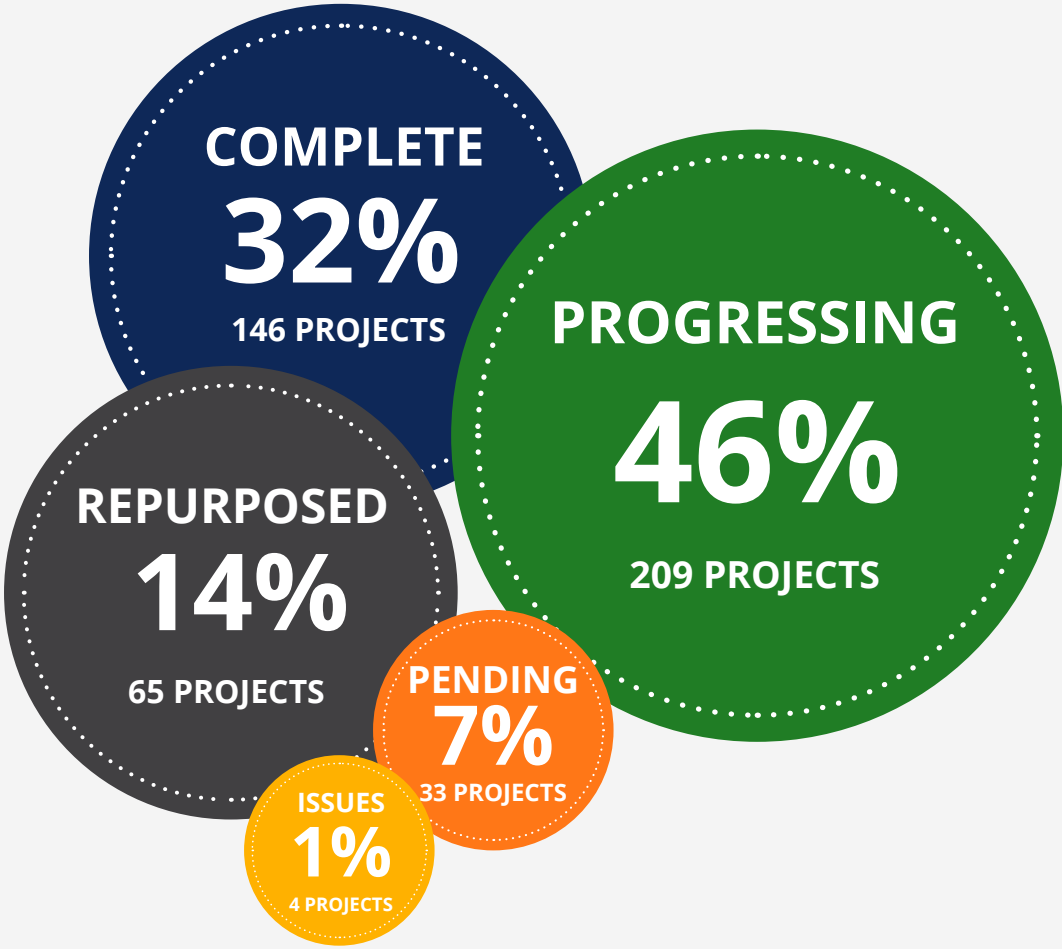
CFA #	Project	CFA #	Project	CFA #	Project	CFA #	Project	CFA #	Project	CFA #	Project	
2011 - Round 1		2012 - Round 2		2013 - Round 3		2014 - Round 4		2015 - Round 5		2016 - Round 6		
3319	Marcy Nano Sewer	12945	Mohawk Fabric	20823	Quad C - 1B	38893	R.L.E.	42858	Erie Pellets	63510	Hartman Enterprises	
3799	Northland Networks	14506	Rome Strip Steel	27424	Utica Coffee	38993	Trenton Technology	51045	St. John's Church	63911	Hales Mills Road Water	
3811	Cobleskill - Rt7 Corridor	15727	Frankfort Small Biz	27585	Hartwick Food Center	39226	Edmeston Robotics	51270	Gloversville Library	64162	Mountainman Outdoor	
4252	Griffiss Intl Airport	16424	Harden Furniture	28060	Union Station REA Wing	39256	Marcy Nano -1B	51420	Global Village at FMCC	64282	CABVI Capital	
4312	Marcy Nano - Phase 1A	16507	Custom Electronics	28106	MVEDGE Sewer Crossing	39400	Primo Property	51496	MV Ad. Manufacturing Institute	64309	NCI Group	
4745	Tryon Park	16513	NBHOF Digitization	28409	Marcy Nano 1B	40213	UAS Test Range	52145	Fulton Co. Interconnect	64392	Brightwaters Farms	
5027	GLDC Fiber	16601	Cold Point	29182	Corbin Hill Farm	40526	123 Hotel Street	52468	Kirkland Robinson Rd.	65832	Susquehanna Food Hub	
6188	SUNY IT	16890	Save Canajoharie	29295	3B Timber Shavings	40861	MV Innovation Hot Spot	52716	Mair Magaw Systems	66128	Cooperstown 22 Main St	
6507	GUSC Energy	17487	Turbo Mach. Products	29562	ADK Barrel Cooperage	40866	Griffiss B240	53068	Stevens Building	66447	Caroga Primary Care	
6635	Brouwerij Belame	17516	Bonide Products Inc	29853	MV Business Incubator	40952	SUNYIT- Assisstive Tech	53205	Smith Brothers Build- ing	66670	Doyle Hardware Bldg	
7008	Cobleskill Brewing	17748	Rome CBRC	30267	Herkimer NYSARC	41042	Nehemia Fund	53734	Hartwick College	66723	98 Genesee Street	
7071	Milford CORE	18119	CGAM Laboratory	30321	Rock Ledge Camp	41048	Andro	53824	Cobleskill Water Storage	66750	Vets2Farm Capital	
8871	Quad C	18319	St. Mary's Healthcare	30373	thINCubator	41064	Griffiss Utility Services	54003	Generations Malting	66882	Columbia Hotel Capital	
Status	..... Complete ..... Progressing ..... Pending ..... Issues ..... Repurposed .....	18511	Utica College Forensics	30379	UAS	41534	Rose Building	54004	B&B Ranch	67024	Metal Solutions	
		18579	Gehring Tricot	30441	Westmo Ambulatory	41578	Tryon Tech Park Phase II	54116	Parrott House	67409	Marcy Nano Gas	
		18651	Masonic Medical	30535	Mohawk Resources	41609	MVCC UAS Capital	54250	Baggs Square	67417	Cobleskill Com. Kitchen	
		18660	Burrows Paper	30628	Primo Property	42598	Streck Community Clinic	54306	Nathan Littauer Hospital	67423	MCIDA Florida Park	
		18794	Verona Sewer	30669	MMRL Cardiac			54329	Nathan Littauer Fonda	67961	Oriskany Manufacturing	
		18874	Cardinal Phase II	31071	Cryo Pure			54974	Johnstown Renewables	68133	Utica- Bleeker Street	
		18900	PAR Government	31542	Griffiss Institute			55765	FSMC Innovation	68150	Mechanics Hall	
		19030	Utica Memorial Aud	31633	Deer Run at River Ridge			55825	Century Linen & Uniform	68168	Parkhurst Field	
		19194	Utica Street Scape	31860	AIDA & Giant Solutions			56055	TJ Allen Bulk Service	68316	Cobleskill Railroad Ave	
		19209	G'ville-Johnstown WWTF	31924	Cobleskill Water Supply			56243	Compassion Coalition	68341	Square Stamping Manufacturing	
150 TOTAL PROJECTS		19280	Capitol Theatre	31966	Medcare Administrators			56260	Ad. Man. Workforce Dev.	68432	Toonie Moonie Organics	
		19298	Foothills Performing Arts	32026	Erie Canal Distillers			56842	Oneonta Rail Yards	68495	AIDA Power	
		19358	Griffiss Intl Customs	32032	Utica Sewer Separation			56966	AGT Services	68667	Oneonta Cooperstown Hotspot	
		19366	TecMar Aquaculture	32088	Erie Canal RV Resort			57094	PAR Technology			
		19794	Utica Sewer	32241	HDB Realty			57429	Village of Cobleskill			
		19795	East Herkimer Sewer	32244	Rome Strip Steel			57871	Memory Lane Day Care			
				32271	GUSC Energy							
				32273	American Hotel							
				32339	Utica Harbor Point							
				32511	Utica Memorial Aud							
				32625	Oneonta Southside							
				32652	Matt Brewing Co.							

# STATUS OF PAST CFA PROJECTS PROGRESS SINCE 2011



Round	ESD Job Creation
01	Created: 483 Retained: 102 Total: 585
02	Created: 119 Retained: 2150 Total: 2269
03	Created: 238 Retained: 470 Total: 708
04	Created: 86 Retained: 378 Total: 464
05	Created: 261 Retained: 1536 Total: 1797
06	Created: 278 Retained: 527 Total: 805
TOTAL	CREATED: 1465 RETAINED: 5163 TOTAL: 6628

# STATUS OF PAST CFA PROJECTS PROGRESS SINCE 2011



Status	Round 1	Round 2	Round 3	Round 4	Round 5	Round 6	Total
Complete	40	39	36	27	3	0	145
Progressing	6	9	29	34	73	58	209
Pending	0	0	0	2	4	27	33
Issues	0	1	0	3	0	0	4
Repurposed	9	27	17	3	9	0	65
Total	55	76	82	69	89	85	456

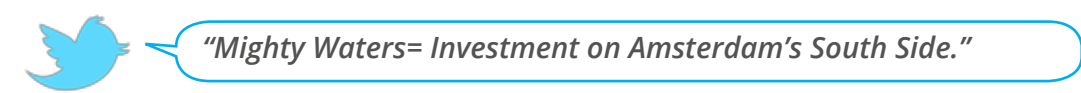
	Number of CFA Projects	Total Project Cost	Total Amount of ESD Capital Awards	Ratio of Total Cost to ESD Capital Awards
Round 1	49	\$310,048,387	\$49,786,893	6.4
Round 2	45	\$86,537,759	\$10,021,244	8.6
Round 3	68	\$172,669,967	\$25,991,758	6.6
Round 4	62	\$81,720,612	\$19,323,978	4.2
Round 5	80	\$272,992,569	\$41,344,315	6.6
Round 6	88	\$582,808,840	\$37,530,872	15.5
Total*	392	\$1,506,778,134	\$183,999,060	8

\*Only Active Projects



# STATUS OF PAST CFA PROJECTS PROGRESS SINCE 2011

## MOHAWK VALLEY GATEWAY OVERLOOK



Governor DeWitt Clinton never paddled a kayak, but he would have loved to see this. Audrey Egleston, an Amsterdam native, took a chance to open a small kayaking rental and guided-tour company on the Mohawk River. She felt the Mohawk River was underutilized for recreation in Amsterdam and the opportunity was right with the opening of the Mohawk Valley Gateway Overlook. Down by the River Kayak Rentals, a woman-owned enterprise, was born in August 2016 - just as the Mohawk Gateway Overlook opened to the public.

Mohawk Valley Gateway Overlook pedestrian bridge began as a community vision in one of New York's first Local Waterfront Revitalization Program plans more than a decade ago. Relentless pursuit from local leaders and stakeholders kept the project alive, and the MVREDC prioritized the Overlook as the signature project for Mighty Waters Initiative. Funding from Canals, Parks, and Department of State finally put the project over the edge.

In the same spirit as the NYC High Line and Bridge Over the Hudson, the Gateway Overlook is already achieving the dream: catalyzing new investment and activating the waterfront in Amsterdam. Since the completion of the MVGO, Amsterdam has experienced renewed community pride and an increased interest in economic development along the waterfront and downtown. Festivals, concerts, culinary events, beer and wine tastings - and yes, kayak outings - are now the canalside norm, culminating in the Water Music Bicentennial Event on July 4th at Riverlink Park.

The Riverlink Park Concert Series experienced an increase in attendance during the 2017 season due to the abundance of special events around the opening of the Mohawk Valley Gateway Overlook. Concert goers are now able to park on the southside of Amsterdam, dine at local restaurants, and walk the MVGO into Riverlink to enjoy the multi-genre concert series.

The Overlook is a perfect symbol of our Tourism strategy:

- |                         |                                  |  |   |   |
|-------------------------|----------------------------------|--|---|---|
| activate the waterfront | create world-class public spaces | extend visitor stays and increase visitor spending | leverage our historic and natural resources | connect people to adventure with pedestrian and bike trails |
|-------------------------|----------------------------------|--|---|---|

## MOHAWK VALLEY HOT SPOTLIGHT

## SUNY COBLESKILL INNOVATION HOT SPOT

SUNY Cobleskill was awarded \$1 million in 2015 for construction of a dairy processing facility on its campus. Leveraging private and federal funds, construction of the processing facility is well underway with an anticipated open date of February, 2018. This facility will be used as a living laboratory for the college's culinary arts, food systems and agricultural business management programs and as a site for technical assistance programming delivered in collaboration with Cornell Cooperative Extension.

Dairy and food businesses in the region will be able to lease space in the facility for production of branded cheese, ice cream and fluid milk products as part of the Mohawk Valley Farm and Food Business Incubator (FFBI) - a partnership between SUNY Cobleskill and the Center for Agricultural Development and Entrepreneurship (CADE) that provides an array of services to existing and startup agricultural businesses in the region. FFBI launched in June, 2016 with funding from the NYS Certified Incubator and Innovation Hot Spot Program.

Weathertop Farm: FFBI staff and SUNY Cobleskill culinary arts professionals worked closely with Weathertop Farm to develop switchel and syrup products from July - October, 2016. All of these products utilize herbs and fruits produced by Weathertop and SUNY campus kitchen facilities were used to develop 12 different prototypes, three of which have been incorporated into Weathertop Farm's product lineup and have meaningfully impacted the farm's revenues. Weathertop Farm will serve as a market research case study for an advanced Agricultural Business Management course at SUNY Cobleskill during the Fall, 2017 semester, with particular focus on marketing strategy for newly developed products.

**70**  
APPLICATIONS FOR SERVICE FROM INDIVIDUALS AND BUSINESSES AND IN THE LAST YEAR, 30 OF THOSE WERE PROVIDED WITH AN INCUBATOR SERVICE PRESCRIPTION AND GRADUATION PLAN. BETWEEN JUNE, 2016 AND MARCH, 2017.

**25**  
BUSINESS DEVELOPMENT AND PLANNING WORKSHOPS WERE OFFERED UNDER THE AUSPICES OF FFBI WITH 314 TOTAL ATTENDEES.

**15**  
CLIENTS RECEIVED STATE, LOCAL OR FEDERAL GRANT SUPPORT, SUBSIDIZED LOANS OR PRIVATE INVESTMENT AS A DIRECT RESULT OF FFBI'S EFFORTS.

**40**  
THE INCUBATOR'S VALUE CHAIN FACILITATION PROGRAM PROVIDED MARKET ACCESS AND SALES SUPPORT FOR PRODUCERS UTILIZING THE LUCKY DOG FOOD HUB IN HAMDEN, NY AND THIS PROGRAM CONTINUES TO FACILITATE RELATIONSHIPS WITH NYC BUYERS FOR INCUBATOR CLIENTS.

## III. IMPLEMENTATION AGENDA

# STATE PRIORITY DOWNTOWN REVITALIZATION

*There's a unique flavor to each Main Street in our region. Amsterdam, Rome, Cooperstown, and so many others have adopted placemaking strategies to leverage the growth of strategic industries and to increase tourism and visitor spending in our population centers.*

**+26%**

VISITOR SPENDING  
SINCE 2011

- For the first time in its history, Rome's section of the NYS Barge Canal is accessible to the public. Just ten years ago, the Copper City waterfront was obscured by abandoned manufacturing plants; today, boaters, kayakers, bikers, hikers, and mobility-impaired individuals all have equal and unfettered access to the Canal in the heart of downtown.
  - The Fulton County Center for Regional Growth is redeveloping the former OHM manufacturing plant and has woven itself into the fabric of historic downtown Gloversville.
  - Cooperstown's new streetscape has revived the Village's sense of place and sprouted new ventures – like the Cooperstown Beverage Exchange – leveraging the international tourism draw of the National Baseball Hall of Fame and Brewery Ommegang.
  - Utica's historic Bagg's Square district has been conquered by young entrepreneurs and developers, attracting more than \$10 million in new investment just in the past two years –including more than 100 new loft apartments, one of New York's premier farm-to-table restaurants, and the continued modernization of the Utica Memorial Auditorium.
  - Sharon Springs is experiencing tens of millions in foreign direct investment, thanks to a local vision to leverage their unique natural resources. Inspired leadership and Beekman 1802 fame have combined to make Sharon Springs an international destination.
- Despite this wave of new public and private investment, our history of innovation and manufacturing prowess has left a legacy of brownfields in our cities and villages. Rome Cable, Duofold, and Beech Nut cannot be ignored or built around; standing between the status quo and true, lasting revitalization.
- Public investment is the limiting factor to success. We've done the research and market analyses. Our planners have the vision and our leaders are committed. This is not the same market as NYC, Buffalo, or Rochester; no end-user is going to tackle multi-million dollar remediation project on speculation in a city of 30,000 people – much less a village of 3,000. We've been dancing around the issue for more than a generation.

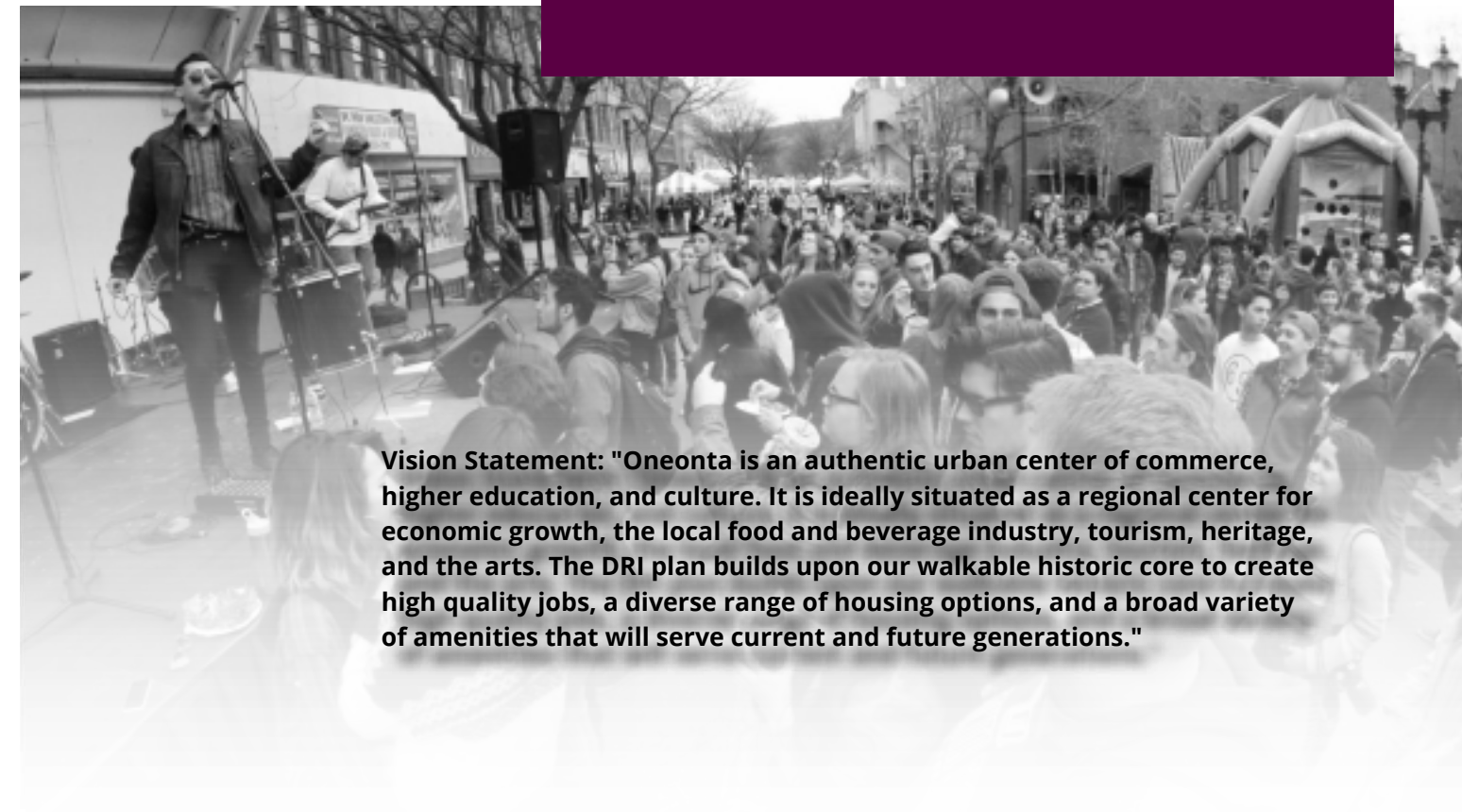
ONEONTA  
NEW YORK

Strategies for sustaining momentum of Downtown Revitalization.

- RESTORE NY is a good start. This is precisely the funding model that will pave the way for private investment.
- DRI. This is a game-changer. This should continue year after year to help revitalize our downtowns.
- URI. Projects like Beech Nut, Rome Cable, and Harbor Point are transformative when taken in context. Half of the URI projects proposed for next-stage funding are direct investments in downtown, brownfields, and waterfront

The Downtown Revitalization Initiative has provided Oneonta with an opportunity to build upon its current strengths and realize the full value of its downtown core. The DRI Planning process was led by a Local Planning Committee (LPC) that included representatives from business, academia, healthcare, non-profit organizations, government, residents, and other local stakeholders. The LPC was assisted by a team of consultants and New York State planners who, together, engaged the public through stakeholder interviews, public meetings, surveys, and online forums. The group recently completed their Strategic Investment Plan. The plan identifies a series of projects that are designed to directly address the vision and concerns expressed by the community.

The overall approach for Oneonta's Downtown Revitalization is defined by three general concepts: Strengthen existing assets, expand downtown on underutilized land, and connect the assets of downtown to each other.



**Vision Statement: "Oneonta is an authentic urban center of commerce, higher education, and culture. It is ideally situated as a regional center for economic growth, the local food and beverage industry, tourism, heritage, and the arts. The DRI plan builds upon our walkable historic core to create high quality jobs, a diverse range of housing options, and a broad variety of amenities that will serve current and future generations."**



## 2017 DRI PRIORITIES



### AMSTERDAM: Gateway to Opportunity

Amsterdam is an attractive downtown and livable community poised to become a regional destination as an urban hub of the Mohawk Valley. It will act as the gateway between the Greater Capital District, the Adirondack Park, and New York City. The City of Amsterdam is a prime example of an area which already holds all of the characteristics needed for a vibrant community where tomorrow's workforce will want to live, work, and raise families. The City just needs investments to reinforce what the residents and business owners have already been building upon.

With over \$63 million recently invested by both the public and private sector, Amsterdam's downtown revival has already begun. Amsterdam has seen its first increase in population in over 70 years and has less business loss now than in the last 20 years. The City has systematically addressed a multitude of issues that affect downtown livability and attractiveness, with a special emphasis upon pedestrian circulation, expansion of recreational and cultural amenities, formulation of zoning policies that actively encourage residential development in mixed use buildings, and increasing access to healthy foods for local residents.

*DRI Projects recommended for funding:*  
Chalmers Multi-Use Redevelopment (ESD)  
South Side Hotel (ESD)  
City-Wide Splash-Pad (OPRHP)



### COBLESKILL: Historic Downtown Cobleskill

Communities across the country have been rediscovering historic downtowns as the center of civic life and a source of community and cultural identity. The Village of Cobleskill embodies this movement: creating an economically vigorous commercial center with mixed-use buildings as well as a gathering place for hospitality, arts and entertainment within a carefully maintained historic district with strong architectural "anchors" with high quality infill and renovated buildings.

Over the past four years, the Village of Cobleskill has realized over \$9.6 million for infrastructure replacement; upgrading not just Main Street, but also updating infrastructure in the surrounding low-to-moderate income neighborhoods. These upgrades have also enhanced neighborhoods with new sidewalks and vibrant streetscape: the transformation of the Village of Cobleskill and its downtown has begun. In the past 18 months the Village of Cobleskill has realized over \$3.5 million in new investments through nearly 20 separate projects, resulting in the creation or retention of over 150 jobs.

*DRI Projects recommended for funding:*  
Cobleskill Microenterprise Program (HCR)  
Cobleskill Fairgrounds Stage Improvements and Hall of Agriculture (ESD)



### COOPERSTOWN: Doubleday District

The Village of Cooperstown is often compared to a Norman Rockwell painting or a Christmas card scene. It boasts natural beauty highlighted by Otsego Lake and surrounding hillsides; a vibrant downtown anchored by the National Baseball Hall of Fame and Museum; the preservation of historic buildings and landscapes; and a diverse arts and culture center for the region, with nearby access to musical and operas at the Glimmerglass Festival, innovative and world-renown art exhibits at the Fenimore Art Museum, interpretive history demonstrations at The Farmers' Museum, and concerts and craft beer at Brewery Ommegang.

The Doubleday District was identified for targeted investment to provide housing, retail, recreation, and parking for residents, the local workforce, and national and international visitors. The redevelopment plan will center on a revitalized Doubleday Field, the mythical birthplace of baseball, which will be updated to include modern amenities for players and fans while an unattractive, improvised parking lot will be replaced with a parking facility, which will serve as a multi-modal center, allow for new and improved housing units and recreational space, and provide for greater environmental, economic, and community sustainability.

*DRI Projects recommended for funding:*  
Pioneer Park (OPRHP)  
22 Main Street historic preservation (OPRHP)



### GLOVERSVILLE: Renaissance on Main Street

Gloversville, NY is in the midst of a renaissance; a change long sought and hard won. Businesses, people, and life are coming back to the City's urban core and it is based on one thing – place. Downtown redevelopment is place-focused and people-led. This emphasis on place-making shows in the newly created role of a Downtown Development Specialist, the installation of the region's first micro-park, renewed attention to the people, places, and events that make the Downtown vibrant; and in the cooperative coalition of City, business, community, and non-profit leaders who engage in positive actions that ensure continued growth.

Over the past ten years, Gloversville has seen a significant increase in public and private investment throughout the City and specifically in the Downtown. In 2017 alone, over \$20 million in private money will be invested for cultural, retail, and housing enterprises. Additionally, the City has spent millions on recent investments including sewer upgrades, new Victorian lighting, the erection of a farmers market pavilion, streetscaping, and fiber optic lines along Main Street.

*DRI Projects recommended for funding:*  
Wastewater treatment plant upgrades at GJWWTF (DEC)  
Sewer system upgrades (DEC)



### SHARON SPRINGS: International Flavour

The Village of Sharon has a storied past as the preferred vacation spot for New York City elite of the late 19th and early 20th Centuries. As the popularity of such resorts declined, so did the village, and private estates were converted into boarding houses or abandoned. An influx of new residents in the late 1990s, attracted by the decaying but unspoiled historic architecture, began to restore and reuse the structures, while taking an active role in civic groups and government, and setting the stage for the transformation to come.

Over the past ten years business and residential investments have grown, and the Village of Sharon Springs has attracted worldwide interest. Sharon Springs has been the site of approximately \$15 million in private investment to date with pending business plans that would infuse an additional \$10-15 million in capital. Its government, non-profit organizations, and individuals have great energy, proven ability, and interest in the promotion and development of the village, town, and county. They are perfectly poised to become the gateway to a regional renaissance.

*DRI Projects recommended for funding:*  
Rejuvenate Sharon Springs water and sewer infrastructure (DEC)



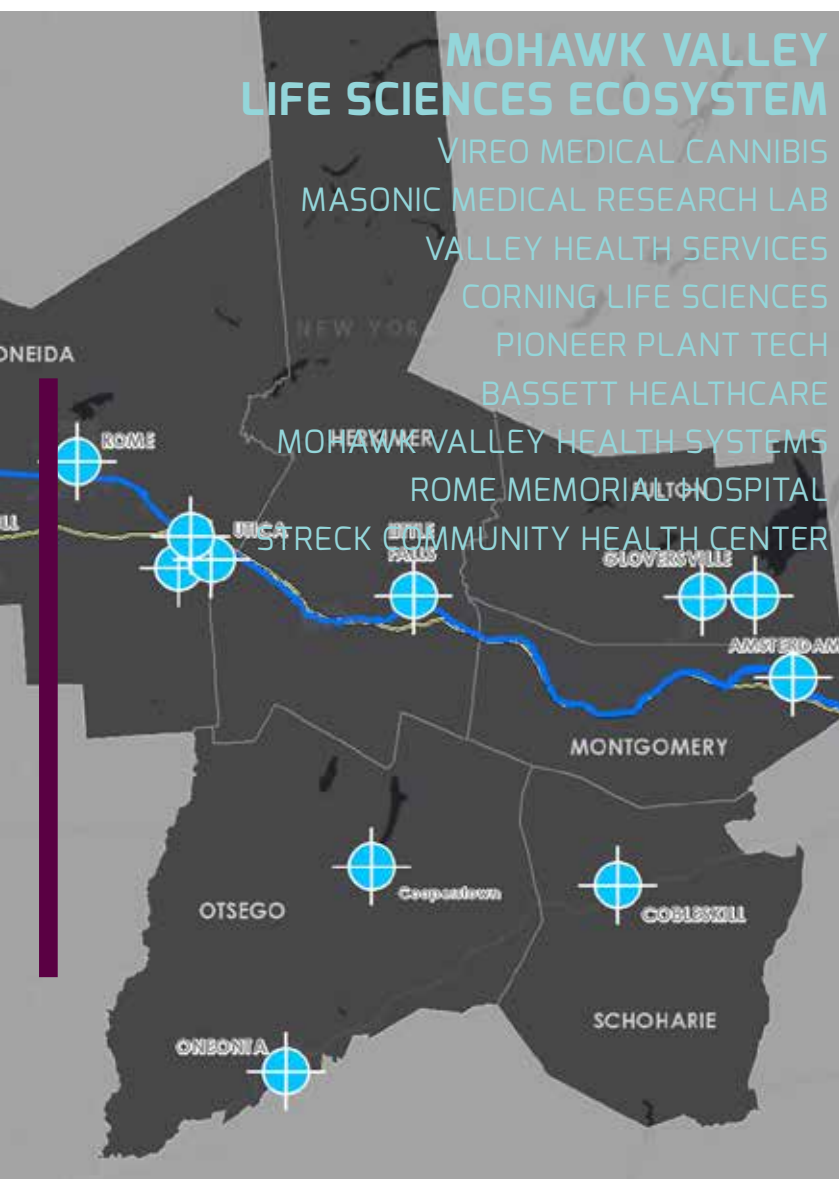
### UTICA: One World Downtown

One World Downtown is a vision which celebrates existing assets, brings new life to underutilized spaces and brings all residents and visitors together on common ground. Downtown Utica is the largest, most diverse, and most densely populated metropolitan center in the Mohawk Valley region. Sparked by new investments in the region, the City's downtown neighborhoods are reimagining themselves as urban entertainment, recreation, sports, and entrepreneurial destinations. Upscale residential lofts, mixed-use development in former factory buildings, a proposed medical campus, and a growing cultural vibrancy are contributing to Downtown Utica's emergence as a true year-round downtown. During the past six REDC rounds, projects within Downtown Utica target area alone have earned awards totaling more than \$15 million, leveraging more than \$140 million in new public and private investment.

*DRI Projects recommended for funding:*  
First & Main, Bleeker St, 167 Genesee, and Kempf Building (ESD)  
Compassion Coalition Warehouse (ESD)  
Adirondack Distilling Expansion (ESD)  
Nexus Sports Complex strategic planning & feasibility study - Utica's emerging entertainment district (ESD)  
Façade improvements to Munson Williams Proctor Arts Institute (OPRHP)  
Mohawk Valley Resource Center for Refugees Welcome & Opportunity Center (ESD)

# STATE PRIORITY

## LIFE SCIENCES CLUSTER



The Mohawk Valley is not defined by one dominant urban center; so our life sciences ecosystem looks a little different from Buffalo, Rochester, and Syracuse. Sans a prevalent hospital-university partnership, our region has to get creative. Building on a strong fabric of health care micro-networks across the region, including advances in immunotherapy and robotic surgery at Bassett in Cooperstown– the MVREDC recognizes the dynamic potential for Life Sciences to contribute to the transformation of our population centers.

Our geopolitical boundaries aren't always conducive to growing the critical mass needed for innovation in Life Sciences. The way we grow our life science cluster is the same way we approach economic revitalization – by sticking to the plan: leveraging unique strengths forging connections between them. The MVREDC plans to grow the life science cluster by leveraging our regional talents in biomedical research, medicinal botany, agricultural biochemistry, and bioscience supply chain.



**Botanical.** Our agrarian roots have opened the door to medical cannabis research, development, and production. Scientists in Tryon Tech Park and North Utica are on the leading edge of New York’s initiative to improve quality of life for individuals who suffer from rare and chronic disease. Botanicals will play a major role in the future of medicine as we know it, and as one of the only regions with two licensed research facilities, the Mohawk Valley is in the game. Pioneer Plant-Tech, a young Oneida County business is committed to the production of disease-free hops plants to support hops farmers and commercial growers throughout New York State, the Northeast, and Eastern Canada as they battle hops yards that are infected with viruses.

**Biomedical.** The Masonic Medical Research Lab continues to develop world-class cardiac biomedical research and their Stem Cell Center is focused on creation of human models of disease, thus enabling the development of innovative therapies and cures. Mohawk Valley Health Systems has built a strong reputation in cardiac surgery and care. The Regional Council sees tremendous potential in facilitating a partnership between the two – making the MVHS downtown more than just a campus, but an innovation district and destination for world-class cardiac care.

**Biochemical.** The SUNY Cobleskill Dairy Lab and Hartwick College Center for Craft Food and Beverages are using applied life sciences to grow the 21st Century agricultural economy in Otsego and Schoharie counties. Plant and animal based research, curriculum, and facilities at SUNY Cobleskill is training the new crop of certified dairy plant technicians. At Hartwick, the CCFB is using DNA fingerprinting to ensure the stability of craft beverages. Together, researchers are growing the workforce pipeline and advancing quality control and product innovation to strengthen a regional concentration in craft food and beverage manufacturing.

**Bioscience Supply Chain.** So where do all the test tubes come from, anyway? Enter Corning’s Oneonta manufacturing plant. Corning Life Sciences brings new and innovative laboratory technologies to researchers worldwide with innovative, high-quality products and services in the areas of polymer science, biochemistry and molecular biology, glass melting and forming, surface modification, and characterization science. Products made in Oneonta, together with other Corning Life Sciences facilities, remain critical in the discovery and development of advancements in cell culture research, bioprocess, and microbiology.



## MASONIC MEDICAL RESEARCH LABORATORY



**"I am looking forward to working in a laboratory and contributing to the improvement of human health. My passion for science and medicine is very strong,"** said Alicia Barnes, a Biology major at Cazenovia College who hails from Waterville, "The Summer Fellows Program at MMRL brings me one step closer to reaching these goals."



### THE GENESIS OF AN INNOVATION DISTRICT

One of our Life Sciences projects this round directly advances a strategy for sparking downtown revitalization through Life Sciences. MMRL is expanding their labs to accelerate their molecular biology-based research. Splicing this protocol with the current clinical genetics research will be the mechanism for the future collaboration with MVHS. A modern facility will be the catalyst for expanded clinical research, a new teaching component.

Following the Buffalo-Niagara Medical Campus model, the seeds of an innovation district are being sown in downtown Utica. Taken individually, the MMRL lab expansion and the MVHS downtown medical campus are giant steps toward the future. Together, the projects are transformative. This is an example of how the REDC process can take two individual initiatives and facilitate a synergy that sparks regional transformation.

### DEVELOPING THE STEM WORK-FORCE THROUGH LIFE SCIENCES

It runs so much deeper than facilities and new lab space. For over 50 years the Masonic Medical Research Laboratory has mentored and inspired talented undergraduates as they pursue careers in science, technology, engineering and math (STEM). Each year, the Summer Fellows Program provides "real world" experience in a working research laboratory. Over the years, many fellows have gone on to pursue careers in STEM as physicians, scientists or engineers



#### DAVID F. SCHNEEWEISS PRESIDENT MMRL BOARD OF DIRECTORS

We often say that money spent on treatment benefits the patient, money spent on research benefits humanity. I believe that this investment has the potential to save lives and help people in the region, across the country and around the world.

# STATE PRIORITY WORKFORCE

In 2016, regional Workforce Investment Boards from Fulton, Montgomery, Schoharie, Madison, Oneida, and Herkimer Counties came together to develop sector-based strategies for industry-aligned workforce pipeline development. The sectors explored were nanotechnology, cybersecurity, unmanned systems, advanced manufacturing, and health care. Taken together with the UBRI Regional Assessment in 2015, building the workforce pipeline requires collaborative planning and immediate attention.

One of the primary challenges in achieving the transition to a STEM-based economy is the level of training and advanced education required to participate in these fields. Another challenge identified by UBRI was the impending age cliff for skilled manufacturers, welders, electricians, and machine operators.

But it is not all grim. Recognizing the mounting demand for middle-skills jobs our network of BOCES and community colleges have been working the case. The Mohawk Valley region, is well-positioned for the transition: 14.1% of the population has an Associate's degree, compared to 10.8% Upstate, 9.4% in NYS, and 8.8% in the US.

If we are to truly lift all boats, then the Council's focus will need to be on:

- Collaborating with companies to upskill current employees
- Coordinating with school districts to flip the script and begin preparing our children for STEM careers
- Increasing exposure to skilled trades to mitigate the impending age cliff
- Addressing soft skills

**57%  
JOB  
PLACEMENT  
SUCCESS  
RATE**

## FMCC JOB READINESS PROGRAM

With so much emphasis placed on high-tech and specialized skills, sometimes the fundamentals fall through the cracks. FMCC is filling a fundamental gap in workforce readiness – addressing soft skills that lead to basic job readiness. 14 participants attended the initial session in June 2017.

## STEPS UP TO STEM – ONE STEP AT A TIME.

The Mohawk Valley Sector Strategies outline an unmet demand for technicians; particularly in the automated and robotic equipment operation. To assist employers with upskilling their workforce, FMS Workforce Development Board received a US Department of Labor for a grant "Steps up to STEM." The intent of the grant was to inform more people about the opportunities of STEM careers and help fund a career pathway for them, through a succession of positions at a company.

A three-step participant was working as a machine and building maintenance worker for five years. He had an opportunity to advance into a machine technician position through the upgrade On-the-Job Training program. He received a \$1.00/hr. raise with the promotion. His training included machine maintenance, mechanical repairs, equipment manuals, and troubleshooting.

The next steps consisted of customized training where the participant attended FMCC and completed 2 courses per semester: Industrial Automation and Robotics 1 & 2. The first course studied the theory and operation of devices and systems that are used in industrial controls. The second course involved the study of sensors and actuators by studying the theory, programming and operation of devices and systems that are used in industrial controls, including closed loop control, PID (proportional-integral-derivative) controller, PLC's (programmable logic controllers) using ladder logic, robotics, HMI's (human machine interface), and SCADA (supervisory control and data acquisition) systems. It also included DC and AC motor controls, servo systems, and coordinated motion control systems.

The trainee found it very helpful and exciting to attend classes and then return to work and actually practice what he learned. He received an additional \$0.75 raise upon completion of each course which gave him an overall increase of \$2.50/hr. upon completion of his WIF STEM training.

## LIBERTY PARTNERSHIPS PROGRAMS

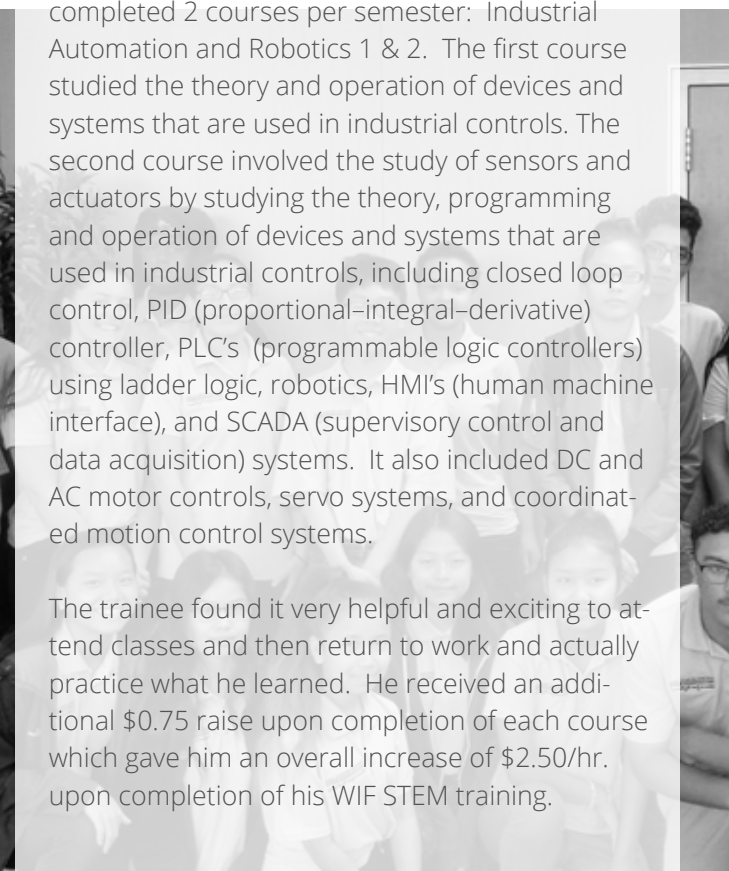
The workforce readiness programs in our region are as diverse as our population. Serving a multitude of needs both for our industry and our residents. Liberty Partnership Programs are present throughout the region and serve as bridges between school districts and higher and continuing education. Their mission is to increase graduation rates amongst at-risk students and prepare them for success after graduation.

### FMCC LLP

With 360 students (6th-12th grade), from the Amsterdam School District, the FMCC LLP provides students with a myriad of services from tutoring and academic enrichment, to college and career readiness, mentoring and leadership opportunities to counseling and service learning activities. With 75 STEP (Science & Technology Entry Program) students, they are actively engaged in increasing the participation rate of historically underrepresented and economically disadvantaged students in STEM programs and licensed professions. One STEP student Jonathan Adorno, graduated in 2015 from AHS was selected as a Torch Scholar to Northeastern University as well as a Gates Millennium Scholarship winner.

### Young Scholars LLP

For the second year in a row and the third time in history, Young Scholars LLP had a 100% graduation rate. Recognizing the potential of their 350 students, two new paid internship programs were added to the summer 2017 existing workforce preparedness programming. Indium Corporation and the McCarthy Foundation placed 10 graduating seniors into paid internships for 5 weeks. 137 YSLLP students were engaged in summer employment and 247 students were engaged in summer programming. As many of their peers are regressing due to a lack of structured activities, the YSLLP students are benefiting from their academic programs, college visits, mentoring activities, and now more than ever, workforce preparation programs.





## THIS GROWING DEMAND WILL CREATE PATHWAYS TO REWARDING CAREERS FOR HIGH SCHOOL GRADUATES, SECOND-CAREER WORKERS, AND THE UNEMPLOYED, PARTICULARLY FOR THOSE WHO LIVE IN RURAL AREAS.

**HELEN E. STEPOWANY**

DIRECTOR OF THE KENNEDY  
WILLIS CENTER AT PATHFINDER  
VILLAGE

### PATHFINDER VILLAGE

Recognizing and addressing unemployment and under-employment has gained attention nationally and regionally, as policy makers assess job losses, wage stagnation, and other factors. An overlooked workforce segment is that of Direct Support Professionals (DSPs), trained care providers who support people with disabilities and the aging in home and community settings.

With high numbers of aging Baby Boomers and GenX-ers, a growing autistic population that will require long-term care, and greater life expectancies for people with developmental disabilities, the demand for qualified DSPs will grow by 60% by 2020. In New York State alone, those who have developmental disabilities who require care supports in residential and community settings now exceed 100,000 children and adults.

Research indicates that by preparing high school students, recent graduates and others for DSP jobs, the cited employee gap can be closed and new careers will open for entry level workers. This pipeline may also lead to more efficient hiring practices and will stabilize staffing for agencies that rely heavily on direct care staff. Early vocational training should be offered in high school, so that youth may transition easily into jobs.

Formal partnerships among schools, trainers, and provider organizations may one day reduce duplicative hiring and training requirements, and place workers on-the-job more quickly. These programs would be of particular benefit in rural areas, where non-college bound youth struggle to access training programs and full-time employment. Pathfinder Village (CFA 2013) will host the 2017 Human Services Workforce Summit that will frame the current work environment in human services, and feature Hamdi Ulukaya, Founder & CEO of Chobani, Inc., as the luncheon keynote speaker. The Summit will showcase exemplary programs that are addressing the issue of under-employment by developing viable career paths for youth and unemployed workers. It will also share innovative technologies that support people living with disabilities.

### ONC BOCES MECHATRONICS & ROBOTICS

Otsego County manufacturers, County of Otsego Industrial Development Agency (COIDA) / Otsego Now, Otsego County Chamber of Commerce and the component schools within the ONC BOCES identified a need to offer an advanced manufacturing course to high school students.

To address these requests, ONC BOCES is currently developing a mechatronics/robotics course to support manufacturing. This two-year program will encompass a broad range of knowledge, skills and experiences in the field of advanced manufacturing. Students will learn theory and application in the diverse aspects of mechatronics through class instruction, laboratory experiences, internships and career exploration, while also fulfilling requirements for high school graduation.

This comprehensive program has direct implications for the regional economy, as several area manufacturing firms and food-production facilities rely on mechatronics, but sometimes struggle to fill positions. The new program is intended to help plug the middle skills gap and launch our students on a rewarding career path.

To facilitate the success of a Mechatronic/Robotics program, ONC BOCES

### US REP CHRIS GIBSON

I am very excited about Robotics/Mechatronics at ONC BOCES. I look across our region, and we've got companies in every direction that are going to be very excited about this. You are talking about any number of advanced manufacturing firms that are going to get access to these trained, motivated and dedicated students, and this is just the existing companies, then you think about the possibility of new companies who know they can get access to, right here, trained individuals.



has established collaborative relationships with relevant industry partners. They have provided input into curriculum, application skills, lab experiences, internships and professional training. Higher education partners will provide articulation agreements and college credit where appropriate, as well as a bridge between high school and post-high school training.

SUNY Delhi and HVCC are experiencing great demand for their Mechatronics graduates, with nearly all having jobs waiting upon graduation. Implementation of a BOCES secondary program in mechatronics/robotics will prepare students for success, whether moving immediately into the workforce or pursuing post-high school training. While STEM related curricula exists in all CTE programs, neither ONC BOCES nor our surrounding BOCES offer a dedicated program in advanced manufacturing.

# STATE PRIORITY REGIONAL CLUSTER PLAN



*“Nano... Cyber... What’s the next big thing?”*

Each region shares a vision for entrepreneurship, manufacturing, livable cities, and boundless opportunity. These are the cornerstones upon which the culture of Upstate NY is built. Each region has also found their niche or groove – something that sets them apart from the rest.

Our niche is in cyber technology. Orbiting the Air Force Research Laboratory C4I Directorate, global juggernauts like BAE Systems, Harris Corporation, and Lockheed Martin compete and collaborate with local dynamos like AIS, Siege Technologies, ANDRO Computational Solutions, and Syracuse Research Corporation in one of the most powerful cyber research & development concentrations in the Northeast.

That unique concentration has led to domination in the drone R&D airspace as the FAA Test Site with the most advanced capability for the integration of UAV and aviation traffic management. Global corporations are increasingly flocking to the Mohawk Valley to test leading-edge UAS technology. More than 1100 UAS flight operations have been conducted since 2014.

In 2015, German drone manufacturer Microdrones set up shop in Rome, taking advantage of the STARTUP NY partnership with Mohawk Valley Community College. MVCC’s leadership and agility allows them to adapt to meet the demands of the 21st Century economy, and is one of the few community colleges nationwide to now offer certificate programs in UAS technology.

Enter Walmart – the number one ranked Fortune 500 company who owes their retail prowess to the management of information. Recognizing the unique asset in Griffiss, Walmart announced this year that they will be conducting their primary east coast R&D for automated fulfillment capability in Rome, NY. The multi-year and multi-million dollar partnership is the first step in landing a potential autonomous fulfillment center, hundreds of new jobs, and the movement of billions of dollars in consumer goods through the Mohawk Valley.

This is no accident, no stroke of luck. The MVREDC has invested greater than \$3 million in Griffiss International Airport and UAS related projects – leveraging more than \$17 million in total investment since 2011. The Mohawk Valley is a top player in the North American UAS marketspace because of our regional cyber cluster, partnership with Central New York REDC, and unflagging NYS support.

The primary challenge to cyber and UAS R&D remains: these are not brick-and-mortar industries. These companies are heavy on talent and bandwidth, light on facilities and equipment. Although the jobs created pay upwards of 1.5-2x the average regional wage; there are few programs in the CFA (if any) that provide working capital necessary to sustain their exponential potential.



## RUSSELL STARK

COMMISSIONER GRIFFISS INTL AIRPORT

It says a lot about what we’ve accomplished and the reputation we’ve built in the UAS testing community. Griffiss is a great place for Walmart to be because of our infrastructure, our people, our airport and the area.

## THE NEXT BIG THING? WE’RE ON IT.

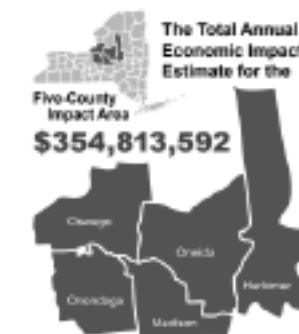
Our strategy to grow Cyber and UAS involves:

- Continue build-out of sensor technology and UAS instrumentation
- Aggressive US and international marketing of the FAA Test Site and UAS corridor
- Support for growth and continued impact of the Air Force Research Laboratory C4I Directorate and Cyber tech companies on the Griffiss Business & Technology Park
- Incentivize commercialization of AFRL patents for cyber/IOT entrepreneurs who locate in the Mohawk Valley.

## INNOVATION INFRASTRUCTURE: B100 ADAPTIVE REUSE FOR UAS

Building 100 currently houses the operations center for UAV/UAS operations. The legacy Air Force base headquarters (Building 100) includes two hangars connected to a center core office that contains facility operations. The improved space will provide an incubator center for UAV and UAS business development. The project includes rehabilitation of office space including the UAV operation center.

This means state-of-the-art, exquisitely connected space to meet the demands of cyber and UAS companies landing at Griffiss to help the Mohawk Valley and CNY achieve seamless, safe, and data-secure integration of airspace – affording software and sensor developers full access to the nerve center of the FAA Test Range. As Walmart ramps up unmanned delivery R&D, so will the constellation of scientists, engineers, and entrepreneurs to support the future multi-billion dollar industry. Walmart has already selected the Mohawk Valley; and there is reason to believe that Target, Amazon, Blue Apron, and Google will be watching.





# STATE PRIORITY OPPORTUNITY AGENDA

In the Mohawk Valley, nearly 46% of working-age individuals in our region are on the sidelines. The economic engine is finally chugging; but language barriers, prior criminal records, and disabilities are leaving tens of thousands of our friends, families, and neighbors in the dust.

Ultimately, we will be judged by how successfully we have built a community and economy that is accessible and inclusive to everyone willing to participate. The promise of America is not being kept for the most vulnerable among us because of fundamental barriers to employment. These same barriers are preventing our communities from reaching our true potential.

This is symptomatic of larger issues: either there are perceived or systemic barriers to employment or, worse, these individuals have simply given up. Both reasons are symptomatic of a broken system; and neither are acceptable in a first-world economy. We can do better.

The history of the MVREDC is marked by connections. We've come so far, just by virtue of collaboration; but we have a long way to go before we can honestly declare true progress. We will never free ourselves from the fetters of recession until we can finally take advantage of the full complement of talent and diversity that our region has to offer.

The MVREDC is not equipped to solve these problems alone. A cultural shift toward compassion is essential to unlocking so much hidden potential. As entrepreneurs, educators, managers, and executives, we are working in the community to change the culture while supporting CFA projects that move us closer to the objective.

Strategies for expanding opportunity.

- Maximize opportunity for immigrant and refugee families through cultural and place-based programming.
- Incentivize business projects that afford employment opportunities to hard-to-place workers – particularly in the Tourism and Agribusiness sectors.
- Work with non-profit community and WIB to develop programs specifically aimed at breaking down language and cultural barriers.
- Direct REDC investments toward marginal and underserved neighborhoods to mitigate the effects of blight, improve pedestrian and transportation alternatives, and connect neighborhoods through a strong sense of place.

## MVRCR ONE WORLD WELCOME AND OPPORTUNITY CENTER

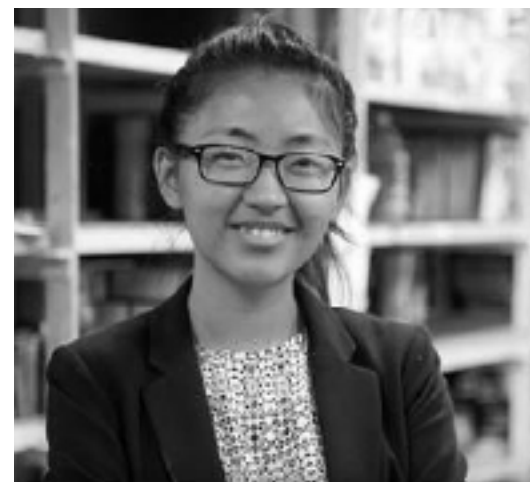
The One World Utica Welcome & Opportunity Center will support community engagement, create opportunities for workforce development, and ultimately cultivate a sense of community and inclusiveness for all newcomers and residents. The proposed One World Utica Welcome & Opportunity Center will provide a physical location in downtown Utica at 201 Bleecker Street that can be easily accessed by foot, bus, and rail by refugees, immigrants, and newcomers to the area.

The Center would allow MVRCR and partner agencies to collaborate on workforce training and educational opportunities, improved access to services, connections to regional employers, self-sufficiency workshops, linguistic and cultural development programming, and entrepreneurship mentoring.

### SHELLY CALLAHAN

#### MOHAWK VALLEY RESOURCE CENTER FOR REFUGEES

This project gives true meaning to One World... its focus is on refugees and newcomers, but can be a resource to anyone who is marginalized, underemployed, and seeking new opportunity.



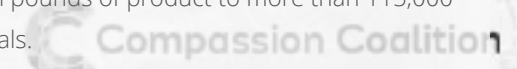
## COMPASSION WAREHOUSE: ITS ALL ABOUT PEOPLE.

In 2015, an estimated 1 in 8 Americans were food insecure. Compassion Coalition Inc. works to help alleviate poverty, and its effects throughout Herkimer and Oneida Counties, and is one of few private sector companies providing “fresh” healthy food access & choices to people within the inner-city. Their mission is to serve the underprivileged, the needy, the poor, the afflicted and those lacking opportunity and basic life necessities of affordable food, clothing, housing, transportation, employment and financial needs.

The Coalition currently operates a local, affordable fresh food market, Your Bargain Grocer, in what is otherwise a food desert in Utica's urban core. The majority of customers – and employees – walk bike, or take public transportation to the market. The non-profit currently partners with more than 100 local, community-based agencies to combat food insecurity and provide employment opportunities for more than 20 hard-to-place workers who, otherwise, have limited opportunity in the inner city.

The company plans to construct a 25,000 square foot warehouse on a 2.5 acre brownfield in downtown Utica. The new warehouse will double the storage capacity for food/personal care/furniture & other home essentials needed for distribution by over one hundred local agencies/organizations & schools. Additionally, the project seeks environmental justice through the elimination of blight, improving pedestrian connectivity and public transportation options, and investment in an underserved and marginal neighborhood.

To date, CC has distributed more than 3 million pounds of product to more than 115,000 individuals.



# STATE PRIORITY VETERANS

The rationale for increasing veterans' participation in the regional workforce is one in the same with our Opportunity Agenda. There is so much that our community needs to learn and understand in order to eliminate barriers to employment and create a culture of healing, inclusion, and prosperity. No region can afford to ignore such a wealth of talent on the table.

We can't always look at the numbers; as they tell a confusing story. With more than 30,000 veterans in the Mohawk Valley, the unemployment numbers are starting to improve. For example, out of nearly 4,000 working-age veterans in Herkimer county, only 6.6% are unemployed and six new businesses have attained certification as Service-Disabled Veteran-Owned Enterprises in the entire region.

Borrowing from the Rochester model, the CNY Veterans Outreach Center was established in downtown Utica by veterans and for veterans – with coordinated support from local leaders and a VA homelessness prevention grant. The model calls for holistic support across the spectrum of housing, career counseling, and wellness programs, and has proven invaluable to our veterans during the past few years.

"We had a long period of doing what we could to avoid veterans," he says. "We didn't want to talk to them. We didn't want to know what was going on with them. There was no history of communities thinking about what we can do as a community to help veterans. But something we're starting to understand is it takes a community for a veteran to come home. The light bulb has gone on." Steve Darman, Vietnam-Era Veteran and Veterans Outreach Center advisor.

Since 2011, connections have also been made between military organizations and regional universities. For example, a partnership between Fort Drum and MVCC has connected returning veterans with opportunities in unmanned aerial systems training (CFA 2015) and technology.

SUNY Cobleskill has an active Student Veterans Association and is recognized as a Military Friendly and Military Spouse Friendly University, and was awarded Best for Vets in 2017. SUNY Polytechnic has developed a partnership with Sitrin on groundbreaking R&D for adaptive technologies for wounded veterans.

Strategies for facilitating veterans participation in the workforce:

- Work more closely with OGS Office of Service Disabled Veteran Enterprises to seek, counsel, and certify Veteran entrepreneurs in the region.
- Cultivate university and industry-aligned programs that afford expanded opportunity to Veterans and their families in the Mohawk Valley.
- Prioritize REDC funding toward programs and capital projects that address needs identified by the Veteran community.

**VETERANS IN OUR COMMUNITY: 30,000**

**SOURCE: U.S. CENSUS BUREAU**

**EMPLOYMENT:**

**EMPLOYED 72%**

**UNEMPLOYED 7.25%**

**DISABLED 26.1%**



## VETS2FARM

As his military career began to wind down, Dave Gevry found himself battling depression and alcoholism and coming to terms with the end of his marriage. Originally from western Massachusetts, the 31-year-old had settled in his ex-wife's hometown of Little Falls in 2011 with their two children, and wound up divorced and alone shortly thereafter.

"I got really angry one day and went outside and planted some seeds," said Gevry, a veteran of Afghanistan who served in the U.S. Army and Navy and is now considered 100 percent disabled due to post traumatic stress and other physical injuries. "I thought about the positivity of growing something and it gave me the ability to really grow myself into something else."

That moment provided the inspiration for Gevry to enroll in SUNY Morrisville's agricultural business and dairy management programs, and last year he launched his Vets2Farm program (CFA 2016), which offers classes in raising animals and produce as means of therapy for disabled veterans.

Since its inception, the program has utilized donated farm land from a few sites around Herkimer County for its various aspects, but this year, Gevry said he was able to combine everything at the farm of Fred and Rob Winkler on Thompson Road in Little Falls.

The nonprofit program is in the midst of its pig training class in which a handful of veterans will raise the animals to the point of slaughter and then receive the meat as a means to feed their families. A similar class also is offered with chickens, and one is in the works that will involve cows for meat and dairy.

Gevry said the ultimate goal is to raise enough money so that Vets-2Farm can purchase its own farm that also would provide housing for homeless veterans.

Jorden Bauder has been in the program's current class for a few weeks tending to his pig named Sport. The 33-year-old Herkimer man, who served in the U.S. Army for 10 years, also is considered 100 percent disabled due to post traumatic stress and an ankle injury he suffered during an ambush in Iraq in 2003. The married father of three said adjusting to life after the military has been difficult, but services such as Vets2Farm — which also assists veterans with the documents associated with benefits and other personal matters — have helped ease the transition.

There are several other alternative therapy methods offered throughout the region at places such as Sitrin Health Care Center in New Hartford where veterans can garden, fly fish and dance.

Vice President of Clinical Development Jackie Warmuth said when administered in conjunction with traditional methods such as physical, occupational and speech therapy, the results can be highly effective.

"The alternative therapies are working really well," Warmuth said. "When it's their choice, and they choose something they are interested in, you wouldn't believe the difference it makes."



# STATE PRIORITY UPSTATE REVITALIZATION INITIATIVE

In 2015, Governor Cuomo awarded \$50 million to the MVREDC to advance the regional URI plan, *Sparking Transformation*. Although we did not win the URI top award, the MVREDC has worked to advance the plan by identifying projects that align with the MVREDC regional strategies and prioritizing them for state assistance.

This year, the Council vetted additional applications for URI Funding and recommended six projects to receive assistance. The council is committed to seeing the successful completion of these transformative projects by utilizing additional resources, state programs, and public-private partnerships as well as URI Funds

## COMMERCIALIZATION ACADEMY

The Commercialization Academy is a program that has influenced and grown the Mohawk Valley's entrepreneurial environment since 2014. Since then, it has become one of the most successful tech transfer programs associated with the US Department of Defense's research lab network. They are looking to scale up and promote commercialization and entrepreneurship across the region by leveraging other regional industry and research assets.



## EXIT 29 REDEVELOPMENT

Sitting at the gateway to the Mohawk Valley is the former Beechnut manufacturing site. This project brings together all partners to advance the site's redevelopment to clear and revitalize the Canajoharie area. The project will restore the vitality and significantly enhance Canajoharie's urban center.



## SUNY COBLESKILL ASSET EXCHANGE

Construction of an agricultural "asset map" that facilitates linkages and transactions between farm and food businesses and their markets and that serves as a "one-stop-shop" repository for relevant market and management intelligence was identified as a valuable and necessary step toward leveraging the region's tremendous agricultural assets into meaningful economic outcomes.



## ROME CABLE COMPLEX 4 REVITALIZATION

RCBRC will complete the demolition and remediation of the former Rome Cable Complex 4 - located in downtown Rome just off of Erie Boulevard, directly adjacent to Owl Wire and Worthington Industries. Successful completion will result in elimination of blight and decay from a struggling neighborhood, remediation of a known public health/safety hazard and will create a 40-acre mixed-use development site in downtown Rome.



## UTICA HARBOR POINT

The Utica Harbor is a National Historical Landmark along the Erie Canal that is waiting to be revitalized as an exciting place to live, learn, dine, work, shop, and play in a waterside setting. A vestige of the canal during the "Barge Canal" (industrial era) period, the Utica Harbor is envisioned to be a vibrant mixed-use, close-knit district that includes commercial, retail, food/restaurant establishments, entertainment venues, and attractions that will celebrate its past.



## MVHS SITE DEVELOPMENT

Mohawk Valley Health Systems will build a state-of-the-art downtown medical campus which includes the construction of an 830,000 sf hospital, 80,000 of medical office space, and a multiple-use shared municipal parking structure.

The project will demo vacant, blighted, and underutilized structures and they will construct a \$35 million publicly-owned shared parking structure, and engineering and development of infrastructure to support the medical campus.

# REGIONAL PRIORITY STEM INTENSIVE INDUSTRIES



## 2017 PRIORITIES

**JBF STAINLESS  
REDCO  
OW HUBBELL  
MGS MANUFACTURING  
PACEMAKER STEEL  
MOHAWK FABRICS  
DEPLOYED RESOURCES  
HOOBER FEEDS  
RAILPRO INDUSTRIES  
GRIFFISS INTERNATIONAL AIRPORT  
ONC BOCES ROBOTICS**

**This year, 25% of our Priority Projects were selected to support the new industrial revolution. JBF Stainless, Pacemaker Steel, MGS Manufacturing, Mohawk Fabric, O.W. Hubbel, and a host of other companies are seeking upwards of \$5 million in CFA funding in Round VII. The 2017 slate of manufacturing projects promise private leverage totaling more than \$25 million and the creation of nearly 80 new job opportunities across the region.**



*"2017 belongs to the makers. This is how we change the economy."*

### Semiconductor | Cyber | Unmanned Aerial Systems | Manufacturing Modernization

Seven years in, STEM jobs are still at the top of the MVREDC agenda. More than at any time in our history, cyber and the Internet of Things are the fastest-growing segment of the economy. Similarly, the global semiconductor industry is ramping up as the world's leading chip and sensor manufacturers are searching for new fab sites. And finally, having weathered a forty-year storm, our manufacturing community is making extraordinary leaps – and investments – in modernization and re-tooling for a global economy.

In 2011, Assured Information Securities was a nascent small cyber security company with a handful of federal contracts. Remarkable talent, inventive leadership, and undaunted courage have made AIS a global force in IOT. Today, the company's ranks have swelled to more than 250 cyber engineers, expanded its footprint across three states, and its services have extended into private and commercial applications. Average salaries at AIS are more than double the average wage in the Mohawk Valley.

At the same time, the Marcy Nanocenter was, to the naked eye, a hillside overlooking SUNY Institute of Technology. Seven years of sustained investment have catalyzed a transformation beyond imagination; sparking the college's transition to SUNY Polytechnic Institute and Marcy Nanocenter into one of the United States' top greenfield sites for semiconductor manufacturing.

These engines are running, and the potential for growth is almost beyond our ability to comprehend. But the story in 2017 is the rise of American manufacturing – a second industrial revolution. "Traditional" hard core manufacturing is fueling the global economy. Metal alloys, copper wire, leather, tooling, aircraft components, advanced fabrics, craft beverages, and custom bicycles. The Mohawk Valley makes the products that make civilization. The mills and manufacturing floors may occupy the same piece of earth as they did at the turn of the century; but the jobs look very different today.

Today, the manufacturing floors are dominated by automated equipment, mechatronics, robotics, and programmable logic controls. The operators and technicians are, essentially, computer scientists and mechanical engineers. Programming devices, troubleshooting complex problems, solving mathematical equations, and performing quality control on a microscopic level. And it is paying off.

Today, STEM jobs in the region are growing. The average wage of advanced manufacturing is 34% higher than the region's average private wage. Average private wage growth (2011-16) has outpaced NYS by a full percent.

Meanwhile, manufacturing exports by advanced industries have increased by 26% per capita. The formula is working: transition to STEM-based careers > export more products > import new wealth into the region > convert new wealth to higher wages > circulate disposable income within the regional economy > create new opportunity.

**+4%**

SINCE 2012

**AD. MANUFACTURING  
JOBS**

**+13%**

SINCE 2010

**AD. MANUFACTURING  
WAGES**



# REGIONAL PRIORITY

## PLACEMAKING & TOURISM



*“The evidence is everywhere. By water, by road, by bike, and by foot – you can see, touch, taste, and feel the transformation.”*

### Activate the Waterfront | Align with Industry | Leverage Natural Resources | Create Great Places

Cities and Villages across the region are shaking off the rust and reinventing themselves through inspired placemaking. Embracing the 200th anniversary of Clinton's Ditch, the cities of Amsterdam, Utica, Little Falls, Sherrill, and Rome are opening the Erie Canal to our citizens and visitors from around the globe. Gloversville, Oneonta, Schoharie, and Cobleskill are leveraging cultural treasures and natural beauty to boost the local economy. Cooperstown, Sharon Springs, and Old Forge are part of the international conversation as families from around the world plan their next vacations.

Much of this is directly attributable to our industry-aligned strategy. Manufacturers and exporters of home-grown products such as Herkimer Diamonds and Saranac Beer are also attracting visitors by way of camping, festivals, concerts, and tours. Our region is capitalizing on authentically Mohawk Valley brands.

When fans last gripped their glasses at the end of Game of Thrones' sixth season, the great houses of Westeros were on the brink of an epic conflict. To commemorate the Emmy® Award-winning show's epic seventh season, Brewery Ommegang and HBO Global Licensing released the next beer in their collaborative series. Paying homage to the struggle for control of the Seven Kingdoms, Bend the Knee Golden Ale marked the new season with a series of three collectible 750ml bottles, each adorned with one of the three Great House sigils: Stark, Targaryen, or Lannister.

Brewery Ommegang represents the crossroads of Agribusiness, Manufacturing, Placemaking, and Global Exports. Belgium-inspired beer, farm to table cuisine, international festivals, and funky jam sessions help to make summer in Cooperstown an unforgettable experience. Belgium comes to Cooperstown, is an annual summer beer fest celebrating the best in Belgian-style beers which, in recent years, has grown

from a modest gathering to a full-on festival with camping; live music; a VIP dinner; late night movies; receptions and lectures. Over 100 breweries and 3,000 guests from around the world converge on an already-hopping Cooperstown area.

This year, Brewery Ommegang proposes to expand the Tourism side of the house with a \$2 million hospitality expansion, including a new outdoor Pavilion, expanded patio, indoor seating, expanded retail store, a dedicated 'beer cave', new bathrooms, new entryway and other improvements aimed at an expanded 'shoulder season', retail sales, enhanced beer tourism experiences, and visitor communion with the Upstate landscape.

This project is in perfect timing and harmony with the National Baseball Hall of Fame's proposed event-based marketing program.

Just down the road, Sharon Springs is riding the lifestyle wave of Beekman 1802 by recapturing the magic of the natural springs, culinary craft, and historic hotels to attract \$10+ million in foreign direct investment and millions more in visitor spending. Proposed infrastructure modernization is absolutely critical to realizing the dream in the water-intensive local economy.

All this, while nice spots are becoming great places along the towpath. Next-phase buildout of the Bellamy Harbor Terminal, Fonda Canalside Park, Sylvan Beach Visitor Center, and unprecedented South Side revitalization in Amsterdam are all strategic components of this year's implementation strategy, totaling greater than \$8 million in canalside investment. That's more than the entire canal cost to construct in 1817.

### 2017 PRIORITIES



HOLLISTER  
167 GENESEE  
FIRST & MAIN  
BREWERY OMMEGANG  
CHALMERS MULTI-USE  
AMSTERDAM SOUTH SIDE HOTEL  
STEVENS HARDWARE ADAPTIVE REUSE  
ONE WORLD OPPORTUNITY CENTER  
BELLAMY TERMINAL BUILD-OUT  
KEMPF REVITALIZATION  
COBLESKILL FAIRGROUNDS  
ADIRONDACK DISTILLING  
SHARON SPRINGS INFRASTRUCTURE  
NATIONAL BASEBALL HALL OF FAME



### We've got something special, and the world knows it.

Pound for pound, our region has outpaced every other region since the creation of the REDCs.

**\$2.1 B**  
VISITOR SPENDING  
2016

**+26%**  
VISITOR SPENDING  
GROWTH SINCE  
2011

**+37%**  
TOURISM WAGES  
GROWTH SINCE  
2010



# REGIONAL PRIORITY

## CRAFT MANUFACTURING & FOOD SYSTEMS



### Craft Food & Beverage Production | Food System Supply Chain | Agribusiness Entrepreneurs

A sustainable food system is a collaborative network that integrates several components in order to enhance a community's environmental, economic and social well-being. It is built on principles that further the ecological, social and economic values of a community and region.

What that means for the Mohawk Valley is this: not only do we manufacture and process the food and drinks, we grow the grains, raise the cows, and make the vessels in which the food and drinks are made. What's more, we craft them with local talent and share them with the community – and the world.

Since the opening of the Erie Canal, Upstate New York has led the farm-to-table movement. From the first modern American cheese factory to the latest in Greek yogurt production, Mohawk Valley businesses continue to innovate – and export.

In 2011, Governor Cuomo set about to transform the craft beverage industry through a comprehensive suite of legislation and incentives. Realizing the tremendous growth potential, the Regional Council sought out projects like Brew Central and the Hartwick Center for Craft Food and Beverage in the

hopes of growing the ecosystem. 2017 priority projects like JBF Stainless and Adirondack Distilling are proof that the strategy is working. But brewing and distilling are only part of the story.

Formed in 1985, Redco Foods, Inc. manufactures and distributes Salada tea, Red Rose tea and Junket brand dessert mixes from the Little Falls N.Y. operations facility. Redco is the hot tea licensee for Snapple, and distributes both Pompadour tea and Garden of the Andes tea. All manufacturing occurs in Little Falls. Also in Herkimer, a county with renewed hope, JBF Stainless plans to construct a new steel tank manufacturing facility to feed demand for craft food and beverage manufacturing – and in a master stroke, to complete full build-out of the 5s Business Park.

Projects like the Cobleskill Hall of Agriculture, Empire State Cook-Chill, and Chalmers Multi-Use Revitalization Plan each capitalize on a different aspect of Farm-to-Table; facilitating entrepreneurial development, exploring new markets for local meat and vegetable producers, and creating new downtown culinary attractions.

The MVREDC focus on growing agriculture-based businesses have played a role in driving a 20% wage growth since 2010, as well as a hand in the region's 26% growth in global exports. Now is when we partner with NYS Agriculture and Markets to strengthen our brand. This year, Cornell Cooperative Extension is seeking the critical funding to implement the ambitious Grown and Certified in NY branding initiative – exacting and enforcing the highest standard of quality and environmental stewardship for local produce.



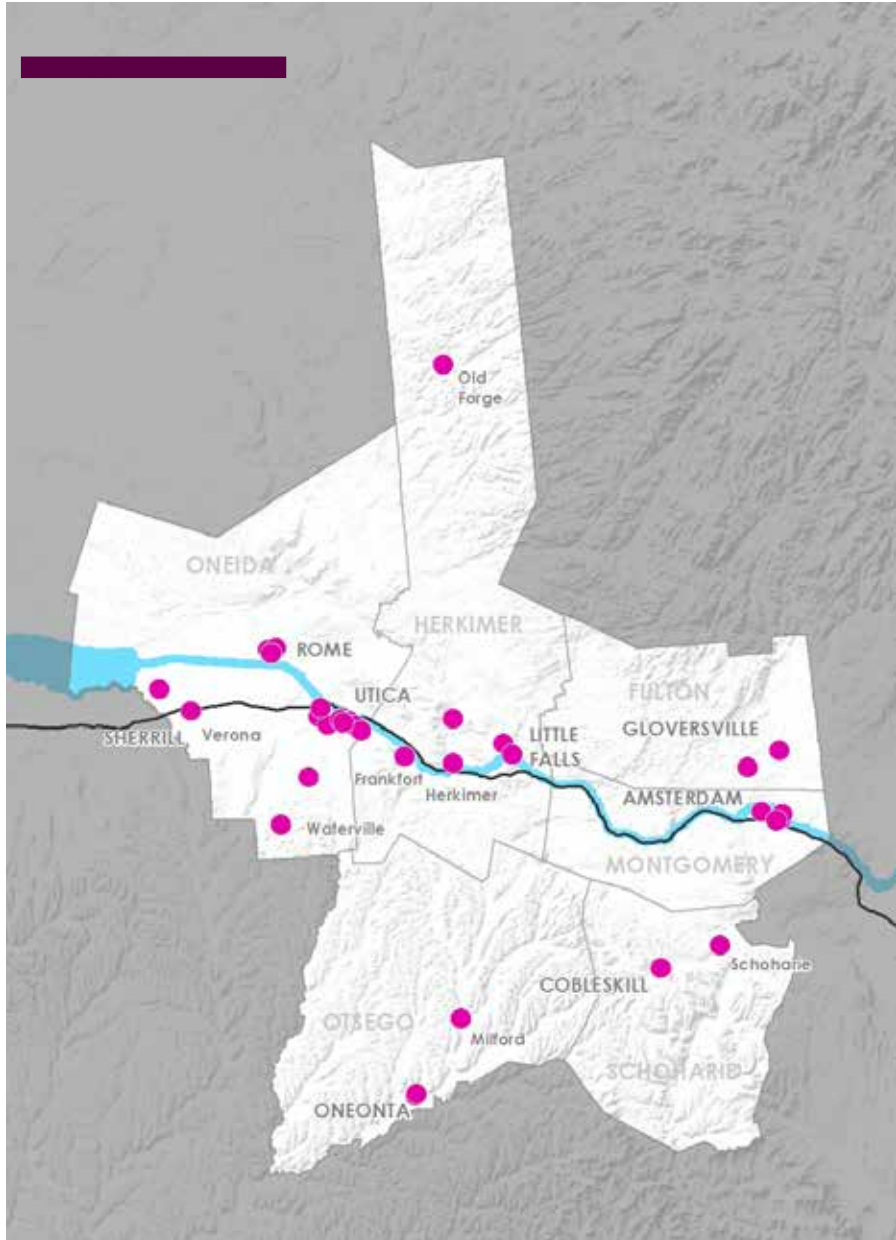
### 2017 PRIORITIES

- |                                |                                       |
|--------------------------------|---------------------------------------|
| <b>ADIRONDACK DISTILLING</b>   | <b>JBF STAINLESS</b>                  |
| <b>EMPIRE STATE COOK-CHILL</b> | <b>VIDA-BLEND</b>                     |
| <b>GEORGE'S FARM PRODUCTS</b>  | <b>REDCO</b>                          |
| <b>COMPASSION COALITION</b>    | <b>CCE</b>                            |
| <b>CHALMERS MULTI-USE</b>      | <b>HOOBER FEEDS</b>                   |
| <b>REDEVELOPMENT</b>           | <b>COBLESKILL HALL OF AGRICULTURE</b> |





# 2017 PRIORITY PROJECTS



LEVERAGE RATIO  
8 : 1

CFA #	Project Name	Recommended Funding	CFA #	Project Name	Recommended Funding
74055	Griffiss Intl Airport Rehab of Building 100	\$1,400,000.00	77141	Expansion ADK Distilling	\$100,000.00
75100	One World Welcome & Opportunity Center	\$248,000.00	72399	167 Genesee St	\$400,000.00
75161	Chalmers MultiUse Redevelopment Project	\$1,000,000.00	76870	Vail Mills Redevelopment Sewer	\$260,000.00
76000	Laboratory Renovation MMRL	\$220,000.00	76594	Construction Management Utica College	\$700,000.00
72135	JBF Stainless	\$680,000.00	76829	Mohawk Fabrics New Equip-ment	\$70,000.00
72019	ODSG BOCES Mechatronics	\$630,000.00	77277	SUNY Cobleskill Hall of Agriculture Renovation Project	\$44,000.00
75647	Hoober Feeds	\$38,000.00	77303	Cobleskill Fairgrounds Stage Improvements	\$25,000.00
76416	Plant Upgrades REDCO	\$600,000.00	76265	Village Motors Mohawk Street	\$550,000.00
74543	Soularium: Addiction Treatment Center The Neighborhood Center	\$1,750,000.00	74725	Jason Gwilt Memorial Senior Apartments Senior Center	\$430,000.00
76935	Hales Mills Development Area Sewer	\$120,000.00	76569	First & Main Rehab	\$200,000.00
75813	Deployed Resources	\$1,380,000.00	77088	Bleecker St Rehab	\$200,000.00
73566	Empire State CookChill	\$1,230,000.00	76533	Amsterdam Southside Hotel Development	\$1,200,000.00
71792	OW Hubbell & Sons	\$300,000.00	75458	Kempf Buildings Renovation	\$430,000.00
75457	Vida Blend New Construction	\$240,000.00	75973	George's Farm	\$170,000.00
72383	LFH Primary Care Build	\$480,000.00	75103	Hollisters Business Expansion	\$530,000.00
76234	Pacemaker Steel Expansion	\$700,000.00	73887	YMCA Oneonta	\$170,000.00
76359	Rail Pro Industries	\$170,000.00	75097	East Herkimer Sewer District	\$500,000.00
76802	MGS Manufacturing Expansion	\$875,000.00	75881	GUSC Electric System Upgrades	\$350,000.00
76762	Stevens Hardware Building	\$90,000.00	74104	Willow Place Road Extension	\$900,000.00
71792	Compassion Coalition Warehouse	\$620,000.00			



# PRIORITY PROJECT

## GRIFFISS INTERNATIONAL AIRPORT REHAB BUILDING 100

CFA #74055

APPLICANT: Oneida County

LOCATION: Rome, Oneida County

DIRECT JOBS CREATED OR RETAINED: 20

INDIRECT/CONSTRUCTION JOBS CREATED: 50

PAST CFA AWARDS: \$0

**DESCRIPTION:** The legacy Air Force base headquarters (Building 100) includes (2) 50,000 square foot hangars connected to a center core office facility of approximately 50,000 square feet of office, operations, and incubator space. Building 100 currently houses the operations center for UAV/UAS operations. The improved space will provide an incubator center for UAV and UAS business development. This project includes the addition of a 3 story front facade to accommodate new stairways and an elevator providing ADA compliance to second and third floor facilities. the project also includes rehabilitation of approximately 31,000 square foot of office space including the rehab of the UAV operation center. Also included is an upgrade of the HVAC system of the office space eliminating the original steam system with efficient roof top units.



**\$7,000,000**  
TOTAL PROJECT COST

**\$1,400,000**  
RECOMMENDED FUNDING

**STRATEGY ALIGNMENT:** The MVREDC identified STEM-intensive industries as the primary vehicle for raising incomes and improving quality of life in the region; owning to the fact that STEM jobs pay 1.5 - 2X more than the average regional wage. UAS significantly differentiates us from most regions in the U.S. - which is why we need to remain on the cutting edge with respect to facilities, capability and infrastructure.

**BUDGET: SOURCES AND USES**

SOURCES OF FUNDS	ESD \$1,400,000
	Other Public \$5,600,000
TOTAL FUNDING	\$7,000,000
USE OF FUNDS	Infrastructure \$400,000
	Renovation \$5,900,000
	Engineering \$700,000

# PRIORITY PROJECT

## ONE WORLD WELCOME & OPPORTUNITY CENTER

CFA #75100

APPLICANT: Mohawk Valley Resource Center for Refugees

LOCATION: Utica, Oneida County

DIRECT JOBS CREATED OR RETAINED: 26

INDIRECT/CONSTRUCTION JOBS CREATED: 0

PAST CFA AWARDS: \$0



**\$1,290,823**  
TOTAL PROJECT COST

**\$248,000**  
RECOMMENDED FUNDING

**DESCRIPTION:** MVRCR is requesting funding to establish a One World Utica Welcome & Opportunity Center intended to foster and enhance the welcoming community climate in the Mohawk Valley and City of Utica. The Center will support community engagement, create opportunities for workforce development, and ultimately cultivate a sense of community and inclusiveness for all newcomers and residents. The proposed One World Utica Welcome & Opportunity Center will provide a physical location in downtown Utica at 201 Bleeker Street that can be easily accessed by refugees, immigrants, and newcomers to the area. The Center is located centrally in Utica and is in close proximity to the central bus station and within walking distance of the train station. The Center will provide improved access to services for refugees, immigrants, and newcomers Increased access to educational opportunities including GED, vocational training, and post-secondary education for refugee/immigrant communities, increased employment opportunities for refugee/immigrant communities, increased opportunities for refugees/immigrants to achieve self-sufficiency, and increased access to entrepreneurship/small business development training programs.

**STRATEGY ALIGNMENT:** The project supports the region's Opportunity Agenda through education and workforce initiatives that address barriers for at-risk populations to participate in the region's economic revitalization. The project aligns with the development of Impact Centers that would provide, "limited-English individuals and newcomers to the area to access needed services in close proximity to the education and job training programs through project partners." The One World Welcome & Opportunity Center will provide access and the opportunities necessary for refugees and immigrants to receive the support services, community resources, education, and vocational opportunities to engage and contribute to the economy and cultural vibrancy of the city and region.

**BUDGET: SOURCES AND USES**

SOURCES OF FUNDS	ESD \$248,781
	Other Public \$1,043,671
	Equity \$82,152
TOTAL FUNDING	\$1,374,604
USE OF FUNDS	Infrastructure \$82,152
	Acquisition \$1,100,000
	FF&E \$192,452



# PRIORITY PROJECT

## CHALMERS MULTIUSE REDEVELOPMENT PROJECT

CFA #75161

APPLICANT: Montgomery County Industrial Development Agency

LOCATION: Amsterdam, Montgomery

DIRECT JOBS CREATED OR RETAINED: 27

INDIRECT/CONSTRUCTION JOBS CREATED: 12,000

PAST CFA AWARDS: \$0

**DESCRIPTION:** This project sits on the former Chalmers Knitting Mill site located in a New York State designated Brownfield Opportunity Area. It will be revitalized as a mixed-use \$23 million project sparking over 1,200 construction jobs and 28 permanent jobs. The proposed project has undergone conceptual design to scale a mixed use, residential 120-unit multifamily community with proven demand demonstrated by third party market analysis. It will also support food related entrepreneurs and workforce training. Finally, the project also includes a riverfront 300-seat banquet facility and restaurant. Supported by a federal USDA funded study led by experts and incorporating community stakeholder input, a culinary incubator with shared commercial kitchen space will be provided to support early stage entrepreneurs and to administer commercial kitchen ready skilled workers for hospitality, food catering and service opportunities.



\$24,542,987

TOTAL PROJECT COST

\$1,000,000

RECOMMENDED FUNDING

**STRATEGY ALIGNMENT:** The proposed project directly aligns with vibrant communities strategy: This project will result in a new mixed-use space in Amsterdam's downtown, adjacent to the City's waterfront.

BUDGET: SOURCES AND USES	
SOURCES OF FUNDS	ESD \$2,000,000
	Other State Funds \$1,500,000
	Private Funds \$21,042,987
TOTAL FUNDING	\$24,542,987
USE OF FUNDS	Infrastructure \$1,000,000
	Renovation \$17,750,000
	Engineering \$5,492,737
	Property Acquisition \$300,000

# PRIORITY PROJECT

## LABORATORY RENOVATION 2018

CFA #76000

APPLICANT: Masonic Medical Research Laboratory

LOCATION: Utica, Oneida County

DIRECT JOBS CREATED OR RETAINED: 14

INDIRECT/CONSTRUCTION JOBS CREATED: 0

PAST CFA AWARDS: \$0

**DESCRIPTION:** The Masonic Medical Research Laboratory in Utica is a not-for-profit institute founded in 1958. The requested funding is for the purchase of equipment which will be used as part of a multiphase renovation project. Phase 1 began in early July 2017, and includes a \$2.75 million dollar renovation that will completely modernize and upgrade 6,800 square feet of research space on the 2nd and 3rd floors of the laboratory. The renovation project will transform this outdated space into a state of the art laboratory which will house a new and expanded research venture. Funding for the first phase has already been secured utilizing laboratory funds, grants and loans. Phase 2 of the project pertains to the purchase of cutting edge research equipment and the outfitting of new research cubicles. This funding request is for the purchase of scientific equipment including: VisualSonics Echo Machine, a Keyence Microscope and a Mass Spectrometer. The associated research will be the springboard for collaboration with Mohawk Valley Health System. When its new hospital is built, this collaboration will allow the laboratory to expand research and the hospital to institute a research and teaching component.



\$6,000,000

TOTAL PROJECT COST

\$220,000

RECOMMENDED FUNDING

BUDGET: SOURCES AND USES	
SOURCES OF FUNDS	ESD \$550,000
	Private Funds \$5,450,000
TOTAL FUNDING	\$6,000,000
USE OF FUNDS	Equipment \$6,000,000

**STRATEGY ALIGNMENT:** The MMRL is an internationally recognized biomedical research institute. It is a center for genetic screening of inherited cardiac arrhythmia diseases, and is actively involved in the development of safe and effective drugs for atrial fibrillation. A state investment to support cutting edge, high quality equipment will strategically align with the region's plan to enhance STEM and life sciences careers, expand job opportunities and boost downtown revitalization. A competitive and successful MMRL will make the Mohawk Valley a prime destination for quality, high paying positions for physicians, researchers, support staff and numerous other research collaborations around the state.

# PRIORITY PROJECT

## STAINLESS STEEL TANK FABRICATION FACILITY

CFA #72135

APPLICANT: JBF Stainless LLC  
LOCATION: Frankfort, Herkimer County  
DIRECT JOBS CREATED OR RETAINED: 37  
INDIRECT/CONSTRUCTION JOBS CREATED: 30  
PAST CFA AWARDS: \$0

**DESCRIPTION:** This project includes a new construction light industrial building comprised of: 20,000 square feet of high-bay (40 feet of clearance below the crane hook) crane served (three 10-ton cranes) tank fabrication and assembly space, plus 5,500 square feet of normal height component fabrication space, plus 2,000 square feet of office, break/lunch and restroom space. This 27,500 square foot project will be located on approximately 5 acres of land in the Frankfort 5S South Business Park. The Project building is expected to be a Butler building designed and built by Utica-based Charles A. Gaetano Construction Corporation pursuant to a design-build/construction management contract. That firm built the only other building presently completed in the Business Park.



\$3,445,100

TOTAL PROJECT COST

\$680,000

RECOMMENDED FUNDING

**STRATEGY ALIGNMENT:** Strategic Plan alignment derives from the project's: Job creation that grows, supports and diversifies the region's STEM and agribusiness clusters, as outlined in the MVREDC's 2015 URI plan.

BUDGET: SOURCES AND USES	
SOURCES OF FUNDS	ESD \$689,020
	Private Funds \$2,756,080
TOTAL FUNDING	\$3,445,100
USE OF FUNDS	Construction \$2,795,100
	Equipment \$368,500
	Engineering \$181,500
	Property Acquisition \$100,000

# PRIORITY PROJECT

## GROWING A MECHATRONICS ROBOTICS PROGRAM FOR THE MOHAWK VALLEY

CFA #72019

APPLICANT: Otsego-Delaware-Schoharie-Greene BOCES  
LOCATION: Milford, Ostego County  
DIRECT JOBS CREATED OR RETAINED: 87  
INDIRECT/CONSTRUCTION JOBS CREATED: 0  
PAST CFA AWARDS: \$0



\$3,157,780

TOTAL PROJECT COST

\$630,000

RECOMMENDED FUNDING

**DESCRIPTION:** ONC BOCES is seeking funding to support a new Mechatronics/Robotics Program for high school and adult students in Otsego, Delaware, Schoharie and Greene counties. Mechatronics combines electronics and mechanical engineering and will prepare students for a wide variety of technical positions in the region. Graduates of the two-year program will be prepared to go on to college for further mechatronics education or directly into the workforce, into entry-level manufacturing jobs. ESD funding will be used to construct a mechatronics lab at ONC BOCES, with an expected program launch in fall 2018. A flexible design will allow the space to be adjusted in the future as technologies change. ONC BOCES has spoken with more than 30 manufacturers and other employers who echo the same problem - that there is a shortage of appropriately skilled workers. That problem is not local: the shortage is seen across the state, making support of ONC BOCES' program a priority regionally and state-wide. ONC BOCES has already recruited multiple partners who have agreed to support the program in many ways.

BUDGET: SOURCES AND USES	
SOURCES OF FUNDS	ESD \$631,565
	Other State Funds \$2,526,224
TOTAL FUNDING	\$3,157,780
USE OF FUNDS	Engineering \$22,500
	Construction \$1,655,280
	Equipment \$1,480,000

**STRATEGY ALIGNMENT:** The MVREDC's plan includes building STEM industries as one of three strategies for growing the region's economy. Specific goals to support that strategy include building a 21st-century workforce through an education network aligned with the region's needs, including in manufacturing. The proposed program will be vital in that education network, providing trained employees to the region's manufacturers. Based in Milford, Otsego County, the proposed program will serve a rural population by providing training needed for good-paying jobs in the region.



# PRIORITY PROJECT

## BAGGING LINE AND PELLET COOLER INSTALLATION



**CFA #75647**  
**APPLICANT:** John J. Hooper, Inc. T/A Hooper Feeds  
**LOCATION:** Central Bridge, Schoharie County  
**DIRECT JOBS CREATED OR RETAINED:** 12  
**INDIRECT/CONSTRUCTION JOBS CREATED:** 0  
**PAST CFA AWARDS:** \$0

**DESCRIPTION:** Hooper Feeds is proposing to install a pellet cooler and feed packaging line at the mill in Central Bridge. The equipment will be installed within the current facility. A pellet cooler will allow Hooper Feeds to make a nice quality pelleted or crumbled livestock feed. A high pellet durability index (PDI) is measurement of how well a pellet holds together. The better a pellet or crumble stays together allows the animal to get better nutrition. The bagging and packaging line will allow Hooper Feeds to respond to the demand for bagged feed in addition to the bulk feed that is manufactured in Central Bridge. Currently, most of the bagged feed is shipped in from Pennsylvania. The project, estimated to cost \$190,000 would create 3 full time positions.



\$190,000

TOTAL PROJECT COST

\$38,000

RECOMMENDED FUNDING

**STRATEGY ALIGNMENT:** The MVREDC identifies Agriculture as one of its top strategies to drive the Region’s economy. Hooper Feeds’ proposal to install a bagging line at their Central Bridge facility provides an additional market opportunity for local agricultural commodity growers, and also provides critical infrastructure support for livestock farmers in the area.

BUDGET: SOURCES AND USES	
SOURCES OF FUNDS	ESD \$38,000
	Other Public Funds \$38,000
	Private Funds \$114,000
TOTAL FUNDING	\$190,000
USE OF FUNDS	Equipment \$159,000
	Construction \$25,000
	Engineering \$6,000

# PRIORITY PROJECT

## PLANT UPGRADE



**CFA #76416**  
**APPLICANT:** Redco Foods, Inc.  
**LOCATION:** Little Falls, Herkimer County  
**DIRECT JOBS CREATED OR RETAINED:** 92  
**INDIRECT/CONSTRUCTION JOBS CREATED:** 0  
**PAST CFA AWARDS:** \$0

**DESCRIPTION:** Additional machinery at the company’s Little Falls, NY plant is needed to meet the rising demand for additional size tea bags. Phase 1 - One (1) Family Size Tea bag machine at a cost of \$750,000 to upgrade current older slower machine to meet demand for product, plus the cost of new tracks, case packer and coding machine. Phase 2 - Two (2) Gallon Size Tea Bag machines at a cost of \$120,000 each to meet demand for the product and run a full capacity, plus the cost of new tracks, case packers and coding machines. Phase 3 - One (1) Pyramid Size Tea bag machine at a cost of \$1,250,000 to enter into the growing pyramid bag market to increase sales, production and jobs, plus the cost of new tracks, case packer and coding machine. With the new machines and lines, the company expects to add an additional 15 jobs.



\$3,000,000

TOTAL PROJECT COST

\$600,000

RECOMMENDED FUNDING

BUDGET: SOURCES AND USES	
SOURCES OF FUNDS	ESD \$600,000
	Owner Equity \$2,400,000
TOTAL FUNDING	\$3,000,000
USE OF FUNDS	Equipment \$3,000,000

**STRATEGY ALIGNMENT:** This project supports a long standing agribusiness in the Mohawk Valley, allowing it to expand and become more competitive in the global marketplace.

# PRIORITY PROJECT

## SOULARIUM: ADDICTION TREATMENT CENTER

CFA #74543

APPLICANT: The Neighborhood Center, Inc.

LOCATION: Herkimer, Herkimer County

DIRECT JOBS CREATED OR RETAINED: 50

INDIRECT/CONSTRUCTION JOBS CREATED: 80

PAST CFA AWARDS: \$0

**DESCRIPTION:** With substance abuse reaching epidemic proportions across the nation, and particularly within NYS, waiting lists for drug treatment facilities continue to get longer. The Neighborhood Center (TNC), based in Utica, has been responding to the needs of its community for over 112 years and is now proposing an innovative rehabilitation center to help the state battle this epidemic. TNC is proposing the creation of a rehabilitation and healing facility for the treatment of addictions with the mission to assist individuals in their recovery. To create its ‘Soularium’, TNC is proposing the rehabilitation of the Herkimer County Country Manor, which was once an 88-bed nursing home. TNC is proposing a 50-patient treatment center with 34 double-occupancy rooms. An additional 8 single rooms will be constructed separate from the rehabilitation treatment which will be utilized for stabilization and allow for round-the-clock observation and care.



\$8,755,511

TOTAL PROJECT COST

\$1,750,000

RECOMMENDED FUNDING

**STRATEGY ALIGNMENT:** By responding to the needs of a very much at risk population in the Mohawk Valley, this project aligns with the MVREDC’s Opportunity Agenda, by giving treatment and life skills training to chronic substance abusers. In addition, this project will result in the creation of approximately 50 FTE new jobs.

BUDGET: SOURCES AND USES	
SOURCES OF FUNDS	ESD \$1,751,102
	Other State Funds \$5,000,000
	Private Funds \$2,004,409
TOTAL FUNDING	\$8,755,511
USE OF FUNDS	Infrastructure \$75,000
	Construction \$7,498,676
	Engineering \$795,955
	Equipment \$385,880

# PRIORITY PROJECT

## HALES MILLS DEVELOPMENT AREA SEWER

CFA #76935

APPLICANT: Fulton County

LOCATION: Johnstown, Fulton County

DIRECT JOBS CREATED OR RETAINED:

0

INDIRECT/CONSTRUCTION JOBS

CREATED: 20

PAST CFA AWARDS: \$0

HALES MILLS DEVELOPMENT AREA

A Dynamic Neighborhood With Unmatched Quality of Life

\$600,000

TOTAL PROJECT COST

\$120,000

RECOMMENDED FUNDING

**DESCRIPTION:** Fulton County’s newly-established Development Strategy calls for the implementation of what are referred to as Primary Development Areas. One Primary Development Area identified in the Strategy is the Hales Mills Development Area. This is an approximately 450+/- acre vacant tract of land located in the Town of Johnstown. The site sits adjacent to the cities of Gloversville and Johnstown. Fulton County’s Development Strategy includes a Conceptual Plan for how this Development Area could be built out. This project will involve bringing municipal sewer service to the site. At present, there is no municipal sewer service available for this Development Area.

BUDGET: SOURCES AND USES	
SOURCES OF FUNDS	ESD \$120,000
	Other Public \$480,000
TOTAL FUNDING	\$600,000
USE OF FUNDS	Equipment \$150,000
	Construction \$450,000

**STRATEGY ALIGNMENT:** This project aligns with the MVREDC’s core strategy of reviving communities. In this project, the investment made to enhance infrastructure in Fulton County is tied to the potential growth of business in the areas outside Gloversville and Johnstown, which are ripe for development. Such development could bring hundreds of jobs to a community that has experienced significant job loss. Additionally, the project will assist with the implementation of the County’s “SMART Waters” initiative by promoting revenue sharing and tax base sharing arrangements between the City of Gloversville, the Town of Johnstown and Fulton County.



# PRIORITY PROJECT

## ROME NY 917 MANUFACTURING EXPANSION

CFA #75813

APPLICANT: Deployed Resources, Inc.

LOCATION: Rome, Oneida County

DIRECT JOBS CREATED OR RETAINED: 9

INDIRECT/CONSTRUCTION JOBS CREATED: 50

PAST CFA AWARDS: \$0

**DESCRIPTION:** Sustained growth has established an urgent need to expand our manufacturing capacity at our Rome NY facility. We intend to purchase our current leased properties on Griffiss Business & Technology Park - Bldg 832 (8K SF), Bldg 917 (32K SF) and Bldg 916 (8K SF) - perform necessary renovations and expansions, and purchase and install metal manufacturing equipment to increase workstations and productivity, to operate safer, stronger, more sustainability, gain economic savings and increase job opportunities. We have hired 6 new employees in 2017 and intend to hire another 4-6 welding positions and 2-4 General Maintenance Technicians in 2018 for our Rome Campus, as a result of this proposed investment.



**STRATEGY ALIGNMENT:** Expanding business in the Mohawk Valley and creating manufacturing jobs significantly aligns with the MVREDC’s strategic plan. The MVREDC has identified metals manufacturing as a significant sector of employment within the region, and it exists within the region’s STEM ecosystem. This project will help sustain and grow this sector, while creating stable, living-wage manufacturing jobs in a region that greatly needs them.

BUDGET: SOURCES AND USES	
SOURCES OF FUNDS	ESD \$1,386,000
	Private Funds \$5,513,200
TOTAL FUNDING	\$6,899,200
USE OF FUNDS	Property Acquisition \$217,000
	Construction \$5,290,000
	Engineering \$250,000
	Equipment \$1,142,200

# PRIORITY PROJECT

## EMPIRE STATE COOKCHILL

CFA #73566

APPLICANT: Waterville Economic Development Corporation

LOCATION: Watertown, Oneida County

DIRECT JOBS CREATED OR RETAINED: 73

INDIRECT/CONSTRUCTION JOBS CREATED: 80

PAST CFA AWARDS: \$0



**DESCRIPTION:** Southern Oneida County Cook/Chill (SOCCC), dba Empire State Farms Cook/Chill (ESFCC) is proposing the construction and fit-out of an expandable 30,000-40,000 square foot OSHA-compliant state of the art building that will become a high-quality USDA-inspected Ready To Eat (RTE) meats and value-added protein production/processing and distribution facility. Within this facility will be space for: processing, wrapping meat, cooler storage, food preparation, offices, and maintenance requirements. In addition to purchasing various boneless meat products, the facility plans to purchase cull dairy quartered meat carcasses from various USDA slaughter facilities in New York and the Northeast. ESFCC will establish relationships with existing New York State USDA slaughter facilities and others offering animals for food processing. From the time the facility becomes operational, ESFCC will require approximately 6 months to reach full production capacity. The expectation for production is 45,000 lbs per day, or more than 1 million lbs per month.

BUDGET: SOURCES AND USES	
SOURCES OF FUNDS	ESD \$2,000,000
	Other State \$500,000
	Private Funds \$9,725,000
TOTAL FUNDING	\$12,225,000
USE OF FUNDS	Equipment \$5,000,000
	Construction \$7,000,000
	Property Acquisition \$225,000

**STRATEGY ALIGNMENT:** In its 2015 URI submission, the MVREDC highlighted agribusiness as a strategic industry that would be targeted for regional investment and growth. As a project that facilitates a new market for local farmers, and also allows regional producers to sell products to outside the region and the state. This project aligns perfectly with this strategy by creating jobs and wealth within a strategic, growing industry in the Mohawk Valley.

# PRIORITY PROJECT

## COMPETITIVE BUILDING & PROCESS IMPROVEMENTS

**CFA #71792**  
APPLICANT: O. W. Hubbell & Sons, Inc  
LOCATION: Yorkville, Oneida County  
DIRECT JOBS CREATED OR RETAINED: 101  
INDIRECT/CONSTRUCTION JOBS CREATED: 13  
PAST CFA AWARDS: \$0

**DESCRIPTION:** The project will extend our processing tanks within the existing plant footprint to allow us to move material through the galvanizing process more efficiently. By doing this we will improve our position in the galvanizing industry by being more competitive with larger, out of state, often international galvanizers. By improving the through-put of our process, we will be able to take full advantage of the capacity of the building. We will use this increased capacity to be more competitive in being able to secure larger steel fabrications from our existing customers who are now sending their larger material out of state to be galvanized. We will be able to extend our production over a longer period of time during the year, into what currently is our “slow season”. In the winter months when highway construction is slow, we normally have seasonal lay-offs. Smoothing production will enable us to retain more employees on our payroll throughout the year. This will secure our ability to provide good paying jobs, with excellent benefits, to targeted populations providing a path to a better economic future.



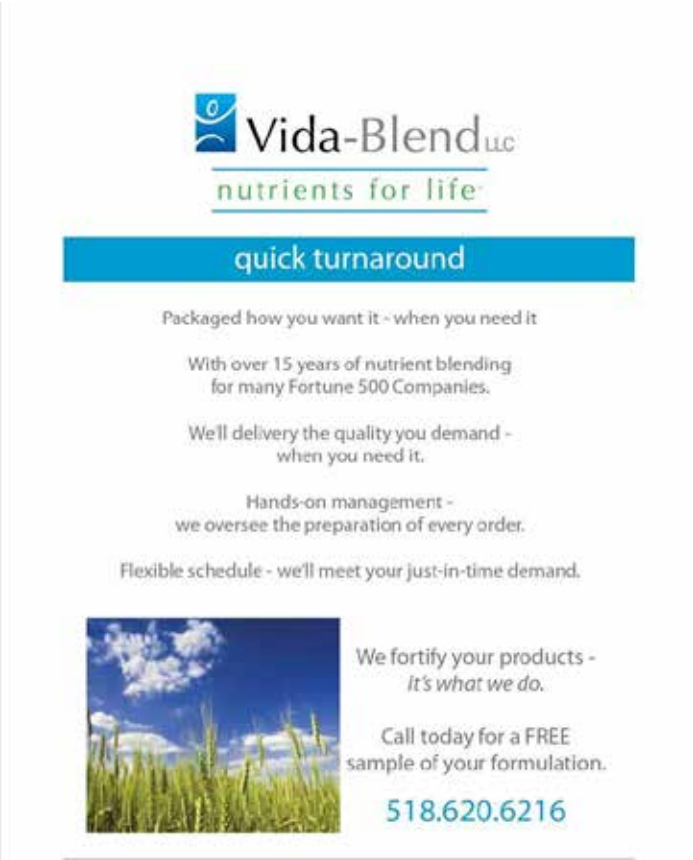
**STRATEGY ALIGNMENT:** This Project will help sustain and grow a long standing Manufacturer in our region. Hubbell aligns with this strategy as it sits within the metals manufacturing concentration. The MVREDC has consistently supported job-creating projects by local manufacturers. This project will result in job creation within the Mohawk Valley's metals manufacturing/processing cluster, building a next-generation workforce.

BUDGET: SOURCES AND USES	
SOURCES OF FUNDS	ESD \$300,000
	Owner Equity \$1,200,000
TOTAL FUNDING	\$1,500,000
USE OF FUNDS	Construction \$1,500,000

# PRIORITY PROJECT

## VIDA BLEND NEW CONSTRUCTION AND EMPLOYMENT EXPANSION

**CFA #75457**  
APPLICANT: Vida-Blend LLC  
LOCATION: Amsterdam, Montgomery County  
DIRECT JOBS CREATED OR RETAINED: 29  
INDIRECT/CONSTRUCTION JOBS CREATED: 0  
PAST CFA AWARDS: \$0



**DESCRIPTION:** Vida Blend will build a new 14,000 square foot building to continue our premix operations. The location will be in the new industrial zone located in Amsterdam. With this expansion, we will be able to hire 18 new production workers to meet our increasing manufacturing needs. Currently we have lost customers and employees due to our capacity constraints in our current location. With the new facility, we will triple capacity to meet international and national sales left unfilled due to capacity issues.

BUDGET: SOURCES AND USES	
SOURCES OF FUNDS	ESD \$240,000
	Other State \$750,000
	Private Funds \$210,000
TOTAL FUNDING	\$1,200,000
USE OF FUNDS	Equipment \$270,000
	Construction \$740,000
	Engineering \$190,000

**STRATEGY ALIGNMENT:** The expansion of Vida-Blend helps to enhance New York State's, and the Mohawk Valley's growing Life Sciences Industry, as a global nutrient formulator of the food and beverage industry. This project's investment will also result in job creation within the region's STEM industries.



# PRIORITY PROJECT

## LFH PRIMARY CARE BUILD

CFA #72383

APPLICANT: Little Falls Hospital

LOCATION: Dolgeville, Herkimer County

DIRECT JOBS CREATED OR RETAINED: 8

INDIRECT/CONSTRUCTION JOBS CREATED: 58

PAST CFA AWARDS: \$0

**DESCRIPTION:** The Little Falls Hospital is requesting capital funding to increase primary care service capacity through the relocation, design and construction of a new outpatient care/primary care facility located in Dolgeville, NY. The current center in Dolgeville has been in operation since 1983, is woefully designed, and the age of the building requires higher than average maintenance costs. Currently the center serves approximately 5,000 patient visits per year. The new facility will be located on property adjacent to the Dolgeville Central School. Combined, the project will realize a 25% increase in patient visits. This effort is consistent with the goals of Little Falls Hospital to A) enhance outpatient/primary care services, B) improve population health, C) reduce costs of health care services, D) increase access to appropriate and high quality health care and E) reduce avoidable hospital use.



**STRATEGY ALIGNMENT:** The MVREDC has identified STEM intensive industries to anchor the region's transformation. In addition to providing a comprehensive array of employment and training options, employees and visitors to Little Falls Hospital and the communities it serves, provides a stable base of housing and community development initiatives. This project's development is consistent with overarching goals to expand outpatient/primary care services, improve population health, and reduce costs of health care services through avoidable hospital use.

BUDGET: SOURCES AND USES	
SOURCES OF FUNDS	ESD \$480,000
	Private Funds \$ 1,928,689
TOTAL FUNDING	\$2,408,689
USE OF FUNDS	Construction \$1,925,689
	Engineering \$253,000
	Equipment \$230,000

# PRIORITY PROJECT

## PACEMAKER STEEL - BAGG SQUARE EXPANSION PROJECT

CFA #76234

APPLICANT: Pacemaker Steel & Piping Co., Inc.

LOCATION: Utica, Oneida County

DIRECT JOBS CREATED OR RETAINED: 53

INDIRECT/CONSTRUCTION JOBS CREATED: 25

PAST CFA AWARDS: \$0

**DESCRIPTION:** Pacemaker Steel and Piping plans to build a 37,500 square foot modern steel service center adjacent to its current building which will be a showcase for the rejuvenation of the Bagg's Square District. Pacemaker Steels Utica operation functions out of approximately 100,000 square feet in 5 inefficient buildings. The company has outgrown its existing facilities and needs additional space. The company plans on combining multiple inventory types and processes into one location to gain much need productivity and efficiency. With increased efficiencies, the business will be able to better service its customers which include manufacturing, fabricators and contractors. Additional projected outcomes will be increased first stage manufacturing customer support options with equipment it plans on purchasing to conduct various value added services. Pacemaker plans on increasing delivery points which will be easily accomplished with a new state of the art building.



BUDGET: SOURCES AND USES	
SOURCES OF FUNDS	ESD \$700,000
	Private Funds \$2,800,000
TOTAL FUNDING	\$3,500,000
USE OF FUNDS	Engineering \$125,000
	Construction \$2,575,000
	Equipment \$800,000

**STRATEGY ALIGNMENT:** Pacemaker Steel and Piping's expansion plans align closely with the goals set forth by the MVREDC, investing in STEM jobs and continuing to source equipment and steel from New York State manufacturers such as Nucor Steel in Auburn, NY and Koike Manufacturing in Arcade, NY. Having a first class steel and metal processing service center in Utica, NY will help support other growing STEM related developments in the region that require new construction.

# PRIORITY PROJECT

## WATER STREET FACILITY

CFA #76359

APPLICANT: Rail Pro Industries Inc.  
LOCATION: Utica, Oneida County  
DIRECT JOBS CREATED OR RETAINED: 17  
INDIRECT/CONSTRUCTION JOBS CREATED: 3  
PAST CFA AWARDS: \$0

**DESCRIPTION:** RailPro Industries, Inc. (RPI) provides specialized services for railroad transportation including performing maintenance on freight and passenger locomotives and railcars. RailPro Industries, Inc seeks to become the regional industry leader, providing professional services to our customers in the most effective and efficient manner. These services will take place at the New York Susquehanna and Western Railroad maintenance facility located on Water Street in Utica. The 17 acre facility includes a 35,300 SF maintenance facility with 4 rail lines running through the facility. The maintenance yard contains all the infrastructure necessary to support all rolling stock maintenance activities.



**STRATEGY ALIGNMENT:** The project will contribute to development of a highly-skilled STEM workforce, by creating well-paying STEM jobs carrying out maintenance functions on railroad cars and equipment

BUDGET: SOURCES AND USES	
SOURCES OF FUNDS	ESD \$172,000
	Private Funds \$ 684,000
TOTAL FUNDING	\$856,000
USE OF FUNDS	Construction \$327,000
	Engineering \$22,000
	Equipment \$434,000
	Property Acquisition \$ 73,000

# PRIORITY PROJECT

## MGS MANUFACTURING BUILDING EXPANSION

CFA #76802

APPLICANT: Mohawk Valley EDGE  
LOCATION: Rome, Oneida County  
DIRECT JOBS CREATED OR RETAINED: 54  
INDIRECT/CONSTRUCTION JOBS CREATED: 47  
PAST CFA AWARDS: \$0

**DESCRIPTION:** MGS Manufacturing is a manufacturer of industrial machinery that primarily serves the wire and cable industry, and is a leader in this industry segment. The wire and cable industry is increasingly interested in using refurbished equipment in manufacturing processes, which has given MGS the opportunity to enter this new market. Refurbished MGS machinery is highly desirable, so to be able to buy back old equipment, refurbish, and sell in a new market will create a significant new revenue stream for the company. In order to capitalize on this demand, MGS Manufacturing is looking to add on an additionally 20,000 square feet of production space, fitted out with two 10-ton high bay cranes, and two standard 10-ton single bridge cranes. As a result of this investment, and the new business taken on by MGS, the company will create three (3) new manufacturing jobs that will pay well above the region's median wage.



BUDGET: SOURCES AND USES	
SOURCES OF FUNDS	ESD \$875,000
	Private Funds \$3,492,470
TOTAL FUNDING	\$4,367,470
USE OF FUNDS	Engineering \$569,670
	Construction \$3,552,000
	Equipment \$245,800

**STRATEGY ALIGNMENT:** MGS Manufacturing is a well-established manufacturer in the region, and is a significant employer within the region's vast metals and machinery manufacturing segment. MGS employs high-tech production processes; so this expansion will result in the growth of the region's advanced manufacturing community. The investment made through this project will result in more jobs and more business for one of the region's large manufacturing firms.



# PRIORITY PROJECT

## STEVENS HARDWARE BUILDING

CFA #76762

APPLICANT: Charles E. Klugo  
LOCATION: Oneonta, Otsego County  
DIRECT JOBS CREATED OR RETAINED: 0  
INDIRECT/CONSTRUCTION JOBS CREATED: 20  
PAST CFA AWARDS: \$0

**DESCRIPTION:** This project involves the restoration of a Main Street building located in the heart of downtown Oneonta. The development of this currently-vacant building for mixed-use commercial and residential space will help facilitate a number of positive changes for this area, including greater housing variety and density, a strengthened sense of community, and the attraction of businesses to support market-rate housing. The Stevens Hardware building will be rehabilitated to include one commercial tenant space on the main floor and five residential units located on the upper floors. The building will be redeveloped and returned to its original pre-eminent commercial and residential status, thereby re-establishing this vacant and underutilized building as a vibrant, active contributor to the Oneonta Downtown Commercial Historic District.



\$1,71,650

TOTAL PROJECT COST

\$90,000

RECOMMENDED FUNDING

**STRATEGY ALIGNMENT:** The proposed rehabilitation of the Stevens Hardware building is in downtown Oneonta. The project is well-aligned with the MVREDC's vibrant communities strategy, and furthers the goals of Oneonta's DRI Strategy. This project invests in the revitalization of vacant and underutilized property to result in adaptive reuse/mixed-use development.

BUDGET: SOURCES AND USES	
SOURCES OF FUNDS	ESD \$223,650
	Other Public Funds \$ 348,000
	Private Funds \$ 600,000
TOTAL FUNDING	\$1,171,650
USE OF FUNDS	Construction \$748,650
	Engineering \$120,000
	Property Acquisition \$ 303,000

# PRIORITY PROJECT

## COMPASSION WAREHOUSE

CFA #74747

APPLICANT: Compassion Coalition, Inc.  
LOCATION: Utica, Oneida County  
DIRECT JOBS CREATED OR RETAINED: 15  
INDIRECT/CONSTRUCTION JOBS CREATED: 30  
PAST CFA AWARDS: \$160,000



\$3,120,000

TOTAL PROJECT COST

\$620,000

RECOMMENDED FUNDING

**DESCRIPTION:** To construct a brand new 25,000 square foot state-of-the-art warehouse on a 2.5 acre plot of land located in the City of Utica. The building will be designed & constructed using local talents and construction firms. The opportunities in this project are many, however, our main goal is to expand our giving base within our communities as well as increase our storage space & efficiency to meet the growing demands for product. One of the opportunities Compassion Coalition continues to address is to improve "fresh" healthy food access & choices to people within the inner-city. We have one main objective and outcome: Objective: The new warehouse will double the storage capacity for food/personal care/furniture & other home essentials needed for distribution by over one hundred local agencies/organizations & schools. Outcome: Over 100-plus member agencies/organizations/schools will have greater access to food, school supplies, personal care items, mattress sets, furniture & many added home necessity items in larger quantities.

BUDGET: SOURCES AND USES	
SOURCES OF FUNDS	ESD \$600,000
	Private Funds \$2,345,000
	Other Public Funds \$175,000
TOTAL FUNDING	\$3,120,000
USE OF FUNDS	Engineering \$175,000
	Construction \$2,550,000
	Equipment \$325,000
	Property Acquisition \$ 70,000

**STRATEGY ALIGNMENT:** This project is central to the MVREDC Opportunity Agenda. Primarily, the project provides a spectrum of employment opportunities for hard-to-place workers. Specifically, a significant focus is placed on the employment and retention of formerly incarcerated individuals. More generally, the downtown location and nature of the positions available make them ubiquitously accessible to those who walk, bike, or use public transportation.

# PRIORITY PROJECT

## ADIRONDACK DISTILLING COMPANY EXPANSION PROJECT

CFA #77141

APPLICANT: The Adirondack Distilling Company, Inc.  
LOCATION: Utica, Oneida County  
DIRECT JOBS CREATED OR RETAINED: 5  
INDIRECT/CONSTRUCTION JOBS CREATED: 0  
PAST CFA AWARDS: \$0

**DESCRIPTION:** Adirondack Distilling Company has been in operation since 2011, and in 2017, the company executed a contract with Chopin Imports Ltd., which enables the company to distribute its whiskey products to a national market. This has caused demand to rise significantly. In order to keep up with this demand and increase production, Adirondack Distilling Company is seeking to expand through the addition of production equipment and the creation of new production jobs to meet current demand of product. The equipment that is intended to be purchased includes a still, mash tank, fermentors, rolling and blending tanks, a bottling machine, and various lab equipment. The quoted price on this equipment is \$501,000 and would be available for purchase as soon as the first quarter of 2018.



**STRATEGY ALIGNMENT:** The MVREDC has identified both agribusiness and tourism as drivers of economic development in the region. This project helps a local craft beverage producer grow, and will result in advancing agri-tourism in the region, while also creating jobs.

BUDGET: SOURCES AND USES	
SOURCES OF FUNDS	ESD \$100,000
	Private Funds \$ 401,000
TOTAL FUNDING	\$501,000
USE OF FUNDS	Construction \$65,000
	Equipment \$436,000

# PRIORITY PROJECT

## 167 GENESEE STREET

CFA #72399

APPLICANT: 167 Genesee St., LLC  
LOCATION: Utica, Oneida County  
DIRECT JOBS CREATED OR RETAINED: 31  
INDIRECT/CONSTRUCTION JOBS CREATED: 20  
PAST CFA AWARDS:



**DESCRIPTION:** The purchase and renovation of 167 Genesee St. will add to the central business district in the heart of downtown Utica. The building is contiguous to the recently renovated 171 Genesee St. property. The project will be a mixed-use facility providing the following: Commercial space for new business and increased economic development. There is a current commitment for a Jimmy Johns Sandwich shop, and plans for a convenience/grocery store. An agreement with the Munson Williams Proctor Institute, a not for profit art museum associated with the Pratt Institute, will have a gallery in the lobby and a potential workshop and retail space for students to paint and sell their works. Three floors will be comprised of upscale residential units priced right for middle income professionals and fulfill a need for the secondary educational institutions in the area. There will also be common space including a fitness facility, community room, and laundry for the residents. A parking garage will be in the basement level of the building, and will alleviate the congestion and concern for downtown street parking for the residents of the building.

**STRATEGY ALIGNMENT:** The project aligns itself with the MVREDC's vibrant communities strategy: This building is located on the block of Downtown Utica once referred to as the Busy Corner. The residential component provides affordable pricing to attract young professionals and students to live downtown. The commercial component will create job opportunities for those who may be at or new the poverty line. A parking garage will provide space for residents of the building, alleviating the lack of street parking available in this area of Downtown.

BUDGET: SOURCES AND USES	
SOURCES OF FUNDS	ESD \$1,000,000
	Private Funds \$3,303,000
	Other Public Funds \$22,000
	Other State Funds \$675,000
TOTAL FUNDING	\$5,000,000
USE OF FUNDS	Engineering \$43,750
	Construction \$4,956,250



# PRIORITY PROJECT

## VAIL MILLS DEVELOPMENT AREA SEWER

**CFA #76870**  
APPLICANT: Fulton County  
LOCATION: Mayfield, Fulton County  
DIRECT JOBS CREATED OR RETAINED: 0  
INDIRECT/CONSTRUCTION JOBS  
CREATED: 20  
PAST CFA AWARDS: \$0

**DESCRIPTION:** Fulton County’s newly-established Vail Mills Development Area is located in the Town of Mayfield. To promote and encourage the residential, retail, commercial and mixed use developments shown in the Concept Plan, Fulton County is proposing the construction of a sewer collection system. This area is primed for growth given the high traffic volumes that exist in this area. The Route 29/30 intersection is the busiest intersection in all of Fulton County. This is especially true during the summer tourism season when traffic volumes grow dramatically in this corridor. The proposed sewer collection system will include the installation of a trunk main being brought to the new roundabout at the intersections of NYS Routes 29 and 30.



\$1,300,000

TOTAL PROJECT COST

\$260,000

RECOMMENDED FUNDING

**STRATEGY ALIGNMENT:** This project aligns with the MVREDC’s core strategy of reviving communities. In this project, the investment made to enhance infrastructure in Fulton County is tied to the potential growth of business in the areas outside Gloversville and Johnstown, which are ripe for development. Such development could bring hundreds of jobs to a community that has experienced significant job loss in the recent past.

BUDGET: SOURCES AND USES	
SOURCES OF FUNDS	ESD \$260,000 Public Funds \$ 1,040,000
TOTAL FUNDING	\$1,300,000
USE OF FUNDS	Engineering \$100,000 Construction \$1,200,000

# PRIORITY PROJECT

## UTICA COLLEGE CONSTRUCTION MANAGEMENT BUILDING

**CFA #76594**  
APPLICANT: Utica College  
LOCATION: Utica, Oneida County  
DIRECT JOBS CREATED OR RETAINED: 1  
INDIRECT/CONSTRUCTION JOBS CREATED: 60  
PAST CFA AWARDS:

**DESCRIPTION:** Utica College proposes a new 15,000-square-foot building for its rapidly growing Construction Management program. This modern, versatile two-story facility will include smart classrooms, instructional spaces, meeting space, and faculty/administrative offices within a modest footprint on the College’s main campus, and with potential for expansion. This project is regionally significant because of its positive impact on workforce development increasing the value of human capital, vitality and growth in the Mohawk Valley. Modular Design will allow for the construction of eight additional classrooms should the College need more instructional space.



\$3,542,500

TOTAL PROJECT COST

\$700,000

RECOMMENDED FUNDING

BUDGET: SOURCES AND USES	
SOURCES OF FUNDS	ESD \$708,500 Private Funds \$2,834,000
TOTAL FUNDING	\$3,542,500
USE OF FUNDS	Engineering \$210,000 Construction \$3,183,000 Equipment \$150,000

**STRATEGY ALIGNMENT:** This project aligns Utica College’s strategic goals with the economic development goals of the Mohawk Valley Region in that it addresses education workforce development needs for a STEM intensive industry, construction management, and the related academic and professional disciplines, which support that field. This project will contribute to the continued growth of Utica College’s infrastructure and capacity as an economic driver and educational anchor in the region.

# PRIORITY PROJECT

## MOHAWK FABRIC NEW EQUIPMENT

CFA #76829

APPLICANT: Amsterdam Industrial Development

Agency

LOCATION: Amsterdam, Montgomery County

DIRECT JOBS CREATED OR RETAINED: 14

INDIRECT/CONSTRUCTION JOBS CREATED: 0

PAST CFA AWARDS: \$0

**DESCRIPTION:** Mohawk Fabric Company, Inc. is seeking to expand its capabilities to become more vertically integrated and increase manufacturing capacity. As the company grows and becomes a larger strategic supplier within numerous industries: (aerospace, automotive, medical, and specialty apparel), it is incumbent to prepare for this increased growth with equipment modernization and expansion. While this capital investment project will not result in immediate job creation, it will help maintain competitiveness, which will help ensure job retention and enable future job creation.



\$358,780

TOTAL PROJECT COST

\$70,000

RECOMMENDED FUNDING

**STRATEGY ALIGNMENT:** This project supports continual growth in and furthers the Mohawk Valley REDC's STEM strategy by supporting a long standing Advanced Manufacturer in the region in their efforts to modernize and stay competitive on a global scale.

BUDGET: SOURCES AND USES	
SOURCES OF FUNDS	ESD \$71,756
	Private Funds \$287,024
TOTAL FUNDING \$358,780	
USE OF FUNDS	Equipment \$358,780

# PRIORITY PROJECT

## HALL OF AGRICULTURE RENOVATION PROJECT

CFA #77277

APPLICANT: Cobleskill Agricultural Society

LOCATION: Cobleskill, Schoharie County

DIRECT JOBS CREATED OR RETAINED: 3

INDIRECT/CONSTRUCTION JOBS CREATED: 3

PAST CFA AWARDS: \$0

**DESCRIPTION:** The Project will consist of the renovation and weatherization of the Hall of Agriculture building on the Cobleskill Fairgrounds to create a year-round community facility for public use. There has recently been a high demand for a community facility available for public use in the community of Cobleskill, especially a large facility that can be used in the winter months. This monument of historic architecture in the community has great potential to be utilized throughout the entire year, not just the summer seasons. Therefore, the proposed project will include the weatherization of this structure by providing roof repairs, facade repairs, fire protection system, insulation and heating equipment to allow it to be used throughout the year.



\$220,000

TOTAL PROJECT COST

\$44,000

RECOMMENDED FUNDING

BUDGET: SOURCES AND USES	
SOURCES OF FUNDS	ESD \$44,000
	Other Public Funds \$ 156,000
	Private Funds \$20,000
TOTAL FUNDING \$220,000	
USE OF FUNDS	Engineering \$45,000
	Construction \$175,000

**STRATEGY ALIGNMENT:** The propose project aligns with the REDC initiative to promote agri-tourism with the ability of this primarily agricultural facility to draw in more tourists to the region.



# PRIORITY PROJECT

## FAIRGROUNDS STAGE IMPROVEMENTS

CFA #77303

APPLICANT: Cobleskill Agricultural Society

LOCATION: Cobleskill, Schoharie County

DIRECT JOBS CREATED OR RETAINED: 2

INDIRECT/CONSTRUCTION JOBS CREATED: 10

PAST CFA AWARDS:

**DESCRIPTION:** The project would include the renovation and retrofitting of the existing stage and grandstand facility of the Cobleskill Fairgrounds to improve the facility for different regional, cultural and tourism based events. The proposed project would include the demolition of the existing stage storage structure at the rear of the stage to allow the facility to provide multipurpose use for both covered entertainment events and larger, general admission events with grass seating. The project would also include improvements to the facility lighting and sound equipment to be more equipped for these events.



**STRATEGY ALIGNMENT:** The propose project aligns with the REDC initiative to promote agri-tourism and MARKET NY with the ability of this primarily agricultural facility to draw in more tourists to the region.

BUDGET: SOURCES AND USES	
SOURCES OF FUNDS	ESD \$25,000
	Other State Funds \$50,000
	Public Funds \$20,000
	Owner Equity \$30,000
TOTAL FUNDING	\$125,000
USE OF FUNDS	Infrastructure \$105,000
	Construction \$20,000

# PRIORITY PROJECT

## VILLAGE MOTORS INDUSTRIAL PARK - MOHAWK STREET

CFA #76265

APPLICANT: Village Motors Auto Sales, LLC

LOCATION: Whitesboro, Oneida County

DIRECT JOBS CREATED OR RETAINED: 49

INDIRECT/CONSTRUCTION JOBS CREATED: 105

PAST CFA AWARDS: \$0

**DESCRIPTION:** Village Motors is turning a blighted property in Whitesboro into an industrial park characterized by smart growth and environmentally-friendly business processes. This project will have a major impact on the local economy through job creation and generation of tax revenue. It positively impacts the environment through the induction of a comprehensive storm water management system that manages approximately 21 acres of chronically flood prone area making development possible. Village Motors is poised to grow substantially, and the reclamation and refurbishment of the property will result in the creation of 22 FT jobs. Full Time positions range from \$40 K to \$75K.



BUDGET: SOURCES AND USES	
SOURCES OF FUNDS	ESD \$553,000
	Private Funds \$ 2,347,600
TOTAL FUNDING	\$2,900,600
USE OF FUNDS	Engineering \$30,000
	Construction \$1,652,000
	Equipment \$1,107,000
	Property Acquisition \$111,600

**STRATEGY ALIGNMENT:** Strategic Alignment: This project aligns with the MVREDC's strategic plan to create and retain high-paying STEM/manufacturing jobs. This project takes an old manufacturing facility that has suffered from years of disuse, and repurposed it into a new vibrant manufacturing and business campus.

# PRIORITY PROJECT

## JASON GWILT MEMORIAL SENIOR APARTMENTS SENIOR CENTER

CFA #74725

APPLICANT: City of Oneida Housing Authority  
LOCATION: Verona, Oneida County  
DIRECT JOBS CREATED OR RETAINED: 4  
INDIRECT/CONSTRUCTION JOBS CREATED: 60  
PAST CFA AWARDS:

**DESCRIPTION:** The project is a mixed-use senior affordable housing and community commercial space development located at the former Sylvan & Verona Beach Elementary School. The project includes 50 new units of 1- and 2-bedroom apartments for eligible seniors age 55+, with incomes at or below 50% AMI. Fifteen units will be designated permanent supportive housing for the homeless elderly. The project design proposes the adaptive reuse of the former school building, including new construction and rehabilitation. The converted building will have 2 floors of residential space, and a 17,000+ square foot Senior Center. The Parkway Center, a regional not-for-profit, will operate the on-site Senior Center and assist in coordinating support services. The Senior Center will offer services and programming for seniors otherwise lacking in the rural parts of the region, including health/wellness, nutrition, fitness/recreation, arts/cultural, clubs, transportation, and educational courses.



**STRATEGY ALIGNMENT:** The MVREDC recognizes the critical role the development of affordable housing has on the economic revitalization and improvement in the quality of life. Affordable housing development, and senior housing in particular, is a need and priority identified by the MVREDC's Opportunity Agenda.

BUDGET: SOURCES AND USES	
SOURCES OF FUNDS	ESD \$430,635
	Private Funds \$15,739,780
TOTAL FUNDING	\$16,170,415
USE OF FUNDS	Construction \$16,170,415

# PRIORITY PROJECT

## FIRST & MAIN RENOVATION

CFA #76569

APPLICANT: First & Main LLC  
LOCATION: Utica, Oneida County  
DIRECT JOBS CREATED OR RETAINED: 70  
INDIRECT/CONSTRUCTION JOBS CREATED: 10  
PAST CFA AWARDS: \$0

**DESCRIPTION:** The renovation will include but is not limited to re-seaming an older EPDM roof, concrete tuck point on all areas surrounding a building more than a century old that is beginning to crumble, minor demolition on a 1st floor commercial area, environmental abatement on the first floor commercial area required to attract new businesses and employees.



BUDGET: SOURCES AND USES	
SOURCES OF FUNDS	ESD \$200,000
	Private Funds \$ 800,000
TOTAL FUNDING	\$1,000,000
USE OF FUNDS	Engineering \$100,000
	Construction \$900,000

**STRATEGY ALIGNMENT:** Completing these renovations will help with the goal to create vibrant communities by improving the allure and tenant capacity of the Hurd building located in Historic Baggs Sqaure in Utica. First & Main LLC's largest tenant, JETNET LLC, is a global Business aircraft market research company and hosts approximately 60 employees and consultants. First & Main LLC's other Hurd building business tenant hosts approximately 10 to 12 additional employees in the building. Completion of the planned renovations, will allow for additional space for a potential commercial tenant and additional jobs for the area.



# PRIORITY PROJECT

## BLEEKER STREET RENOVATION

**CFA #77088**  
APPLICANT: 1140 LLC  
LOCATION: Utica, Oneida County  
DIRECT JOBS CREATED OR RETAINED:0  
INDIRECT/CONSTRUCTION JOBS CREATED: 20  
PAST CFA AWARDS:  
  
**DESCRIPTION:** Repair and revitalize a very dilapidated and otherwise vacant building on Bleeker Street in the heart of Downtown Utica. A portion of the building will be dedicated to co-working space, and a workforce training center.



**STRATEGY ALIGNMENT:** This building renovation in Downtown Utica will create a state of the art workforce training center, increasing the capacity of services for those most in need.

BUDGET: SOURCES AND USES	
SOURCES OF FUNDS	ESD \$200,000
	Private Funds \$800,000
TOTAL FUNDING	\$1,000,000
USE OF FUNDS	Construction \$1,000,000

# PRIORITY PROJECT

## SOUTHSIDE HOTEL DEVELOPMENT

**CFA #76533**  
APPLICANT: City of Amsterdam IDA  
LOCATION: Amsterdam, Montgomery County  
DIRECT JOBS CREATED OR RETAINED: 14  
INDIRECT/CONSTRUCTION JOBS CREATED: 25  
PAST CFA AWARDS: \$0



**DESCRIPTION:** The City of Amsterdam’s downtown hotel ceased operations within the City almost four years ago, and has since become the home of an assisted living center. With the closing of the hotel, the City of Amsterdam has made attracting a developer to build a new hotel in Amsterdam one of its top priorities. The current owners of the local Knights Inn have looked to fill this void by building a new Microtel by Wyndham Hotels. The site location is planned on NYS Route 5-S, directly across from the County’s Industrial Park which houses such tenants as Beechnut, Target, Hill and Marques, and soon to be Dollar General Distribution. The area is adjacent to the NYS Thruway and has seen a tremendous growth in both retail and manufacturing facilities. The plan call for the development of a 64 room, three story hotel. An independent market analysis and study was performed documenting the feasibility of both the location and the amount of rooms.

BUDGET: SOURCES AND USES	
SOURCES OF FUNDS	ESD \$1,207,146
	Private Funds \$ 4,618,583
	Other State Funds \$ 210,000
TOTAL FUNDING	\$6,035,729
USE OF FUNDS	Engineering \$200,000
	Construction \$5,235,729
	Equipment \$600,000

**STRATEGYALIGNMENT:** Oneofthekeycomponents of the Mohawk Valley Strategic Upstate Revitalization Initiative Plan is Tourism. The building of a new, first class hotel in the City of Amsterdam is vital to other tourism activities and plans being proposed and carried out.

# PRIORITY PROJECT

## KEMPF BUILDINGS RENOVATION PROJECT



**CFA #75458**

APPLICANT: Bowers Development LLC  
LOCATION: Utica, Oneida County  
DIRECT JOBS CREATED OR RETAINED: 20  
INDIRECT/CONSTRUCTION JOBS CREATED: 50  
PAST CFA AWARDS:

**DESCRIPTION:** Located on Genesee Street, in downtown Utica, lies blighted, underutilized, and partially contaminated buildings starving for investment. For many years now, these buildings have been vacant and pose potential public health risk, thus preventing investment and redevelopment. The developer plans to acquire, remediate, and renovate the buildings into vibrant mixed-use buildings, featuring retail, commercial and residential space. This proposed project will take one of these buildings and redevelop it into a robust mixed-use downtown anchor.



\$5,599,320

TOTAL PROJECT COST

\$430,000

RECOMMENDED FUNDING

**STRATEGIC ALIGNMENT:** This project aligns with the region’s vibrant communities strategy, as it redevelops a vacant property in a highly visible downtown and Main Street corridor. The redevelopment of the Kempf Buildings addresses blight, improves the perception of Downtown Utica, increases neighboring property values, and will provide a vibrant mixed-use building opportunity for area businesses and residents.

BUDGET: SOURCES AND USES	
SOURCES OF FUNDS	ESD \$1,000,000
	Private Funds \$4,599,320
TOTAL FUNDING	\$5,599,320
USE OF FUNDS	Property Acquisition \$200,000
	Construction \$4,858,000
	Engineering \$ 431,320
	Equipment \$110,000

# PRIORITY PROJECT

## PRODUCE OPERATIONS EXPANSION



**CFA #75973**

APPLICANT: George’s Farm Products, Inc.  
LOCATION: Clinton, Oneida County  
DIRECT JOBS CREATED OR RETAINED: 15  
INDIRECT/CONSTRUCTION JOBS CREATED: 0  
PAST CFA AWARDS: \$0

**DESCRIPTION:** The business is embarking on a project that will address the following: upgrade the amount of cold storage space available; become compliant with the FSMA (Food Safety Modernization Act) by having a compliant packing room; expand the electrical service to be able to accommodate the electrical load from packing machinery; improve the loading dock facility which currently is not refrigerated or big enough for the amount of trucks coming in and out daily. Currently the business is unable to accept certain orders because of the lack of such cold storage and packing room space.



\$851,071

TOTAL PROJECT COST

\$170,000

RECOMMENDED FUNDING

BUDGET: SOURCES AND USES	
SOURCES OF FUNDS	ESD \$170,214
	Private Funds \$ 680,657
TOTAL FUNDING	\$851,071
USE OF FUNDS	Construction \$642,707
	Equipment \$208,364

**STRATEGY ALIGNMENT:** George’s Farm Products’ project aligns with the MVREDC’s Agribusiness Strategy. With the intended expansion, George’s will continue to increase sales volumes, enabling local farms to become more profitable. The current REDC plan for agriculture calls for making 50% of farms in Upstate NY profitable by 2020, and with the an expansion by George’s planned for 2018, it will help the REDC with reaching that goal.



# PRIORITY PROJECT

## HOLLISTERS BUSINESS EXPANSION

CFA #75103

APPLICANT: Anasazi, LLC

LOCATION: Old Forge, Herkimer County

DIRECT JOBS CREATED OR RETAINED: 16

INDIRECT/CONSTRUCTION JOBS CREATED: 4

PAST CFA AWARDS:

**DESCRIPTION:** Anasazi, LLC will renovate the historic Moose Head Hotel located within the business district in Old Forge. The Moose Head was constructed in 1908 and was converted to a lumberyard and hardware store in 1971. Vacant for the past two years, the property was purchased with the intent to create a retail and business center within Old Forge. Anasazi LLC also seeks to restore vacant space for 10 hotel rooms, reconstruct a 2 story vacant residential building located directly behind the old hotel for 4 long-term residences, and repurpose the lumber storage building. In addition to our own retail business, Hollisters Trading Post, this proposal is intended to create space for at least 3-4 additional businesses, either new or existing. Our goal is to move from 4 seasonal retail employees to 12-13 full-time year-round employees and an additional 14 seasonal employees.



**STRATEGIC ALIGNMENT:** Investment in this project is intended to enhance tourism in our region and improve the regional economy as a result.

BUDGET: SOURCES AND USES	
SOURCES OF FUNDS	ESD \$530,000
	Private Funds \$2,123,000
TOTAL FUNDING	\$2,653,000
USE OF FUNDS	Construction \$2,585,000
	Engineering \$ 41,000
	Equipment \$29,000

# PRIORITY PROJECT

## YMCA STRENGTHENING AND CONNECTING COMMUNITY

CFA #76533

APPLICANT: Railroad and Local Young Men’s Christian Association of Oneonta

LOCATION: Oneonta, Ostego County

DIRECT JOBS CREATED OR RETAINED: 13

INDIRECT/CONSTRUCTION JOBS CREATED: 10

PAST CFA AWARDS: \$0

**DESCRIPTION:** The YMCA plays a crucial part in the success of the community. The proposed project is the renovation of the 1st & 3rd floors of the existing YMCA building to better serve families of our community. The plan is to return to the open concept 1st floor and build out a comprehensive fitness facility. The offices for staff and board meetings will be moved to the 3rd floor. Opening the 1st floor will gain 1755 square feet of usable space. Moving offices to the 3rd floor will increase usable space to 2826 square feet. This will increase program space, allow for longer hours, a safer building, more building efficiency & a more comprehensive, modernized, competitive fitness facility. Expected outcomes and deliverables are increased membership, increased cash flow, increased programming, ability to deliver needed community services, and meeting the stated needs of the Community Needs Assessment.



BUDGET: SOURCES AND USES	
SOURCES OF FUNDS	ESD \$170,000
	Private Funds \$ 680,000
TOTAL FUNDING	\$6,035,729
USE OF FUNDS	Construction \$850,000

**STRATEGY ALIGNMENT:** By keeping the YMCA as a downtown anchor, it stays in alignment with Oneonta’s DRI plan. This will attract more people to downtown, and bring additional business & commerce to our downtown merchants.

# PRIORITY PROJECT

## TOWN OF HERKIMER EAST HERKIMER SEWER DISTRICT

CFA #75097

APPLICANT: Town of Herkimer

LOCATION: Herkimer, Oneida County

DIRECT JOBS CREATED OR RETAINED: 120

INDIRECT/CONSTRUCTION JOBS CREATED: 0

PAST CFA AWARDS:

**DESCRIPTION:** The project calls for the tie-in and extension of a sanitary sewer line for a 46 acre commercially zoned campus with 430,000 square foot of existing warehouse, distribution, manufacturing facility. The East Herkimer Sewer project would include 5,600 linear feet of 10-inch sanitary sewer line, 2,600 linear feet of 8-inch sanitary sewer line, and 2,200 linear feet of 6-inch force main connecting the Village of Herkimer's sanitary sewer system to the site. The line would also have the capacity to provide services for the Herkimer County BOCES complex and the Herkimer Central School District's Elementary School with a combined population of 1,200 students and teachers. The existing area of East Herkimer has no existing sanitary sewer system, except for individual septic systems. The projected outcome of this project is to make this campus marketable and attract additional businesses from outside the area and bring new jobs to the area.



\$2,200,000

TOTAL PROJECT COST

\$500,000

RECOMMENDED FUNDING

**STRATEGIC ALIGNMENT:** This project aligns with the regional economic development plan of creating vibrant communities, as it extends a sewer line to a major Manufacturing facility, allowing for them to eventually expand. It will also allow for affordable housing development, increase jobs, and enhance educational and recreational facilities.

**BUDGET: SOURCES AND USES**

SOURCES OF FUNDS	ESD \$500,000
	Public Funds \$1,700,000
<hr/>	
TOTAL FUNDING	\$2,200,000
USE OF FUNDS	Construction \$1,980,000
	Engineering \$ 220,000

# PRIORITY PROJECT

## ELECTRIC SYSTEM RELIABILITY UPGRADES

CFA #75881

APPLICANT: Griffiss Utility Services Corporation

LOCATION: Rome, Oneida County

DIRECT JOBS CREATED OR RETAINED: 17

INDIRECT/CONSTRUCTION JOBS CREATED: 0

PAST CFA AWARDS: \$0



\$1,791,400

TOTAL PROJECT COST

\$350,000

RECOMMENDED FUNDING

**DESCRIPTION:** Griffiss Utility Services Corporation (GUSC) is the utility that distributes electricity and generates and distributes steam energy to the the Griffiss Business and Technology Park's 70 companies and organizations. GUSC is responsible for all maintenance and capital improvements to the utility electric and steam distribution systems of the park. This project, to replace high voltage electric lines located above ground on poles and relocate them underground, will improve system reliability. These electric service lines are an integral part of the Griffiss Park loop feed system and serve the Eastern Air Defense Sector, a vital national security asset, and significant regional IT companies such as the Cyber Research Institute, the Griffiss Institute, BAE Systems, Harris Corporation and MA Polce, among others.

**BUDGET: SOURCES AND USES**

SOURCES OF FUNDS	ESD \$358,280
	Private Funds \$1,433,120
<hr/>	
TOTAL FUNDING	\$1,791,400
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USE OF FUNDS	Construction \$1,791,400

**STRATEGY ALIGNMENT:** The project provides modern, hardened, and efficient infrastructure to serve one of our largest STEM clusters in the Mohawk Valley region. It also supports the Eastern Air Defense Sector, one of our largest employers and a vital national defense organization.



# PRIORITY PROJECT

## WILLOW PLACE ROAD EXTENSION

### CFA #74104

APPLICANT: Town of Verona

LOCATION: Verona, Oneida County

DIRECT JOBS CREATED OR RETAINED: 0

INDIRECT/CONSTRUCTION JOBS CREATED: 52

PAST CFA AWARDS:

**DESCRIPTION:** The Town of Verona is seeking funding to assist with the acquisition of land, new road construction, and reconstruction of an existing road to facilitate a tourism destination adjacent to the Turning Stone Resort & Casino. The proposed extension would connect Willow Place directly to the Exit 33 off ramp, such that traffic could exit the Thruway and proceed directly to Willow Place. The concept of the Tourism District has momentum and ardent support from existing Willow Place businesses (such as La Quinta), adjacent property owners, NYS Thruway Authority, and the development community.



\$4,550,000

TOTAL PROJECT COST

\$900,000

RECOMMENDED FUNDING

**STRATEGIC ALIGNMENT:** The MVREDC identified tourism as a major industry cluster which the Council would focus on to leverage existing assets and enable continued growth. Tourism imports wealth to the region through visitor spending, creates more vibrant communities, and creates accessible jobs. This project, which will open up a new tourism-focused business district adjacent to the Turning Stone - one of the region's premier tourist destinations - will lead to a growth in business, job creation, and visitor spending in the Mohawk Valley's tourism industry.

### BUDGET: SOURCES AND USES

SOURCES OF FUNDS	ESD \$910,000
	Private Funds \$3,640,000
TOTAL FUNDING	\$4,550,000
USE OF FUNDS	Property Acquisition \$1,050,000
	Construction \$3,500,000

# PRIORITY OTHER AGENCY CFA PROJECTS

CFA #	Project Name	Applicant Name
<b>ESD Market NY</b>		
76212	Hospitality Center Expansion	Brewery Ommegang
76618	Event-Based Marketing Program	National Baseball Hall of Fame
73671	The Farmers Museum Celebrates Hops and Brewing	The Farmers Museum
75659	Photography Capturing an Image and an Audience	Fenimore Art Museum
74007	Utica Zoo Primate Building	Utica Zoo
<b>ESD Strategic Planning and Feasibility</b>		
73959	Nexus Sports Complex	MV Aud Authority
72003	Ice Rink Feasibility Study	Town of Whitestown
<b>DEC Climate Smart Communities</b>		
75166	ROME RISING	City of Rome
73584	Grove Street Culvert Project	Village of Cooperstown
72884	Fulmer GreenPlain Floodplain	Village of Mohawk New York
76342	Grandview Dr Improvements	Lamont Engineers
<b>DEC Engineering Planning Grant</b>		
72055	Fonda Fairgrounds Water Sewer	Village of Fonda
74013	Gloversville Trunk Sewer	C.T. Male Associates
75893	Village of Mayfield Wastewater	Village of Mayfield
76314	WWTP Evaluation	Village of Boonville
<b>DEC Water Quality Improvement Project</b>		
76584	CSO Control Project A91	City of Utica
75701	CAST Process Odor Mitigation	G'ville/Johnstown Wastewater
75691	Boonville Salt Storage Facility	NYS Tug Hill Commission
74292	Village of Vernon WWTP	Tallarino Engineering PLLC
74179	Sharon Springs Sewer	CT Male Associates
<b>DOS Local Government Efficiency</b>		
74938	Shared WiFi Efficiency Project	Northville Central School
74411	Weights and Measures Services	Otsego County
75944	Otsego County Highway Asset	Otsego County Planning
<b>DOS Local Waterfront Revitalization</b>		
75040	City and Town of Oneonta LWRP	City of Oneonta
74793	Beach Replenishment	Village of Sylvan Beach
74324	Village of Sylvan Beach Bathhouse & Welcome Center	TM&S Building Associates, LLC
<b>HCR Community Development Block Grant</b>		
74625	Damaschke Grandstand	City of Oneonta
74752	Microenterprise Grant	Fulton County
72245	Agriculture Microenterprise	Montgomery County
75942	Cobleskill Microenterprise	Village of Cobleskill
72664	Microenterprise 2018-2019	Schoharie County
74422	Microenterprise Assistance	Otsego County
73491	Microenterprise Assistance	City of Oneonta
72250	Old Montgomery Court House Rehab	Montgomery County
73928	Stormwater Improvements	Village of Remsen
74179	Sharon Springs Sewer	CT Male Associates
<b>HCR New York Main Streets</b>		
76465	The REACH Center Arts Incubator	Cornell Cooperative Extension

CFA #	Project Name	Applicant Name
73300	Main Street Old Forge	CAP21
72725	Northville Anchor Building	Village of Northville
72732	167 169 Genesee Street Rehab	City of Utica New York
75273	Revitalization and Critical Conditions Stabilization	Mohawk Valley Collective, Inc.
75060	NY Main Street Downtown	Village of Boonville
74623	NYMS Program 2017	Village of Waterville
<b>Parks Enviromental Protection Fund</b>		
72519	Historic Diefendorf Hall Rehab	Friends of Fort Plain
72666	Museum of Art Granite Facad	MWPAI
75157	Erie Canal Terminal Visitor Center	City of Rome
73976	Utica Zoo Welcome Center	Utica Zoo
75273	Revitalization and Critical Conditions Stabilization	Mohawk Valley Collective, Inc.
72250	Old Montgomery Court House Rehab	Montgomery County
76614	22 Main Street Skylight Project	Village of Cooperstown
74281	Make Pioneer Park Accessible	Village of Cooperstown
75820	CityWide Splash Pad Project	City of Amsterdam
74864	Cal Ripken Sr. Foundation Rec Facility	City of Utica
73340	Town of Floyd Park	NYS Tug Hill Commission
72998	Ava Town Park	Town of Ava
<b>Parks Recreational Trails Program</b>		
75332	2017 Trail Groomer Project	FulMont Snow Travelers I
71824	Grooming Equipment for Snowmobile Trail Maintenance	Nick Stoner Trailers of Caroga Lake, New York, Inc
<b>Canals NYS Canalway Grant Program</b>		
75100	One World Welcome & Opportunity Center	Mohawk Valley Resource Center for Refugees
74324	Village of Sylvan Beach Bathhouse & Welcome Center	TM&S Building Associates, LLC
<b>EFC Green Innovation Program</b>		
76422	Sauquoit Creek Flood Plain	Oneida County
76621	Valley View Golf Course GIGP	City of Utica
<b>AG and Markets NY Grown and Certified</b>		
72949	NYS Grown Certified Ag Producers Grant	Cornell Cooperative Extension of Oneida County
<b>Arts Cultural Impact Programming</b>		
76465	The REACH Center Arts Incubator	Cornell Cooperative Extension
75946	Art in Public Places Building Connections with the Earth	Schoharie River Center
<b>Arts Workforce Investment</b>		
72796	Oneida Square Project Creative Arts Director/Educator	Oneida Square Projects Inc
<b>ESD Arts Cultural Facilities</b>		
75733	Rome Capitol Arts Complex	Rome Capitol Theatre
71948	Glimmerglass Festival Capital	Glimmerglass Festival
<b>DOL Existing Workers Training</b>		
73767	Employee training initiatives	Springbrook NY Inc
<b>DOL Unemployed Worker Training</b>		
74139	Mohawk Valley Workforce Training for Refugees & Immigrants	Mohawk Valley Resource Center for Refugees
72336	Roads to Employment Transportation Training for Growing Jobs	Mohawk Valley Community College





# IV. PARTICIPATION

*The Mohawk Valley REDC is strengthened by our broad network of elected officials, educators, not-for-profit leaders, innovators, and captains of industry.*

*Collaborating in the physical and virtual dimensions, our workgroups are focused on advancing state and regional priorities to rebuild the Mohawk Valley economy.*

## PROJECT DEVELOPMENT AND TRACKING

Comprised of the economic development leaders of each of our six counties, this group meets regularly to track ongoing initiatives while collaboratively developing the project pipeline.

Kenneth Rose , Montgomery County Business Development Center  
Steven DiMeo, Mohawk Valley EDGE  
Otsego County IDA  
Steven Wilson, Schoharie County  
Ronald Peters, Fulton County Regional Center for Growth  
Steven Smith, Herkimer County IDA

01	02	03	04	05	06
<b>STEM INTENSIVE INDUSTRIES/CYBER CLUSTER</b>	<b>WORKFORCE AND EDUCATION</b>	<b>OPPORTUNITY AGENDA</b>	<b>AGRICULTURE</b>	<b>SMART GROWTH/ MIGHTY WATERS</b>	<b>TOURISM</b>
Barry Gell , SUNY Cobleskill Tiffany Piatkowski, P.Tech OHM Kathy Landers, NYCM Insurance Charles Green, AIS Tim Fitzgerald, Mohawk Valley EDGE Morgan Mielnicki, Com. Foundation Howard Mettleman, OHM BOCES Dustin Swanger, FMCC Cory Albrecht, AIM Sean Crossan, AIM Kathleen Rinaldo, OHM BOCES Brenda Wolak, OHM BOCES Ronald Peters, Fulton County CRG Benjamin Atwood, Young Scholars, LPP	Patrick Michel, HFM BOCES Howard Mettleman, OHM BOCES Nicolas Savin, ONC BOCES Nancy Klenewski , SUNY Oneonta Franca Armstrong , MVCC Cathleen McColgin, HCCC Dustin Swanger, FMCCC Shelly Callahan, Res. Ctr for Refugees Maria Abraham, NYS DOL Tara Winter, SUNY Cobleskill Sharon Wise, Utica College Mike Manning, SUNY Poly	Dr. Randall VanWagoner, MVCC Ryan Miller, ThINCubator Ladan Alomar, Centro Civico of Amsterdam Laura Cohen, MVEDGE Alice Savino, WDB Allison Nowak, ESD Sarah Lam, WDB Gail Breen, WDB Alicia Dicks, Community Foundation	Jason Evans, SUNY Cobleskill Kristen Skobla, MVCC Amanda Bearcroft, Mont. County BDC Rebecca Morgan, CADE Gail Breen, WDB FMS Peter Zawko, Mohawk Valley EDGE Marty Broccoli, Cornell Coop. Ext. Chris Henry, OC Dept.of Planning Sarah Goodrich, SALT Don Smyers, Cornell Coop. Extension	Alicia Dicks, Com. Foundation Matt Andrews, City of Rome Bob Lambe, Town of Marcy Jim Mraz, Fulton County Ken Rose, Montgomery BDC Christian Mercurio, MVEDGE Carolyn Lewis, Basset Healthcare Diane Shoemaker, Rust 2 Green Utica J. Caroline Williams, Cornell Coop. Karl Gustafson, Montgomery County Brian Thomas, City of Utica Paul Romano, O'Brien & Gere Mayor Jacqueline Izzo, City of Rome Kiva VanDerGeest, City of Rome	Maureen Gannon, NY Bicycling Coalition Paul Winkeller, NY Bicycling Coalition Sue Friedlander, Arkell Museum Pietra Yozzo, OC Board of Legislators Paul Romano, O'Brien &Geere Jennifer Vanwagoner, Res. Ctr Refugees Micki Lieber, Friends of Fort Plain Brenda Wolak, OHM BOCES Gina Dabiere-Gibbs, FM Commerce Kiva VanDerGeest, City of Rome Morgan Mielnicki, Com. Foundation Kelly Blazowsky, Oneida County Tourism Renee Shevat, Herkimer Diamond Mines
Builds upon regional STEM concentrations by identifying new opportunities and attracting investment in cutting-edge industries. This group identified recruitment of qualified employees and workforce development to their work.	This group is comprised of leaders of our educational institutions and not-for-profit community and is dedicated to providing a pathways for success.	The group focuses on life and work skills, industry-aligned training, and entrepreneurial opportunities for hard-to-place workers, Veterans, and a spectrum of talent across the Mohawk Valley.	This group germinated in 2015 as a strategic advisory group to support the URI plan, and continues to guide the Mohawk Valley's Agribusiness strategy advancement through a series of targeted investments and grassroots initiatives.	This committee works to advance priorities that closely align with the principles of Smart Growth and Sustainability – with a focus on urban core revitalization, waterfront and canal side communities, brownfield redevelopment, and adaptive reuse.	Another top URI strategy, this team is working to promote Agritourism, Craft Food & Beverage producers, Outdoor Adventure, Cultural & Historic Sites, and Sports as a global Mohawk Valley export.

## ENGAGEMENT AND SUPPORT OF PUBLIC AND LOCAL OFFICIALS

### SUNY COBLESKILL INSTITUTE RURAL VITALITY

SUNY Cobleskill was awarded a \$749,000 grant from the United States Department of Agriculture to build agricultural capacity in Schoharie County and beyond through long-term economic development and education initiatives.

With the USDA funding, SUNY Cobleskill is working with regional partners like the Mohawk Valley Regional Economic Development Council to increase access to agriculture-related higher education, improve college completion rates, expand applied learning opportunities, support agricultural businesses, and pursue economic and community development opportunities. The grant will help SUNY Cobleskill expand pathways for students to enter a broad spectrum of rewarding careers in agriculture.

Some of the work is already under way, such as SUNY Cobleskill becoming a New York State certified Farm and Food Business Incubator and the recently launched BOCES AgPTECH partnership in Johnstown. With the grant funding, SUNY Cobleskill will work to expand the teaching of college-level agriculture courses in regional high schools, improve agricultural outreach in urban areas, and assist county and town stakeholders with economic development plans, among other activities.

These initiatives will provide partnership opportunities for local communities and organizations to combine their resources and expertise with the College in revitalizing the economies of rural New York. At the same time, the alignment of academic programs with community development initiatives will create boundless opportunities for applied learning and research for SUNY Cobleskill students and faculty.



#### U.S. SENATOR KIRSTEN GILLIBRAND FIRST NEW YORK SENATOR TO SERVE ON THE SENATE AGRICULTURE COMMITTEE IN NEARLY 40 YEARS

I have witnessed firsthand the important work being done at SUNY Cobleskill to advance agricultural research, new learning opportunities, and regional economic development. This federal funding will accelerate Cobleskill's innovative initiatives and create opportunities for future farmers, growers, and entrepreneurs throughout the region.



#### MARION A. TEREZIO SUNY COBLESKILL PRESIDENT

The Institute for Rural Vitality represents a new era of regional cooperation and applied learning at SUNY Cobleskill. We are reimagining education as a productive collaboration with the community that brings new energy and new growth to our region.



## 01 THE CENTER FOR FARM AND FOOD ENTREPRENEURSHIP

In partnership with the Center for Agricultural Development & Entrepreneurship (CADE), assists farm and food businesses with technical, marketing, product, and business development.

## 02 THE CENTER FOR COMMUNITY ADVANCEMENT

Promotes public-private partnerships that enhance quality of life. It serves as a clearinghouse for student-driven community service and training hub and think tank for K-12 and higher education professionals.

## 03 THE CENTER FOR BUSINESS DEVELOPMENT

Encourages entrepreneurship, job creation, and a stronger local economy through collaboration between SUNY Cobleskill faculty, staff, and the local business community. SUNY Cobleskill was recently cited by ESD as one of a handful of higher education institutions that are partnering with private businesses to bring more than 640 new jobs and \$15 million in investment to the state.

## 04 THE CENTER FOR ART AND CULTURE

Fosters the arts and culture as drivers of social and economic development in collaboration with museums and other community partners.

## 05 THE CENTER FOR RURAL LEGAL AND POLICY SERVICES

Partnership with Albany Law School, focuses on the issues that affect rural businesses and communities, offering legal consultation, educational programming, and advocacy.



# DOWNTOWN REVITALIZATION 2017 ROUND 2

*In the spring of 2016, Governor Cuomo introduced a major new initiative – the Downtown Revitalization Initiative (DRI) - which invested \$100 million in ten downtown communities.*

This unprecedented program provided incredible financial and human resources to unlock dormant potential of our urban areas. The Mohawk Valley chose the City of Oneonta from six Mohawk Valley applicant communities for this inaugural investment. Oneonta had done the hard work to get to a “tipping point” and the infusion of investment dollars from the state is now enabling Oneonta to readily and effectively transform its downtown by leveraging collaborations and creating jobs.

Due to the overwhelming enthusiasm by communities across the state, another \$100 million was allocated in 2017 to capitalize on the momentum for propelling the resurgence of downtowns. This time - seven Mohawk Valley communities heeded the call to capitalize on their prior investments to catalyze future public and private partnerships throughout the most critical areas of our urban cores. Business leaders, young professionals, entrepreneurs and retirees, are increasingly calling Mohawk Valley's downtown centers home because of the high quality of life and economic opportunity. Investing in these urban centers improve economic performance, reduce infrastructure costs, and enhance the economic well-being of surrounding areas.

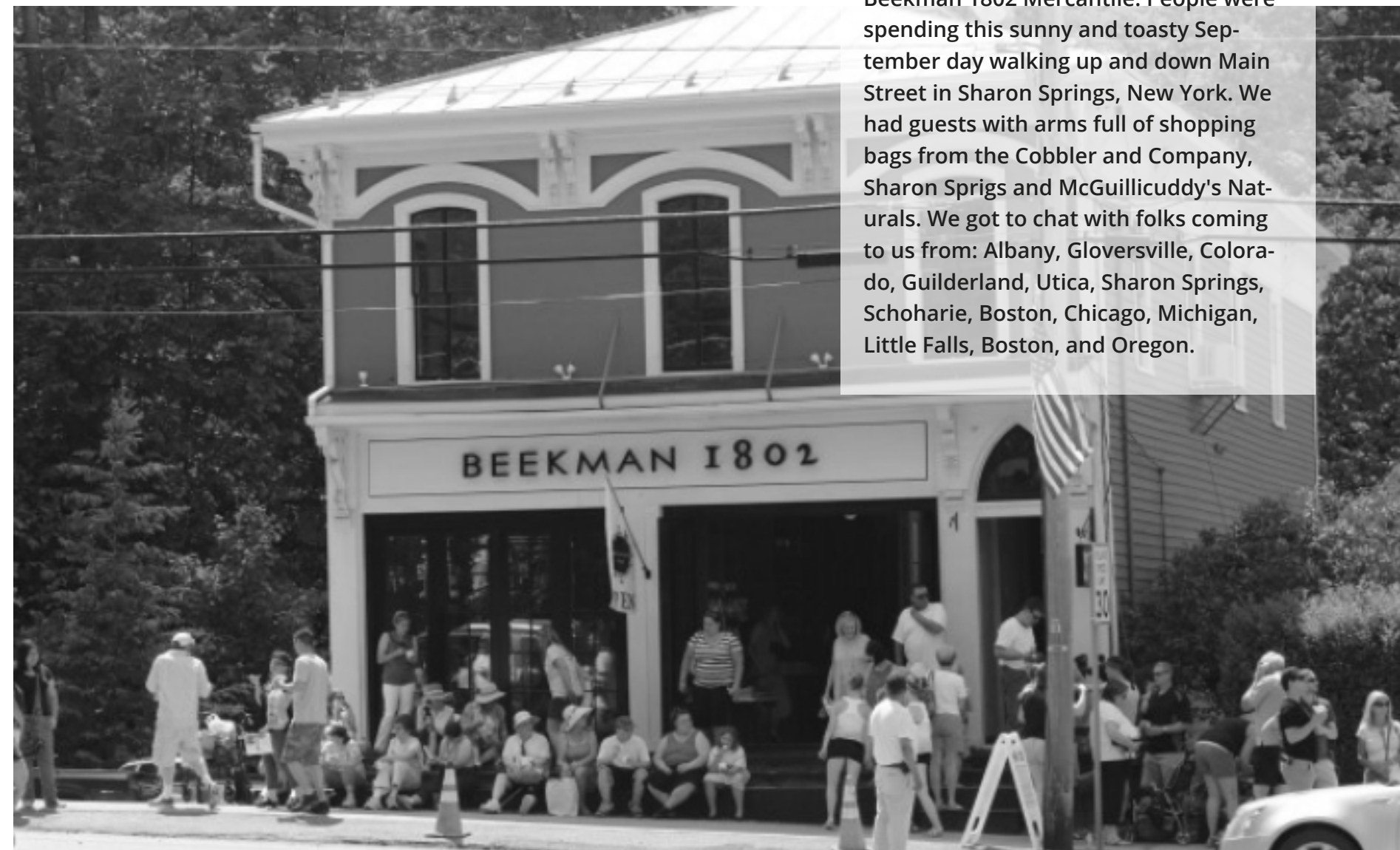
**The Mohawk Valley Regional Economic Development Council applauds and supports these municipalities vision and grit as they embrace the call to reimagine and revitalize their downtowns. They know there is no quick fix and funds from the DRI award alone will only be the beginning towards the goals of increasing the local tax base, and economic development initiatives that will create broader economic and social gains.**

**AMSTERDAM  
COBLESKILL  
COOPERSTOWN  
GLOVERSVILLE  
ROME  
SHARON SPRINGS  
UTICA**



**The Beekman 1802 “Merc Report” for Thursday, September 14, 2017:**

Terrific Thursday here in the gorgeous Beekman 1802 Mercantile. People were spending this sunny and toasty September day walking up and down Main Street in Sharon Springs, New York. We had guests with arms full of shopping bags from the Cobbler and Company, Sharon Sprigs and McGuillicuddy's Naturals. We got to chat with folks coming to us from: Albany, Gloversville, Colorado, Guilderland, Utica, Sharon Springs, Schoharie, Boston, Chicago, Michigan, Little Falls, Boston, and Oregon.



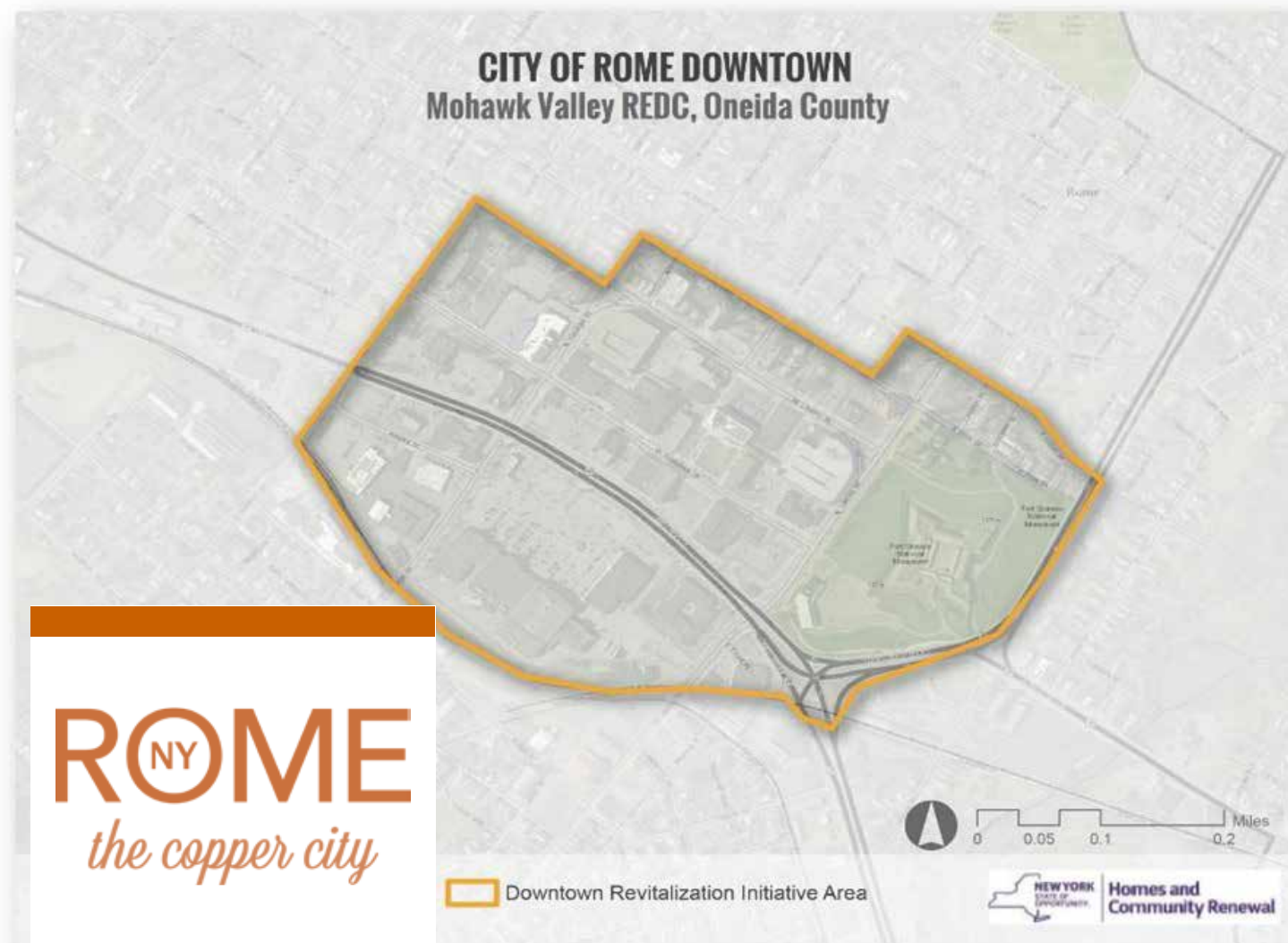


## 2017 AWARDEE: CITY OF ROME

**The time is now. The place is downtown Rome: the Mohawk Valley's next DRI community.**

Rome is taking a leadership role as a sustainable, inclusive, intelligent City. The DRI team envisions a re-energized urban nucleus through laser-focused investments. The plan seeks to inspire innovation, facilitate residential repatriation, promote arts-based business, encourage alternative transportation, celebrate diversity, and create amazing places.

Rome is on the very brink of structural, metaphysical change. \$10 million will change the game – not just for Rome, but for the entire region. The Rome DRI target area contains all the ingredients for success: Massive physical impact, leverages \$32 million in new investment, nationally-acclaimed public spaces, sturdy partnerships, and the professional staff capacity to deliver projects. Success in Rome will augment and inspire continued transformation - already underway in Utica and Oneonta - as the western sentinel of the innovation economy.



### DRI BOUNDARIES



### RATIONALE

#### CAPACITY

Team is in place, City staff are committed, community is energized.

#### FINANCING

Budget is clear, City departments and private partners are engaged

#### READINESS

The projects are real and ready to implement.

#### REGIONAL IMPACT

Impact on regional economy is maximized with strategic investment of \$10M.

#### SMART GROWTH

Investments are focused on adaptive reuse and a vibrant urban center.

#### CLIMATE SMART

Capitalizes on walkability, green infrastructure, and alternative energy.

#### 21ST CENTURY WORKFORCE

Housing, amenities, and the innovation economy are the chief investment drivers.

#### INCLUSION

Celebrates diversity, acceptance and accessibility for underserved and vulnerable populations.



## CITY OF ROME

**Compact, distinctive, and walkable in five minutes or less from the City Green.**

The downtown district is distilled from the Erie Boulevard Brownfield Opportunity Area (BOA) Implementation Strategy. The district is bounded by Fort Stanwix National Monument in the east; to Madison Street in the west; the CSX railroad in the south; and Court and Liberty Street in the north. It includes the “urban renewal superblock” including City Hall, Liberty Plaza, the Neighborhood Center, the Capitol Theatre, two large public parking structures, Fort Stanwix, and a shopping mall. The total area of the target area spans only 150 acres (0.25 sq. miles) and includes both the Historic Gateway and the West Dominick Arts and Cultural District.

The target area radius is a *five minute walk* from the City Green. It is important that the investments be concentrated in an area that is accessible by humans on foot, not strictly vehicles. Business and service accessibility is an important factor in a strong central downtown. It can entice someone to explore through sensory interest. The area includes the intersection of State Highways 46, 49, and 69, and is the physical nexus of the surrounding neighborhoods, commercial main street districts, and the waterfront district. Whether visitor or resident, people want to explore interesting places. The target area is rich with commercial, cultural, transportation, and recreational assets to support a 24 hour livable and vibrant place to experience the City and connect with one another.

**Rome has gained notoriety in Upstate New York as “small, gritty, and green.” Here’s how they did it.**

The new Copper City has embraced sustainable design, collaborative placemaking, and public-private partnerships in and around the target area. Why is this important? Each of these projects lays the foundation for success in the DRI; concentrates significant dollars for maximum impact; and demonstrates a commitment to smart growth and quality of life for Rome’s citizens. The City has taken into consideration how the *built and natural environments complement each other* and provide people with a place that they want to explore, enjoy, and experience.

- **Walkable, Bikeable, Beautiful.** The city has also been progressing the network of multiuse recreational trails including the Mohawk River Trail, Canalway Trail, and the Griffiss Sculpture Garden Trail. Over the past five years, the community has seen its public trail network grow by more than 10 miles. These trails are part of an interconnected in-city and nature trail system that is due to double in size in the next 2 years with the construction of an extension of all 3 trail networks. These networks are directly connected to the downtown area. The city even offers visitors and residents who do not own a bicycle the ability to rent one for the hour or the day through 3 bike share stations.
- **Climate Smart Community | Paris Accord compliant.** As an official designated Climate Smart Community, Rome is acting locally and thinking globally through smart environmental and economic policy. Rome stands in solidarity and in action with New York State and global communities to exceed the promises of the Paris Accord in terms of renewable energy, green infrastructure, alternative transportation, and waste management. Rome’s numbers in each of these categories eclipses those of every other City in the region, and per capita, most of Upstate NY.

## PRIORITY PROJECTS 2018 - 2020

**Liberty-George Transformation.** Project involves demolition of a 40-year old parking structure on a 2-acre site and replacing with modern, sustainably-designed, mixed-income apartments. The City, in collaboration with a private developer, has initiated plans for demolition of the garage to prepare for construction of mixed income apartments and public parking. Est: \$14,000,000

**Capitol Theatre.** The Capitol Theatre’s Master Plan includes enclosing an alley to reconnect the 3 distinct structures for seamless programming and events. Following the Proctor’s Theatre model, It also includes the fit out of a black box theater and a backstage access to enhance meeting and event space. Est: \$8,700,000

**City Green and City Hall Enhancement.** The project capitalizes on the centrally-located, programmable City Green by constructing an outdoor skating rink and infrastructure for winter festivals to anchor the 4-season destination. The upgrades to City Hall will include a new entry atrium that is controlled and safe as well as ADA compliant and environmentally sustainable. Est: \$3,000,000

**Liberty-James Garage Upgrades.** Installation of automated access & pay station to accommodate 24-hour access for new residents, including façade improvements to meet form-based aesthetic. Est: \$250,000

**West Dominick Infill Development Site Work.**

Engineering & Archaeological field work to facilitate infill development of 12,000-square feet of mixed use development with Erie-level parking. The target area includes 3 main underutilized lots - perfectly situated for infill development. Housing market studies supporting specific uses have already been completed through the BOA process. Est: \$250,000

**City Center Apartments.** A longtime vacant structure that has contributed to the blight within the area, this structure will be completely renovated and include upper floor apartment units and a first floor meeting space. Est: \$2,500,000

**Arts-based Innovation Hot Spot @ REACH Center.** Build-out of 10,000 square feet of co-working space for arts-based entrepreneurs, including a new Main Streets Market to feature locally roasted coffee, craft beverages, local bread and sweets and craft foods from Utica, Rome, and the Mohawk Valley. Est: \$500,000

**Streetscape Improvements & Trail Connectivity @ Erie/James and @Erie/George.** Crosswalks, traffic calming, gateway features, trail connections, signals to increase commerce across Erie Boulevard and connect downtown more effectively to underserved residential neighborhoods. Est: \$400,000

**CENTRO Hub.** CENTRO and Rome have committed to collaborate on a definitive relocation and facilities plan for the bus terminal to a 2,500 sf energy-efficient, safe, universally accessible, and central location. Est: \$2,000,000

**Zion Church historic preservation and community center.** Rome Main Street Alliance is partnering with Zion Episcopal Church to develop the Vestry building and former school into a centralized service hub for non-profits with a focus on community outreach and interface. The project fits out public meeting spaces, offices and classrooms, recreation space, LGBTQ community safe haven, and a comprehensive commercial kitchen for entrepreneurial access. Est: \$1,000,000

**From a regional perspective, Rome’s DRI plan directly focuses on regional goals to:**

- Attract talent for high-growth industries – targeting the success of the Marcy Nanocenter, growth of UAS, and expansion Cyber Technology
- Create international destinations – leveraging Fort Stanwix National Monument and the historic Erie Canalway Corridor
- Cultivate entrepreneurship and innovation – through adaptive reuse and programming at the REACH Center
- Expand opportunity and accessibility for vulnerable populations – facilities and programming for LGBTQ community at Zion Episcopal Church
- Connectivity – pedestrian infrastructure to connect downtown Rome neighborhoods to regional employment centers and recreational assets



# VI. APPENDIX

## FUNDED CFA PROJECT STATUS

Status	CFA #	Applicant Name	Project Name	Agency Name	Agency Program	CFA Award	Total Project	Grant Dispersed To Date
Open Enrollment								
Black	3030	ProTerra Lighting US, Inc.	ProTerra Light-ing US Excelsior	ESD	Excelsior Jobs	\$750,000.00	\$2,320,000.00	\$-
Black	6316	QUANDT'S FOODSER-VICE DISTRIBUTION,	Quandt's Food-service Excelsior	ESD	Excelsior Jobs	\$903,021.00	\$903,021.00	\$-
Black	6803	Homogenous Metals, Inc.	Homogeneous Metals Excelsior	ESD	Excelsior Jobs	\$428,605.00	\$23,640,000.00	\$-
Black	7634	Orion Bus-Daimler-Chrysler Commercial Bus, N.A.	Daimler Buses N.A. Excelsior	ESD	Excelsior Jobs	\$80,000.00	\$180,952.00	\$-
Black	16507	Custom Electronics, Inc.	Custom Elec-tronics Excelsior	ESD	Excelsior Jobs	\$350,000.00	\$502,000.00	\$-
Black	19366	TecMar NY, LLC	TecMar NY Excelsior	ESD	Excelsior Jobs	\$1,000,000.00	\$57,020,000.00	\$-
Black	32026	Erie Canal Distillers	Erie Canal Distill-ers Excelsior	ESD	Excelsior Jobs	\$20,000.00	\$155,500.00	\$-
Black	32652	Matt Brewing Company	Matt Brewing Company Excel-sior	ESD	Excelsior Jobs	\$200,000.00	\$1,600,000.00	\$-
Black	42858	Erie Pellets, Inc.	Erie Pellets Excelsior	ESD	Excelsior Jobs	\$530,000.00	\$8,794,451.00	\$-
Black	18479	United Technologies Corp. Aerospace For-merly LUCAS TRW AER-ONAUTICAL SYSTEMS	UTC Aerospace Systems Excel-sior	ESD	Excelsior Jobs	\$750,000.00	\$5,330,000.00	\$-
Black	11558	Robert Bosch Health-care Systems, Inc.	Robert Bosch Healthcare Excelsior	ESD	Excelsior Jobs	\$450,000.00	\$2,047,000.00	\$-
Blue	18579	Gehring Tricot Corpo-ration	Gehring Tricot Excelsior	ESD	Excelsior Jobs	\$700,000.00	\$2,006,727.00	\$-
Blue	18660	Burrows Paper Corp.	Burrows Paper Capital	ESD	Excelsior Jobs	\$300,000.00	\$21,297,468.00	\$-
Green	5094	FIBER INSTRUMENT SALES INC	Fiber Instrument Excelsior	ESD	Excelsior Jobs	\$200,000.00	\$3,951,752.00	\$-
Green	7484	TAST I TWIST BAKERS, INC DBA DEIORIO FROZEN	Delorio Foods Excelsior	ESD	Excelsior Jobs	\$300,000.00	\$5,185,000.00	\$-
Green	31071	Cryo Pure Corp.	Cryo Pure Ex-celsior	ESD	Excelsior Jobs	\$150,000.00	\$750,000.00	\$-
Green	38993	Trenton Technology, Inc.	Trenton Tech-nology Excelsior	ESD	Excelsior Jobs	\$500,000.00	\$10,420,000.00	\$-
Green	41298	FOUNTAINHEAD GROUP INC	Fountainhead Group Excelsior	ESD	Excelsior Jobs	\$300,000.00	\$3,000,000.00	\$-

Status	CFA #	Applicant Name	Project Name	Agency Name	Agency Program	CFA Award	Total Project	Grant Dispersed To Date
Green	54974	Johnstown Renewa-bles, LLC fka New Age Renewable Energy	Johnstown Renewables Excelsior	ESD	Excelsior Jobs	\$940,000.00	\$12,430,000.00	\$-
Green	57094	PAR TECHNOLOGY CORP	PAR Technology Excelsior	ESD	Excelsior Jobs	\$330,000.00	\$5,900,000.00	\$-
Green	64309	NCI Group, Inc.	NCI Group Excelsior	ESD	Excelsior Jobs	\$325,000.00	\$11,455,000.00	\$-
Green	67024	New Hartford Sheet Metal	Metal Solutions Excelsior	ESD	Excelsior Jobs	\$70,000.00	\$1,113,000.00	\$-
Green	68341	Square Stamping Man-ufacturing Corporation	Square Stamp-ing Excelsior	ESD	Excelsior Jobs	\$100,000.00	\$3,250,000.00	
Green	4610	M. H. Stallman Com-pany	M. H. Stallman Excelsior	ESD	Excelsior Jobs	\$400,000.00	\$4,511,000.00	\$-
Green	27552	NORTHERN SAFETY COMPANY	Northern Safety Excelsior	ESD	Excelsior Jobs	\$200,000.00	\$1,170,000.00	\$-
Round 1 - 2013								
Black	6188	SUNY Institute of Technological Research Foundation	SUNYIT Re-search Found. Capital	ESD	Regional Coun-cil Capital Fund	\$274,000.00	\$5,784,000.00	\$-
Black	6635	Brouwerij Belome, LTD	Brouwerij Be-lame Capital	ESD	Economic Development Purposes Fund	\$140,000.00	\$3,052,528.00	\$-
Black	6803	Homogeneous Metals, Inc. dba P&W-HMI Metal Powders	Homogeneous Metals OJT	DOL	Business Hiring and Training Incentives	\$50,000.00	\$50,000.00	
Black	7008	Cobleskill Brewing Company	Cobleskill Brew-ing Capital	ESD	Economic Transformation Program	\$750,000.00	\$5,125,000.00	\$-
Black	7397	Utica (C)	Utica LWRP	DOS	Local Water-front Revitali-zation	\$200,000.00	\$400,000.00	\$-
Black	7406	Herkimer County Com-munity College	HCCC Worker Skills Upgrading	DOL	Business Hiring and Training Incentives	\$12,500.00	\$12,500.00	
Black	7407	City of Amsterdam	Embassy Mill-works Expansion	ESD	Industrial Development Bond Cap	\$1,100,000.00	\$1,330,000.00	\$-
Black	7951	Fulton County IDA	MH Stallman Expansion	ESD	Industrial Development Bond Cap	\$5,000,000.00	\$11,211,961.00	\$-
Black	7406	Herkimer County Com-munity College	Unemployed Worker Training	DOL	Unemployed Worker Skills Training	\$12,500.00	\$12,500.00	
Blue	3799	NORTHLAND NET-WORKS LTD	Northland Net-works Capital	ESD	Regional Coun-cil Capital Fund	\$703,500.00	\$1,514,674.00	\$703,500.00
Blue	3811	Town of Cobleskill	Cobleskill - Route 7 Capital	ESD	Economic Transformation Program	\$4,100,000.00	\$9,200,000.00	\$4,100,000.00
Blue	4175	City of Utica	Urban Green Infrastructure	EFC	Green Inno-vation Grant Program	\$1,030,000.00	\$1,144,444.00	\$996,436.62
Blue	4252	County of Oneida	Griffiss Int'l Airport Capital	ESD	Economic Transformation Program	\$350,000.00	\$3,544,000.00	\$334,318.00



Status	CFA #	Applicant Name	Project Name	Agency Name	Agency Program	CFA Award	Total Project	Grant Dispersed To Date
Blue	4325	Liberty Gardens Associates II, L.P.	Liberty Gardens Phase II	HCR	Federal Low Income Housing Tax Credit	\$1,068,608.00	\$15,048,187.00	\$1,068,608.00
Blue	4708	City of Johnstown	Fage USA Dairy	HCR	Community Development Block Grant (CDBG)	\$750,000.00	\$100,148,000.00	\$746,830.00
Blue	4745	FULTON COUNTY	Tryon Park & Incubator Center	ESD	Regional Council Capital Fund	\$2,000,000.00	\$3,140,000.00	\$1,641,427.24
Blue	4937	Mohawk Valley Community College	MVCC Unemployed Worker Training	DOL	Unemployed Worker Skills Training	\$50,000.00	\$50,000.00	\$50,000.00
Blue	5027	Griffiss Local Development Corporation	GLDC Fiber Connectivity Hub	ESD	Regional Council Capital Fund	\$397,500.00	\$838,800.00	\$397,500.00
Blue	5437	Advanced Tool, Inc.	Advanced Tool OJT	DOL	Business Hiring and Training Incentives	\$16,000.00	\$16,000.00	\$2,880.00
Blue	5742	Otsego Northern Catskills BOCES	Unemployed Worker Training	DOL	Unemployed Worker Skills Training	\$50,000.00	\$47,345.00	\$9,070.00
Blue	5847	Schoharie County	Schoharie Co. Microenterprise Program	HCR	CDBG Economic Development Microenterprise	\$200,000.00	\$200,000.00	\$200,000.00
Blue	6007	Rome (C)	Rome Navigation Center	DOS	Local Waterfront Revitalization	\$393,060.00	\$786,120.00	\$393,060.00
Blue	6182	City of Oneonta	Oneonta Microenterprise Program 2	HCR	CDBG Economic Development Microenterprise	\$200,000.00	\$335,000.00	\$199,977.00
Blue	6230	Oneida County	Griffiss Int'l Airport Hangar Rehab	DOT	Aviation Bond Project	\$2,700,000.00	\$3,000,000.00	\$2,260,022.00
Blue	6395	NYSOPRHP	Schoharie Crossing	Canals	NYS Canalway - Agency Education Interpretive	\$20,000.00	\$40,000.00	\$-
Blue	6507	Griffiss Utility Services Corporation	GUSC Energy Capital	ESD	Regional Council Capital Fund	\$1,500,000.00	\$18,000,000.00	\$1,500,000.00
Blue	7071	Milford Central School District	Milford CSD CORE Initiative	ESD	Economic Development Purposes Fund	\$125,000.00	\$450,000.00	\$117,056.00
Blue	7329	Amsterdam (C)	Mohawk River Walk	DOS	Local Waterfront Revitalization	\$25,000.00	\$50,000.00	\$23,587.61
Blue	7348	City of Rome	Little Italy Colonnade	EFC	Green Innovation Grant Program	\$459,000.00	\$520,515.77	\$426,338.77
Blue	7407	City of Amsterdam	Embassy MillworksExpansion	HCR	CDBG Economic Development	\$205,000.00	\$1,330,000.00	\$201,688.00
Blue	8261	Utica (C)	Utica Harbor Point Catalyst	DOS	Local Waterfront Revitalization	\$250,000.00	\$6,000,000.00	\$-
Blue	8635	Schoharie County	W. Kintz Plastics Expansion	HCR	CDBG Economic Development	\$21,563.00	\$134,770.00	\$21,563.00

Status	CFA #	Applicant Name	Project Name	Agency Name	Agency Program	CFA Award	Total Project	Grant Dispersed To Date
Blue	8689	Schoharie County	Blenheim Hill Farm	HCR	CDBG Economic Development	\$60,000.00	\$152,500.00	\$60,000.00
Blue	8871	Ft. Schuyler Management Corp.	Quad C - Phase 1 Capital	ESD	Economic Transformation Program		\$50,970,552.00	
Blue	14024	OTSEGO RURAL HOUSING ASSISTANCE, INC.	RESTORE '11	HCR	RESTORE	\$65,000.00	\$177,000.00	\$65,000.00
Blue	14047	CITY OF LITTLE FALLS	Center City-Neighborhood Investment	HCR	HOME	\$330,000.00	\$395,700.00	\$269,934.00
Blue	14062	OTSEGO RURAL HOUSING ASSISTANCE, INC.	HOME '11 Rehab	HCR	HOME	\$400,000.00	\$790,800.00	\$400,000.00
Blue	14071	MOHAWK VALLEY COMMUNITY ACTION AGENCY, INC.	Herkimer County RESTORE	HCR	RESTORE	\$75,000.00	\$188,000.00	\$75,000.00
Blue	14072	MOHAWK VALLEY COMMUNITY ACTION AGENCY, INC.	Oneida County RESTORE	HCR	RESTORE	\$75,000.00	\$188,000.00	\$75,000.00
Blue	14082	OTSEGO RURAL HOUSING ASSISTANCE, INC.	ACCESS '11	HCR	Access to Home	\$237,500.00	\$329,250.00	\$237,500.00
Blue	14174	City of Amsterdam	Storm Sewer Separation Phase 2	HCR	CDBG - Public Infrastructure	\$600,000.00	\$612,000.00	\$600,000.00
Blue	14183	Town of Gilboa	Gilboa Housing Rehab.	HCR	CDBG - Housing	\$400,000.00	\$505,700.00	\$400,000.00
Blue	14184	City of Gloversville	Gloversville Housing Rehab.	HCR	CDBG - Housing	\$400,000.00	\$433,300.00	\$400,000.00
Blue	14190	Otsego Rural Housing	Otsego County HIP	HCR	Affordable Home Ownership Development Program	\$278,000.00	\$806,000.00	\$278,000.00
Blue	14193	Herkimer County	Herkimer County Housing Rehab	HCR	CDBG - Housing	\$750,000.00	\$875,000.00	\$749,721.00
Blue	14203	City of Little Falls	Little Falls Senior Housing Access	HCR	CDBG - Public Facilities	\$400,000.00	\$400,000.00	\$400,000.00
Blue	14214	City of Little Falls	Center-City Neighborhood Investment	HCR	Affordable Home Ownership Development Program	\$184,800.00	\$460,500.00	\$184,800.00
Blue	14226	Oneida County	Oneida County Housing Rehabilitation Program for Seniors	HCR	CDBG - Housing	\$750,000.00	\$875,000.00	\$748,126.00
Blue	14235	Village of Remsen	Remsen Storm Water Improvements	HCR	CDBG - Public Infrastructure	\$600,000.00	\$600,000.00	\$600,000.00
Green	2177	Village of Frankfort	Frankfort Marina Expansion	Parks	Park Acquisition, Development and Planning	\$113,812.00	\$151,750.00	\$95,131.00

Status	CFA #	Applicant Name	Project Name	Agency Name	Agency Program	CFA Award	Total Project	Grant Dispersed To Date
Green	3319	MOHAWK VALLEY EDGE	Marcy Interceptor Sewer Upgrade	ESD	Economic Transformation Program	\$5,000,000.00	\$8,500,000.00	\$4,999,996.30
Green	4312	MOHAWK VALLEY EDGE	Marcy Nano-center Phase 1A	ESD	Economic Transformation Program	\$5,000,000.00	\$12,100,000.00	\$4,999,999.79
Green	7681	City of Rome	Canalway Trail	Canals	NYS Canalway Grant Program	\$50,000.00	\$310,000.00	\$-
Green	8356	Rome (C)	Harborway on the Erie Canal	DOS	Local Water-front Revitalization	\$783,550.00	\$48,092,046.00	\$-
Green	6803	HOMOGENEOUS METALS, INC	Homogeneous Metals Capital	ESD	Economic Development Purposes Fund	\$120,000.00	\$11,800,000.00	\$-
Round 2 - 2012								
Black	14506	Rome Strip Steel Company, Inc.	Rome Strip Steel Expansion	ESD	Empire State Development Grant Funds	\$150,000.00	\$1,589,134.00	\$-
Black	15667	Mohawk (V)	Erie Canal Kayak/Canoe Launch	DOS	Local Water-front Revitalization	\$22,500.00	\$45,000.00	\$-
Black	15669	Mohawk (V)	Village Gateway Enhancements	DOS	Local Water-front Revitalization	\$75,000.00	\$150,000.00	\$-
Black	15725	Rome Memorial Hospital, Inc.	Rome Memorial Physician's Building	ESD	Empire State Development Grant Funds	\$150,000.00	\$5,374,200.00	\$-
Black	16513	National Baseball Hall of Fame & Museum, Inc.	Baseball Hall of Fame Digitization	ESD	Empire State Development Grant Funds	\$75,000.00	\$996,000.00	\$-
Black	16601	COLD POINT CORP	Cold Point Capital	ESD	Empire State Development Grant Funds	\$20,000.00	\$102,190.00	\$-
Black	17519	Valley Health Services, Inc.	Unemployed Worker Training	DOL	Unemployed Worker Training	\$54,750.00	\$54,750.00	
Black	17748	Rome Community Brownfield Restoration Corporation	Rome CBRC Capital	ESD	Empire State Development Grant Funds	\$200,000.00	\$2,800,000.00	\$-
Black	18037	City of Amsterdam	Pro Zone Lockers Expansion	HCR	CDBG Economic Development	\$205,000.00	\$1,205,000.00	\$-
Black	18037	County of Montgomery	ProZone Lockers Expansion	ESD	Empire State Development Grant Funds	\$50,000.00	\$1,200,000.00	\$-
Black	18051	Alliance Paving Materials, Inc.	Alliance Paving Roof Shingle Recycling	ESD	Environmental Investment Program - Capital	\$100,000.00	\$557,454.00	\$-
Black	18479	Goodrich Corporation	Goodrich Corporation OJT	DOL	Worker Skills Upgrading - New Hire	\$50,000.00	\$50,000.00	
Black	18511	UTICA COLLEGE	Utica College Forensics Center	ESD	Empire State Development Grant Funds	\$250,000.00	\$1,250,000.00	\$-

Status	CFA #	Applicant Name	Project Name	Agency Name	Agency Program	CFA Award	Total Project	Grant Dispersed To Date
Black	18642	MOHAWK VALLEY EDGE	Adirondack Distilling Expansion	ESD	Empire State Development Grant Funds	\$15,000.00	\$110,000.00	\$-
Black	18874	Griffiss Local Development Corporation	Cardinal Phase II Buildout	ESD	Empire State Development Grant Funds	\$150,000.00	\$1,461,200.00	\$-
Black	18900	PAR GOVERNMENT SYSTEMS CORP	PAR Government Systems Expansion	ESD	Empire State Development Grant Funds	\$50,000.00	\$885,000.00	\$-
Black	19194	CITY OF UTICA	Utica Streetscape	ESD	Empire State Development Grant Funds	\$250,000.00	\$8,000,000.00	\$-
Black	19280	Capitol Civic Center, Inc. d/b/a Rome Capitol Theatre	Rome Capitol Theatre Expansion	ESD	Empire State Development Grant Funds	\$250,000.00	\$10,452,650.00	\$-
Black	19298	Foothills Performing Arts Center	Foothills PAC Expansion	ESD	Empire State Development Grant Funds	\$50,000.00	\$152,040.00	\$-
Black	19366	TecMar NY, LLC	TecMar Aquaculture Facility	ESD	Empire State Development Grant Funds	\$400,000.00	\$57,020,000.00	\$-
Black	19795	Town of Herkimer	East Herkimer Sewer Improvement	ESD	Empire State Development Grant Funds	\$500,000.00	\$2,450,750.00	\$-
Black	19960	Fort Plain (V)	Old Military Road Trail Phase 2	DOS	Local Water-front Revitalization	\$40,000.00	\$80,000.00	\$-
Black	16364	Oneida County	Advanced Tool Expansion	HCR	CDBG Small Business Assistance	\$100,000.00	\$1,430,471.00	\$-
Black	16409	Old Forge Properties	Old Forge Biomass Heating Expansion	NYSER-DA	Economic Development and GHG Reduction Program	\$1,000,000.00	\$-	\$-
Black	16507	Custom Electronics, Inc.	Custom Electronics Modernization	ESD	Empire State Development Grant Funds	\$100,000.00	\$502,000.00	\$-
Black	18651	Masonic Medical Research Laboratory	MMRL Facility Modernization	ESD	Empire State Development Grant Funds	\$300,000.00	\$827,000.00	\$-
Blue	12945	City of Amsterdam	Bad Ponder Expansion	HCR	CDBG Economic Development	\$130,000.00	\$605,000.00	\$130,000.00
Blue	12945	County of Montgomery	Mohawk Fabric Expansion	ESD	Empire State Development Grant Funds	\$50,000.00	\$300,000.00	\$40,000.00
Blue	14000	City of Little Falls	Little Falls Center City Re-development	HCR	HCR - New York Main Street (NYMS)	\$245,000.00	\$321,667.00	\$130,261.00
Blue	14802	Strand Theatre of Old Forge	Strand Theatre Digital Conversion	ESD	Empire State Development Grant Funds	\$25,000.00	\$300,000.00	\$25,000.00
Blue	14923	Western Catskills Community Revitalization Council, Inc.	Jefferson Village Green	HCR	HCR - New York Main Street (NYMS)	\$172,500.00	\$380,698.00	\$123,113.00



Status	CFA #	Applicant Name	Project Name	Agency Name	Agency Program	CFA Award	Total Project	Grant Dispersed To Date
Blue	15777	Madison-Oneida BOCES	Unemployed Worker Training	DOL	Unemployed Worker Train- ing	\$17,200.00	\$17,200.00	\$15,175.00
Blue	16012	Village of Waterville	Waterville Downtown Revi- talization	HCR	HCR - New York Main Street (NYMS)	\$250,000.00	\$514,000.00	\$235,265.00
Blue	16213	City of Rome	Little Italy Main Street Revitali- zation	HCR	HCR - New York Main Street (NYMS)	\$250,000.00	\$535,000.00	\$237,827.00
Blue	16348	Oneida County	Harden Furni- ture Expansion	HCR	CDBG)Economi- c Development	\$150,000.00	\$3,059,520.00	\$121,600.00
Blue	16424	HARDEN FURNITURE COMPANY	Harden Furni- ture Expansion	ESD	Empire State Development Grant Funds	\$600,000.00	\$2,264,000.00	\$-
Blue	16890	Richardson Brands	Save Canajo- harie	ESD	Empire State Development Grant Funds	\$100,000.00	\$1,563,843.00	
Blue	17487	TURBO MACHINED PRODUCTS LLC	Turbo Machined Products Expans- ion	ESD	Empire State Development Grant Funds	\$100,000.00	\$945,329.00	\$75,000.00
Blue	17519	Valley Health Services	Living Learning Center	ESD	Empire State Development Grant Funds	\$1,775,000.00	\$12,500,000.00	\$1,775,000.00
Blue	17579	Schoharie County	Howe Caverns Expansion	HCR	CDBG Economi- c Development	\$400,000.00	\$1,000,000.00	\$400,000.00
Blue	17859	Advanced Tool, Inc.	Advanced Tool Expansion	ESD	Empire State Development Grant Funds	\$75,000.00	\$1,494,205.00	\$37,500.00
Blue	18032	Amsterdam (C)	Downtown Revi- talization	DOS	Local Water- front Revitali- zation	\$200,000.00	\$400,000.00	\$180,000.00
Blue	18032	County of Montgomery	Downtown Revi- talization	ESD	Empire State Development Grant Funds	\$225,000.00	\$508,669.00	\$225,000.00
Blue	18261	Mohawk Valley Com- munity College	Unemployed Worker Training	DOL	Unemployed Worker Train- ing	\$99,000.00	\$99,000.00	\$75,000.00
Blue	18319	St. Mary's Healthcare	Outpatient Pavilion	ESD	Empire State Development Grant Funds	\$307,000.00	\$17,740,000.00	\$-
Blue	18579	Gehring Tricot Corpo- ration	Gehring Tricot Expansion	ESD	Empire State Development Grant Funds	\$250,000.00	\$2,006,727.00	\$200,000.00
Blue	18660	Burrows Paper Corp.	Burrows Paper Modernization	ESD	Empire State Development Grant Funds	\$250,000.00	\$21,297,468.00	\$-
Blue	18794	Town of Verona	Verona Sewer System Upgrade	ESD	Empire State Development Grant Funds	\$500,000.00	\$6,505,000.00	\$500,000.00
Blue	19030	Upper Mohawk Valley Memorial Auditorium Authority	Utica Memorial Auditorium Phase II	ESD	Empire State Development Grant Funds	\$250,000.00	\$3,490,000.00	\$250,000.00
Blue	19209	Gloversville-Johnstown Waste Water Treatment Facility	Gloversville-Johnstown WWTF	ESD	Empire State Development Grant Funds	\$1,000,000.00	\$7,223,552.00	\$893,444.00

Status	CFA #	Applicant Name	Project Name	Agency Name	Agency Program	CFA Award	Total Project	Grant Dispersed To Date
Blue	19358	County of Oneida	Griffiss Int'l Airport Customs Facility	ESD	Empire State Development Grant Funds	\$300,000.00	\$1,500,000.00	\$-
Blue	19637	Cobleskill Agricultural Society	Fairgrounds Improvements	ESD	Empire State Development Grant Funds	\$25,000.00	\$59,400.00	\$25,000.00
Blue	12945	Amsterdam Industrial Development Agency	Mohawk Fabrics Expansion	ESD	Industrial Development Bond Cap	\$1,200,000.00	\$2,500,000.00	\$-
Blue	14517	Sculpture Space Inc	The Summer of Sculpture	Arts	Art Project Grant	\$100,000.00	\$200,000.00	\$100,000.00
Blue	14793	New York State Historical Association	Romanticism & the American Landscape	Arts	Art Project Grant	\$100,000.00	\$200,000.00	\$30,000.00
Blue	14923	Western Catskills Community Revitalization Council, Inc.	Jefferson Village Green RARP	HCR	HCR - Rural Area Revitalization Projects (RARP)	\$70,625.00	\$108,092.00	\$66,925.00
Blue	15075	Village of Canajoharie	Wastewater Plant Improvement	HCR	CDBG- Public Infrastructure	\$600,000.00	\$2,631,000.00	\$600,000.00
Blue	15568	Village of Oriskany Falls	Community Center Stabilization	Parks	Historic Property Acquisiton, Development and Planning	\$69,160.00	\$138,320.00	\$69,160.00
Blue	15685	Village of Cooperstown	Streetscape and Water Improvements	EFC	Green Innovation Grant Program	\$636,854.00	\$1,029,228.69	\$636,686.93
Blue	15862	City of Amsterdam	Storm Sewer Separation Phase III	HCR	CDBG - Public Infrastructure	\$600,000.00	\$603,000.00	\$600,000.00
Blue	16410	Town of Forestport	Town of Forestport Wastewater Engineering Study	DEC	CWSRF Engineering Planning Grant Program	\$30,000.00	\$37,500.00	\$21,098.00
Blue	16513	National Baseball Hall of Fame	Digitization Project	ESD	Regional Tourism Marketing Grant Initiative (I LOVE NY Fund)	\$200,000.00	\$1,050,000.00	\$200,000.00
Blue	17291	Village of Herkimer	Bellinger Brook Culvert Rehab	HCR	HCR - Community Development Block Grant (CDBG) - Public Infrastructure	\$225,000.00	\$260,340.00	\$224,627.00
Blue	19235	Village of Cooperstown	Wastewater Upgrade Study	DEC	CWSRF Engineering Planning Grant Program	\$30,000.00	\$37,500.00	\$19,914.45
Blue	13346	Otsego County	Regional Sustainability Planning	NYSER-DA3	Cleaner, Greener Communities Regional Sustainability Planning Program	\$1,000,000.00	\$1,000,000.00	\$890,096.33

Status	CFA #	Applicant Name	Project Name	Agency Name	Agency Program	CFA Award	Total Project	Grant Dispersed To Date
Green	15727	Village of Frankfort	Small Business Assistance Pro-gram	ESD	Empire State Development Grant Funds	\$50,000.00	\$62,500.00	\$-
Green	17148	Town of German Flatts	Town Park	Canals	NYS Canalway Grant Program	\$150,000.00	\$300,000.00	\$47,315.00
Green	17485	City of Rome	Terminal Build-ing Rehab	Canals	NYS Canalway Grant Program	\$150,000.00	\$300,000.00	\$-
Green	17516	Bonide Products Inc	Bonide Products Inc Upgrades	ESD	Empire State Development Grant Funds	\$20,000.00		
Green	19405	HC Industrial Develop-ment	Revolving Loan Fund Capital	ESD	Empire State Development Grant Funds	\$50,000.00	\$50,000.00	\$25,000.00
Green	19589	Otsego (Co)	Planning for Mohawk Valley	DOS	Local Water-front Revitali-zation	\$300,000.00	\$600,000.00	\$-
Green	19794	CITY OF UTICA	Utica Sewer Improvements	ESD	Empire State Development Grant Funds	\$400,000.00	\$1,600,000.00	\$-
Green	17439	Village of Northville	S. Main St Im-provement	Parks	Park Acqui-sition, Devel-opment and Planning	\$75,000.00	\$100,000.00	\$30,869.00
Green	17491	Schoharie County	Schoharie Co. Agriculture Assistance	Ag_and_Markets	Agriculture Development Program	\$475,000.00	\$950,000.00	\$371,151.00
Green	6803	Homogenous Metals, Inc.	Homogeneous Metals Expan-sion	ESD	Empire State Development Grant Funds	\$120,000.00	\$11,800,000.00	\$-
Yellow	18119	Center for Advanced Global Manufacturing -SUNYIT	CGAM Labora-tory	ESD	Empire State Development Grant Funds	\$250,000.00	\$1,250,000.00	\$-
Round 3 - 2013								
Black	26841	Oneida County Work-force Development	SNAP Opportu-nities Program	OTDA	SNAP Opportu-nities	\$-	\$-	\$-
Black	28307	County of Oneida	3B Timber Com-pany Expansion	HCR	CDBG - Small Business Assis-tance	\$100,000.00	\$1,300,000.00	\$-
Black	29182	Corbin Hill Road Farm	Corbin Hill Farm Expansion	HCR	CDBG - Small Business Assis-tance	\$180,000.00	\$935,149.00	\$-
Black	30035	Revolutionary Spirits, LLC	Revolutionary Spirits Farm Distillery	ESD	Empire State Development Grant Funds	\$60,000.00	\$611,000.00	\$-
Black	30035	Revolutionary Spirits, LLC	Farm Distillery & Tourist Destina-tion	ESD	Market New York	\$47,200.00	\$611,000.00	\$-
Black	30045	NORTHLAND NET-WORKS LTD	Northland Networks Fiber Phase 2	ESD	Empire State Development Grant Funds	\$70,000.00	\$365,000.00	\$-
Black	30535	Mohawk Resources	Mohawk Re-sources Capital	ESD	Empire State Development Grant Funds	\$250,000.00	\$3,100,000.00	\$-

Status	CFA #	Applicant Name	Project Name	Agency Name	Agency Program	CFA Award	Total Project	Grant Dispersed To Date
Black	31633	Deer Run at River Ridge, LLC	Deer Run at River Ridge Construction	ESD	Empire State Development Grant Funds	\$1,000,000.00	\$22,054,000.00	\$-
Black	32026	Erie Canal Distillers	Erie Canal Distill-ers Expansion	ESD	Empire State Development Grant Funds	\$10,000.00	\$155,500.00	\$-
Black	32088	Erie Canal RV Resort & Campground	Erie Canal RV Resort & Camp-ground	ESD	Empire State Development Grant Funds	\$200,000.00	\$1,504,418.00	\$-
Black	32241	LCL Associates	HDB Realty Capital	ESD	Empire State Development Grant Funds	\$200,000.00	\$2,160,000.00	\$-
Black	32244	Rome Strip Steel Com-pany, Inc.	Rome Strip Steel Expansion	ESD	Empire State Development Grant Funds	\$150,000.00	\$1,400,000.00	\$-
Black	32271	Griffiss Utility Services Corporation	GUSC Energy Capital	ESD	Empire State Development Grant Funds	\$150,000.00	\$1,010,000.00	\$-
Black	32273	American Hotel & Hos-pitality Management, LLC	American Hotel & Hospitality Capital	ESD	Empire State Development Grant Funds	\$880,000.00	\$6,747,500.00	\$-
Black	32652	Matt Brewing Company	Matt Brew-ing Company Capital	ESD	Empire State Development Grant Funds	\$250,000.00	\$1,600,000.00	\$-
Black	30669	Masonic Medical Re-search Laboratory	MMRL Upgrade and Moderni-zation	ESD	Empire State Development Grant Funds	\$250,000.00	\$890,000.00	\$-
Blue	26833	Workforcement Invest-ment Board	Bridge Program	DOS	Community Services Block Grant	\$93,358.00	\$116,698.00	\$47,251.19
Blue	26950	Capitol Civic Center, Inc.	Rome Capitol Theatre Expan-sion	Arts	Digital Film Projector Conversion Program	\$100,000.00	\$291,165.00	\$100,000.00
Blue	27217	Workforce Investment Board of H-M-O	Mohawk Valley STEM Opportu-nities	ESD	ESD Technical Assistance and Training Grants	\$90,000.00	\$100,000.00	\$90,000.00
Blue	27462	Munson-Williams-Proctor Arts Institute	Golden Age of European Painting	ESD	Market New York	\$18,000.00	\$387,097.00	\$18,000.00
Blue	27462	MunsonWilliamsProc-tor Arts Institute	Golden Age of European Painting	Arts	Arts, Culture & Heritage Project Grant	\$100,000.00	\$387,097.00	\$100,000.00
Blue	27645	New York State Histori-cal Association	Winslow Homer The Nature and Rhythm of Life	Arts	Arts, Culture & Heritage Project Grant	\$74,000.00	\$282,724.00	\$74,000.00
Blue	27786	National Baseball Hall of Fame & Museum, Inc.	Mobile Applica-tion Develop-ment	ESD	Market New York	\$234,000.00	\$304,200	\$234,000.00
Blue	28106	MOHAWK VALLEY EDGE	New Sewer Crossing	ESD	Empire State Development Grant Funds	\$700,000.00	\$4,323,900.00	\$-
Blue	28646	Exeter Trailblazers, Inc.	Trail Grooming Equipment	Parks	Recreational Trails Program	\$200,000.00	\$250,000.00	\$200,000.00



Status	CFA #	Applicant Name	Project Name	Agency Name	Agency Program	CFA Award	Total Project	Grant Dispersed To Date
Blue	28667	Village of Fultonville	Fultonville Flood Mitigation	HCR	CDBG - Public Facilities	\$400,000.00	\$450,000.00	\$400,000.00
Blue	29362	City of Johnstown	Johnstown NYMS	HCR	HCR - New York Main Street (NYMS)	\$200,000.00	\$265,000.00	\$173,193.00
Blue	29479	City of Oneonta	Oneonta Down-town Revitaliza-tion	HCR	HCR - New York Main Street (NYMS)	\$200,000.00	\$439,500.00	\$166,866.00
Blue	29562	Adirondack Barrel Cooperage	Adirondack Bar-rel Cooperage Capital	ESD	Empire State Development Grant Funds	\$60,000.00	\$722,744.00	\$60,000.00
Blue	29565	City of Amsterdam	Sewer System Separation Phase 4	HCR	CDBG - Public Infrastructure	\$600,000.00	\$796,400.00	\$595,569.00
Blue	29576	Village of Barneveld	Barneveld Wastewater Study	DEC	Engineering Planning Grant Program	\$30,000.00	\$37,500.00	\$29,339.02
Blue	29695	Village of Canajoharie	Water System Improvements	HCR	CDBG - Public Infrastructure	\$600,000.00	\$617,000.00	\$592,678.00
Blue	30365	Village of Oriskany Falls	Downtown Tech-nical Assistance	HCR	HCR - New York Main Street Techni-cal Assistance (NYMS-TA)	\$16,625.00	\$17,500.00	\$16,625.00
Blue	30628	Primo Property Man-agement, LLC	Primo Property Management Capital	ESD	Empire State Development Grant Funds	\$400,000.00	\$2,000,000.00	\$400,000.00
Blue	30799	City of Rome	Capitol Steps	EFC	Green Inno-vation Grant Program	\$230,900.00	\$266,455.00	\$230,900.00
Blue	30854	Village of Illion	Public Infrast-ucture Improve-ments	HCR	CDBG - Public Infrastructure	\$600,000.00	\$660,000.00	\$539,122.00
Blue	31174	Valley Cinema	Digital Conver-sion	ESD	Empire State Development Grant Funds	\$25,000.00	\$100,000.00	\$25,000.00
Blue	31533	Otsego County	Highway Asset Management	DOS	Local Govern-ment Efficiency Program	\$36,000.00	\$40,000.00	\$17,867.00
Blue	31860	City of Amsterdam	Assistance to GIANT Solutions	HCR	CDBG - Small Business Assis-tance	\$50,000.00	\$158,225.00	\$50,000.00
Blue	31966	Medcare Administra-tors. LLC	Medcare Administrators Expansion	ESD	Empire State Development Grant Funds	\$200,000.00	\$1,300,000.00	\$100,000.00
Blue	32354	Schoharie (V)	Waterfront Rec-reational Assets Plan	DOS	Local Water-front Revitaliza-tion Program	\$41,750.00	\$83,500.00	\$40,119.87
Blue	32511	Upper Mohawk Valley Memorial Auditorium Authority	Utica Memorial Auditorium Phase III	ESD	Empire State Development Grant Funds	\$700,000.00	\$3,490,000.00	\$1,028,096.00
Blue	32662	Town of Kirkland	Sewer Capacity Study	DEC	Engineering Planning Grant Program	\$28,800.00	\$36,000.00	\$28,800.00
Green	20823	Ft. Schuyler Manage-ment Corp.	Quad C Phase 1B Capital	ESD	Empire State Development Grant Funds	\$3,100,000.00	\$73,000,000.00	\$-

Status	CFA #	Applicant Name	Project Name	Agency Name	Agency Program	CFA Award	Total Project	Grant Dispersed To Date
Green	26891	Mohawk Valley Com-munity College	AmeriCorps	ONCS	New York State AmeriCorps Program	\$128,378.00	\$179,578.00	\$-
Green	26927	Village of St. Johnsville	Johnsville Mari-na Upgrade	Canals	NYS Canalway Grant Program	\$150,000.00	\$553,500.00	\$-
Green	27000	New York Folklore Society	Newcomer Micro-Enterprise Program	ESD	ESD Technical Assistance and Training Grants	\$10,000.00	\$53,035.00	\$10,000.00
Green	27424	Utica Coffee Roasting Company, Inc.	Utica Coffee Roasting Co. Expansion	ESD	Empire State Development Grant Funds	\$150,000.00	\$520,000.00	\$-
Green	27585	Hartwick College	Craft Food & Beverage Center	ESD	Empire State Development Grant Funds	\$60,000.00	\$463,600.00	\$-
Green	28060	County of Oneida	Union Sta-tion-REA Wing Rehab	Parks	Historic Proper-ty Acquisition, Development and Planning	\$300,000.00	\$1,023,900.00	\$-
Green	28060	County of Oneida	Union Station REA Wing Rehab	ESD	Empire State Development Grant Funds	\$200,000.00	\$823,200.00	\$-
Green	28409	MOHAWK VALLEY EDGE	Marcy Nano-center - Phase 1B	ESD	Empire State Development Grant Funds	\$3,250,000.00	\$7,054,485.00	\$3,250,000.00
Green	28555	Shelter Planning Devel-opment	Broadalbin Park Development	Parks	Park Acqui-sition, Devel-opment and Planning	\$500,000.00	\$1,166,350.00	\$-
Green	28618	Schoharie (Co)	Mohawk River Watershed Man-agement	DOS	Local Water-front Revitaliza-tion Program	\$483,625.00	\$967,250.00	\$197,696.96
Green	28937	Town of German Flatts	Town Park De-velopment	Parks	Park Acqui-sition, Devel-opment and Planning	\$171,792.00	\$343,584.00	\$42,298.00
Green	29182	Corbin Hill Road Farm	Corbin Hill Road Farm Capital	ESD	Empire State Development Grant Funds	\$180,000.00	\$935,149.00	\$-
Green	29215	Women's Employment & Resource Center, Inc.	WERC SNAP Opportunities	OTDA	SNAP Opportu-nities	\$19,500.00	\$39,000.00	\$38,876.61
Green	29295	3B TIMBER COMPANY, INC.	3B Timber Shav-ings Expansion	ESD	Empire State Development Grant Funds	\$150,000.00	\$1,300,000.00	\$-
Green	29475	City of Oneonta	Oneonta Main Street Project	ESD	ESD - Strategic Planning and Feasibility Studies	\$75,000.00	\$150,000.00	\$75,000.00
Green	29853	Mohawk Valley Edge	Mohawk Valley Business Incuba-tor	ESD	New York State Business Incubator and Innovation Hot Spot Support Program	\$125,000.00	\$1,125,000.00	
Green	30267	NYSARC, Inc. Herkimer County Chapter	Herkimer NYS-ARC Renovation	ESD	Empire State Development Grant Funds	\$250,000.00	\$1,512,206.00	\$-

Status	CFA #	Applicant Name	Project Name	Agency Name	Agency Program	CFA Award	Total Project	Grant Dispersed To Date
Green	30321	Rock Ledge Camp-ground, Inc.	Rock Ledge Campground Development	ESD	Empire State Development Grant Funds	\$25,000.00	\$188,000.00	\$25,000.00
Green	30373	MOHAWK VALLEY COMMUNITY COLLEGE	thINCubator	ESD	Empire State Development Grant Funds	\$55,000.00	\$237,375.00	\$55,000.00
Green	30379	Mohawk Valley Com-munity College	Unmanned Aerial Systems Capital	ESD	Empire State Development Grant Funds	\$100,000.00	\$500,000.00	\$-
Green	30441	Westmoreland Devel-opment Company of NY, LLC	Westmoreland Ambulatory Surgery Center	ESD	Empire State Development Grant Funds	\$400,000.00	\$6,185,643.00	\$400,000.00
Green	30493	Wildlife Conservation Society	Cycle Adiron-dacks	ESD	Market New York	\$211,750.00	\$970,500.00	\$63,804.11
Green	30729	Milford Central School District	Milford CORE - Biomedical Program	DOS	Local Govern-ment Efficiency Program	\$40,000.00	\$147,315.00	\$19,821.00
Green	30795	Global Resource Options, Inc. d/b/a groSolar	Brownfields to Brightfields	ESD	Empire State Development Grant Funds	\$200,000.00	\$5,756,594.00	\$200,000.00
Green	30797	City of Rome	ReTooling Rome	NYSER-DA	CGC Phase II Implementa-tion Grants, Category 2: Comprehensive Planning	\$75,000.00	\$75,000.00	\$-
Green	31071	Cryo Pure Corp.	Cryo Pure Capital	ESD	Empire State Development Grant Funds	\$100,000.00	\$750,000.00	\$-
Green	31159	Global Resource Options, Inc. d/b/a groSolar	From Unusable to Renewable	ESD	Empire State Development Grant Funds	\$150,000.00	\$4,955,845.00	\$150,000.00
Green	31542	Griffiss Local Develop-ment Corporation	Griffiss Institute Expansion	ESD	Empire State Development Grant Funds	\$1,100,000.00	\$5,900,000.00	\$-
Green	31642	Village of Sylvan Beach	Sylvan Beach Bathhouse	Canals	NYS Canalway Grant Program	\$120,000.00	\$1,906,000.00	\$-
Green	31784	Town of Middleburgh	Middleburgh Strategic Plan	ESD	ESD - Strategic Planning and Feasibility Studies	\$20,000.00	\$44,000.00	\$-
Green	31808	County of Oneida	Griffiss Airport Terminal Build-ing	ESD	Empire State Development Grant Funds	\$500,000.00	\$5,082,000.00	\$-
Green	31860	County of Montgomery	AIDA & Giant Solutions	ESD	Empire State Development Grant Funds	\$30,000.00	\$158,000.00	\$-
Green	31924	Village of Cobleskill	Cobleskill Water Supply	ESD	Empire State Development Grant Funds	\$300,000.00	\$1,500,000.00	\$-
Green	32032	City of Utica	Utica Sewer Separation	ESD	Empire State Development Grant Funds	\$1,300,000.00	\$11,622,353.00	\$-
Green	32339	City of Utica	Utica Harbor Point	ESD	Empire State Development Grant Funds	\$5,000,000.00	\$6,100,000.00	\$-

Status	CFA #	Applicant Name	Project Name	Agency Name	Agency Program	CFA Award	Total Project	Grant Dispersed To Date
Green	32339	Utica (C)	Utica Harbor Phase I and II	DOS	Local Water-front Revitaliza-tion Program	\$500,000.00	\$1,000,000.00	\$-
Green	32384	City of Utica	Municipal Facili-ties Stormwater Control	DEC	Engineering Planning Grant Program	\$40,000.00	\$50,000.00	\$15,000.00
Green	32625	Town of Oneonta	Town of Oneon-ta Southside	ESD	Empire State Development Grant Funds	\$500,000.00	\$8,808,000.00	\$-
Round 4 - 2014								
Black	40756	Schoharie County	Long Range Plan Update Study	ESD	ESD - Strategic Planning and Feasibility Studies	\$15,000.00	\$30,000.00	\$-
Black	41451	Middleburgh (V)	Middleburgh LWRP	DOS	Local Water-front Revitaliza-tion Program	\$20,000.00	\$40,000.00	\$-
Black	42066	Schoharie County	County Broad-band Study	ESD	ESD - Strategic Planning and Feasibility Studies	\$37,500.00	\$75,000.00	\$-
Blue	39012	Munson-Williams-Proctor Arts Institute	Monet to Matis-se Exhibit	ESD	Market New York	\$20,000.00	\$90,000.00	\$20,000.00
Blue	39012	Munson-Williams-Proctor Arts Institute	Monet to Matis-se Exhibit	Arts	Arts, Culture & Heritage Project Grant - Round 4	\$60,000.00	\$467,600.00	\$48,000.00
Blue	39400	Primo Property Man-agement, LLC	Primo Property Management Capital	ESD	Empire State Development Grant Funds	\$800,000.00	\$4,000,000.00	\$800,000.00
Blue	39476	City of Oneonta	Oneonta Mi-croenterprise Assistance	HCR	CDBG Microen-terprise	\$200,000.00	\$225,000.00	\$200,000.00
Blue	39513	City of Amsterdam	Sewer Improve-ments Phase 5	HCR	CDBG - Public Infrastructure	\$600,000.00	\$620,000.00	\$600,000.00
Blue	39620	Village of Cobleskill	Lark Street Project	HCR	CDBG - Public Infrastructure	\$500,000.00	\$968,000.00	\$500,000.00
Blue	39812	Tabernacle Baptist Church of Utica	Masonry and Tower Restora-tion Project	Parks	Historic Proper-ty Acquisition, Development and Planning	\$373,590.00	\$498,120.00	\$204,269.00
Blue	40300	Sculpture Space	Expand Devel-opment Capa-bilities	Arts	Workforce Investment Program - Round 4	\$18,700.00	\$25,000.00	\$18,700.00
Blue	40615	Otsego County	Agricultural Microenterprise Program	HCR	CDBG Microen-terprise	\$200,000.00	\$220,000.00	\$200,000.00
Blue	40619	Otsego County	Rail Yards Devel-opment Plan	HCR	CDBG Commu-nity Planning	\$47,500.00	\$50,000.00	\$47,500.00
Blue	40621	Otsego County	Springbrook Residential Im-provements	HCR	CDBG - Public Facilities	\$400,000.00	\$450,000.00	\$199,914.00
Blue	40780	Village of Vernon	Wastewater Treatment Plant Evaluation	DEC	Engineering Planning Grant Program	\$30,000.00	\$37,500.00	\$30,000.00



Status	CFA #	Applicant Name	Project Name	Agency Name	Agency Program	CFA Award	Total Project	Grant Dispersed To Date
Blue	40781	Village of Middleburgh	Breadbasket Heritage Trail	Arts	Arts, Culture & Heritage Project Grant - Round 4	\$15,200.00	\$20,000.00	\$15,200.00
Blue	40819	The Harva Company, Inc.	ISO 9001 QMS Training	DOL	Existing Employee Training Program	\$67,905.00	\$73,559.00	\$67,885.00
Blue	40861	Mohawk Valley Community College Foundation	Mohawk Valley Innovation Hot Spot	ESD	New York State Innovation Hot Spot Support Program	\$750,000.00	\$2,250,000.00	\$-
Blue	40957	Mohawk Valley Community College	Heating and Welding Training	DOL	Unemployed Worker Training Program	\$98,967.00	\$98,967.00	\$89,970.00
Blue	41009	Village of Frankfort	Inflow and Infiltration Study	DEC	Engineering Planning Grant Program	\$30,000.00	\$37,500.00	\$29,993.96
Blue	41767	Town of Annsville	Taberg Drinking Water System	HCR	CDBG Community Planning	\$21,850.00	\$23,000.00	\$21,850.00
Blue	42654	Utica Zoological Society	Utica Zoo Foundation Master Planning	ESD	Market New York	\$21,450.00	\$28,600.00	\$-
Blue	43317	Glimmerglass Opera Theatre	Glimmerglass Festival 40th Anniversary	Arts	Arts, Culture & Heritage Project Grant - Round 4	\$64,600.00	\$506,062.00	\$51,680.00
Blue	43368	Village of Sharon Springs	Wastewater Study	DEC	Engineering Planning Grant Program	\$30,000.00	\$37,500.00	\$30,000.00
Blue	41609	Mohawk Valley Community College	UAS Capital	ESD	Empire State Development Grant Funds	\$300,000.00	\$1,500,000.00	\$-
Green	37964	Vincent's Heating and Fuel LLC	Vincent's Pellet Hub	NYSER-DA	CGC Phase II Implementation Grants, Category 3: Sustainability Projects - Round 2 (CFA Round 4)	\$1,337,625.00	\$1,783,500.00	\$1,043,358.01
Green	38974	City of Utica	Kemble Park Project	Parks	Park Acquisition, Development and Planning	\$500,000.00	\$843,420.00	\$-
Green	38993	Trenton Technology, Inc.	Trenton Technology Expansion	ESD	Empire State Development Grant Funds	\$1,000,000.00	\$10,420,000.00	\$-
Green	39256	Mohawk Valley EDGE	Marcy Nano-center - Phase 1B	ESD	Empire State Development Grant Funds	\$1,000,000.00	\$7,054,485.00	\$15,010.00
Green	39479	City of Oneonta	Market Street Entertainment District	HCR	CDBG Community Planning	\$19,000.00	\$20,000.00	\$-

Status	CFA #	Applicant Name	Project Name	Agency Name	Agency Program	CFA Award	Total Project	Grant Dispersed To Date
Green	39744	Amsterdam (C)	Mohawk Valley Gateway Overlook	DOS	Local Waterfront Revitalization Program	\$325,000.00	\$650,000.00	\$-
Green	40116	National Baseball Hall of Fame & Museum, Inc.	Collection Digitization	ESD	Market New York	\$750,000.00	\$1,000,000.00	\$750,000.00
Green	40138	Village of Oriskany Falls	NYMS 2014	HCR	HCR - New York Main Street (NYMS)	\$250,000.00	\$390,000.00	\$67,500.00
Green	40338	Schenectady (Co)	Mohawk River Watershed Management Phase 2	DOS	Local Waterfront Revitalization Program	\$332,480.00	\$664,960.00	\$26,015.97
Green	40623	Schoharie (Co)	Schoharie Creek Multiuse Trail	DOS	Local Waterfront Revitalization Program	\$149,876.00	\$299,752.00	\$-
Green	40774	City of Utica	CODE GREEN: City Zoning Update	NYSER-DA	CGC Phase II Implementation Grants, Category 2: Planning & Technical Assistance - Round 2 (CFA Round 4)	\$168,750.00	\$225,000.00	\$-
Green	40847	State University of New York College at Oneonta	SUNY Oneonta Green Retrofit	EFC	Green Innovation Grant Program	\$910,000.00	\$1,011,010.00	\$124,869.57
Green	41042	Nehemiah Fund LLC	Warehouse Renovation	ESD	Empire State Development Grant Funds	\$200,000.00	\$1,200,000.00	\$-
Green	41195	Town of German Flatts	Leatherstocking Mobile Home Recovery	EFC	Green Innovation Grant Program	\$466,000.00	\$517,778.00	\$11,790.31
Green	41195	German Flatts (T)	Fulmer Creek Greenplain Resiliency	DOS	Local Waterfront Revitalization Program	\$200,000.00	\$400,000.00	\$109,829.83
Green	41255	Village of Richmondville	Water Main Replacement	HCR	CDBG - Public Infrastructure	\$535,000.00	\$563,000.00	\$334,465.00
Green	41325	Utica (C)	Bulkhead & Infrastructure Improvement	DOS	Local Waterfront Revitalization Program	\$750,000.00	\$1,500,000.00	\$-
Green	41854	Town of Marcy	Smart Growth Update	NYSER-DA	CGC Phase II Implementation Grants, Category 2: Planning & Technical Assistance - Round 2 (CFA Round 4)	\$150,000.00	\$230,000.00	\$149,840.63
Green	42105	City of Little Falls	Veterans Memorial Park Improvement	Parks	Park Acquisition, Development and Planning	\$484,000.00	\$646,000.00	\$105,178.00
Green	42215	County of Otsego Industrial Development Agency	Village Comprehensive Plan	ESD	ESD - Strategic Planning and Feasibility	\$58,000.00	\$121,511.00	\$-

Status	CFA #	Applicant Name	Project Name	Agency Name	Agency Program	CFA Award	Total Project	Grant Dispersed To Date
Green	42484	Oneida County Department of Water Pollution	Nanocenter Wastewater Treatment	DEC	Engineering Planning Grant Program	\$50,000.00	\$62,500.00	\$25,000.00
Green	42546	City of Rome	Bicentennial and Beyond	Canals	NYS Canalway Grant Program	\$125,000.00	\$250,000.00	\$-
Green	42546	Rome (C)	Bicentennial and Beyond	DOS	Local Water-front Revitaliza-tion Program	\$75,000.00	\$150,000.00	\$-
Green	42943	Village of Cobleskill	Forever Gener-ations Nick Iorio Park	Parks	Park Acqui-sition, Devel-opment and Planning	\$159,835.00	\$227,807.00	\$-
Green	42964	Oneida County Tourism	Brew Central Marketing Cam-paign	ESD	Market New York	\$500,000.00	\$625,000.00	\$500,000.00
Green	43465	Village of Nelliston	Nelliston Waste-water	DEC	Engineering Planning Grant Program	\$30,000.00	\$37,500.00	\$15,000.00
Green	43531	City of Amsterdam	City Hall Resto-ration	Parks	Historic Proper-ty Acquisition, Development and Planning	\$224,625.00	\$299,500.00	\$-
Green	38893	R.L.E. Corp.	R.L.E. Capital	ESD	Empire State Development Grant Funds	\$575,000.00	\$4,592,800.00	\$-
Green	40526	123 Hotel Street LLC	123 Hotel Street Renovation	ESD	Empire State Development Grant Funds	\$620,000.00	\$3,100,700.00	\$-
Green	40866	Griffiss Local Develop-ment Corporation	GLDC -B240 Demolition	ESD	Empire State Development Grant Funds	\$590,710.00	\$3,017,840.00	\$349,860.00
Green	40952	STATE UNIVERSITY OF NEW YORK	Assitive Technol-ogy Laboratory	ESD	Empire State Development Grant Funds	\$600,000.00	\$10,600,000.00	\$-
Green	41048	Andro Computational Solutions LLC	Andro CS Expan-sion	ESD	Empire State Development Grant Funds	\$200,000.00	\$1,000,000.00	\$-
Green	41064	Griffiss Utility Services Corporation	GUSC Capital Improvements	ESD	Empire State Development Grant Funds	\$228,740.00	\$1,143,698.00	\$-
Green	42656	County of Otsego Industrial Development Agency	Richfield Com-merce Park Study	ESD	Empire State Development Grant Funds	\$150,000.00	\$200,000.00	\$-
Orange	39795	Village of Herkimer	Herkimer Municipal Pool Improvement	Parks	Park Acqui-sition, Devel-opment and Planning	\$412,500.00	\$549,913.00	\$-
Orange	41195	Town of German Flatts	Leatherstocking Mobile Home Recovery	Parks	Park Acqui-sition, Devel-opment and Planning	\$277,000.00	\$7,435,430.00	\$-
Yellow	40636	Village of Fultonville	Water System Improvements	HCR	CDBG - Public Infrastructure	\$600,000.00	\$600,000.00	\$497,447.00
Yellow	41286	Town of Oneonta	Southside Water System	HCR	CDBG - Public Infrastructure	\$600,000.00	\$6,000,000.00	\$-

Status	CFA #	Applicant Name	Project Name	Agency Name	Agency Program	CFA Award	Total Project	Grant Dispersed To Date
Yellow	41998	Village of Unadilla	Unadilla Down-town Planning Study	HCR	HCR - New York Main Street Techni-cal Assistance (NYMS-TA)	\$20,000.00	\$21,100.00	\$-
Round 5 - 2015								
Black	42858	Erie Pellets, Inc.	Erie Pellets Capital	ESD	Empire State Development Grant Funds	\$170,000.00	\$8,794,451.00	\$-
Black	51044	City of Johnstown	Johnstown Food Pantry	HCR	CDBG - Public Facilities	\$400,000.00	\$539,659.00	\$-
Black	51045	St. John's Episcopal Church	St. John's Epis-copal Church Renovation	ESD	Empire State Development Grant Funds	\$80,000.00	\$539,659.00	\$-
Black	52212	Village of Frankfort	Police Consoli-dation Study	DOS	Local Govern-ment Efficiency Program	\$21,000.00	\$42,000.00	\$-
Black	52716	Mair Magaw Informa-tional Systems LLC	Mair Magaw Informational Systems	ESD	Empire State Development Grant Funds	\$400,000.00	\$1,187,600.00	\$-
Black	53068	Klugo Enterprises LLC	Stevens Building Rehab	ESD	Empire State Development Grant Funds	\$120,000.00	\$898,495.00	\$-
Black	54003	Generations Malting Company	Generations Malting Co. Expansion	ESD	Empire State Development Grant Funds	\$250,000.00	\$1,516,916.00	\$-
Black	54004	B&B Ranch, Guest House, Spa and Eques-trian Center	B&B Ranch Expansion	ESD	Empire State Development Grant Funds	\$110,000.00	\$1,100,000.00	\$-
Black	56675	Bagg's Square Associ-ation	Bagg's Square Regeneration	HCR	HCR - New York Main Street Techni-cal Assistance (NYMS-TA)	\$20,000.00	\$22,000.00	\$-
Blue	51520	Munson-Williams-Proctor Arts Institute	Photographs by Steve McCurry	ESD	Market New York	\$30,000.00	\$89,955.00	\$30,000.00
Blue	51520	Munson-Williams-Proctor Arts Institute	A Thousands Face, A World of Stories	Arts	Council on the Arts - Arts, Culture and Heritage New Initiatives - Im-plementation (Round 5)	\$61,110.00	\$252,900.00	\$61,110.00
Blue	52062	Schoharie Area Long Term	Schoharie Coun-ty GeoTourism Trails	Arts	Council on the Arts - Arts, Culture and Heritage New Initiatives - Im-plementation (Round 5)	\$90,000.00	\$225,000.00	\$90,000.00
Green	14339	Iroquois Indian Mu-seum	Expanded Marketing and Outreach	Arts	Council on the Arts - Work-force Invest-ment (Round 5)	\$30,000.00	\$40,000.00	\$30,000.00



Status	CFA #	Applicant Name	Project Name	Agency Name	Agency Program	CFA Award	Total Project	Grant Dispersed To Date
Green	50523	Herkimer County Chapter, NYSARC, Inc.	Mohawk Region Accessible Park	Parks	Park Acquisition, Development and Planning	\$394,334.00	\$1,168,850.00	\$-
Green	51224	Village of Camden	Camden Downtown Facades Program	HCR	HCR - New York Main Street (NYMS)	\$380,800.00	\$515,900.00	\$12,053.00
Green	51270	Gloversville Public Library	Library Structural Improvements	Parks	Historic Property Acquisition, Development and Planning	\$500,000.00	\$7,452,939.00	\$-
Green	51270	Gloversville Public Library	Public Library Improvements	ESD	Empire State Development Grant Funds	\$500,000.00	\$6,924,277.00	\$-
Green	51420	Fulmont College Association	The Global Village at FM	ESD	Empire State Development Grant Funds	\$3,725,000.00	\$33,803,032.00	
Green	51430	Fulton County	Microenterprise Program	HCR	CDBG - Microenterprise	\$200,000.00	\$217,300.00	\$86,923.00
Green	51454	City of Amsterdam	Wastewater Disinfection Project	DEC	Water Quality Improvement Project (WQIP) Program	\$183,353.00	\$215,710.00	
Green	51496	Mohawk Valley Community College	MV Advanced Manufacturing Institute	ESD	Empire State Development Grant Funds	\$1,800,000.00	\$9,000,000.00	\$-
Green	51732	Fenimore Art Museum	Ansel Adams Exhibition	ESD	Market New York	\$108,258.00	\$144,344.00	\$79,677.00
Green	51913	Village of Fultonville	Dock Phase 2	Canals	NYS Canalway Grant Program	\$50,000.00	\$110,500.00	\$-
Green	51940	Town of Herkimer	West Canada Creek Flood Mitigation	ESD	ESD - Strategic Planning and Feasibility Studies	\$50,000.00	\$100,000.00	
Green	52145	Fulton County	Water System Interconnection Repair	ESD	Empire State Development Grant Funds	\$51,000.00	\$255,000.00	\$-
Green	52158	Schoharie County	Microenterprise Program	HCR	CDBG - Microenterprise	\$200,000.00	\$220,000.00	\$109,812.00
Green	52210	Fulton County	Fulton County Comprehensive Plan	ESD	ESD - Strategic Planning and Feasibility Studies	\$20,000.00	\$100,000.00	\$-
Green	52224	Fulton County	Tryon Tech Park Improvements	ESD	Empire State Development Grant Funds	\$10,000.00	\$50,000.00	\$-
Green	52228	Fulton County	Tryon Tech Training Center	ESD	Empire State Development Grant Funds	\$30,000.00	\$150,000.00	\$-
Green	52422	Ace of Diamonds Mine and Campground	Ace of Diamonds Tourism Enhancement	ESD	Market New York	\$138,468.00	\$276,936.00	\$-
Green	52468	Town of Kirkland	Robinson Road Improvements	ESD	Empire State Development Grant Funds	\$360,000.00	\$1,800,000.00	\$-

Status	CFA #	Applicant Name	Project Name	Agency Name	Agency Program	CFA Award	Total Project	Grant Dispersed To Date
Green	52721	Village of Camden	Riverwalk & Park Improvement	Parks	Park Acquisition, Development and Planning	\$160,300.00	\$231,764.00	\$-
Green	52727	Village of Fonda	Canalside Park Improvement	Canals	NYS Canalway Grant Program	\$30,000.00	\$685,000.00	\$-
Green	52816	Mohawk Valley Community College	Nurse Assistant Training	DOL	Unemployed Worker Training Program	\$99,560.00	\$99,560.00	\$45,162.18
Green	52819	Village of Waterville	Downtown Redevelopment Analysis	HCR	HCR - New York Main Street Technical Assistance (NYMS-TA)	\$20,000.00	\$24,250.00	\$-
Green	52823	Montgomery County	Bike Trail Restoration	Canals	NYS Canalway Grant Program	\$100,000.00	\$550,000.00	\$-
Green	53120	Village of Herkimer	Hydraulic Canal Phase III	HCR	CDBG - Public Infrastructure	\$505,000.00	\$505,000.00	\$-
Green	53205	Village of Herkimer	Smith Brothers Building Renovation	ESD	Empire State Development Grant Funds	\$250,000.00	\$919,193.00	
Green	53301	Catskill Symphony Orchestra	Expanded Development Capacity	Arts	Council on the Arts - Workforce Investment (Round 5)	\$30,000.00	\$40,000.00	\$30,000.00
Green	53405	Otsego County	Microenterprise Program	HCR	CDBG - Microenterprise	\$200,000.00	\$225,000.00	\$18,421.00
Green	53572	Town of Edmeston	Municipal Pool Improvement Project	Parks	Park Acquisition, Development and Planning	\$309,420.00	\$619,420.00	\$-
Green	53734	Hartwick College	Center for Craft Food & Beverage Phase 2	ESD	Empire State Development Grant Funds	\$68,000.00	\$343,000.00	\$-
Green	53824	Village of Cobleskill	Water System Facilities Improvement	HCR	CDBG - Public Infrastructure	\$600,000.00	\$1,700,000.00	\$-
Green	53824	Village of Cobleskill	Water Storage Improvements	ESD	Empire State Development Grant Funds	\$200,000.00	\$1,910,000.00	\$-
Green	53837	Village of Cobleskill	Sidewalk Improvements	HCR	CDBG - Public Facilities	\$200,000.00	\$250,000.00	\$-
Green	53943	County of Oneida	Anaerobic Digesters	ESD	Empire State Development Grant Funds	\$1,000,000.00	\$55,000,000.00	\$-
Green	53982	SUNY Cobleskill	Food and Dairy Business Incubator	ESD	New York State Business Incubator Support Program	\$375,000.00	\$1,125,000.00	
Green	54116	Village of Schoharie	Parrott House Capital	ESD	Empire State Development Grant Funds	\$300,000.00	\$925,000.00	\$-
Green	54250	Baggs Square Partners, LLC	Baggs Square-Mixed Use	ESD	Empire State Development Grant Funds	\$900,000.00	\$4,500,000.00	\$-

Status	CFA #	Applicant Name	Project Name	Agency Name	Agency Program	CFA Award	Total Project	Grant Dispersed To Date
Green	54306	Nathan Littauer Hos- pital & Nursing Home, Inc.	Perth Primary Care Facility	ESD	Empire State Development Grant Funds	\$740,000.00	\$3,740,000.00	\$-
Green	54330	City of Rome	Race to the Harbor	EFC	Green Inno- vation Grant Program	\$450,000.00	\$525,000.00	
Green	54471	Town of Conesville	Conesville Rec- reation Center	Parks	Park Acqui- sition, Devel- opment and Planning	\$6,000.00	\$8,000.00	\$-
Green	54944	City of Oneonta	Water Main Improvements	HCR	CDBG - Public Infrastructure	\$600,000.00	\$1,980,000.00	\$-
Green	54974	Johnstown Renewa- bles, LLC fka New Age Renewable Energy	Johnstown Renewables Capital	ESD	Empire State Development Grant Funds	\$2,000,000.00	\$12,430,000.00	\$-
Green	55040	Otsego County	ARC Residen- tial Facilities Improvement	HCR	CDBG - Public Facilities	\$400,000.00	\$418,000.00	\$3,063.00
Green	55542	Gloversville-Johnstown Wastewater Treatment Facility	Wastewater Disinfection Project	DEC	Water Quality Improvement Project (WQIP) Program	\$1,100,000.00	\$1,500,000.00	
Green	55765	Fort Schuyler Manage- ment Corporation	Innovation Net- work for Tech Convergence	ESD	Empire State Development Grant Funds	\$5,000,000.00	\$30,000,000.00	
Green	55768	Village of Mohawk	Fulmer Green- Plain Park North	Parks	Park Acqui- sition, Devel- opment and Planning	\$493,345.00	\$657,794.00	\$-
Green	55768	Mohawk (V)	Fulmer Green- Plain Park North	DOS	Local Water- front Revitaliza- tion Program	\$124,227.00	\$906,246.00	\$-
Green	55815	St. Johnsville (V)	Soldiers and Sailors Memorial Park	DOS	Local Water- front Revitaliza- tion Program	\$75,000.00	\$150,000.00	\$-
Green	55825	Robinson & Smith, Inc.	Century Linen & Uniform Reno- vation	ESD	Empire State Development Grant Funds	\$1,700,000.00	\$11,900,000.00	\$-
Green	55843	National Baseball Hall of Fame & Museum, Inc.	Global Market- ing Project	ESD	Market New York	\$131,400.00	\$175,200.00	\$-
Green	56055	TJ Allen Bulk Services LLC	Capital Improve- ments	ESD	Empire State Development Grant Funds	\$46,000.00	\$230,000.00	\$-
Green	56059	Mohawk Valley Collec- tive, Inc.	Unity Hall & West Hill School Remediation	HCR	HCR - New York Main Street Techni- cal Assistance (NYMS-TA)	\$20,000.00	\$500,000.00	\$-
Green	56243	Compassion Coali- tion,Inc.	Compassion Coalition Expans- ion	ESD	Empire State Development Grant Funds	\$160,000.00	\$925,000.00	\$-

Status	CFA #	Applicant Name	Project Name	Agency Name	Agency Program	CFA Award	Total Project	Grant Dispersed To Date
Green	56504	City of Utica	Into the New Century NYMS Project	HCR	HCR - New York Main Street (NYMS)	\$300,000.00	\$400,000.00	\$-
Green	56801	Village of Boonville	Strategic Planning Feasibility Study	ESD	ESD - Strategic Planning and Feasibility Studies	\$5,000.00	\$10,000.00	\$-
Green	56842	COUNTY OF OTSEGO IDA	Oneonta Rail Yards Redevelopment	ESD	Empire State Development Grant Funds	\$500,000.00	\$571,000.00	\$-
Green	56854	County of Otsego Industrial Development Agency	Upper Susquehanna Regional Ag Center	ESD	Empire State Development Grant Funds	\$700,000.00	\$700,000.00	
Green	56894	County of Otsego Industrial Development Agency	Oneonta Route 205 Corridor Study	ESD	ESD - Strategic Planning and Feasibility Studies	\$30,000.00	\$50,000.00	
Green	56899	County of Otsego Industrial Development Agency	Oneonta Airport Logistics Development	ESD	ESD - Strategic Planning and Feasibility Studies	\$50,000.00	\$11,100,000.00	
Green	56966	County of Montgomery	AGT Services Capital	ESD	Empire State Development Grant Funds	\$3,000,000.00	\$15,000,000.00	\$-
Green	57094	PAR TECHNOLOGY CORP	PAR Technology Capital	ESD	Empire State Development Grant Funds	\$900,000.00	\$5,900,000.00	\$-
Green	57159	Fulton County Soil and Water Conservation District	Hydroseeding Program	DEC	Water Quality Improvement Project (WQIP) Program	\$31,500.00	\$50,000.00	
Green	57168	Upstate Cerebral Palsy	Upstate Cerebral Palsy Capital	ESD	Empire State Development Grant Funds	\$200,000.00	\$1,025,000.00	\$-
Green	57283	Workforce Investment Board of H-M-O	Path Through History Cycling Trials	ESD	Market New York	\$291,500.00	\$357,750.00	\$-
Green	57356	Energetics Incorporated	Animating the Electric Vehicle Market	NYSER-DA	CGC Phase II Implementation Grants, Category 3: Community-Scale Sustainability Projects - Round 3 (CFA Round 5)	\$1,500,000.00	\$4,064,189.00	\$-
Green	57429	Village of Cobleskill	Cobleskill Paving Capital	ESD	Empire State Development Grant Funds	\$220,000.00	\$1,700,000.00	\$220,000.00
Green	57532	Amsterdam (C)	Planning Relocation of Amtrak Station	DOS	Local Waterfront Revitalization Program	\$115,000.00	\$230,000.00	\$-
Green	57629	County of Otsego Industrial Development Agency	C&CV Railroad Feasibility Study	ESD	ESD - Strategic Planning and Feasibility Studies	\$20,000.00	\$50,000.00	



Status	CFA #	Applicant Name	Project Name	Agency Name	Agency Program	CFA Award	Total Project	Grant Dispersed To Date
Green	57647	Upper Mohawk Valley Memorial Auditorium Authority	Utica Memorial Auditorium Improvements	ESD	Empire State Development Grant Funds	\$500,000.00	\$5,500,000.00	
Green	57871	County of Montgomery	Memory Lane Day Care	ESD	Empire State Development Grant Funds	\$50,000.00	\$264,260.00	\$-
Green	58009	County of Oneida	UAS Research Center Phase 2	ESD	Empire State Development Grant Funds	\$2,860,000.00	\$14,787,000.00	\$-
Green	54329	Nathan Littauer Hospital Nursing Home, Inc.	Fonda Primary Care Center	ESD	Empire State Development Grant Funds	\$200,000.00	\$1,040,000.00	
Green	55744	Nathan Littauer Hospital Nursing Home, Inc.	Gloversville Dialysis Center	ESD	Empire State Development Grant Funds	\$300,000.00	\$1,500,000.00	
Green	56260	Fort Schuyler Management Corporation	Advanced Manufacturing WIC	ESD	Empire State Development Grant Funds	\$1,100,000.00	\$7,500,000.00	
Orange	52823	Montgomery County	Bike Trail Restoration	Parks	Recreational Trails Program	\$200,000.00	\$550,000.00	\$-
Orange	53632	Oneida (Co)	Mohawk River Watershed Management - Stream Restoration	DOS	Local Water-front Revitalization Program	\$907,600.00	\$1,815,200.00	\$-
Orange	54810	Sylvan Beach (V)	Bathhouse and Welcome Center	DOS	Local Water-front Revitalization Program	\$103,000.00	\$206,000.00	\$-
Orange	55264	Sylvan Beach (V)	LWRP and Comprehensive Plan	DOS	Local Water-front Revitalization Program	\$16,250.00	\$65,000.00	\$-
Round 6 - 2016								
Green	63425	Village of Fultonville	Joint Sanitary Sewer Improvement	HCR	CDBG - Public Infrastructure & Public Facilities	\$900,000.00	\$1,000,000.00	\$-
Green	63452	Fenimore Art Museum	Spirit of the Ice: Art of Figure Skating	ESD	Market New York	\$120,500.00	\$162,500.00	\$-
Green	63510	Herkimer County IDA	Hartman Enterprises Expansion	ESD	Empire State Development Grant Funds	\$1,240,000.00	\$6,241,000.00	\$-
Green	63607	Arkell Museum	Administrative Workforce Expansion	Arts	Council on the Arts - Workforce Investment (Round 6)	\$43,000.00	\$53,665.00	\$43,000.00
Green	63644	City of Amsterdam	Sanford Clock Tower Renovation	HCR	HCR - New York Main Street (NYMS)	\$500,000.00	\$821,655.00	\$-
Green	63672	City of Amsterdam	Microenterprise Program	HCR	CDBG - Microenterprise	\$200,000.00	\$237,600.00	\$-
Green	63680	Montgomery County	Arkell Museum Strategic Planning	ESD	ESD - Strategic Planning and Feasibility Studies	\$60,000.00	\$120,000.00	\$-

Status	CFA #	Applicant Name	Project Name	Agency Name	Agency Program	CFA Award	Total Project	Grant Dispersed To Date
Green	63902	Iroquois Indian Museum	At the Wood's Edge	ESD	Market New York	\$20,000.00	\$37,000.00	\$-
Green	63911	Fulton County	Hales Mills Rd Water Infrastructure	ESD	Empire State Development Grant Funds	\$250,000.00	\$737,840.00	\$-
Green	64162	Mountainman Outdoor Supply Company, Inc.	Mountainman Outdoor Supply Expansion	ESD	Empire State Development Grant Funds	\$140,000.00	\$950,000.00	\$-
Green	64258	Village of Boonville	1792 Box Culvert Project	HCR	CDBG - Public Infrastructure & Public Facilities	\$750,000.00	\$1,879,000.00	\$-
Green	64282	Central Association of the Blind, Inc.	CABVI Capital Expansion	ESD	Empire State Development Grant Funds	\$1,700,000.00	\$8,512,250.00	\$-
Green	64309	NCI Group, Inc.	NCI Group Expansion	ESD	Empire State Development Grant Funds	\$2,000,000.00	\$11,455,000.00	\$-
Green	64392	Brightwaters Farms	Brightwaters Farms Expansion	ESD	Empire State Development Grant Funds	\$300,000.00	\$1,500,000.00	\$-
Green	64714	Munson-Williams-Proctor Arts Institute	Celebrating the Arts of New York	ESD	Market New York	\$110,534.00	\$147,378.00	\$-
Green	64773	Schoharie County	Comprehensive Development Study	ESD	ESD - Strategic Planning and Feasibility Studies	\$50,000.00	\$100,000.00	\$-
Green	64850	Schoharie River Center	Administrative Workforce Expansion	Arts	Council on the Arts - Workforce Investment (Round 6)	\$41,500.00	\$53,100.00	\$41,500.00
Green	64959	City of Rome	Stormwater Management Art Walk	EFC	Green Innovation Grant Program	\$640,000.00	\$855,000.00	
Green	65198	Sculpture Space, Inc.	Administrative Workforce Expansion	Arts	Council on the Arts - Workforce Investment (Round 6)	\$28,000.00	\$37,500.00	\$28,000.00
Green	65439	City of Oneonta	Dam Improvements	HCR	CDBG - Public Infrastructure & Public Facilities	\$641,013.00	\$641,013.00	\$-
Green	65540	City of Little Falls	Explore Little Falls Initiative	ESD	Market New York	\$54,000.00	\$72,000.00	\$-
Green	65649	Village of Herkimer	Water System Improvement	HCR	CDBG - Public Infrastructure & Public Facilities	\$750,000.00	\$1,511,700.00	\$-
Green	65810	Town of Schoharie	Central Bridge Community Planning	HCR	CDBG - Community Planning	\$50,000.00	\$55,000.00	\$-
Green	65832	Otsego Now	Susquehanna Regional Food Beverage Hub	ESD	Empire State Development Grant Funds	\$3,000,000.00	\$15,695,640.00	

Status	CFA #	Applicant Name	Project Name	Agency Name	Agency Program	CFA Award	Total Project	Grant Dispersed To Date
Green	66093	City of Rome	Water Pollution Facility Disinfection	DEC	Water Quality Improvement Project (WQIP) Program	\$2,500,000.00	\$5,000,000.00	
Green	66128	Village of Cooperstown	22 Main Street Renovation	ESD	Empire State Development Grant Funds	\$120,000.00	\$654,720.00	\$-
Green	66436	Griffiss Institute	Griffiss Institute Business Incubator	ESD	New York State Business Incubator and Innovation Hot Spot Support Program	\$125,000.00	\$1,875,000.00	
Green	66447	Nathan Littauer Hospital & Nursing Home, Inc.	Caroga Primary Care Clinic	ESD	Empire State Development Grant Funds	\$46,000.00	\$260,000.00	\$-
Green	66492	Village of Richmondville	Water Main Replacement	HCR	CDBG - Public Infrastructure & Public Facilities	\$598,000.00	\$598,000.00	\$-
Green	66509	City of Oneonta	Microenterprise Program	HCR	CDBG - Microenterprise	\$200,000.00	\$225,000.00	\$9,324.00
Green	66670	Doyle Hardware LLC	Doyle Hardware Building Renovation	ESD	Empire State Development Grant Funds	\$1,000,000.00	\$11,865,000.00	\$-
Green	66723	Vincent Holdings	98 Genesee Street Renovation	ESD	Empire State Development Grant Funds	\$80,000.00	\$450,000.00	\$-
Green	66726	Town of Annsville	Taberg Road Water District Improvement	HCR	CDBG - Public Infrastructure & Public Facilities	\$638,000.00	\$738,000.00	\$-
Green	66750	Farm2Vets Inc.	Vets2Farm Capital Investment	ESD	Empire State Development Grant Funds	\$100,000.00	\$271,200.00	\$-
Green	66838	Otsego County	Butternuts Salt Shed	DEC	Water Quality Improvement Project (WQIP) Program	\$318,562.00	\$430,125.00	
Green	66882	SCHOHARIE CO.IDA	Columbia Hotel Reconstruction	ESD	Empire State Development Grant Funds	\$1,000,000.00	\$5,000,000.00	\$-
Green	67005	Otsego County IDA	Market St Food Beverage Innovation Center	ESD	Empire State Development Grant Funds	\$500,000.00	\$2,330,000.00	
Green	67007	Peter Maurin House Inc.	Peter Maurin House Expansion	ESD	Empire State Development Grant Funds	\$100,000.00	\$658,359.00	\$-
Green	67024	New Hartford Sheet Metal	Metal Solutions Capital	ESD	Empire State Development Grant Funds	\$150,000.00	\$1,113,000.00	\$-
Green	67242	National Baseball Hall of Fame & Museum, Inc.	Marketing Working Capital	ESD	Market New York	\$333,750.00	\$445,000.00	\$-

Status	CFA #	Applicant Name	Project Name	Agency Name	Agency Program	CFA Award	Total Project	Grant Dispersed To Date
Green	67409	ECONOMIC DEVELOPMENT GROWTH ENTERPRISES	Gas Main Infrastructure	ESD	Empire State Development Grant Funds	\$5,100,000.00	\$10,000,000.00	\$-
Green	67417	Cobleskill Agricultural Society	Cobleskill Community Kitchen	ESD	Empire State Development Grant Funds	\$24,000.00	\$120,000.00	\$-
Green	67496	Otsego Now	Doubleday & Railroad Ave. Re-development	ESD	ESD - Strategic Planning and Feasibility Studies	\$49,500.00	\$196,500.00	
Green	67818	City of Utica	One World Utica - Re-Imagining the Arts	Arts	Council on the Arts - Arts, Culture and Heritage New Initiatives - Planning (Round 6)	\$49,500.00	\$64,500.00	\$49,500.00
Green	67935	Otsego County IDA	Oneonta Rail Yards Redevelopment	ESD	Empire State Development Grant Funds	\$1,000,000.00	\$16,400,000.00	
Green	67961	ORISKANY MANUFACTURING TECHNOLOGIES	Oriskany Manufacturing Expansion	ESD	Empire State Development Grant Funds	\$600,000.00	\$4,425,000.00	\$-
Green	68087	Village of Camden	Wastewater Engineering Study	DEC	Engineering Planning Grant Program	\$30,000.00	\$36,000.00	\$15,000.00
Green	68113	Rome Area Chamber of Commerce	Honor America Days Celebration	Arts	Council on the Arts - Arts, Culture and Heritage New Initiatives - Implementation (Round 6)	\$28,500.00	\$332,400.00	\$28,500.00
Green	68113	Rome Area Chamber of Commerce	Rome Canal Bicentennial Program	Canals	NYS Canalway Grant Program	\$97,000.00	\$332,400.00	
Green	68150	118 Liberty Street, LLC	Mechanics Hall Renovation	ESD	Empire State Development Grant Funds	\$2,000,000.00	\$18,525,000.00	\$-
Green	68168	Parkhurst Field Foundation, Inc.	Parkhurst Field Improvements	ESD	Empire State Development Grant Funds	\$500,000.00	\$2,228,725.00	\$-
Green	68316	Village of Cobleskill	Railroad Ave Reconstruction	HCR	CDBG - Public Infrastructure & Public Facilities	\$600,000.00	\$870,000.00	\$-
Green	68316	Village of Cobleskill	Railroad Ave Improvements	ESD	Empire State Development Grant Funds	\$150,000.00	\$870,000.00	\$-
Green	68341	Square Stamping Manufacturing Corporation	Square Stamping Expansion	ESD	Empire State Development Grant Funds	\$650,000.00	\$3,175,000.00	\$-
Green	68432	Toonie Moonie Organics, LTD.	Toonie Moonie Organics Expansion	ESD	Empire State Development Grant Funds	\$13,000.00	\$67,152.00	\$-
Green	68644	Village of Richmondville	Community Needs Assessment	HCR	CDBG - Community Planning	\$23,750.00	\$25,000.00	



Status	CFA #	Applicant Name	Project Name	Agency Name	Agency Program	CFA Award	Total Project	Grant Dispersed To Date
Green	68667	Otsego County IDA	Public Hotspot Initiative	ESD	Empire State Development Grant Funds	\$57,000.00	\$585,000.00	
Green	68702	Herkimer Diamond Mines, Inc.	Facility Expansion	ESD	Market New York	\$125,000.00	\$500,000.00	\$-
Orange	63451	Gloversville Public Library	Gloversville Library Stabilization	Parks	Environmental Protection Fund: Parks, Preservation and Heritage Grants	\$500,000.00	\$924,859.00	\$-
Orange	64309	NCI Group, Inc.	NCI Expansion	DOL	New Hire Training Program	\$64,800.00	\$10,435,000.00	
Orange	64309	NCI Group, Inc.	NCI Expansion	DOL	Existing Employee Training Program	\$10,000.00	\$10,435,000.00	
Orange	64361	Town of Oneonta	Route 23 Sidewalk Construction	DEC	Climate Smart Communities Grants	\$125,000.00	\$250,000.00	
Orange	64866	Mohawk (V)	LWRP Update	DOS	Local Waterfront Revitalization Program	\$25,000.00	\$50,000.00	\$-
Orange	65173	Montgomery County	Emergency Services Facility Relocation	DEC	Climate Smart Communities Grants	\$671,575.00	\$1,343,150.00	
Orange	65374	City of Amsterdam	John Sampone Sr. Memorial Park	Parks	Environmental Protection Fund: Parks, Preservation and Heritage Grants	\$62,000.00	\$94,010.00	\$-
Orange	65454	Mohawk Valley Community College	Manufacturing Technician Training	DOL	Unemployed Worker Training Program	\$97,350.00	\$122,750.00	
Orange	65852	Canajoharie (V)	Beechnut Site Reuse Analysis	DOS	Local Waterfront Revitalization Program	\$85,000.00	\$170,000.00	\$-
Orange	66656	Schoharie (V)	Schoharie Creek Access Improvements	DOS	Local Waterfront Revitalization Program	\$382,288.00	\$764,576.00	\$-
Orange	66801	Village of Broadalbin	Disinfection Engineering Study	DEC	Engineering Planning Grant Program	\$30,000.00	\$37,500.00	
Orange	66981	Oneida County	Upgrades for Organics Processing	DEC	Climate Smart Communities Grants	\$1,327,500.00	\$2,655,000.00	
Orange	67307	Village of Mohawk	Floodplain Restoration & Main St Park	Parks	Environmental Protection Fund: Parks, Preservation and Heritage Grants	\$112,500.00	\$4,303,935.00	\$-
Orange	67350	Utica (C)	Harbor Point Bulkhead & Marina Design	DOS	Local Waterfront Revitalization Program	\$150,000.00	\$300,000.00	\$-

Status	CFA #	Applicant Name	Project Name	Agency Name	Agency Program	CFA Award	Total Project	Grant Dispersed To Date
Orange	67395	Village of Mohawk	Wastewater Engineering Study	DEC	Engineering Planning Grant Program	\$30,000.00	\$36,000.00	
Orange	67423	MONTGOMERY COUNTY IDA	Florida Park Extension	ESD	Empire State Development Grant Funds	\$1,500,000.00	\$66,900,000.00	\$-
Orange	67442	Village of Ilion	Wastewater Engineering Study	DEC	Engineering Planning Grant Program	\$30,000.00	\$36,000.00	
Orange	67468	Carbone Auto Group	Technician Training Program	DOL	New Hire Training Program	\$25,920.00	\$103,680.00	
Orange	67572	Village of Richmondville	Richmondville Multi-Use Trail	DEC	Climate Smart Communities Grants	\$37,500.00	\$112,500.00	
Orange	67821	City of Utica	Utica's One World Garden	Parks	Environmental Protection Fund: Parks, Preservation and Heritage Grants	\$500,000.00	\$829,000.00	\$-
Orange	67823	Utica (C)	Downtown Sustainability Plan	DOS	Local Waterfront Revitalization Program	\$50,000.00	\$100,000.00	\$-
Orange	68133	City of Utica	Bleeker Street Capital	ESD	Empire State Development Grant Funds	\$280,000.00	\$1,400,000.00	\$-
Orange	68185	City of Oneonta	Otsego Regional Compost Facility	DEC	Climate Smart Communities Grants	\$420,000.00	\$840,000.00	
Orange	68413	Bowers Development LLC & Community Initiatives Development Corp.	New Century Club Renovation	ESD	Empire State Development Grant Funds	\$400,000.00	\$2,310,650.00	\$-
Orange	68495	County of Montgomery	AIDA Power	ESD	Empire State Development Grant Funds	\$500,000.00	\$2,500,000.00	\$-
Orange	68592	Bowers Development LLC & Community Initiatives Development Corp.	Security Building Renovation	ESD	Empire State Development Grant Funds	\$500,000.00	\$2,354,600.00	\$-
Orange	68609	Village of Sylvan Beach	Wastewater Engineering Study	DEC	Engineering Planning Grant Program	\$25,000.00	\$31,250.00	

# THANK YOU.

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## MOHAWK VALLEY WELCOME CENTER



**MOHAWK VALLEY  
REGIONAL ECONOMIC  
DEVELOPMENT COUNCIL**

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