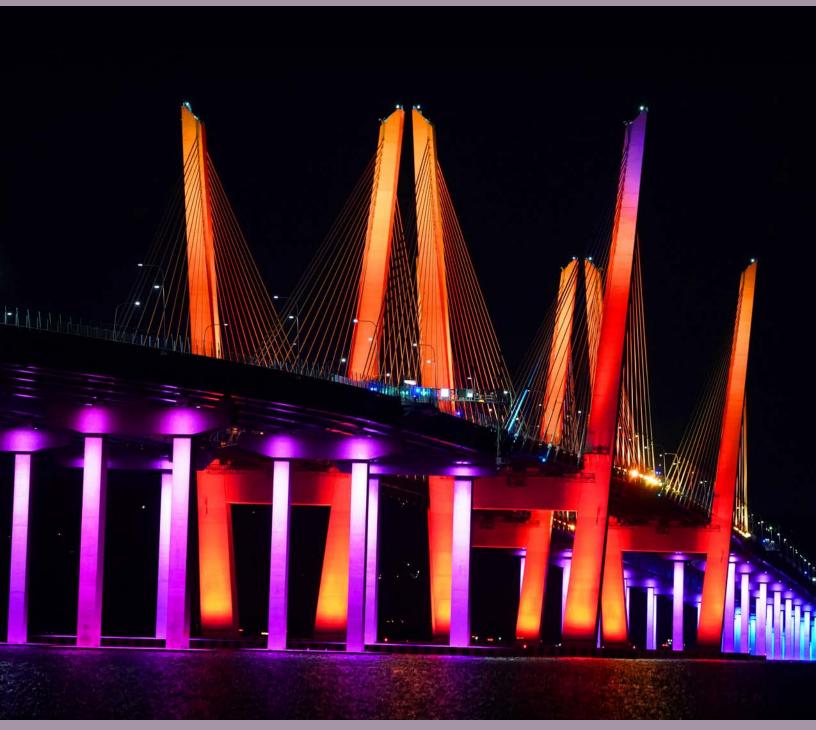


# 2021 ANNUAL REPORT MID-HUDSON



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#### MESSAGE FROM THE REGIONAL CO-CHAIR

Dear Governor Cuomo,

On behalf of the Mid-Hudson Regional Economic Development Council (MHREDC), I am pleased to present our 2021 Annual Report supporting the State's efforts to "Reimagine, Rebuild, Renew" in the wake of the public health and economic crisis spurred by the COVID-19 pandemic.

New York State was thrust into the national and global spotlight in March 2020, with one of the country's first COVID-19 clusters occurring in the City of New Rochelle in Westchester County, where thousands of Mid-Hudson residents lost their lives during the course of the pandemic. Thanks to your leadership, New York State "flattened the curve", and provided a model for the nation on coordination and delivery of COVID-19 vaccinations, all with significant supply challenges. As of the writing of this report, more than 70% of adult New Yorkers have received at least one dose of the vaccine, which includes more than 1.2 million Mid-Hudson residents.

We offer our deepest gratitude to you and your administration, state and local government staff, and to the many businesses, not-for-profits, and individuals whose heroic acts have contributed to where we are today.

Much like the health effects of the virus, the economic impacts of this crisis have exacerbated preexisting inequities. Thousands of people in the region lost their jobs or had their working hours reduced, and many businesses and not-for-profit organizations were forced to close permanently.

The Mid-Hudson region and New York State will now need to Reimagine, Rebuild, and Renew. Prior to the pandemic, the Mid-Hudson region has been awarded more than \$713 million in rounds I through IX of the Regional Council Initiative, with 921 awards supporting projects that align with the Council's **LIVE**, **WORK**, and **PLAY** strategy, as well as statewide priorities. We are proud to report that 87% of these projects are either complete or progressing, representing a strong return on investment: for every \$1 of state funds, more than \$7.50 of economic activity is generated. In total, these state investments in the Mid-Hudson are projected to generate more than \$2.7 billion in economic activity. The Council's successful track record on providing regional perspective and expertise makes us well-equipped to advise the state on pathways to recovery.

In closing and on behalf of my Council colleagues, we hope that this document provides you with a helpful on-the-ground perspective on how, together, we can Build Back Better, and come out more united, equitable and resilient in the face of future pandemics, challenges resulting from climate change, and whatever else may come our way. Our Council is excited about the work we have accomplished in the past decade, and we look forward to supporting the recovery from this historic crisis.

Regards,

Donald P. Christian, Ph.D.

Regional Co-Chair, Mid-Hudson Regional Economic Development Council

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President, SUNY New Paltz

# **Executive Summary**



The Mid-Hudson Regional Economic Development Council ("MHREDC" or the "Council") sees a bright future ahead. The recovery from the COVID-19 pandemic is well underway and many projects in the region's seven counties are getting back on track.

As of the writing of this report, New York State has surpassed a key recovery milestone of having **70%** of adult New Yorkers with at least the first dose of a COVID-19 vaccine. As a result, Governor Cuomo has lifted the State of Emergency put into place in March 2020, businesses are resuming operations, and there is a renewed sense of optimism.

It is imperative to reflect on and learn from the events of the last sixteen months in charting a path forward. In March of 2020, patient zero was to be the first case of COVID-19 to be diagnosed in New York State. He was a resident of the City of New Rochelle in Westchester County. The images of overflowing hospitals, temporary morgues, and grieving families as the death toll mounted will forever be etched in our memories. The news stories struck the Mid-Hudson region very hard and nothing has been the same since.

These events would lead to a cascading set of never-before contemplated decisions to close the New York State economy and curtail social activities. Thanks to the leadership of Governor Cuomo, New York State would "flatten the curve" and go from the first state to be ravaged by

the virus to one that now has one of the lowest transmission rates.

With lessons learned from the pandemic, it is now paramount for the region to get to work to "Reimagine, Rebuild, and Renew", Governor Cuomo's call to action for the economic recovery. The region's manufacturing, healthcare, hospitality and tourism, and life science industry clusters are beginning to thrive once again and the MHREDC sees these positive signs of recovery as a catalyst to take the region to the next evolution of success.

"With more New Yorkers getting vaccinated every day, it's time to turn the page, rebuild our state's economy and look forward to a new economic future for New York"

- Governor Andrew M. Cuomo

The Mid-Hudson Regional Economic Development Council has drafted this document with full knowledge that due to the fluidity of the COVID-19 crisis, and the transitional state of the economy, data points in this report will change, and it may make sense to revise some of the strategies as the region's economy evolves to whatever the "new normal" will be.

Although the region and state are well into the recovery and economic rebound from the pandemic, the challenge faced by all regions is interpreting trends in economic conditions, which will continue to be difficult to interpret in real time. The onset of remote work and education, telehealth, and drastic changes in both the residential and commercial real estate markets, all represent major paradigm shifts in the way the region operates. The Council is also cognizant of

the region's aging infrastructure and equitable access to quality, affordable broadband, especially in rural areas and in many urban centers.

The challenges associated with these changes simultaneously represent opportunities to advance the region and continue to improve resiliency while adapting to the new normal and preparing for potential future shocks to the economy. Advances made in technology over the last sixteen months have led to efficiencies within the public and private sectors with new delivery systems for government and corporate services. The assessment of challenges has resulted in the Council's continued resolve in accomplishing the strategy of LIVE, WORK, PLAY. Further, the Council must move forward with the implementation of the strategy through the lens of diversity, equity, and inclusion.



Painters Point, Governor Mario M. Cuomo Bridge

## MHREDC Strategy

The Mid-Hudson Regional Economic Development Council imagines a future that includes dynamic community centers featuring businesses in thriving industry sectors, residents from all walks of life benefiting from quality employment, and countless tourist attractions that make the Mid-Hudson a unique destination and place to live.





Thornwillow Makers Village, Newburgh, Orange County



Spectrum Designs, Nicholas Center, Pleasantville, Westchester County, Photo Credit: Dov Hyman and Amanda Gentile Photography



Tuxedo/Sloatsburg Corridor Revitalization Project – Sloatsburg, Rockland County

## LIVE

A strategy that seeks to revitalize the region's many river, hilltop, and crossroads urban centers by encouraging activities that accelerate placemaking and promote environmental justice. Efforts in smart growth and transit-oriented development, downtown revitalization, workforce housing, infrastructure improvements – including restoration, rehabilitation and repurposing of existing assets and capitalizing on natural resources – will stimulate job creation, inspire innovation and retain and attract residents.

# **WORK**

A strategy that seeks to attract and retain investment in the region's mature and emerging clusters within the tradeable sectors of life sciences, advanced manufacturing, information technology, distribution, financial and professional services, and film and television production. The Council is focused on facilitating dialogue between these industries and the region's many educational institutions to create and maintain pipeline for talent and to encourage innovation resulting from collaboration. Further, the Council continues to support the construction trades and diverse small business enterprises.

# **PLAY**

A strategy that seeks to enhance residents' quality of life and strengthen the region's identity as a premier tourist destination due to its scenic beauty, abundant agritourism, flourishing food and beverage industry, rich arts and cultural scene, unique history, recreational assets, and proximity to New York City. Efforts within this strategy increase visitor spending and stimulate growth across related industries, including those in targeted tradeable sectors. Through this strategy, the Council also encourages development in its downtowns, which are popular with regional visitors and residents, further implementing the state priority of placemaking.

# **Update to the Regional Strategy**

The Mid-Hudson REDC has determined that its **LIVE**, **WORK** and **PLAY** strategy has served the region well, having guided the Council to identify 141 priority projects since 2011, **78**% of which are complete or progressing.

Within the LIVE component of the strategy, the Council will be focusing more on the retention of residents, investment in infrastructure and healthcare, transit-oriented development, and downtown revitalization. For the WORK component of the strategy, the Council will be seeking ways to support the return to work of the region's workforce. To facilitate this, there is a need to reimagine the intersection of workforce, education, and economic development, and support the organization of the talent ecosystem in the region. Within the PLAY initiative, it is clear the pandemic ravaged the hospitality and tourism industry cluster. The Council seeks to foster new business openings and the expansion of the hospitality and tourism economy, with an eye on resiliency.

# Previously Funded CFA Awards

Of the 921 awards that have been granted to Mid-Hudson projects through the Consolidated Funding Application (CFA) process since 2011, 87% are complete or progressing and are projected to generate economic activity of more than \$2.7 billion. The state investments have been strategic, designed to have a strong return on investment. Every \$1 of state investment in Mid-Hudson CFA projects since 2011 is projected to generate \$7.50 in economic activity. This has positive ripple effects across the region and across industries, impacting the lives of the Mid-Hudson's diverse residents.

921 Awards

87% Complete or Progressing

7.5:1 Leverage Ratio

\$2.7 billion of Economic Activity

The Council identified 141 of the projects as priorities for the region due to their strong alignment with the regional strategy and statewide initiatives. Of these, 78% are complete or progressing and are projected to generate more than \$1.6 billion in economic activity. The leverage ratio (total project cost to total CFA award amount) is 15.7:1, again showcasing how the MHREDC has maximized the return on investment for the state's awards.

## Reimagine, Rebuild, Renew

The MHREDC is looking forward to reviewing project proposals submitted through the CFA for the 11th round of the REDC initiative, and once again will be seeking projects that advance the regional strategy and statewide priorities, and also support the region's post-pandemic recovery. The Council will continue monitoring the region's recovery, and its four work groups – LIVE, WORK, PLAY, and WORKFORCE - with their integration of stakeholders throughout the region playing an integral role. The Council is prepared and eager to move forward and help the Mid-Hudson region with its efforts to reimagine, rebuild, and renew.

Through the **LIVE**, **WORK**, **PLAY** strategy, the Council will ensure the state's investments will continue to spur growth regionally. In this post-pandemic economy, the leveraging of private, federal, and municipal resources is a critical element to advancing the strategies in the Mid-Hudson region.



Walkway Over The Hudson

**PART TWO** 

# **Strategy Update**

Formed in 2011 by Governor Andrew M. Cuomo, the ten Regional Economic Development Councils provide a bottom-up approach to state economic development programs that guided New York State's recovery from the Great Recession and contributed to significant economic growth and historically low unemployment rates. The Mid-Hudson Regional Economic Development Council (MHREDC) has been particularly successful, securing more than \$713 million in state investments for more than 900 projects, 87% of which are complete or progressing, and generating more than \$2.7 billion in economic activity.

This section of the MHREDC's annual report has three components: a reflection on the Council's **LIVE**, **WORK**, **PLAY** strategy, including progress to date and challenges presented and/or exacerbated by the COVID-19 pandemic; an update to the Council's strategy; and an overview of statewide priorities and how they are integrated into the Council's regional strategy.

# Overview of Progress Made to Advance the MHREDC Strategy and COVID-19 Impacts





A strategy that seeks to revitalize the region's many river, hilltop, and crossroads urban centers by encouraging activities that accelerate placemaking and promote environmental justice. Efforts in smart growth and transit-oriented development, downtown revitalization, workforce housing, infrastructure improvements – including restoration, rehabilitation and repurposing of existing assets and capitalizing on natural resources – will stimulate job creation, inspire innovation and retain and attract residents.

#### **PROGRESS TO DATE**

Looking back over the past 10 years, the REDC's focus on the development of communities throughout the Mid-Hudson region has been energizing. With the assistance of the state's Consolidated Funding Application process, and more recently the Downtown Revitalization Initiative (DRI), the region has experienced a decade of advancement. Of the 110 active CFA awards that the MHREDC has designated as priorities since 2011, 36 advance the LIVE initiative, generating economic activity of more than \$309 million.

The region's communities have seen a renaissance from New Rochelle to Beacon and from Peekskill to Middletown. Additionally, with projects selected by the four Mid-Hudson communities that the Council selected as winners for the DRI, an additional \$40 million of state investment is advancing projects to the maximum benefit of the selected communities, region, and state. Beyond just the DRI communities, there has been increased pedestrian traffic, improved facades, the integration of water features, parks and more. The very amenities that were missing when the region felt its last surge of potential residents following 9/11 are in place today. Whether it is day visitors or an increase in residents, there is little doubt that pre-COVID-19 the Mid-Hudson REDC's LIVE strategy was working.

City of Middletown, Pavilion at Erie Way Park & Renovated
Parking Lot, Downtown Revitalization Initiative

#### Mid-Hudson Past DRI Winners:

- Round 1: City of Middletown
- Round 2: City of Kingston
- Round 3: City of New Rochelle
- Round 4: City of Peekskill

#### **CHALLENGES FROM COVID-19**

Except for some limited infrastructure and housing projects, the pandemic put that advancement on hold. It was not until the state announced the projects to be funded as part of the City of Peekskill's Downtown Revitalization Initiative (DRI) and the 11th round of the REDC initiative, that communities felt the time had come to move forward once again.

Since the pandemic began in March 2020, there have been a number of challenges that have emerged with regard to the advancement of this strategy which are discussed on the following pages.



## **INFRASTRUCTURE**

#### **Progress to Date**

Fortunately, one of the few areas that was able to continue investment under state guidelines was infrastructure. Communities throughout the Mid-Hudson region were able to continue to directly fund, bond or access state and federal programs to improve infrastructure. A recent CFA project to highlight this includes the improved exit 125 along State Route 17, with a newly constructed flyover bridge that connects to the improved Harriman Drive leading up to **LEGOLAND** New York Resort. This infrastructure upgrade is designed to help absorb increased visitor vehicle traffic, while at the same time diverting the traffic away from local roadways, thus avoiding overburdening the local road systems. Investments in infrastructure improvements such as this are going to be needed on a more urgent basis due to the age, complexity and expanse of some of these historic infrastructure systems that abound in the Mid-Hudson region.



Infrastructure includes transportation systems, roads, bridges, water/sewer, utilities (energy) and more recently upgraded broadband and wi-fi. The operations of infrastructure systems is critical to everyday life. These systems, which include water, sewer, and wastewater, must be operating at full capacity for the region to function. Despite significant improvements there exist many examples of infrastructure in need of significant investment. Many of the operators within



Jawonio, New City, Rockland County

these specialized fields are retiring and there must be a succession and talent attraction plan established. A combination of remote work, anxiety and fear of dense urban environments, is reducing the volume of commuters and putting additional stresses on other regional infrastructure systems. While traditional infrastructure will always need continuous investment, other areas - "Green" and broadband – now require specific attention and funding.





Shared Pedestrian Walkway, Governor Mario M. Cuomo Bridge

LEGOLAND New York, Goshen, Orange County

#### **Green Infrastructure**

Energy supply will become an even greater issue with a continued influx of people and businesses in the Mid-Hudson region. The recent closure of the Indian Point Energy Center and the need to generate additional power to feed the growing manufacturing industry coupled with the push for Green Energy is placing an enormous challenge on the region. With the closure of Indian Point, the challenge of finding alternative energy sources has never been as great for the Mid-Hudson region. These challenges must be met in order to meet the goals and objectives of the 2030 energy plan. However, these challenges and the need for a sustainable and renewable energy source represents an incredible opportunity to create good-paying green jobs in the region.

#### **Broadband**

The need for digital communication and technology has been quickly elevated and there is an overwhelming challenge for access to broadband. The extraordinary reliance on remote work, long distance learning, and telehealth represents a basic need for people, business, healthcare, education, and government to function. This represents a challenge in terms of capacity to utilize technology that supports everyday life, business, and critical decisions. Challenges associated with cybersecurity rapidly come into focus with the increased use of technology. Business models must immediately adapt to remote work and allow for internal policy and human resources to work within this new paradigm. Broadband improvements must also increase through the lens of equity, whether that is the need to increase coverage or the need to provide service at lower cost, both of which the state and federal government are making investments that will have significant impact on people's lives and the economy.

## Healthcare

#### **Progress to Date**

The integration of regional healthcare systems has provided additional services to the residents of the Mid-Hudson region, leading to improved healthcare outcomes. The ability of local hospitals and healthcare networks to draw upon expertise not located directly within the region enabled improvements to delivery systems. However, due to the onset of COVID-19, it became apparent that healthcare needed to act as one system as opposed to entities working individually. All

healthcare resources were redirected to combatting the pandemic. The Life Sciences sector played a critical role in the development of both the vaccine and antibody therapy that have been used throughout the world. Local companies were able to pivot and assist in the manufacturing of critical Personal Protective Equipment (PPE).

#### Challenges

The provision of healthcare services had been severely disrupted during the pandemic. The healthcare "system" faced daunting policy and financial challenges. The costs associated with the pandemic on hospitals created fiscal impacts in the billions of dollars. People put off elective surgery, providers had to cobble together safe ways to come into the office or to meet via telehealth visits. The pandemic further exposed the need to address a multitude of health care concerns, including obesity, diabetes, heart disease, the growth of addiction and the increase in mental health issues, which were all exacerbated during this time. For the near future, we must remain vigilant as a region to possible new strains of COVID-19, increased vaccinations or booster shots and insuring the production and stockpiling of PPE throughout the region.

Food insecurity became exposed as one of the basic needs for people throughout the region. Due to the impact of COVID-19 on the economy and the loss of jobs, thousands of people in the region faced the issue of having enough resources to purchase food. The face of people with food insecurities has changed and the region continues to grapple with a huge demand for services related to securing and distributing food to residents. The interruptions in the overall food supply chain has resulted in dramatic increases in the cost of food and has placed many additional challenges on residents, designated food pantries, and community-based organizations with the mission of getting food directly to those in need.

The region's agricultural community has some capacity to add to the food supply chain; however, this does not come without its challenges. The processing and distribution of certain agricultural products has been a challenge. Labor shortages have continued to be an issue faced by many local growers. The ripple effect of the economic shutdown placed a huge financial burden on the agri-business industry.

# Transit Oriented **Development**

#### **Progress to Date**

Investments in transit-oriented development, downtown revitalization efforts, and the acceleration of placemaking have made the communities of the Mid-Hudson region more desirable than ever. Despite the prioritization of transit-oriented development, progress to date has been limited. This is often due to the inability to find public-private partnerships that meet the challenges posed by the communities in which they are located.

#### Challenges:

Commercial and residential housing around traditional train-stops is the classic definition of transit-oriented development. During the pandemic, and as a result of the trend to remote work, ridership has not returned to rail lines in the Mid-Hudson. The collapse of ridership is yet another challenge facing the Mid-Hudson region. One of the root causes of this was the increase in the ability to work remotely. It remains unclear if that ridership will return to pre-pandemic levels. Yet getting communities to support this form of rail and bus-assisted housing remains a large challenge to the region.





A strategy that seeks to attract and retain investment in the region's mature and emerging clusters within the tradeable sectors of life sciences, advanced manufacturing, information technology, distribution, financial and professional services, and film and television production. The Council is focused on facilitating dialogue between these industries and the region's many educational institutions to create and maintain a pipeline for workforce and to encourage innovation resulting from collaboration. Further, the Council continues to support construction the trades and diverse small business enterprises.

#### **Progress to Date**

Three priority industry clusters featured in the **WORK** initiative - film and television, manufacturing & distribution, and life sciences – have become emerging industries that show promise for growth in the region. Advanced manufacturing, information technology, financial and professional services continue to be strong assets to the region and also a source of well-paying jobs. Prior to the pandemic the region had achieved record low unemployment rates.

Since 2011, the Council has identified **58 priority projects** that advance the **WORK** strategy, of which **79**% are complete or progressing. State awards for these projects total more than \$45 million and are projected to generate over \$550 million in economic activity.

#### **CHALLENGES FROM COVID-19**

Pre-pandemic, the region's success was accompanied by a challenge – every sector struggled to fill vacant positions. The reasons for this were simple: not enough workers, and positions that required even more complex skills. The skill sets of the unemployed did not match the employment vacancies.

The pandemic exacerbated workforce challenges even though the unemployment rate pre-COVID was the lowest in 50 years. Now with double the number of workers unemployed, many businesses have a "help wanted" sign in their windows. There were many issues related to the loss of the region's workforce, which included a lack of applications, a mismatch in skills, and a disproportionate loss of women in the workforce likely due to child care issues. These barriers to employment were further highlighted due to limitations placed on businesses in order to reduce the spread of COVID-19. Connecting the unemployed labor pool with talentseeking industries is an immediate need that must be addressed. As the recovery continues, linking the newly trained workforce with job opportunities represents a major challenge. Expanded job boards and databases

are key to meeting these challenges of a region that must retain and attract a qualified workforce. Other challenges were exposed including the lack of workforce housing, and the inability for transportation to link people from where they live to where jobs are located.

The pandemic has revealed a few challenges related to both manufacturing and warehouse distribution sectors. Automation and robotics will change the operations and workforce landscape in the warehouse and distribution sector as well as manufacturing. This represents a challenge to retraining the workforce and retooling the businesses, both of which represent opportunities for jobs. As technology continues to grow within these sectors and local businesses look to grow in the region, many jobs need to pivot and evolve.

At all levels of education there needs to be an enormous reengineering of how to prepare graduates for the future workforce. This will require a better understanding of where vacancies exist and then realigning programs to fill those positions. Therefore, the region is facing the need for a skills realignment and workforce training to provide a strong labor pool.

Current supply chain disruptions, however temporary, will impact hiring needs as well as skills. There needs to be a greater emphasis on the production of goods and locally sourced materials to avoid future supply chain issues.

Due to the Mid-Hudson's proximity and access to a vast network of interstate highways, the region has become a warehouse distribution hub. This has created a cluster within the industry, specifically in Orange and Dutchess counties. The cluster has recently attracted a few national companies such as Amazon, Medline, and Cardinal Health. This growth has not come without its challenges, which include regulatory barriers and a complicated development process that injects uncertainty into an already complex formula of incentives and construction.







A strategy that seeks to enhance residents' quality of life and strengthen the region's identity as a premier tourist destination due to its scenic beauty, abundant agritourism, flourishing food and beverage industry, rich arts and cultural scene, unique history, recreational assets, and proximity to New York City. Efforts within this strategy increase visitor spending and stimulate growth across related industries, including those in targeted tradeable sectors. Through this strategy, the Council also encourages development in its downtowns, which are popular with regional visitors and residents, further implementing the state priority of placemaking.

#### **Progress to Date**

The surge in visitors to the Mid-Hudson is but one of the metrics that indicate that this strategy has succeeded. This can be witnessed by the dozens of new hotels, the opening of world-class destinations such as **LEGOLAND**New York, The Resorts World Casino & Spa, upgrades to the Walkway Over The Hudson, the conversion of regional malls to area destinations, the growth of the Rail Trail system, the increase in agritourism, the exponential growth in the food and beverage industry (especially micro-breweries and distilleries), and an increase in the number of bed & breakfasts.

One indication of the cumulative impact of the **PLAY** strategy can be seen in the following table:

Furthermore, there are 28 active projects the MHREDC identified as priorities through the CFA process that advance the **PLAY** initiative. The state investment in these projects is more than **\$27.7** million, which is expected to generate **\$817** million in economic activity.

**PLAY**, as a strategy, was indeed working prior to the pandemic.

#### **CHALLENGES FROM COVID-19**

The region is home to dozens of tourist attractions, miles of outdoor hiking, walking, and biking trails, entertainment venues, and restaurants and cafés. Although a vast majority of the tourism economy pays low wages, it employs thousands of people in the region, both directly and indirectly. Now, the largest challenge is establishing that workforce. As other industry sectors wage rates improve, in order to compete for labor, hospitality and tourism wages must increase. Economic and fiscal policy decisions at both the state and federal level combined with the shut down due to the pandemic, resulted in severe labor shortages inhibiting the restarting of the sectors found within the **PLAY** strategy. The tourism and hospitality industry have been a major revenue source to state, county, and local municipal governments, as it represents a multi-billion-dollar industry. The tourism economy has an enormous ripple effect throughout the region with hundreds of small businesses reliant on visitors.

Mid-Hudson Tourism Economic Impact 2019								
	Direct Sales	Labor Income	Employment	Local Taxes	State Taxes			
Dutchess	\$674.2	\$378.1	10,836	\$45.8	\$36.7			
Orange	\$1,047.4	\$427.8	14,364	\$90.4	\$58.3			
Putnam	\$66.3	\$31.7	1,375	\$4.5	\$3.6			
Rockland	\$489.7	\$269.6	8,726	\$31.0	\$26.7			
Sullivan	\$577.9	\$242.7	5,854	\$35.7	\$31.5			
Ulster	\$684.6	\$354.3	9,561	\$44.4	\$37.3			
Westchester	\$2,011.3	\$1,156.3	24,463	\$128.3	\$109.7			
TOTAL	\$5,551.40	\$2,860.50	\$75,179.00	\$380.10	\$303.80			

\*Dollar amounts in millions of nominal dollars, employment in persons Source: Tourism Economics "Economic Impact of Visitors in New York 2019"



Seminary Hill Ciders, Callicoon, Sullivan County

As foot traffic became non-existent, the region witnessed the loss of many cafes, restaurants, boutiques, specialty shops, and other "Main Street" businesses. Some of these businesses were able to capture additional revenue by increasing or creating an online presence, but not all.

Marketing the Mid-Hudson region as a destination will support the recovery of large and small businesses. The creation of local and regional campaigns to stimulate the tourism and hospitality industry must take center stage, to ensure we recapture and expand tourism revenue. Its continued success requires that we find a balance between marketing and the preservation of open space for outdoor recreational activities.

There are also pre-existing and expanding barriers to small business. There has always been language and cultural differences, as well as disparities in access to capital for minority owned businesses and entrepreneurs. There is an enormous need to raise local capacity to provide equal access to resources, albeit

financial, training, or technical assistance with meeting regulatory requirements. The magnitude of these needs has drastically increased.

#### Additional Challenges Facing the Region

Societal inequity is a challenge that cuts across the MHREDC's LIVE, WORK, PLAY strategy. While not a new challenge to this region, COVID-19 has made the Mid-Hudson region more acutely aware of the disparity in pay, healthcare and housing for people of color as demonstrated by the disparate impacts of the virus on people's health and livelihoods based upon race and ethnicity. The need for a more equitable approach to the workforce and economic recovery requires the Mid-Hudson to call it out as a separate challenge and yet it applies to all three strategies.

The Council will be looking at each of the components of the **LIVE**, **WORK**, **PLAY** strategy through a diversity, equity, and inclusion lens, and charging the Council's work groups to determine how best to implement any changes to each of the strategies.

The Mid-Hudson Regional Economic
Development Council imagines
a future that includes dynamic
community centers featuring
businesses in thriving industry
sectors, residents from all walks of life
benefiting from quality employment,
and countless tourist attractions
that make the Mid-Hudson a unique
destination and place to live.

# MHREDC'S UPDATED STRATEGY

The Mid-Hudson REDC has determined that its **LIVE**, **WORK** and **PLAY** strategy has served the region well, having guided the Council to identify 141 priority projects since 2011, 78% of which are complete or progressing. Based on the success of this strategy, the Council recommends making some adjustments to the strategy in order to support the region's recovery from the COVID-19 pandemic.

The following pages articulate the updated MHREDC strategy, which will inform how the Council evaluates applications through the CFA process going forward.





A strategy that seeks to revitalize the region's many river, hilltop, and crossroads urban centers by encouraging activities that accelerate placemaking and promote environmental justice. Efforts in smart growth and transit-oriented development, downtown revitalization, workforce housing, infrastructure improvements – including restoration, rehabilitation and repurposing of existing assets and capitalizing on natural resources – will stimulate job creation, inspire innovation and retain and attract residents.

#### Goals:

- Support downtown revitalization and increased community and regional connectivity through planning and infrastructure initiatives, particularly in distressed communities.
- Retain and attract residents to the region in a balanced and equitable manner.

# **WORK**



A strategy that seeks to attract and retain investment in the region's mature and emerging clusters within the tradeable sectors of life sciences, advanced manufacturing, information technology, distribution, financial and professional services, and film and television production. The Council is focused on facilitating dialogue between these industries and the region's many educational institutions to create and maintain pipeline for talent and to encourage innovation resulting from collaboration. Further, the Council continues to support the construction trades and diverse small business enterprises.

#### Goals:

- Grow the region's talent base for priority industries.
- Increase opportunities for veterans and other underserved populations to secure employment.
- Attract domestic and international companies to the Mid-Hudson region.
- Increase opportunities for accessing capital across all sectors.





A strategy that seeks to enhance residents' quality of life and strengthen the region's identity as a premier tourist destination due to its scenic beauty, abundant agritourism, flourishing food and beverage industry, rich arts and cultural scene, unique history, recreational assets, and proximity to New York City. Efforts within this strategy increase visitor spending and stimulate growth across related industries, including those in targeted tradeable sectors. Through this strategy, the Council also encourages development in its downtowns, which are popular with regional visitors and residents, further implementing the state priority of placemaking.

#### Goals:

- Enhance the natural, historic, and cultural assets of the region, resulting in improved quality of life for residents and increasing tourism in the region.
- Grow the tourism industry in a way that is balanced and sustainable.
- Establish sustainable growth for the MHREDC's Regional Economic Cluster Plan – the food and beverage manufacturing supply chain.





Queen City Lofts, Poughkeepsie, Dutchess County

# LIVE



The **LIVE** initiative is a cornerstone of the Mid-Hudson region's success. Ranging from the retention of residents and businesses to infrastructure and healthcare to transit-oriented development and the revitalization of the downtowns, **LIVE** is hallmark to the continued success of the region.

Many traditional economic paradigms have been disrupted since March of 2020. The goals associated with advancing the **LIVE** strategy are focused on rebuilding our foundation in a way that supports the economy and promotes the Mid-Hudson region as an amazing place to reside.

The largest challenge of this strategy is associated with the lack of affordable, workforce, and market rate housing. The housing element will only be possible with a corresponding priority of improving the region's infrastructure, including water, sewer, roads, bridges, and broadband. The pandemic revealed the cracks in the healthcare system, but the resiliency of the regional network overcame these challenges.

To advance the MHREDC's **LIVE** initiative, the Council prioritizes the retention of residents and businesses, investment in infrastructure and healthcare, transitoriented development, and downtown revitalization.

# Retention of Residents within New York State

An important goal of the MHREDC is the retention and attraction of new residents to the Mid-Hudson region through a balanced, sustainable, and equitable approach to development. The Council recognizes the critical need for the region's economy to increase the supply of affordable, workforce, and market rate housing.

#### Types of projects that may address this area include:

- Local government streamlining of approval processes
- Adaptive reuse of buildings and underutilized lots
- Use of storefronts in downtowns for mixed-use development
- Local government support systems and policies to mitigate displacement
- Projects that will attract individuals living in New York
   City to relocate to the Mid-Hudson region as a lower
   cost alternative to prevent their leaving New York State
- Retention of seniors and the encouragement of "aging in place"
- Establishing the Mid-Hudson region as a leader to capture the new remote work paradigm



Downtown Kingston, Ulster County

## Infrastructure

In the post-pandemic world more so than ever before, there is a critical need to invest in and improve traditional infrastructure systems including water, sewer, bridges, and roads to maintain existing structures and systems, and also to create new and expanded ones.

Access to affordable high-speed internet – or "broadband" – has emerged as an element of infrastructure that is critical for rebuilding and reimagining the region's economy, expanding what has been considered traditionally under the umbrella of infrastructure.

There are a number of federal programs offering investment funding for infrastructure projects; there is an opportunity for state investments to leverage those federal dollars to the maximum benefit of the people and businesses in New York State and the Mid-Hudson region.

#### Types of projects that address this area may:

- Rebuild and reimagine the region's infrastructure including broadband
- Leverage local, federal, and private sector funding to improve and maintain traditional infrastructure systems including: roads, bridges, water and sewer
- Improve and increase capacity for local wastewater treatment plants to support economic development
- Invest in the talent pipeline for workforce to operate infrastructure systems that run on advanced technology
- Capitalize on New York Stewart International Airport, an important state and regional asset, as well as other airports in the region both for logistics and tourism jobs
- Support the advancement of green infrastructure to meet the carbon neutral goals of 2030, including investment in workforce development

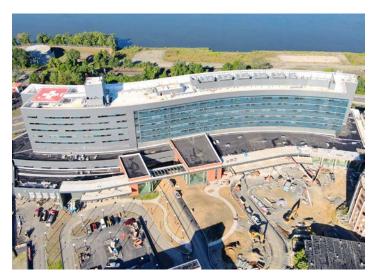
## Healthcare

The improvement of the healthcare system of the Mid-Hudson region is another important priority area. The pandemic brought inefficiencies to light, which must be addressed to continue the recovery of the region and mitigate future challenges.

The pandemic has exposed the fractured system within the healthcare system and these challenges must be addressed. The use of technology like telehealth and telemedicine rapidly advanced health services. The Mid-Hudson region will continue to seek ways to invest in and improve the opportunity for equal access to these services.

#### Types of projects that may address this area:

- Enhance, improve, and sustain health, safety, food, and healthcare systems for the regional economy and build the foundation for long-range growth
- Facilitate and support local food production, manufacturing, and distribution to enhance and fortify the supply chain and connections with those in need while building capacity within the food industry
- Support and enhance the healthcare system to facilitate a balanced approach for the provision and delivery of services while maintaining a resilient business model and strong fiscal foundation
- Improve equity in access to healthcare services
- Invest in the talent pipeline for the healthcare industry



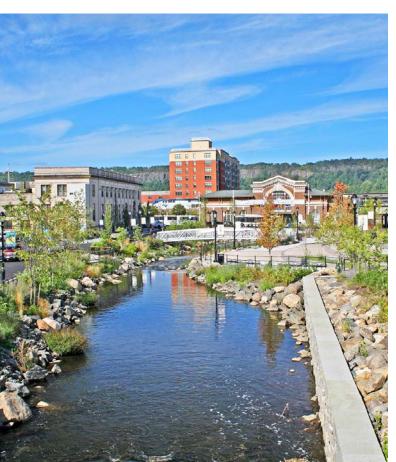
Vassar Brothers Medical Center, Poughkeepsie, Dutchess County

# **Transit Oriented Development (TOD)**

In the past, TOD shortened the commuting time from suburban areas into employment in urban centers. Because of the pandemic and potential for hybrid forms of work continuing for an unknown period, TOD fosters the strategy of easy access to distant work sites and the benefit of living in communities throughout the Mid-Hudson region.

#### Types of projects that may address this area:

- Support development of residential and commercial opportunities at train and bus station for the potential of transit-oriented development
- Use smart growth principles and transit-oriented development to support projects that rehabilitate and enhance the region's unique and diverse community centers
- Use transit-oriented development to support the emerging hybrid nature of the workforce



# **Downtown Revitalization**

There are a number of small cities scattered throughout the region that suffered urban decay and disinvestment over the last 50 years. Downtown revitalization of these urban cores is critical to the re-imagination of the Mid-Hudson's cities. Today's new cities must be "smart" and designed for a 24/7 experience that captures the entrepreneurial spirit of our existing residents and newcomers alike. The state has now invested \$40 million in the Mid-Hudson over the last 4 years through the Downtown Revitalization Initiative (DRI), which has led to the leveraging of millions of dollars in private investment. To further these revitalization efforts, the Mid-Hudson REDC is focused on meeting the challenges of residents, small businesses, and community-based organizations through additional investment of resources.

The continued advancement of investment in downtowns is vital for the region for recovery to continue, we need to be creative, forward thinking, and willing to make changes that may not have been considered before.

#### Types of projects that may address this area:

- Support access to capital
- Focus on retaining and strengthening small businesses which provide a pathway to prosperity, equity and wealth, and mitigates generational poverty
- Facilitate and support waterfront development
- Increase opportunities for small businesses to secure vendor contracts for larger businesses in the Mid-Hudson region
- Improve resiliency of small businesses to weather economic downturns

### LIVE: Past Project Highlight



**Project Name:** Thornwillow Makers Village (CFA #80890)

**Location:** City of Newburgh, Orange County

The Thornwillow Institute, a not-for-profit 501(c)3 organization committed to promoting and perpetuating the art of craft in all its forms, will be investing **\$1.6 million** in rehabilitating two historical properties in the City of Newburgh to create studios, an art gallery and a public bookstore and café. The Thornwillow Makers Village will become an iconic destination for craft and artisanal production that encompasses 100,000 square feet in and around five adjacent properties. To support this project, the MHREDC identified this as a priority project in round 8 and awarded an Empire State Development (ESD) Capital Grant of \$320,000 for reimbursement of a portion of construction and rehabilitation costs. Lieutenant Governor Kathy Hochul attended a groundbreaking for the project in June 2021.

# LIVE: Past Project Highlight

Project Name: Energy Square (CFA #54322)

**Location:** City of Kingston, Ulster County

RUPCO, an affordable housing agency, invested \$22 million into a mixed-use development in the City of Kingston's Midtown district. Energy Square is the first Net-Zero for Living affordable housing project in upstate New York and was named New York State Association for Affordable Housing's (NYSAFAH) 2020 Upstate Project of the Year. Its innovative design combines a geothermal ground source heat pump system with a solar PV system to produce enough energy to meet electricity usage for the entire building, providing additional cost savings to low-income and nonprofit tenants. The five-story building houses 57 mixed-income apartments managed by RUPCO; as well as 11,000 square feet of civic and commercial space that is now the new home of the Center for Creative Education (CCE), whose mission is to enrich the social and cultural awareness of youth through arts, wellness and education as well as other commercial tenants. The project received \$1 million through NYSERDA's Cleaner Greener Communities Grant in Round V and was awarded \$6.3 million in tax credits from Homes and Community Renewal.



WORK remains a key component of the MHREDC's strategy as the region faces a number of challenges coming out of the pandemic. The goals of maintaining and expanding the regional efforts in the region's key industry clusters of life sciences, advanced manufacturing and distribution, information technology, financial and professional services, and film and television. To facilitate investment in the region, the Council also continues to prioritize the construction trades as well as diverse small business enterprises.

The intersection of workforce, education, and economic development are the pillars to stand upon as the region presses ahead on the road to recovery. Returning to work is critical to our success and the region will reimagine, reintegrate, and rebuild the economy in a holistic and synergistic manner. In addition to facilitating the organization of the workforce ecosystem in the region, the Council sees a need for some core elements that enable the workforce to be successful to be in place such as child care support and resources, transportation and housing.

On the following pages several of the priority areas for the WORK initiative are discussed in more depth.

# Advanced Manufacturing & Warehouse Distribution

The manufacturing and warehouse distribution clusters have never been more vital to the economic growth of the region. This cluster has long been a priority for the Council, and now is the time to expand and strengthen this cluster.

#### Types of projects that may address this area:

 Facilitate, support, and grow the Mid-Hudson region's existing footprint in manufacturing and warehouse distribution

- Retain, attract, and/or develop a skilled labor force to participate in growing industries
- Increase local capacity within the manufacturing industry to support multifaceted production capabilities, which provides for a competitive advantage for reshoring to the Mid-Hudson region
- Target large sites, either existing buildings for adaptive reuse or vacant land, with a goal of filling them with new manufacturing, warehouse distribution or supply chain opportunities
- Leverage existing educational and workforce development resources and facilitate connections among them, employers, and workforce
- Offer a package of benefits to retain and attract employees including support for child care
- Facilitate public-private partnerships to create a competitive workforce.

## Life Sciences

The life sciences cluster – a state priority as well as a priority industry for the Mid-Hudson region – continues to be an area primed for growth for the region. The Council seeks to grow the Mid-Hudson's life sciences workforce, and to increase opportunities for entrepreneurship and growth in the life sciences cluster. Companies like Pfizer and Regeneron have led the way in establishing their headquarters in the Mid-Hudson. New York Medical College, Touro College of Osteopathic Medicine, and Manhattanville College's development of a School of Nursing and Health Sciences were well underway before the pandemic, supporting the talent pipeline to fill critical roles in the life sciences space. Additionally, BioInc@New York Medical College has continued to cultivate entrepreneurship in the life sciences as the region's only biotechnology incubator. The state was prescient in calling out the life sciences cluster as a priority well before the pandemic.

The pandemic has highlighted the importance of the life science cluster. The State's support for companies including Hudson Holdings Group/NY Critical Materials, Altor Safety, Ziel/Hudson Valley Mask Co, Regeneron, and Pfizer have been critical in responding to the COVID-19 crisis.

The life sciences cluster has faced a number of new challenges, including a continued lack of a highly skilled local workforce. Cybersecurity is another challenge for life sciences companies, but which also permeates all parts of the Council's LIVE, WORK and PLAY strategy. Within this specific cluster, cybersecurity is an immediate challenge that needs to be addressed on all levels, as the use of technology exponentially grows within the life sciences cluster.

There also remain challenges for entrepreneurs within this cluster as they seek to establish themselves and grow their businesses. Access to capital, predictable and streamlined local permitting processes, and expedition of the processing of required licenses are all contributing factors.

#### Projects that may address this area:

- Create a direct relationship among the SUNY system, BOCES and other higher education institutions for career pathways and professional certification curriculum coordination, creating a local pool of talent for this growing sector at all levels
- Streamline permitting and licensing systems to quickly advance and grow this cluster
- Connect the life sciences cluster to other priority clusters in the region, including information technology and the region's many small businesses, including minority and women-owned business enterprises (MWBE)
- Attract and grow the life science cluster in the region's Opportunity Agenda and distressed communities, creating career pathways in the good-paying life sciences cluster

## Intersection of Workforce, Education, and Economic Development

The Council seeks to reimagine, reintegrate, and rebuild the workforce to enhance mobility and connectivity to employment opportunities. As highlighted earlier in this report, there is a need to provide pathways for those outside the workforce and those who are unemployed back into the workforce.

#### Projects that may address this area:

- Improve access to the pipeline for existing jobs and assist in the training for jobs and skills of the future
- Address barriers to full employment such as child care, transportation, and housing affordability
- Create new pathways for the workforce from the hardest hit industries to higher performing sectors
- Improve transportation systems to support a mobile workforce
- Leverage the talent pipeline of 2-year and 4-year college graduates, as well as graduates from vocational programs, retaining this critical workforce in the region and state
- Increase the availability of quality, affordable child care to cover off-school hours, shift work and weekends (24/7)



Dutchess Community College Aviation Education Center, Wappingers Falls, Dutchess County

#### WORK: Past Project Highlight



**Project Name:** Spectrum Designs Expansion (CFA #93745)

Location: Village of Pleasantville, Westchester County

The Long Island-based Spectrum Designs Foundation has expanded to Westchester County and will employ individuals on the Autism Spectrum who receive personalized, extensive pre- and onthe-job training to be successful and gain valuable skills in the workforce. Spectrum Designs Foundation has invested over \$2.2 million to purchase and equip an 8,400 square foot commercial property in Pleasantville to expand their custom apparel and promotional products business, allowing them to produce twice as many goods while enriching the Westchester community. To assist with this project, the MHREDC awarded Spectrum Designs Foundation a Round IX Capital Grant of \$240,000 through Empire State Development for this project which will create 18 new jobs in the next three years. Lieutenant Governor Kathy Hochul attended the grand opening event for this new facility in April 2021.

## **WORK:** Past Project Highlight



**Project Name:** Star Kay White Expansion (CFA #63707)

**Location:** Hamlet of Congers, Town of Clarkstown, Rockland County

Star Kay White, a flavor base and extract manufacturing company that has been in business in New York since 1890, completed a new facility and renovations in February 2020. Star Kay White was founded in Manhattan and later operated a factory in the Bronx before moving to Congers in 1984. The company's footprint in Congers has since expanded several times. The company has been run by the same family, the Katzensteins, since it was founded. Today, the fifth generation of Katzensteins works at Star Kay White. To encourage the company to expand in New York, the MHREDC awarded a Capital Grant in Round VI of \$2.25 million as well as up to \$350,000 in Excelsior Jobs Program tax credits. The project upgraded the company's infrastructure, technology and equipment, making its manufacturing processes more efficient and better able to meet customer needs. The company will create 21 new jobs in the new facility.





The **PLAY** initiative represents a vital element of overall economic wellbeing of the Mid-Hudson region. While the pandemic ravaged the hospitality and tourism industry cluster, the resiliency of the region's incredible work ethic and entrepreneurial spirit have facilitated a strong comeback thus far.

The REDC's support of the businesses that were devastated over the last year has never been stronger. The goals of the REDC to continue on its quest to foster new business openings and expansion of the hospitality and tourism economy will facilitate an even greater appreciation for the region's rich culture, history, entertainment venues and recreational and outdoor experiences by its residents and visitors.

#### Types of projects that may address this initiative:

- Support adaptive reuse of vacant facilities into entertainment and recreational venues
- Facilitate the establishment of sustainable and environmentally friendly attractions throughout the Mid-Hudson region
- Create a career pathway for the unemployed and underemployed within the hospitality and tourism sector, including training and opportunities for advancement
- Increase tourism travel and spending in the region, and explore ways to convert tourists to residents based on the quality of life assets in the region
- Showcase how lower-wage entry-level positions in hospitality and tourism can evolve into higher-wage careers
- Support the development of food manufacturing utilizing locally sourced agricultural commodities
- Leverage state and regional assets like the Empire State Trail, Parks, cultural and historical sites, etc.

## **PLAY:** Past Project Highlight



**Project Name:** City Winery Hudson Valley (CFA #80700)

Location: Village of Montgomery, Orange County

City Winery's \$10 million rehabilitation and expansion into the region establishes their centralized wine production facility and concert venue in the Village of Montgomery, Orange County at the former historic 22-acre Worsted Mills, located along the Wallkill River. This new facility includes a restaurant and bar, as well as a multipurpose event space which can host events such as tastings, weddings, corporate galas, and local trade events. The project pays homage to Worsted Mills history and many parts of the Mills have been repurposed, keeping the original character of the building which helped establish the Village of Montgomery. Originally built as a grist mill in 1813, the current Worsted Mills facility was built in 1892 after a fire destroyed the original structure the prior year. In the years before the acquisition of the building, the Mills were mostly empty, abandoned and falling into disrepair. The project also allows the company to easily distribute wine from the Hudson Valley across the country, showcasing New York's contribution to the wine industry on a national scale. City Winery plans to create its own electricity from the Mill's generators, supported by supplementary solar power. City Winery was awarded an \$832,000 ESD capital grant, recommended by the MHREDC during Round VIII of the Regional Council initiative. Additionally, the project was awarded a \$240,000 Market New York grant through I LOVE NY, New York State's Division of Tourism, to promote the winery's events. NYSERDA also awarded City Winery more than \$805,000 as the winery will achieve net zero energy performance through efficiency improvements to existing buildings and use of an existing hydroelectric turbine on the Wallkill River.

## **Statewide Priorities**

Since 2011, the Council has responded to the state's call to prioritize various issues by integrating those issues into the region's **LIVE**, **WORK**, **PLAY** strategy. The table below identifies which regional strategy component(s) addresses each state priority.

**Table: State Priority and Regional Strategy Alignment** 

State Priority	LIVE	WORK	PLAY
Business Incubators and Hot Spots		•	
Child Care	•	•	
Downtown Revitalization & Placemaking	•		•
Environmental Justice	•		•
Life Sciences		•	
Opportunity Agenda	•	•	
Regional Economic Cluster Plan: Food & Beverage Manufacturing Supply Chain		•	•
Workforce Development	•	•	•
Veterans		•	

The following pages provide an overview of the Council's approach to the statewide priorities listed above, and a more detailed discussion of two of the state priorities – child care and workforce development – including progress made to date, challenges that have surfaced or been exacerbated by COVID-19, and how the Council integrates the statewide priorities into its **LIVE**, **WORK**, **PLAY** strategy. Please note that the Life Sciences priority area is not addressed in this section, as it is discussed on pages 26-27.

Safe Harbors of the Hudson, Newburgh, Orange County

## **Child Care**

#### **Progress to Date**

Access to quality, affordable child care has emerged as a theme in the MHREDC's exploration of barriers to workforce participation and development, and in 2019 Governor Cuomo charged the REDC's across the state to collaborate with local businesses and communities to identify child care needs and develop potential solutions.

#### The Council's work group focused on Workforce Development identified the following three goals related to child care:

- Better understand the current and long-term child care needs of the region's work force and future work force
- Increase labor force participation of parents and guardians in the region through improved access to quality affordable child care options
- Increase workforce development opportunities for professionals in the child care industry to support improvement in quality of child care in the region

Thanks to efforts by the Mid-Hudson Child Care Resource and Referral Agencies (CCRR), a webpage has been launched to help businesses and individuals connect with their local CCRR to learn about different types of child care, obtain referrals, and get one-on-one counseling from a child care specialist: www. hvchildcare.org. Even throughout the COVID-19 pandemic, the Council has promoted this webpage as a resource to businesses.

The Council will once again be looking to connect with the region's CCRR's to reassess child care resources and needs. Moreover, the Council continues to encourage applicants to funding programs through the CFA to identify strategies for addressing child care needs of their workforce and articulate this in their funding application.



Center for Discovery, Harris, Sullivan County

#### **Challenges from COVID-19**

At no time in our history has child care been more critical for our economic recovery. Child care has always been tied to the workforce but during the period of the pandemic it was felt keenly as children were forced to stay home and parents needed to serve a greater role in the provision of child care services.

A major barrier to the workforce and the success of future economic development is the role of child care. The pandemic exacerbated the need for child care and this challenge must be fully engaged to allow people to continue to return to work and gain new job skills through training, education, and apprenticeship programs. The need for child care ranges from infants, to pre-school, to elementary and middle school ages. This multifaceted and complex issue is magnified by the extreme disparity in wage rates for households working in lower paying jobs and shift work-based employment. These barriers also exist in moderate to higher paying jobs within the industry sectors of warehouse distribution, manufacturing, police, fire, education and healthcare.

The pandemic has also resulted in a greater level of shift work. Many manufacturing and warehouse distribution centers are returning to "business as usual" and some have kept their expanded hours to be able to keep up with production demands, adding or expanding second and even third shifts. This has increased the need for flexible child care to cover an increase in shift work. As more employment opportunities in the Mid-Hudson region continue to grow in industries that primarily operate through shifts, addressing this need is critical.

The region faces many challenges as the economy evolves into whatever the new normal will be. There is a mismatch between the current workforce and the skills needed for the future. The region's SUNY system represents an enormous opportunity to meet this challenge. Intermediate and long-range challenges in the workforce include a growing shift toward automation and robotics, which will change the operations and workforce landscape in the warehouse and distribution sector as well as manufacturing.

Once again, flexible child care is paramount to allow parents to attend job training, certificate and licensing programs, and higher education degrees programs.

## Workforce Development

#### **Progress to Date**

Workforce Development has long been at the center of the MHREDC's strategy, at the intersection of **LIVE**, **WORK**, and **PLAY**. People truly drive the economy. The MHREDC seeks to develop, attract, and retain a quality workforce in the region, focusing on connecting the short and long-term industry talent needs with the Mid-Hudson's many educational institutions to create a pipeline for employment.

Prior to the pandemic the unemployment rate was at its lowest in fifty years, and employers in every sector were looking for workforce. The Council's Veterans and Workforce Development Work Group has continued to build upon the sector-based workforce development initiatives of the past to ensure the current strategy includes identifying the training needs of workers and those seeking opportunities and growth. The Council continually monitors the state of the regional economy and workforce needs.

The goals for workforce development established by the Council include:

- Grow the region's talent base for priority industries
- Establish ongoing framework for connections between priority industries and workforce development entities, including educational institutions
- Increase opportunities for veterans and other underserved populations to secure employment
- Increase the labor force participation in the region

The Council reviewed over 80 applications to the State's Workforce Development Initiative (WDI), Governor Cuomo's **\$175 million** investment in job training projects. Over 40 awards were granted, advancing workforce development efforts in manufacturing, healthcare, and energy, and supporting many who face significant barriers to employment.

The Council's efforts in workforce development fall under the **WORK** strategy. For more information on this strategy and how it has been affected by COVID-19, please refer to pages 16-17 of this report.



Crepini, Fishkill, Dutchess County

# **Business Incubators** and Hot Spots

The state has designated 10 Innovation Hot Spots – one for each economic development region – and 20 certified business incubators, which receive funding to reach a greater number of early-stage companies. Support varies by location but generally includes: physical space; shared administrative staff; access to capital; coaching; mentoring; networking connections; prototype development; and access to other technical services. Innovation Hot Spots also coordinate regional entrepreneurial ecosystems, and can offer tax benefits to client businesses.

## Through the CFA process, the Council has identified and supported two entities through this initiative:

- BioInc@NYMC The region's only biotechnology incubator offers shared resources, turnkey wet lab space and sponsored professional services to promising, high-potential entrepreneurs and start-ups
- Hudson Valley Center for Innovation (Accel7) –
   Accel7 is the oldest, continuously operating business and tech accelerator serving the Hudson Valley between Westchester and Albany, focusing on building and launching Environmental, Social & Governance (ESG) solutions with early-stage entrepreneurs

# Downtown Revitalization & Placemaking

The MHREDC's **LIVE** and **PLAY** initiatives intersect with the state priority of placemaking and downtown revitalization. The Council recognizes that vibrant downtowns are critical in efforts to attract new companies and grow existing businesses that will create new job opportunities in the state. Each year, the Council seeks to support projects that rehabilitate and enhance the region's unique and diverse community centers, particularly ones that make use of smart growth principles and transit-oriented development.

Five years ago, the state launched the Downtown Revitalization Initiative (DRI) to propel the advancement of geographic hubs within municipalities. Each year from 2016-2019, all municipalities were invited to submit an application to compete for \$10 million to both develop a strategic investment plan with community input and then implement the plan to the maximum benefit of the community, region, and state. Each REDC was tasked with identifying the winning community each year. The cities of Middletown, Kingston, New Rochelle and Peekskill are the past winners of the DRI. A visit to each of these downtowns will show demonstrable positive changes in launching their revitalization forward.

The Council looks forward to future rounds of the DRI and will once again actively promote the opportunity throughout the region.



Safe Harbors of the Hudson, Newburgh, Orange County

## **Environmental Justice**

Environmental justice calls for the fair treatment and meaningful involvement of all people regardless of race, color, national origin, or income with respect to the development, implementation and enforcement of environmental laws, regulations and policies.

The Council believes that no group shall bear a disproportionate share of the negative consequences resulting from the industrial, municipal, and commercial operations or the execution of federal, state, local, and tribal programs or policies.

The MHREDC believes that environmental justice shall include, but not be limited to, the equitable placement of facilities such as water and sewer treatment, salt sheds, jails, waste disposal or transfer stations and those related to the new Green Economy, to ensure there is not a discriminatory set of decisions that result in a disproportionate number of said facilities being sited in communities that would be considered poor or of limited means to reject such placement.

## The goals of the MHREDC's environmental justice strategy include:

- Increased awareness and understanding of environmental justice and its connection to economic development among key stakeholders throughout the region, including the business community and economic development organizations
- Improved access to environmental justice data within the region, so that the Council is well-informed about challenges and opportunities to achieve greater environmental justice in the region
- Inclusion of all groups potentially affected by a project as early as possible, ensuring all voices are heard as development decisions are made

## **Opportunity Agenda**

In 2013, Governor Cuomo charged the REDCs to develop and implement plans to support economically distressed communities by way of the Opportunity Agenda.

# The MHREDC has identified two goals, and keeps these goals top-of-mind when evaluating CFA proposals:

- **1.** To move impoverished individuals throughout the region into jobs that can sustain them and their families
- 2. To revitalize distressed communities

The communities identified as part of the Opportunity Agenda are: City of Kingston, City of Middletown, City of Mount Vernon, City of New Rochelle, City of Newburgh, City of Peekskill, City of Poughkeepsie, City of Yonkers, Village of Brewster, Village of Monticello.

# Regional Economic Cluster Plan:

## Food & Beverage Manufacturing Supply Chain

The MHREDC identified the "Food and Beverage Manufacturing Supply Chain" as a manufacturing-based industry cluster to prioritize in 2015. This cluster, as defined by the MHREDC, includes the interrelated sub-industries of food and beverage manufacturing, processing, and equipment. These industries share distribution channels and customers; manufacture complementary products; and are related by skills, technologies, specialized machinery / infrastructure, and other common inputs; so the Council felt it appropriate to link them together under one relatively broadly defined cluster in 2015.

The Council seeks to: 1) assist entrepreneurs in the food manufacturing business to process and test food products; 2) ensure alignment and partnering with New York State assets in the Region; and 3) support the continued expansion and investment initiatives of companies in the region that have approached the Council for funding.

### **Veterans**

The Council seeks to increase opportunities for veterans to secure employment as part of its efforts in Workforce Development. One of the ways this can be achieved is to increase employers' understanding of the United States military occupational specialty code (MOS) and how that corresponds to the North American Industry Classification System (NAICS). Through the work of the MHREDC Veterans & Workforce Development Committee, many employers are pleasantly surprised to learn of the skillsets and proficiencies that veterans possess due to the technical training received towards their military occupations. The challenge that exists is the lack of coordination and outreach to prospective employers on the close correlation between the two occupational classification systems. Targeted efforts made by the federal, state and local governments to assist employment centers, local chambers of commerce and economic development organizations better navigate the relationship between these systems will have a measurable impact on veterans employment statistics.



PART THREE

# **PARTICIPATION**

The Mid-Hudson REDC seeks to be inclusive in soliciting input on the regional strategy and implementation. This section of the report offers an update on the Council's Work Groups followed by an update on the Council's public outreach efforts.



# **Work Groups**

In 2019, the MHREDC streamlined the number of Work Groups from eight to four to better align with the **LIVE**, **WORK**, and **PLAY** strategy. For this year, the Council will continue with the following four Work Groups: **LIVE**, **WORK**, **PLAY** and **WORKFORCE**.

**Goals:** While the Work Groups will remain intact, albeit with a few subtle changes, their goals will have to adapt to the new world we face as we analyze the impact of COVID-19. Moreover, the COVID-19 pandemic has underscored the interconnected nature of the components of the MHREDC's **LIVE, WORK, PLAY** strategy, and the Work Groups will seek coordination and synergy with one another in their efforts toward their goals.

#### The Work Group goals include:

- **1.** Drive progress on key components of the MHREDC's strategy
- **2.** Provide opportunities for stakeholders and members of the public to contribute to the REDC process
- **3.** Facilitate connections among the business, not-for-profit, academic, and government sectors
- **4.** Identify barriers and develop solutions across various industry sectors to advance economic development
- **5.** Monitor how the regional strategy and the lingering effects of COVID-19 on the region's economy
- **6.** Apply the lens of diversity, equity, and inclusion to each Work Group so that the Council can be more intentional in how it views the tools that are available to the Council to assist communities that need greater assistance
- 7. Inform the full Council on developments and recommendations to advance the regional strategy, recover from COVID-19, and grow the economy



The LIVE group's mission is to identify challenges, resources, and best practices for key issue areas and present solution recommendations. Key issue areas include: Infrastructure, Planning (including smart growth and TOD), Opportunity Agenda, Retention and Attraction of residents, Revitalization, Workforce



The Work Group's mission is to identify and assess challenges and assets that are key to strengthening the growth, retention and attraction of priority industry clusters/tradeable sectors in the Mid-Hudson region while also spurring innovation. Priority Industry Clusters: Life Sciences, Advanced Manufacturing, Information Technology, Financial & Professional Services, Distribution, Film & TV, Innovation, Hospitality, Healthcare, Small Business and MWBE.



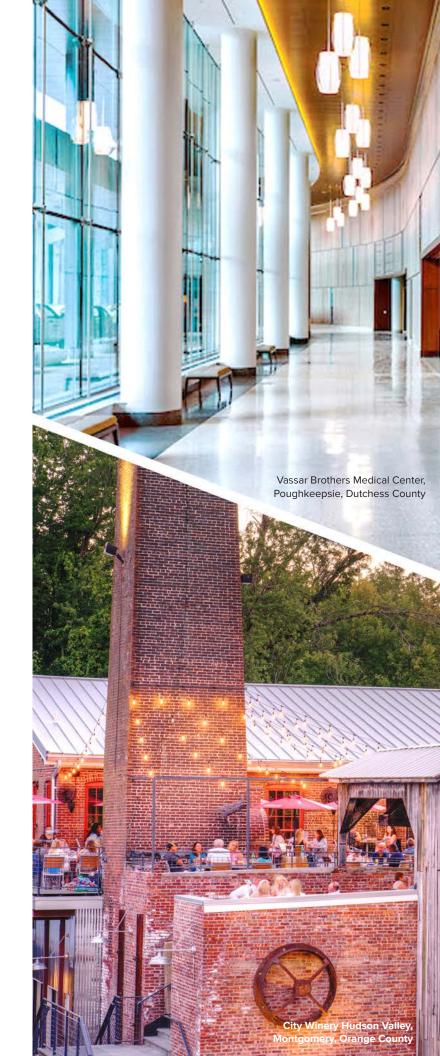
The Play Group's mission is to support all aspects of the regional tourism economy, including strengthening the Mid-Hudson brand, facilitating sustainable growth of the tourism industry, as well as the growth of the Food & Beverage Manufacturing Supply Chain.

# WORKFORCE \*\*



(formerly Veterans and Workforce Development)

The Workforce Group's mission is to develop, attract and retain a quality workforce in the region, focusing on connecting short and long-term industry talent needs with the Mid-Hudson's many educational institutions to create a pipeline for employment. While the name of this group is being updated, the group will continue to seek ways to increase opportunities for veterans and other underserved populations to secure employment.



# Public Outreach and Engagement

In the decade since Governor Cuomo created the Regional Economic Development Councils, Mid-Hudson REDC has actively developed relationships with elected and community stakeholders to ensure as many as possible are aware of and have the opportunity to participate in the REDC initiative and to apply for funding programs available to support economic development.

To craft this report, the Council held two virtual public meetings – one in August 2020, and another in May 2021. The Council also held five executive session virtual meetings to ensure there were opportunities to discuss the impact of COVID-19 on the region and generate and synthesize the strategy.

Over the past year and a half, a number of county economic development leaders and business associations also shared reports, surveys, and data they have developed to understand the impact of the pandemic and to ideate the way toward building back better. Additionally, the Council has received analysis of labor market data from the New York State Department of Labor.

With assistance from Hudson Valley Pattern for Progress, this report is a synthesis of the input the Council received. The MHREDC will continue to monitor the effects of COVID-19 on the economy, and is charging its four work groups with this important role.

The state has launched a number of important programs to support businesses under its "Business Pandemic Recovery Initiative" and has also launched Round XI of the CFA process. The Council has actively sought to increase its outreach efforts over the past year, in close partnership with Empire State Development's (ESD) Mid-Hudson Regional Office. The multifaceted outreach campaign involves weekly e-newsletters sent to more than 3,000 stakeholders promoting economic development opportunities and COVID-19 support for businesses.

To promote the state's Business Pandemic Recovery Grant, ESD is working with business associations and economic development partners to host more than ten virtual webinars to ensure as many in-need businesses are aware of this unique opportunity to support their recovery.

The Council is hosting weekly webinars throughout the months of June and July, and expects to adjust this to biweekly in August and September. As of the writing of this report in June 2021, three virtual CFA Information Sessions have been held, with attendance for each ranging from 36 to 78, with a total of 156 attendees overall as of mid-June. The Council has also redesigned its website to improve the user experience of businesses looking to find information about the REDC process and upcoming events.

Through the work groups and public meetings, the Council will continue to provide ways to keep the public informed about the REDC process and offer opportunities to continue to refine the regional strategy as the region, state, and world continue to emerge from the COVID-19 crisis.



Lieutenant Governor Kathleen Hochul, DRI Project Announcements, Peekskill, Westchester County



The Mid-Hudson REDC reviews and identifies priority projects that align with and implement its **LIVE**, **WORK**, and **PLAY** strategy. Since 2011, the Council has identified 141 priority projects that have been awarded an investment from the state, with 78.0% complete or progressing. The Council endorses projects as priorities that have strong alignment with the regional strategy, state priorities, and will have a positive economic impact on communities, counties, and the region.

The leverage ratio represents the total project cost divided by the total state funds awarded. The leverage ratio for Mid-Hudson priority projects is 15.7:1, demonstrating the Council's commitment to maximizing the impact of public dollars.

The pages that follow include a map showing the approximate location of priority projects, as well as summary tables showing the current status of CFA-awarded projects. The project data used in this report was pulled from the CFA system on June 7, 2021.

#### 141 Priority Projects

78% Complete or Progressing

15.7:1 Leverage Ratio

Generating more than \$1.6 Billion in Economic Activity



New York Medical College, Valhalla, Westchester County

# **Geographic Distribution of Past Priority Projects**

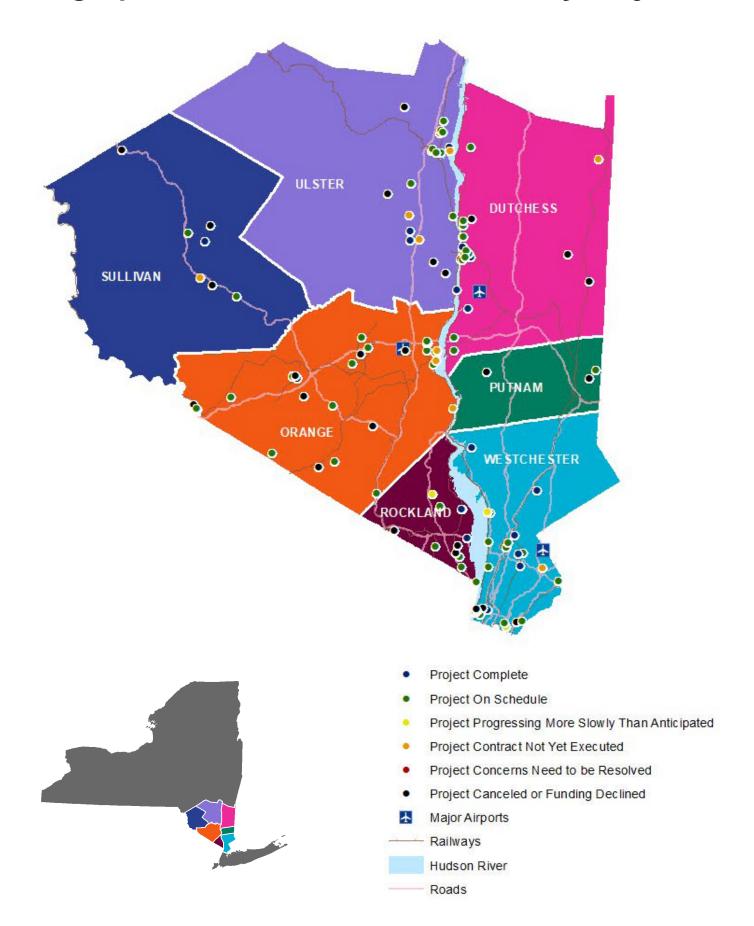


Table 1: Aggregated Status of Past Priority Projects 2011–2019

Status	Round 1 (2011)	Round 2 (2012)	Round 3 (2013)	Round 4 (2014)	Round 5 (2015)	Round 6 (2016)	Round 7 (2017)	Round 8 (2018)	Round 9 (2019)	Total	Distribution
Project Complete	1	7	6	7	4	3	1	1		30	21.3%
On Schedule		5	1	9	10	8	11	13	6	63	44.7%
Progressing Slower than Expected			1			2	1			4	2.8%
Contract Not Yet Executed				1			1	4	6	12	8.5%
Concerns Need to be Resolved						1				1	0.7%
Cancelled or Funding Declined		6	5	4	8	1	3	2	2	31	22.0%
Total	1	18	13	21	22	15	17	20	14	141	

78% of priority projects are complete or progressing

**Table 2:** Leverage of State Investment in Past Priority Projects 2011–2019

	Total Number of CFA Priority Project Awards	Total Project Cost	Award Amount	Ratio of Total Project Cost to Total Award
Round 1 (2011)	1	\$14,000,000.00	\$4,000,000.00	3.5:1
Round 2 (2012)	12	\$234,148,309.00	\$15,533,096.00	15.1:1
Round 3 (2013)	8	\$33,720,205.00	\$4,290,000.00	7.9:1
Round 4 (2014)	17	\$162,926,897.00	\$18,854,000.00	8.6:1
Round 5 (2015)	14	\$135,297,682.00	\$10,790,000.00	12.5:1
Round 6 (2016)	14	\$615,197,539.00	\$15,432,400.00	39.9:1
Round 7 (2017)	14	\$118,322,585.00	\$11,304,945.00	10.5:1
Round 8 (2018)	18	\$141,343,097.00	\$16,144,910.00	8.8:1
Round 9 (2019)	12	\$222,397,679.00	\$10,640,000.00	20.9:1
Total	110	\$1,677,353,993.00	\$106,989,351.00	15.7:1

**15.7:1** Priority Projects Ratio of Total Project Costs to Total Awards

Note: Data from 31 terminated projects are removed from these calculations

# All Past Projects Awarded CFA Funding

In spite of the pandemic, many of the Mid-Hudson's awarded projects from rounds I through IX have continued to make progress and implement the Mid-Hudson REDC's **LIVE**, **WORK**, **PLAY** strategy. Of the 921 awards that have been granted over the years, 87% are complete or progressing. The ratio of total project cost to total awards for all Mid-Hudson CFA projects is 7.5:1. The state awards through the REDC process are projected to generate more than \$2.7 Billion in economic activity in the region.

921 Awards

87% Complete or Progressing

7.5:1 Leverage Ratio

Generating more than \$2.7 billion of Economic Activity

#### Table 3: Aggregated Status of All Awarded Projects 2011–2019

Status	Round 1 (2011)	Round 2 (2012)	Round 3 (2013)	Round 4 (2014)	Round 5 (2015)	Round 6 (2016)	Round 7 (2017)	Round 8 (2018)	Round 9 (2019)	Total	Distribution
Project Complete	49	61	62	74	62	48	26	20	3	405	44.0%
On Schedule	4	7	7	17	25	42	69	84	81	336	36.5%
Progressing Slower than Expected			1	2	1	4	5	3	2	18	2.0%
Contract Not Yet Executed		1		2	1	4	5	10	16	39	4.2%
Concerns Need to be Resolved			1			1				2	0.2%
Cancelled or Funding Declined	14	20	19	27	19	6	8	5	3	121	13.1%
Total	67	89	90	122	108	105	113	122	105	921	

Table 4: Leverage of State Investment in All Awarded Projects 2011–2019

Round (Year)	Total # Awards	Total Project Cost	Total Awards	Ratio of Total Project Cost to Total Awards
Round 1 (2011)	53	\$153,915,411.15	\$26,493,611.00	5.8:1
Round 2 (2012)	69	\$369,178,560.00	\$54,741,696.00	6.7:1
Round 3 (2013)	71	\$89,184,524.52	\$19,085,371.60	4.7:1
Round 4 (2014)	95	\$264,419,231.00	\$39,805,258.00	6.6:1
Round 5 (2015)	89	\$231,002,453.50	\$37,439,011.00	6.2:1
Round 6 (2016)	99	\$729,935,696.98	\$43,674,215.00	16.7:1
Round 7 (2017)	105	\$239,624,560.00	\$47,276,515.00	5.1:1
Round 8 (2018)	117	\$292,745,030.00	\$53,773,710.00	5.4:1
Round 9 (2019)	102	\$409,866,751.50	\$49,662,414.00	8.3:1
Total	800	\$2,779,872,218.65	\$371,951,801.60	7.5:1

Note: Data from 121 terminated projects are removed from these calculations.

**Table 5:** Projected Jobs Created and Retained for All Awarded Projects 2011–2019

Round (Year)	Projected Total Jobs Retained	Projected Total Jobs Created
Round 1 (2011)	343	457
Round 2 (2012)	3,297	794
Round 3 (2013)	748	2,354
Round 4 (2014)	1,005	567
Round 5 (2015)	1,818	67
Round 6 (2016)	256	705
Round 7 (2017)	335	389
Round 8 (2018)	485	569
Round 9 (2019)	1,186	685
Total	9,473	6,587

Note: Data from 121 terminated projects are removed from these calculations.

## **ACKNOWLEDGEMENTS**

#### STATE AGENCY RESOURCE TEAM

We would like to recognize and thank the Mid-Hudson State Agency Resource Team (SART) for the important role they play in assisting our Council to advance state initiatives that support the REDC process.

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#### **AJ Ross Creative Media**

Allan Ross, President/CEO
Carlos Vega, Partner & Creative Director
Matthew Ross, Partner & Webmaster



#### LIST OF ALL FUNDED CFA PROJECTS

Project is complete

Project is on schedule

Project is progressing more slowly than anticipated

Project concerns need to be resolved

Project contract not yet executed

Project canceled or funding declined

			RO	UND 1			
CFA#	Applicant Name	Project Name	Agency	Program	Award Amount	Total Project Cost	Funds Disbursed
7462	Plastics Technologies of New York, LLC	On-the-Job Training	DOL	Business Hiring and Training Incentives On-the-Job Training	\$50,000.00	\$50,000.00	\$0
5175	Zeltsman Associates Inc. dba Community Markets	Worker Skills Upgrading	DOL	Business Hiring and Training Incentives Worker Skills Upgrading	\$15,000.00	\$15,000.00	\$15,000.00
7420	Dorsey Metrology International, Inc.	Worker Skills Upgrading	DOL	Business Hiring and Training Incentives Worker Skills Upgrading	\$12,000.00	\$12,000.00	\$3,300.00
7510	Illinois Tool Works Inc. dba ZIP-PAK	Worker Skills Upgrading	DOL	Business Hiring and Training Incentives Worker Skills Upgrading	\$18,300.00	\$18,300.00	\$0
3116	Sullivan County BOCES	Unemployed Worker	DOL	Unemployed Worker Skills Training	\$50,000.00	\$50,000.00	\$15,848.00
4780	The Young Women's Christian Association of Yonkers	Unemployed Worker	DOL	Unemployed Worker Skills Training	\$18,256.00	\$40,000.00	\$15,315.00
5746	Southern Westchester Board of Cooperative Educational Services	Unemployed Worker	DOL	Unemployed Worker Skills Training	\$50,000.00	\$50,000.00	\$34,200.00
5057	Mount Vernon (C)	Hutchinson River Revitalization Plan	DOS	Local Waterfront Revitalization	\$92,937.00	\$185,874.00	\$88,400.00
5183	Dobbs Ferry (V)	Waterfront Park Fishing Pier	DOS	Local Waterfront Revitalization	\$322,000.00	\$644,520.00	\$322,000.00
7135	Ossining (V)	Harbor Square Promenade Park	DOS	Local Waterfront Revitalization	\$485,000.00	\$970,000.00	\$485,000.00
7254	Sullivan (Co)	Implementing the Upper Delaware River Local Waterfront Revitalization Program	DOS	Local Waterfront Revitalization	\$125,000.00	\$250,000.00	\$125,000.00
7738	Putnam (Co)	West Point Foundry Preserve Trail Improvements	DOS	Local Waterfront Revitalization	\$125,000.00	\$250,000.00	\$125,000.00
8754	Cold Spring (V)	Cold Spring Local Waterfront Revitalization Program	DOS	Local Waterfront Revitalization	\$27,000.00	\$54,000.00	\$24,300.00
4761	Sleepy Hollow (V)	Horsemans Pier	DOS	Local Waterfront Revitalization	\$37,000.00	\$74,000.00	\$0
6459	Middletown & New Jersey Railroad LLC	Orange County Track and Bridge Rehabilitation	DOT	Rail & Port Bond Project	\$1,656,000.00	\$1,840,000.00	\$1,656,000.00
4618	St. Johns Riverside Hospital	Green Roof	EFC	Green Innovation Grant Program	\$990,000.00	\$1,154,812.00	\$990,000.00
8062	Global Fenestration Solutions, Inc./ GFS Armaclad, Inc.	GFS Armaclad Capital	ESD	Economic Development Purposes Fund	\$500,000.00	\$14,620,000.00	\$0
2220	Contract Packaging Services, Inc.	Contract Packaging Services Excelsior	ESD	Excelsior Jobs Program	\$1,000,000.00	\$3,800,000.00	\$270,849.00
4395	SilaRx Pharmaceuticals, Inc.	SilaRx Pharmaceuticals Excelsior	ESD	Excelsior Jobs Program	\$952,757.00	\$10,035,000.00	\$0
3341	Ceres Technologies, Inc.	Ceres Technologies Excelsior	ESD	Excelsior Jobs Program	\$764,000.00	\$22,766,668.00	\$0
5410	San-Mar Laboratories, Inc.	San-Mar Manufacturing Excelsior	ESD	Excelsior Jobs Program	\$4,023,235.00	\$17,000,000.00	\$0
5452	Hudson Valley Produce Farms, LLC	Hudson Valley Produce Farms Excelsior	ESD	Excelsior Jobs Program	\$150,000.00	\$5,670,000.00	\$0
6717	Cafe Spice GCT, Inc.	Cafe Spice Excelsior	ESD	Excelsior Jobs Program	\$750,000.00	\$4,500,000.00	\$0
8062	Global Fenestration Solutions, Inc./ GFS Armaclad, Inc.	GFS Armaclad Excelsior	ESD	Excelsior Jobs Program	\$500,000.00	\$14,620,000.00	\$0
5867	New York Medical College	New York Medical College Capital	ESD	Regional Council Capital Fund	\$4,000,000.00	\$14,000,000.00	\$3,455,276.00
2307	Paladin Group Holdings	Paladin Group Holdings Capital	ESD	Regional Council Capital Fund	\$100,000.00	\$5,500,000.00	\$0

CFA#	Applicant Name	Project Name	Agency	Program	Award Amount	Total Project Cost	Funds Disbursed
14034	Independent Living, Inc.	AT HOME IN ORANGE & SULLIVAN COUNTIES	HCR	Access to Home	\$198,907.00	\$300,000.00	\$198,907.00
14084	HAMASPIK OF ROCKLAND COUNTY, INC.	HAMASPIK OF ROCKLAND COUNTY ATH 2011	HCR	Access to Home	\$249,496.00	\$320,000.00	\$249,496.00
14085	HAMASPIK OF ORANGE COUNTY	HAMASPIK OF ORANGE COUNTY ATH 2011	HCR	Access to Home	\$245,913.00	\$320,000.00	\$245,913.00
14198	Rural Ulster Preservation Co., Inc.	Healthy Homes in Ulster County	HCR	Affordable Home Ownership Development Program (AHC)	\$185,600.00	\$524,000.00	\$185,600.00
14199	Town of Thompson	Thompson Home Improvement Program	HCR	Affordable Home Ownership Development Program (AHC)	\$92,800.00	\$464,000.00	\$92,800.00
14229	Housing Action Council	445 North State Road	HCR	Affordable Home Ownership Development Program (AHC)	\$560,000.00	\$5,492,736.00	\$560,000.00
14228	Housing Action Council	Townhomes at Cockren Commons	HCR	Affordable Home Ownership Development Program (AHC)	\$400,000.00	\$3,775,000.00	\$0
14141	Ulster County	Ulster County Housing Rehabilitation Program	HCR	CDBG - Housing	\$750,000.00	\$980,000.00	\$750,000.00
14213	Village of New Square	Village of New Square Health Center	HCR	CDBG - Public Facilities	\$400,000.00	\$460,000.00	\$400,000.00
14140	Town of Ulster	Town of Ulster Glenerie Storm Drainage Project	HCR	CDBG - Public Infrastructure	\$599,492.00	\$599,492.00	\$571,795.00
14148	Town of Wawarsing	Town of Wawarsing Improvements to Napanoch Water System	HCR	CDBG - Public Infrastructure	\$600,000.00	\$1,599,200.00	\$600,000.00
14175	Town of Fallsburg	Town of Fallsburg Main-Lake Sanitary and Sewer Improvements	HCR	CDBG - Public Infrastructure	\$599,790.00	\$599,790.00	\$599,790.00
14202	Town of Liberty	Town of Liberty Loomis Sewer District Improvements	HCR	CDBG - Public Infrastructure	\$539,858.00	\$584,858.00	\$539,858.00
14212	Village of New Paltz	Village of New Paltz Water Storage Tank	HCR	CDBG - Public Infrastructure	\$600,000.00	\$1,637,700.00	\$600,000.00
14233	City of Port Jervis	City of Port Jervis Beach Road Pump Station	HCR	CDBG - Public Infrastructure	\$287,000.00	\$287,000.00	\$287,000.00
4284	Village of Kiryas Joel	Kiryas Joel Microenterprise Program	HCR	Community Development Block Grant (CDBG) Economic Development Microenterprise	\$200,000.00	\$217,060.00	\$200,000.00
8731	Warwick Properties, Inc.	Sunrise Apts	HCR	Federal Low Income Housing Tax Credit	\$1,366,673.00	\$16,239,217.00	\$1,366,673.00
8799	Greater Centennial Community Development Corp.	Zion Court	HCR	Federal Low Income Housing Tax Credit	\$570,507.00	\$9,193,942.00	\$570,507.00
2321	Birchez Associates LLC	The Birches at Fishkill	HCR	Federal Low Income Housing Tax Credit	\$1,075,000.00	\$15,280,034.00	\$0
14049	TOWN OF THOMPSON	THOMPSON HOME IMPROVEMENT PROGRAM	HCR	HOME	\$137,519.00	\$278,400.00	\$137,519.00
14060	CITY OF KINGSTON	PROMOTING HOMEOWNERSHIP IN KINGSTON	HCR	НОМЕ	\$313,220.00	\$2,190,000.00	\$313,220.00
14061	RURAL ULSTER PRESERVATION COMPANY, INC.	HEALTHY HOMES IN ULSTER COUNTY	HCR	НОМЕ	\$278,400.00	\$338,400.00	\$278,400.00
4318	The Community Builders	Schoolhouse Terrace (PS 6)	HCR	Homes for Working Families	\$1,003,705.00	\$63,047,201.00	\$903,334.00
4318	The Community Builders	Schoolhouse Terrace (PS 6)	HCR	Housing Trust Fund	\$1,496,295.00	\$63,047,201.00	\$1,346,665.00
8731	Warwick Properties, Inc.	Sunrise Apts	HCR	Housing Trust Fund	\$850,000.00	\$16,239,217.00	\$0
2321	Birchez Associates LLC	The Birches at Fishkill	HCR	Housing Trust Fund	\$737,770.00	\$15,280,034.00	\$0
14106	TOWN OF AMENIA	AMENIA DOWNTOWN RENEWAL	HCR	New York Main Street	\$193,817.00	\$260,000.00	\$193,817.00
14112	WALDEN COMMUNITY COUNCIL, INC.	WALDEN MAIN STREET 2011	HCR	New York Main Street	\$337,170.00	\$630,622.00	\$337,170.00
14120	Downtown New Rochelle BID Management Association, Inc.	NEW ROCHELLE BID MAIN STREET 2011	HCR	New York Main Street	\$458,712.00	\$2,320,000.00	\$458,712.00
14027	SHANDAKEN REVITALIZATION PLAN COMMITTEE, INC.	THREE TOWN EMERGENCY FUNDS 2011	HCR	RESTORE	\$37,739.00	\$150,000.00	\$37,739.00

#### **ROUND 1**

CFA#	Applicant Name	Project Name	Agency	Program	Award Amount	Total Project Cost	Funds Disbursed
4318	The Community Builders	Schoolhouse Terrace (PS 6)	HCR	State Low Income Housing Tax Credit	\$600,000.00	\$63,047,201.00	\$600,000.00
7796	Orange County	Cleaner Greener Communities Phase I: Regional Sustainability Planning Grants	NYSERDA	Cleaner, Greener Communities Regional Sustainability Planning Program	\$865,000.00	\$1,795,000.00	\$864,773.00
4474	Hudson River Sloop Clearwater, Inc.	Kingston Home Port and Education Center	Parks	Heritage Areas System Acquisition, Development and Planning	\$400,000.00	\$1,242,000.00	\$332,385.00
2054	Palisades Parks Conservancy, Inc.	Bear Mountain Inn	Parks	Historic Property Acquisition, Development and Planning	\$400,000.00	\$1,460,000.00	\$400,000.00
2096	Calvert Vaux Preservation Alliance	Hoyt House Restoration	Parks	Historic Property Acquisition, Development and Planning	\$320,000.00	\$2,500,000.00	\$320,000.00
5347	The Friends of Mozartina Musical Arts Conservatory, Inc.	The Tarrytown Music Hall	Parks	Historic Property Acquisition, Development and Planning	\$400,000.00	\$1,675,120.00	\$400,000.00
5581	Committee to Save the Bird Homestead, Inc.	Bird Homestead Meeting House Rehabilitation	Parks	Historic Property Acquisition, Development and Planning	\$250,600.00	\$501,270.00	\$164,314.00
2003	New York-New Jersey Trail Conference	Bear Mountain Trails	Parks	Park Acquisition, Development and Planning	\$188,750.00	\$377,500.00	\$188,750.00
2225	Open Space Conservancy	Canopus Lake Beach Welcome Facilities Renovation	Parks	Park Acquisition, Development and Planning	\$400,000.00	\$1,267,250.00	\$400,000.00
7198	Village of New Paltz	Hasbrouck Park	Parks	Park Acquisition, Development and Planning	\$45,698.00	\$91,395.00	\$45,361.00
4243	Poughkeepsie-Highland Railroad Bridge Company, Inc.	Waterfront Elevator Gateway	Parks	Park Acquisition, Development and Planning	\$400,000.00	\$621,052.15	\$192,780.00

## **ROUND 2**

CFA#	Applicant Name	Project Name	Agency	Program	Award Amount	Total Project Cost	Funds Disbursed
17030	Hudson Valley Agribusiness Development Corporation	Hudson Valley Food Hub	Ag and Markets	Agriculture Development Program	\$475,000.00	\$1,176,395.00	\$475,000.00
18477	Council on the Environment, Inc.	Onfarm Produce Washing Station Grant Program	Ag and Markets	Agriculture Development Program	\$55,700.00	\$111,965.00	\$33,200.00
13362	Mill Street Loft Inc	Art Institute: Poughkeepsie Youth Challenge	Arts	Art Project Grant	\$60,000.00	\$150,000.00	\$18,000.00
15190	Bardavon 1869 Opera House Inc	4 Seasons of the Hudson Valley Festival	Arts	Art Project Grant	\$150,000.00	\$300,000.00	\$150,000.00
16543	Caramoor Center for Music & Arts	In the Garden of Sonic Delights	Arts	Art Project Grant	\$150,000.00	\$378,915.00	\$45,000.00
18962	Dia Center for the Arts	Dia:Beacon Outreach Programs	Arts	Art Project Grant	\$75,000.00	\$165,000.00	\$75,000.00
19920	Historic Hudson Valley	The Art of the Pumpkin: Contemporary Sculpture Inspired by the Hudson Valley?s Legendary Squash	Arts	Art Project Grant	\$65,000.00	\$130,000.00	\$19,500.00
19941	Town of Bethel	Wastewater Treatment Plant Engineering Study	DEC	CWSRF Engineering Planning Grant Program	\$24,000.00	\$30,000.00	\$24,000.00
18711	Stavo Industries, Inc.	STAVO Industries, Inc Existing Employee Training	DOL	Worker Skills Upgrading - Existing Employee Training	\$29,380.00	\$30,598.00	\$28,929.00
19404	Wineracks.com, Inc.	Wineracks.com, Inc Existing Employee Training	DOL	Worker Skills Upgrading - Existing Employee Training	\$14,304.00	\$14,522.00	\$4,489.38
15020	FALA Technologies Inc.	FALA Technologies Inc Existing Employee Training	DOL	Worker Skills Upgrading - Existing Employee Training	\$99,984.00	\$99,984.00	\$0
19282	Community Health Center, Inc.	Community Health Center, Inc New Hire/OJT Training	DOL	Worker Skills Upgrading - New Hire (On-the-Job) Training	\$100,000.00	\$8,725,300.00	\$4,161.91
18298	Precision Pipeline Solutions, LLC	Precision Pipeline Solutions, LLC - New Hire/OJT Training	DOL	Worker Skills Upgrading - New Hire (On-the-Job) Training	\$62,500.00	\$62,500.00	\$0
13537	HVP Farms Inc.	HVP Farms Inc New Hire/OJT Training	DOL	Worker Skills Upgrading - New Hire (On-the-Job) Training	\$100,000.00	\$100,000.00	\$0
19575	Royal T Ranch Corp.	Royal T Ranch Corp New Hire/ OJT Training	DOL	Worker Skills Upgrading - New Hire (On-the-Job) Training	\$50,000.00	\$50,000.00	\$0

Project is complete

Project is progressing more slowly than anticipate Project is progressing more slowly than anticipated

Project is on schedule Project concerns need to be resolved Project canceled or funding declined

CFA#	Applicant Name	Project Name	Agency	Program	Award Amount	Total Project Cost	Funds Disbursed
13890	Ulster County Board of Cooperative Educational Services	Ulster County Board of Cooperative Educational Services - Unemployed Worker Training	DOL	Worker Skills Upgrading - Unemployed Worker Training	\$50,000.00	\$115,110.00	\$22,830.00
15283	CleanEdison, Inc.	CleanEdison, Inc Unemployed Worker Training	DOL	Worker Skills Upgrading - Unemployed Worker Training	\$72,010.00	\$95,473.00	\$72,010.00
16752	Ulster County Board of Cooperative Educational Services	Ulster County Board of Cooperative Educational Services - Unemployed Worker Training	DOL	Worker Skills Upgrading - Unemployed Worker Training	\$49,665.00	\$50,000.00	\$14,058.00
18298	Precision Pipeline Solutions, LLC	Precision Pipeline Solutions, LLC - Unemployed Worker Training	DOL	Worker Skills Upgrading - Unemployed Worker Training	\$37,500.00	\$37,500.00	\$0
14891	Prima Home Sales dba Primas Green House	Prima Home Sales dba Primas Green House - Unemployed Worker Training	DOL	Worker Skills Upgrading - Unemployed Worker Training	\$13,270.00	\$13,270.00	\$0
14980	Nubian Directions II, Inc.	Nubian Directions II, Inc Unemployed Worker Training	DOL	Worker Skills Upgrading - Unemployed Worker Training	\$50,000.00	\$50,000.00	\$0
14734	Kingston (C)	Design and Construction of the Hudson Landing Promenade	DOS	Local Waterfront Revitalization	\$1,200,000.00	\$2,400,000.00	\$0
15755	Peekskill (C)	Design of a Multi-use Waterfront Trail and Related Amenities	DOS	Local Waterfront Revitalization	\$125,000.00	\$250,000.00	\$123,736.55
16207	Poughkeepsie (C)	Waterfront Recreational Enhancement	DOS	Local Waterfront Revitalization	\$250,760.00	\$501,520.00	\$200,519.56
16354	Peekskill (C)	Local Waterfront Revitalization Program Update	DOS	Local Waterfront Revitalization	\$50,000.00	\$100,000.00	\$48,691.25
16946	Beacon (C)	Construction of Connector Trail	DOS	Local Waterfront Revitalization	\$200,000.00	\$400,000.00	\$200,000.00
17188	Tusten (T)	Construction of Narrowsburg Big Eddy Esplanade Overlook	DOS	Local Waterfront Revitalization	\$106,400.00	\$212,800.00	\$44,437.50
17481	Tarrytown (V)	Design and Construction of Segment of the Hudson River RiverWalk at Losee Park	DOS	Local Waterfront Revitalization	\$200,000.00	\$400,000.00	\$200,000.00
17929	Orange County	Pond Eddy Parking Access Study and Design	DOS	Local Waterfront Revitalization	\$10,000.00	\$20,000.00	\$10,000.00
18244	Port Jervis (C)	Port Jervis Whitewater Kayak Park	DOS	Local Waterfront Revitalization	\$49,045.00	\$98,090.00	\$48,962.67
19204	Poughkeepsie (C)	Preparation of Poughkeepsie Waterfront Redevelopment Strategy	DOS	Local Waterfront Revitalization	\$150,000.00	\$300,000.00	\$150,000.00
18238	Jewish Home Lifecare, Sarah Neuman Center, Westchester	Sarah Neuman Center Green Stormwater Management Practices	EFC	Green Innovation Grant Program	\$480,920.00	\$534,355.00	\$480,920.00
19436	City of Yonkers	Saw Mill River Stream Reestablishment and Daylighting	EFC	Green Innovation Grant Program	\$921,425.00	\$5,460,000.00	\$921,425.00
14841	Touro College	Touro College Capital	ESD	Empire State Development Grant Funds	\$1,000,000.00	\$22,392,914.00	\$500,000.00
15929	Northern Westchester Hospital Association	Northern Westchester Hospital Association Capital	ESD	Empire State Development Grant Funds	\$965,000.00	\$38,380,776.00	\$965,000.00
17045	The Plant Powerhouse, LLC	Glenwood POH Capital	ESD	Empire State Development Grant Funds	\$1,000,000.00	\$15,839,513.00	\$1,000,000.00
18180	Marist College	Marist College Capital	ESD	Empire State Development Grant Funds	\$3,000,000.00	\$35,303,904.00	\$3,000,000.00
18334	City of White Plains	City of White Plains Capital	ESD	Empire State Development Grant Funds	\$2,000,000.00	\$18,600,000.00	\$2,000,000.00
19198	Mount Saint Mary College	Mount Saint Mary College - Dominican Center Capital	ESD	Empire State Development Grant Funds	\$1,000,000.00	\$26,650,000.00	\$1,000,000.00
19497	ULSTER COUNTY	Ulster County STRIVE Capital	ESD	Empire State Development Grant Funds	\$1,500,000.00	\$6,204,450.00	\$1,500,000.00
19111	The Solar Energy Consortium	The Solar Energy Consortium Capital	ESD	Empire State Development Grant Funds	\$1,000,000.00	\$3,480,000.00	\$1,000,000.00
19253	The Center for Discovery, Inc.	The Center for Discovery Capital	ESD	Empire State Development Grant Funds	\$2,500,000.00	\$31,916,488.00	\$2,500,000.00
14542	NEW SQUARE COMM IMPROVEMENT COUNCIL	New Square Market Center Capital	ESD	Empire State Development Grant Funds	\$600,000.00	\$1,180,000.00	\$0
17030	Hudson Valley Agribusiness Development Corporation	Hudson Valley Agribusiness Capital	ESD	Empire State Development Grant Funds	\$226,596.00	\$1,631,991.00	\$0
17078	New York Medical College	New York Medical College II Capital	ESD	Empire State Development Grant Funds	\$641,500.00	\$17,484,508.00	\$0

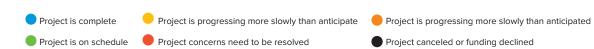
	ROUND 2										
CFA#	Applicant Name	Project Name	Agency	Program	Award Amount	Total Project Cost	Funds Disbursed				
19033	Matrix Newburgh I, LLC	Matrix Newburgh I Capital	ESD	Empire State Development Grant Funds	\$500,000.00	\$35,750,000.00	\$0				
19089	CITY OF NEW ROCHELLE	City of New Rochelle Capital	ESD	Empire State Development Grant Funds	\$1,500,000.00	\$18,000,000.00	\$0				
19129	Rising Development Yonkers, LLC	Rising Development Yonkers Capital	ESD	Empire State Development Grant Funds	\$2,000,000.00	\$11,568,215.00	\$1,500,000.00				
11147	CRH Realty III, LLC	CRH Realty Capital (Crystal Run)	ESD	Empire State Development Grant Funds	\$1,000,000.00	\$29,850,212.00	\$0				
12403	Yonkers Public Schools	Yonkers Public Schools P3 Analysis Capital	ESD	Empire State Development Grant Funds	\$250,000.00	\$10,115,000.00	\$0				
14353	Hyde Park Hotel Ventures, LLC	Hyde Park Hotel Capital	ESD	Empire State Development Grant Funds	\$250,000.00	\$28,291,000.00	\$0				
14615	Jucca Company	Pawling Wastewater Improvements Capital	ESD	Empire State Development Grant Funds	\$500,000.00	\$5,132,500.00	\$0				
15293	Dover Knolls Development Co. II LLC	Dover Knolls Capital	ESD	Empire State Development Grant Funds	\$2,000,000.00	\$12,000,000.00	\$0				
16826	Madava Farms LLC	Madava Farms Capital	ESD	Empire State Development Grant Funds	\$40,000.00	\$765,522.00	\$0				
18646	CITY OF NEW ROCHELLE	City of New Rochelle - Sound Shore Medical Center Capital	ESD	Empire State Development Grant Funds	\$1,000,000.00	\$51,600,000.00	\$0				
18714	Bon Secours Charity Health System, Inc.	Bon Secour Charity Health System Capital	ESD	Empire State Development Grant Funds	\$500,000.00	\$51,122,000.00	\$0				
19641	Kawasaki	Kawasaki Rail Car Capital	ESD	Empire State Development Grant Funds	\$400,000.00	\$16,200,000.00	\$0				
19673	SP Industries, Inc.	SP Industries Capital	ESD	Empire State Development Grant Funds	\$120,000.00	\$1,210,500.00	\$0				
18864	Greenway Marketing Corp.	Greenway Marketing - EIP	ESD	Environmental Investment Program - Capital	\$108,000.00	\$370,850.00					
16826	Madava Farms LLC	Madava Farms Excelsior	ESD	Excelsior Jobs Program	\$250,000.00	\$4,318,800.00	\$0				
19641	Kawasaki	Kawasaki Rail Car Capital	ESD	Excelsior Jobs Program	\$750,000.00	\$16,200,000.00	\$0				
15940	New Rochelle Industrial Development Agency	Maple Terrace Rehabilitation Project	ESD	Industrial Development Bond Cap	\$9,400,000.00	\$16,140,000.00	\$9,400,000.00				
16105	Newburgh Housing Authority	Burton Towers	ESD	Industrial Development Bond Cap	\$9,000,000.00	\$12,238,000.00	\$9,000,000.00				
16470	City of Middletown Industrial Development Agency	Southeast Towers Preservation LP	ESD	Industrial Development Bond Cap	\$4,600,000.00	\$7,800,000.00	\$4,600,000.00				
15581	Hudson River Sloop Clearwater	Hudson Rising Tall Ship Green Expo	ESD	Regional Tourism Marketing Grant Initiative (I LOVE NY Fund)	\$149,966.00	\$440,850.00	\$149,966.00				
16467	Village of Irvington New York	Discover the Rivertowns	ESD	Regional Tourism Marketing Grant Initiative (I LOVE NY Fund)	\$17,000.00	\$27,500.00	\$17,000.00				
18314	Purple Heart Hall of Honor, Inc.	Purple Heart Phase One	ESD	Regional Tourism Marketing Grant Initiative (I LOVE NY Fund)	\$250,000.00	\$1,750,350.00	\$250,000.00				
19066	Village of Sleepy Hollow	Marketing Sleepy Hollow Halloween Brand	ESD	Regional Tourism Marketing Grant Initiative (I LOVE NY Fund)	\$60,000.00	\$60,000.00	\$60,000.00				
19880	Community Foundations of the Hudson Valley	Marketing the Mid-Hudson Region	ESD	Regional Tourism Marketing Grant Initiative (I LOVE NY Fund)	\$195,000.00	\$1,085,000.00	\$195,000.00				
14996	Town of Wawarsing	Napanoch Sewer District Improvements	HCR	HCR - Community Development Block Grant (CDBG) - Public Infrastructure	\$600,000.00	\$606,300.00	\$600,000.00				
15006	Town of Liberty	White Sulphur Springs Water Project	HCR	HCR - Community Development Block Grant (CDBG) - Public Infrastructure	\$597,109.00	\$597,109.00	\$597,109.00				
15043	Village of New Paltz	Village of New Paltz Waste Water Facility Upgrades	HCR	HCR - Community Development Block Grant (CDBG) - Public Infrastructure	\$600,000.00	\$640,000.00	\$600,000.00				

Project is complete
 Project is progressing more slowly than anticipate
 Project is progressing more slowly than anticipated
 Project concerns need to be resolved
 Project canceled or funding declined

CFA#	Applicant Name	Project Name	Agency	Program	Award Amount	Total Project Cost	Funds Disbursed
15044	Village of Liberty	Wastewater Treatment Plant Improvements	HCR	HCR - Community Development Block Grant (CDBG) - Public Infrastructure	\$600,000.00	\$1,900,000.00	\$600,000.00
16462	Village of Kiryas Joel	Kiryas Joel Wastewater Project	HCR	HCR - Community Development Block Grant (CDBG) - Public Infrastructure	\$580,586.00	\$1,223,000.00	\$580,586.00
16026	Village of New Square	Land Acquisition for Job Opportunities	HCR	HCR - Community Development Block Grant (CDBG) Economic Development	\$750,000.00	\$6,330,000.00	\$750,000.00
17468	Peekskill Facilities Development Corporation	Peekskill Downtown NYMS Project	HCR	HCR - New York Main Street (NYMS)	\$225,536.00	\$1,701,906.00	\$225,536.00
17611	Hudson River Housing, Inc.	Poughkeepsie Underwear Factory Neighborhood Redevelopment	HCR	HCR - New York Main Street (NYMS)	\$249,133.00	\$1,737,350.00	\$249,133.00
18470	Community Services Programs, Inc.	West Main Street RARP Project	HCR	HCR - Rural Area Revitalization Projects (RARP)	\$200,000.00	\$870,000.00	\$200,000.00
17611	Hudson River Housing, Inc.	Poughkeepsie Underwear Factory Neighborhood Redevelopment	HCR	HCR - Urban Initiatives (UI)	\$200,000.00	\$265,834.00	\$200,000.00
18015	Newburgh Community Land Bank, Inc.	Newburgh Community Land Bank Demonstration Project	HCR	HCR - Urban Initiatives (UI)	\$200,000.00	\$357,680.00	\$200,000.00
15946	Mohonk Preserve, Inc.	Mohonk Preserve Foothills Connectivity Project	Parks	Historic Property Acquisiton, Development and Planning	\$500,000.00	\$4,795,000.00	\$450,000.00
18602	The Scenic Hudson Land Trust, Inc.	Conserving an Icon of American Industrial Heritage Ruins Stabilization at the West Point Foundry Pre	Parks	Historic Property Acquisiton, Development and Planning	\$375,000.00	\$754,758.00	\$375,000.00
17412	The Campaign for the Westchester Children's Museum, Inc.	Childrens Museum	Parks	Historic Property Acquisiton, Development and Planning	\$97,297.00	\$194,594.00	\$0
14851	Town of Marbletown	Marbletown Rail Trail Bridge Project	Parks	Park Acquisition, Development and Planning	\$44,280.00	\$88,560.00	\$44,280.00
15135	New York-New Jersey Trail Conference	Bear Mountain Project	Parks	Park Acquisition, Development and Planning	\$77,444.00	\$772,040.00	\$77,396.00
15616	Palisades Parks Conservancy, Inc.	Minnewaska Carriage Road Project	Parks	Park Acquisition, Development and Planning	\$152,348.00	\$519,425.00	\$152,348.00
17880	Town of Saugerties	Cantine Lighting	Parks	Park Acquisition, Development and Planning	\$66,150.00	\$132,300.00	\$66,150.00
17929	County of Orange	Upper Delaware River Corridor Collaboration Project	Parks	Park Acquisition, Development and Planning	\$233,142.00	\$441,647.00	\$220,823.00
16535	The Scenic Hudson Land Trust, Inc.	Esopus Meadows Preserve Educational Access Improvements	Parks	Park Acquisition, Development and Planning	\$175,000.00	\$352,950.00	\$0

			ROL	JND 3			
CFA#	Applicant Name	Project Name	Agency	Program	Award Amount	Total Project Cost	Funds Disbursed
28123	Bard College	High-Definition Video Projector	Arts	Artistic Program Capital Equipment	\$40,085.00	\$80,170.00	\$40,085.00
29900	Caramoor	Supertitle Machine for Bel Canto Opera Program	Arts	Artistic Program Capital Equipment	\$20,720.00	\$56,346.00	\$20,720.00
28426	Phoenicia Festival of the Voice Foundation	Phoenicia Festival of the Voice	Arts	Arts, Culture & Heritage Project Grant	\$90,000.00	\$383,710.00	\$90,000.00
30210	Children's Media Lab	The Mobile Media Lab	Arts	Arts, Culture & Heritage Project Grant	\$57,950.00	\$115,900.00	\$57,950.00
31376	Mill Street Loft	Art Institute Poughkeepsie Youth Challenge Year 2	Arts	Arts, Culture & Heritage Project Grant	\$59,200.00	\$182,500.00	\$59,200.00
32673	Dia Art Foundation	Arts Education Programming at DiaBeacon	Arts	Arts, Culture & Heritage Project Grant	\$37,000.00	\$126,875.00	\$37,000.00
27202	Village of Red Hook	Village of Red Hook Wastewater Engineering Study	DEC	Engineering Planning Grant Program	\$30,000.00	\$37,500.00	\$30,000.00
30061	Village of Ellenville	Village of Ellenville Wastewater Planning Engineering Study	DEC	Engineering Planning Grant Program	\$24,000.00	\$30,000.00	\$22,743.08
31510	City of Kingston	City of Kingston Wastewater Engineering Study	DEC	Engineering Planning Grant Program	\$27,151.59	\$37,500.00	\$27,151.59
32237	City of Middletown	City of Middletown Wastewater Engineering Study	DEC	Engineering Planning Grant Program	\$30,000.00	\$37,528.00	\$29,688.00

	ROUND 3											
CFA#	Applicant Name	Project Name	Agency	Program	Award Amount	Total Project Cost	Funds Disbursed					
27966	Board of Cooperative Educational Services of Ulster County dba Ulster BOCES	Medical Office and Information Technology Training	DOL	Unemployed Worker Training	\$100,000.00	\$151,137.00	\$15,000.00					
26353	Greyston Foundation Inc.	Medical and Culinary Arts Training	DOL	Unemployed Worker Training	\$94,200.00	\$262,372.00	\$47,826.00					
26985	Gateway to Entrepreneurial Tomorrows, Inc.	Building Analysis and Energy Auditing Training	DOL	Unemployed Worker Training	\$96,000.00	\$362,406.00	\$96,000.00					
30876	The Young Women's Christian Association of Yonkers	Commercial Driving and HVAC Training	DOL	Unemployed Worker Training	\$99,500.00	\$150,500.00	\$67,805.00					
31927	Town of Rosendale	Rondout Valley Multi-Municipal Center	DOS	Local Government Efficiency Program	\$258,930.00	\$390,900.00	\$258,930.00					
27314	Port Chester (V)	Byram River Bulkhead Design	DOS	Local Waterfront Revitalization Program	\$225,420.00	\$450,840.00	\$209,475.74					
27633	New Rochelle (C)	New Rochelle Local Waterfront Revitalization Program	DOS	Local Waterfront Revitalization Program	\$79,200.00	\$158,400.00	\$71,279.99					
28589	Peekskill (C)	Fleischmanns Pier Reconstruction Design	DOS	Local Waterfront Revitalization Program	\$175,000.00	\$350,000.00	\$175,000.00					
29936	Kingston (C)	Design of Kingston Point Rail Trail	DOS	Local Waterfront Revitalization Program	\$73,650.00	\$147,300.00	\$73,650.00					
31387	Tarrytown (V)	Tarrytown Pedestrian Tunnel Accessway Project	DOS	Local Waterfront Revitalization Program	\$37,500.00	\$75,000.00	\$11,900.00					
31660	Delaware (T)	Railroad Square Crossing	DOS	Local Waterfront Revitalization Program	\$79,834.00	\$159,668.00	\$65,771.71					
28192	Ossining (V)	Design of Village Dock Extension	DOS	Local Waterfront Revitalization Program	\$21,500.00	\$43,000.00	\$0					
28999	Poughkeepsie (T)	Town of Poughkeepsie Local Waterfront Revitalization Program Update	DOS	Local Waterfront Revitalization Program	\$25,000.00	\$50,000.00	\$0					
26857	City of Yonkers	Saw Mill River Daylighting Green Phase 3	EFC	Green Innovation Grant Program	\$1,076,977.00	\$1,196,641.00	\$1,076,977.00					
27472	Bard College	Regional Green Infrastructure Demonstration	EFC	Green Innovation Grant Program	\$697,157.52	\$869,422.52	\$697,157.52					
27521	Ulster County	Campus Green Retrofit	EFC	Green Innovation Grant Program	\$439,000.00	\$487,777.00	\$439,000.00					
27107	Town of Clarkstown	Wetland Restoration	EFC	Green Innovation Grant Program	\$1,000,000.00	\$620,430.00	\$0					
27880	The Research Foundation of the State of New York	Hudson Valley Additive Manufacturing Center	ESD	Empire State Development Grant Funds	\$1,000,000.00	\$5,000,000.00	\$1,000,000.00					
32298	Hudson Valley Lighting, Inc.	Hudson Valley Lighting Capital	ESD	Empire State Development Grant Funds	\$705,000.00	\$17,220,000.00	\$705,000.00					
27942	Kolmar Laboratories Inc	Kolmar Laboratories Capital	ESD	Empire State Development Grant Funds	\$212,500.00	\$1,650,000.00	\$187,500.00					
32206	Dutchess County	Dutchess County Airport Capital	ESD	Empire State Development Grant Funds	\$1,000,000.00	\$6,812,173.00	\$0					
30425	City of Newburgh	City of Newburgh- Hudson Valley Lighting Capital	ESD	Empire State Development Grant Funds	\$250,000.00	\$1,011,212.00	\$0					
28874	Orange Regional Medical Center	Orange Regional Medical Center	ESD	Empire State Development Grant Funds	\$750,000.00	\$40,000,000.00	\$0					
29771	Jucca Company	Castagna Commerce Park Capital	ESD	Empire State Development Grant Funds	\$500,000.00	\$2,910,075.00	\$0					
29966	Warwick Valley Local Development Corporation	Warwick Valley Capital	ESD	Empire State Development Grant Funds	\$50,000.00	\$275,000.00	\$0					
30713	Hudson Valley AgriBusiness Development Corporation	Hudson Valley Agribusiness LTL Local Food Distribution Hub Network Capital	ESD	Empire State Development Grant Funds	\$225,000.00	\$2,139,174.00	\$0					



The Monroe Cable Company

ESD

Empire State Development Grant Funds

\$250,000.00

\$7,000,000.00

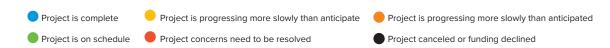
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31253

The Monroe Cable Company, Inc.

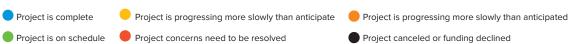
CFA#	Applicant Name	Project Name	Agency	Program	Award Amount	Total Project Cost	Funds Disbursed
31346	Continental Organics	Continental Organics Capital	ESD	Empire State Development Grant Funds	\$750,000.00	\$7,260,099.00	\$0
32278	Taylor Biomass Energy LLC	TBE	ESD	Empire State Development Grant Funds	\$1,000,000.00	\$236,050,473.00	\$0
32583	Village of Kaser	Village of Kaser - Capital	ESD	Empire State Development Grant Funds	\$250,000.00	\$1,750,000.00	\$0
32446	Manufacturing and Technology Enterprise Center (Formerly HVTDC)	Lighting Services Capital	ESD	Environmental Investment Program Capital	\$58,824.00	\$138,832.00	\$58,824.00
30986	The Village of Brewster	Mid-Hudson Opportunity Area (Peekskill/Brewster)	ESD	ESD - Strategic Planning and Feasibility Studies	\$22,500.00	\$62,000.00	\$22,500.00
32423	Dutchess County	Dutchess County Economic Development Alliance	ESD	ESD - Strategic Planning and Feasibility Studies	\$25,000.00	\$125,000.00	\$25,000.00
38162	City of Peekskill	City of Peekskill Feasibility Study - Working Capital	ESD	ESD - Strategic Planning and Feasibility Studies	\$52,500.00	\$105,000.00	\$52,500.00
31855	Westchester Community College	Westchester Community College Foundation Working Capital	ESD	ESD Technical Assistance and Training Grants Opportunity Agenda Projects	\$100,000.00	\$110,000.00	\$84,429.00
28456	Ultra Seal Corporation	Ultra Seal Excelsior	ESD	Excelsior Jobs Program	\$137,517.00	\$1,142,080.00	\$0
27460	Metalized Carbon Corporation	Metalized Carbon Excelsior	ESD	Excelsior Jobs Program	\$250,000.00	\$850,000.00	\$69,902.00
29942	Clear Solution Labs, LLC	Clear Solution Labs, LLC	ESD	Excelsior Jobs Program	\$1,000,000.00	\$26,000,000.00	\$0
31253	The Monroe Cable Company, Inc.	The Monroe Cable Company	ESD	Excelsior Jobs Program	\$650,000.00	\$7,000,000.00	\$0
32222	RIJ PHARMACEUTIAL CORPORATION	RIJ Pharmaceutical Excelsior	ESD	Excelsior Jobs Program	\$400,000.00	\$4,250,000.00	\$0
32419	Software Consulting Associates	Software Consulting Associates	ESD	Excelsior Jobs Program	\$200,000.00	\$200,000.00	\$0
31877	Teutonia Buena Vista	Teutonia Buena Vista	ESD	Industrial Development Bond Cap	\$10,000,000.00	\$148,697,364.00	\$0
27023	Catskill Center for Conservation & Development, Inc.	Catskill Interpretive Center & Visitor Information Gateway Working Capital	ESD	Market New York	\$49,500.00	\$1,470,000.00	\$42,765.00
28245	Central Catskills Chamber of Commerce, Inc.	Tourism Market Strategy Catskill Mountains Scenic Byway Working Capital	ESD	Market New York	\$49,500.00	\$99,000.00	\$28,509.00
29055	Hudson Valley Center for Innovation	NYS Clean Tech Business Incubator Proposal	ESD	New York State Business Incubator and Innovation Hot Spot Support Program	\$375,000.00	\$1,125,000.00	\$306,208.00
30174	Orange County Business Accelerator	Orange County Business Incubator	ESD	New York State Business Incubator and Innovation Hot Spot Support Program	\$375,000.00	\$1,125,000.00	\$375,000.00
29889	Village of New Square	Village of New Square RHC Upgrade Project	HCR	HCR - Community Development Block Grant (CDBG) - Public Facilities	\$400,000.00	\$764,000.00	\$400,000.00
27109	Town of Wawarsing	Town of Wawarsing Public Infrastructure Improvements	HCR	HCR - Community Development Block Grant (CDBG) - Public Infrastructure	\$600,000.00	\$644,900.00	\$600,000.00
27127	Town of Liberty	Town of Liberty Loomis Public Infrastructure Improvements	HCR	HCR - Community Development Block Grant (CDBG) - Public Infrastructure	\$600,000.00	\$936,008.00	\$600,000.00
27154	Village of New Paltz	Village of New Paltz Public Infrastructure Improvements	HCR	HCR - Community Development Block Grant (CDBG) - Public Infrastructure	\$600,000.00	\$783,000.00	\$600,000.00
30240	City of Port Jervis	Port Jervis Main Street Revitalization	HCR	HCR - New York Main Street (NYMS)	\$200,000.00	\$865,000.00	\$0
28151	County of Sullivan	Village of Monticello Downtown Broadway Revitalization	HCR	HCR - New York Main Street Technical Assistance (NYMS-TA)	\$19,520.00	\$26,000.00	\$19,520.00
30258	City of Newburgh	Newburgh Broadway Design Guidelines Project	HCR	HCR - New York Main Street Technical Assistance (NYMS-TA)	\$20,000.00	\$40,000.00	\$20,000.00
30611	Rural Ulster Preservation Company (RUPCO)	The Kingston Lace Factory UI	HCR	HCR - Urban Initiatives (UI)	\$150,000.00	\$16,278,316.00	\$150,000.00
30827	Community Housing Innovations, Inc.	Rehabilitation of 400-404 South Street Peekskill NY	HCR	HCR - Urban Initiatives (UI)	\$150,000.00	\$200,000.00	\$150,000.00
27674	Town of Cortlandt	Comprehensive Plan Development	NYSERDA	Cleaner Greener Communities (CGC), Phase II Implementation Grants, Category 2: Comprehensive Planning	\$175,000.00	\$233,333.00	\$175,000.00
27893	Land Use Law Center at Pace Law School	Integrating Sustainability with Comprehensive Planning	NYSERDA	Cleaner Greener Communities (CGC), Phase II Implementation Grants, Category 2: Comprehensive Planning	\$95,512.00	\$127,516.00	\$95,512.00

	ROUND 3											
CFA#	Applicant Name	Project Name	Agency	Program	Award Amount	Total Project Cost	Funds Disbursed					
28315	County of Orange	Sustainable Orange - Empowering Sustainability in Local Communities	NYSERDA	Cleaner Greener Communities (CGC), Phase II Implementation Grants, Category 2: Comprehensive Planning	\$217,000.00	\$499,000.00	\$179,220.00					
30569	City of New Rochelle	Comprehensive Plan Update	NYSERDA	Cleaner Greener Communities (CGC), Phase II Implementation Grants, Category 2: Comprehensive Planning	\$198,000.00	\$358,000.00	\$198,000.00					
30400	Village of Cold Spring	The Village of Cold Spring Smart Growth Planning	NYSERDA	Cleaner Greener Communities (CGC), Phase II Implementation Grants, Category 2: Comprehensive Planning	\$75,000.00	\$100,000.00	\$21,637.00					
30408	City of White Plains	Transit Hub In White Plains	NYSERDA	Cleaner Greener Communities (CGC), Phase II Implementation Grants, Category 3: Sustainability Projects	\$1,000,000.00	\$1,854,820.00	\$1,000,000.00					
29936	City of Kingston	Complete Streets Corridor In Kingston	NYSERDA	Cleaner Greener Communities (CGC), Phase II Implementation Grants, Category 3: Sustainability Projects	\$1,500,000.00	\$4,701,500.00	\$449,358.00					
31911	Land Use Law Center at Pace Law School	CSC Certification Program Implementation	NYSERDA	Cleaner Greener Communities (CGC), Phase II Implementation Grants, Category 3: Sustainability Projects	\$1,215,000.00	\$1,620,000.00	\$518,218.00					
29504	Family Services of Westchester, Inc.	AmeriCorps Veterans ServiceWorks	ONCS	New York State AmeriCorps Program	\$247,375.00	\$326,325.00	\$54,330.26					
29943	CAREERS for People with Disabilities, Inc.	CAREERS SNAP Opportunities Program	OTDA	SNAP Opportunities	\$199,999.49	\$200,000.00	\$170,299.57					
29936	City of Kingston	Kingston Connectivity Project	Parks	Heritage Areas System Acquisition, Development and Planning	\$200,000.00	\$800,000.00	\$200,000.00					
29419	Hudson River Sloop Clearwater	Sloop Clearwater Restoration Project	Parks	Historic Property Acquisition, Development and Planning	\$497,303.00	\$684,636.00	\$497,303.00					
32458	Middletown Community Health Center Inc	Pathway to Health	Parks	Historic Property Acquisition, Development and Planning	\$500,000.00	\$10,000,000.00	\$113,978.00					
27030	Mohonk Preserve, Inc.	Foothills Trailhead	Parks	Park Acquisition, Development and Planning	\$42,000.00	\$142,600.00	\$42,000.00					
28216	Groundwork Hudson Valley	Adriaens Way: The Downtown Yonkers Trail	Parks	Park Acquisition, Development and Planning	\$160,244.00	\$250,454.00	\$160,244.00					
29800	Village of Hastings-on-Hudson	Quarry Park and Trail Project	Parks	Park Acquisition, Development and Planning	\$94,250.00	\$188,500.00	\$61,750.00					
30426	The Scenic Hudson Land Trust Inc	Scenic Hudson Mine Dock Park	Parks	Park Acquisition, Development and Planning	\$374,414.00	\$737,500.00	\$374,414.00					
32180	Town of Rosendale	New Pool Construction	Parks	Park Acquisition, Development and Planning	\$500,000.00	\$1,708,706.00	\$500,000.00					
31425	Village of Sleepy Hollow	Rev Sykes Park Improvements	Parks	Park Acquisition, Development and Planning	\$157,500.00	\$210,000.00	\$0					
28402	Town of Philipstown	Hudson Highlands Fjord Trail	Parks	Recreational Trails Program	\$100,000.00	\$137,180.00	\$100,000.00					
29800	Village of Hastings-on-Hudson	Quarry Park and Trail Project	Parks	Recreational Trails Program	\$61,750.00	\$135,000.00	\$61,750.00					
29936	City of Kingston	Kingston Connectivity Project	Parks	Recreational Trails Program	\$500,000.00	\$944,651.00	\$203,658.00					
31099	Friends of Franklin Delano Roosevelt and Donald J. Trump State Parks	Connecting FDR State Park to Yorktown	Parks	Recreational Trails Program	\$8,688.00	\$10,860.00	\$3,619.00					
31845	Open Space Institute	Wallkill Valley Rail Trail Improvements	Parks	Recreational Trails Program	\$200,000.00	\$342,000.00	\$188.00					
31515	Town of New Paltz	New Paltz-Wallkill River Rail Trail Program	Parks	Recreational Trails Program	\$23,000.00	\$49,030.00	\$4,560.00					



	ROUND 4											
CFA#	Applicant Name	Project Name	Agency	Program	Award Amount	Total Project Cost	Funds Disbursed					
39227	Jacob Burns Film Center	3D Projection System	Arts	Artistic Program Capital Equipment - Round 4	\$40,000.00	\$110,100.00	\$40,000.00					
41276	Bardavon 1869 Opera House	Sound Equipment Acquisition	Arts	Artistic Program Capital Equipment - Round 4	\$17,400.00	\$34,760.00	\$17,400.00					
43082	Shadowland Artists Inc.	Shadowland Theatre Flooring and Lighting	Arts	Artistic Program Capital Equipment - Round 4	\$13,000.00	\$26,118.00	\$13,000.00					
25094	Hudson Valley Center for Contemporary Art	Peekskill Project VI	Arts	Arts, Culture & Heritage Project Grant - Round 4	\$45,700.00	\$159,813.00	\$45,700.00					
39591	Westchester Arts Council Inc.	Festival of New Work	Arts	Arts, Culture & Heritage Project Grant - Round 4	\$76,000.00	\$483,000.00	\$76,000.00					
40185	Women's Studio Workshop	Rosendale Art Festival	Arts	Arts, Culture & Heritage Project Grant - Round 4	\$68,400.00	\$1,800,000.00	\$68,400.00					
41473	Jazz Forum Arts	Sleepy Hollow Multicultural Arts Celebration	Arts	Arts, Culture & Heritage Project Grant - Round 4	\$30,400.00	\$244,300.00	\$30,400.00					
41597	Historic Hudson Valley	Lightscapes Artist Project	Arts	Arts, Culture & Heritage Project Grant - Round 4	\$58,200.00	\$197,934.00	\$46,560.00					
42529	Hudson Valley Shakespeare Festival	Education and Outreach at Hudson Valley Shakespeare Festival	Arts	Arts, Culture & Heritage Project Grant - Round 4	\$71,600.00	\$382,638.00	\$71,600.00					
43212	Mill Street Loft	Poughkeepsie Youth Challenge Year Three	Arts	Arts, Culture & Heritage Project Grant - Round 4	\$48,000.00	\$233,000.00	\$48,000.00					
43448	Manitoga Inc.	Sanctuary Production Phase II and Installation	Arts	Arts, Culture & Heritage Project Grant - Round 4	\$16,700.00	\$44,070.00	\$16,700.00					
41727	Stage Left Children's Theater	New and Improved Website	Arts	Technology Improvements Program - Round 4	\$15,500.00	\$27,225.00	\$15,500.00					
39558	Garnerville Arts Project	Expand Programming Capabilities	Arts	Workforce Investment Program - Round 4	\$49,500.00	\$62,000.00	\$49,500.00					
40726	Phoenicia Festival of the Voice Foundation	Strengthen Development Efforts & Strategy	Arts	Workforce Investment Program - Round 4	\$49,500.00	\$650,750.00	\$49,500.00					
40898	North American Cultural Laboratory	Strengthen Organizational Management	Arts	Workforce Investment Program - Round 4	\$49,500.00	\$77,000.00	\$49,500.00					
41222	Arts MidHudson	Enhance Marketing & Community Outreach	Arts	Workforce Investment Program - Round 4	\$24,700.00	\$37,376.00	\$24,700.00					
41583	Safe Harbors of the Hudson	Enhance Community Engagement	Arts	Workforce Investment Program - Round 4	\$49,500.00	\$54,450.00	\$49,500.00					
41828	Copland House	Expand Educational Offerings & Partnerships	Arts	Workforce Investment Program - Round 4	\$49,500.00	\$66,720.00	\$49,500.00					
41847	Hudson Valley Writers Center	Strengthen Branding & Communications	Arts	Workforce Investment Program - Round 4	\$37,000.00	\$49,400.00	\$37,000.00					
42121	Mount Tremper Arts	Support Development & Marketing Efforts	Arts	Workforce Investment Program - Round 4	\$15,600.00	\$22,975.00	\$15,600.00					
43284	Greater Hudson Heritage Network	Increase Program Offerings and Assistance	Arts	Workforce Investment Program - Round 4	\$49,500.00	\$49,500.00	\$49,500.00					
38627	Village of Kiryas Joel	Kiryas Joel Headworks Loading Analysis	DEC	Engineering Planning Grant Program	\$30,000.00	\$50,000.00	\$30,000.00					
39908	Town of Rockland	Roscoe STP Improvements	DEC	Engineering Planning Grant Program	\$24,000.00	\$30,000.00	\$24,000.00					
40558	City of Poughkeepsie	City of Poughkeepsie Waterfront Development	DEC	Engineering Planning Grant Program	\$30,000.00	\$50,000.00	\$30,000.00					
40816	City of Mount Vernon	Stormwater & Sanitary Sewer Line Replacements	DEC	Engineering Planning Grant Program	\$40,000.00	\$50,000.00	\$40,000.00					
41511	Town of Lumberland	Lumberland Central Sewer Feasibility Study Project	DEC	Engineering Planning Grant Program	\$30,000.00	\$37,500.00	\$30,000.00					
41576	City of Newburgh	Newburgh Sanitary Sewer Overflow Investigation Project	DEC	Engineering Planning Grant Program	\$27,840.00	\$34,800.00	\$27,840.00					
42954	Village of Ellenville	Inflow & Infiltration Study Phase II	DEC	Engineering Planning Grant Program	\$30,000.00	\$37,500.00	\$27,543.08					
39349	Jawonio	Health and Wellness Training	DOL	Existing Employee Training Program	\$85,680.00	\$24,491,906.00	\$25,616.65					

	ROUND 4										
CFA#	Applicant Name	Project Name	Agency	Program	Award Amount	Total Project Cost	Funds Disbursed				
39969	Shop-Rite Supermarkets, Inc.	Food Safety, Customer Service, and Management Training	DOL	Existing Employee Training Program	\$100,000.00	\$200,000.00	\$80,563.96				
40406	Jewish Home Lifecare, Sarah Neuman Center, Westchester	Certified Nurse Assistant Training	DOL	Existing Employee Training Program	\$30,668.00	\$14,788,426.00	\$9,422.47				
42596	Zumtobel Lighting, Inc.	Lean Manufacturing and Operations Training	DOL	Existing Employee Training Program	\$50,000.00	\$4,173,840.00	\$0				
41291	Rockland Community College	Medical Billing and Coding Training	DOL	Unemployed Worker Training Program	\$100,000.00	\$796,569.00	\$90,875.51				
40713	Village of Mount Kisco	Police Department Merger	DOS	Local Government Efficiency Program	\$340,000.00	\$440,000.00	\$246,850.00				
38911	City of Yonkers	City of Yonkers and Yonkers Board of Education Business Resources Consolidation	DOS	Local Government Efficiency Program	\$400,000.00	\$2,005,000.00	\$258,309.00				
41138	City of Newburgh	Northeast Orange County Water Supply Sustainability Project	DOS	Local Government Efficiency Program	\$600,000.00	\$11,500,000.00	\$0				
39373	Kingston (C)	Kingston Waterfront Resiliency Design	DOS	Local Waterfront Revitalization Program	\$60,000.00	\$120,000.00	\$60,000.00				
39405	Sullivan (Co)	Delaware River Access Improvements	DOS	Local Waterfront Revitalization Program	\$35,150.00	\$70,300.00	\$35,149.99				
40651	Piermont (V)	Local Waterfront Revitalization Program Update: Planning for the Post Sandy World	DOS	Local Waterfront Revitalization Program	\$35,000.00	\$70,000.00	\$27,944.00				
42735	Beacon (C)	South Avenue Bridge Design	DOS	Local Waterfront Revitalization Program	\$100,000.00	\$200,000.00	\$81,595.11				
42745	Tarrytown (V)	Andre Brook Pedestrian Bicycle Bridge	DOS	Local Waterfront Revitalization Program	\$47,300.00	\$94,600.00	\$0				
39432	Purchase College, State University of New York	Green Infrastructure Retrofit	EFC	Green Innovation Grant Program	\$765,000.00	\$850,850.00	\$765,000.00				
41831	Efco Products, Inc.	Efco Products Capital	ESD	Empire State Development Grant Funds	\$80,000.00	\$468,800.00	\$80,000.00				
38762	Equilibrium Brewery, LLC	Equilibrium Brewery Capital	ESD	Empire State Development Grant Funds	\$204,000.00	\$1,138,175.00	\$204,000.00				
38871	Hudson River Housing	Hudson River Housing Poughkeepsie Capital	ESD	Empire State Development Grant Funds	\$850,000.00	\$6,719,479.00	\$850,000.00				
38915	Community Capital New York, Inc.	Community Capital New York Capital	ESD	Empire State Development Grant Funds	\$1,000,000.00	\$2,222,223.00	\$1,000,000.00				
39716	City of Yonkers	Saw Mill River Daylighting Capital	ESD	Empire State Development Grant Funds	\$2,500,000.00	\$17,300,196.00	\$2,500,000.00				
41094	RXR SoYo Exalta Owner, LLC	RXR SoYo Exalta Capital	ESD	Empire State Development Grant Funds	\$1,000,000.00	\$7,281,346.00	\$1,000,000.00				
42279	Hepworth Farms, LLC	Hepworth Farms Capital	ESD	Empire State Development Grant Funds	\$300,000.00	\$1,782,237.00	\$300,000.00				
43407	Village of Wappingers Falls	Village of Wappingers Falls Capital	ESD	Empire State Development Grant Funds	\$800,000.00	\$5,399,205.00	\$800,000.00				
38913	Town of Fishkill	Town of Fishkill Route 9D Capital	ESD	Empire State Development Grant Funds	\$335,000.00	\$1,686,687.00	\$0				
39792	City of Yonkers	City of Yonkers Ashburton Avenue Capital	ESD	Empire State Development Grant Funds	\$1,500,000.00	\$14,667,439.00	\$0				
40666	Green Chimneys School	Green Chimneys School Capital	ESD	Empire State Development Grant Funds	\$750,000.00	\$4,952,456.00	\$0				
41765	Hudson River Valley Resorts, LLC	Hudson River Valley Resorts	ESD	Empire State Development Grant Funds	\$750,000.00	\$5,050,000.00	\$0				
39349	Jawonio	Jawonio Capital I	ESD	Empire State Development Grant Funds	\$2,500,000.00	\$20,205,572.00	\$1,662,500.00				



Project canceled or funding declined

CFA#	Applicant Name	Project Name	Agency	Program	Award Amount	Total Project Cost	Funds Disbursed
41937	Historic Hudson River Towns	Historic Hudson River Towns Capital	ESD	Empire State Development Grant Funds	\$250,000.00	\$1,385,000.00	\$229,365.00
42781	Hudson Valley Brewery, Inc.	Hudson Valley Brewery Capital	ESD	Empire State Development Grant Funds	\$450,000.00	\$2,500,000.00	\$180,000.00
43451	Merlin Entertainments	LEGOLAND New York Capital RC4	ESD	Empire State Development Grant Funds	\$3,000,000.00	\$420,000,000.00	\$0
42096	The Solar Energy Consortium	The Solar Energy Consortium Capital	ESD	Empire State Development Grant Funds	\$1,000,000.00	\$7,800,000.00	\$0
39912	USAI, LLC	USAI Capital	ESD	Empire State Development Grant Funds	\$1,000,000.00	\$6,527,360.00	\$0
39728	City of Yonkers	Hudson River Museum West Wing Capital I	ESD	Empire State Development Grant Funds	\$250,000.00	\$6,400,000.00	\$0
40201	Mensch Grasmere, LLC	Grasmere Farm Phase 1	ESD	Empire State Development Grant Funds	\$1,000,000.00	\$78,201,781.00	\$0
38757	Middletown Community Health Center, Inc.	Middletown Community Health Center Capital	ESD	Empire State Development Grant Funds	\$1,650,000.00	\$10,000,000.00	\$0
38857	Mount Vernon Technology and Science Youth Center for Advancement	Mount Vernon Technology and Science Youth Center for Advancement Capital	ESD	Empire State Development Grant Funds	\$25,000.00	\$125,000.00	\$0
39611	Orto Foods, Inc.	Orto Foods Capital	ESD	Empire State Development Grant Funds	\$15,000.00	\$75,000.00	\$0
40463	The Hillburn Granite Company, Inc.	The Hillburn Granit Company Capital	ESD	Empire State Development Grant Funds	\$300,000.00	\$300,000.00	\$0
40581	Seton Farms, Inc.	Seton Farms Capital	ESD	Empire State Development Grant Funds	\$130,000.00	\$667,758.00	\$0
40826	Selux Corporation	Selux Capital	ESD	Empire State Development Grant Funds	\$150,000.00	\$1,685,000.00	\$0
41296	The Culinary Institute of America	The CIA- Four Season Sustainable Garden	ESD	Empire State Development Grant Funds	\$200,000.00	\$1,600,000.00	\$0
41494	Village of Goshen	Crystal Run Well Capital	ESD	Empire State Development Grant Funds	\$80,000.00	\$800,000.00	\$0
42276	Newburgh Community Land Bank, Inc.	Newburgh Community Land Bank Capital	ESD	Empire State Development Grant Funds	\$200,000.00	\$3,271,002.00	\$0
42428	Badass Cider Company, LLC	Badass Cider Company	ESD	Empire State Development Grant Funds	\$700,000.00	\$7,930,000.00	\$0
42462	Town of Haverstraw	Town of Haverstraw- Letchworth Village	ESD	Empire State Development Grant Funds	\$500,000.00	\$2,500,000.00	\$0
42596	Zumtobel Lighting, Inc.	Zumtobel Lighting Capital	ESD	Empire State Development Grant Funds	\$800,000.00	\$6,300,000.00	\$0
42716	Anellotech, Inc.	Anellotech Capital	ESD	Empire State Development Grant Funds	\$750,000.00	\$11,600,000.00	\$0
43040	United Structural Works, Inc.	United Structural Works Capital	ESD	Empire State Development Grant Funds	\$225,000.00	\$3,660,000.00	\$0
43086	eWorks Electronics Services, Inc.	eWorks Electronics Services	ESD	Empire State Development Grant Funds	\$75,000.00	\$510,000.00	\$0
39875	Hudson Valley Pattern for Progress	Mid-Hudson Pattern for Progress Working Capital	ESD	ESD - Strategic Planning and Feasibility Studies	\$60,000.00	\$120,000.00	\$60,000.00
41899	Greater Newburgh Partnership, Inc.	City of Newburgh Port Study	ESD	ESD - Strategic Planning and Feasibility Studies	\$25,000.00	\$200,000.00	\$0
39912	USAI, LLC	USAI Excelsior	ESD	Excelsior Jobs Program	\$820,000.00	\$6,527,360.00	\$142,826.00
42428	Badass Cider Company, LLC	Badass Cider Company	ESD	Excelsior Jobs Program	\$800,000.00	\$7,930,000.00	\$0
40826	Selux Corporation	Selux Capital	ESD	Excelsior Jobs Program	\$100,000.00	\$1,685,000.00	\$0
38880	Hudson Valley Paper Works, Inc.	Hudson Valley Paper Works Excelsior	ESD	Excelsior Jobs Program	\$600,000.00	\$1,351,000.00	\$0
39883	Kikkerfrosch LLC	Kikkerfrosch Excelsior	ESD	Excelsior Jobs Program	\$500,000.00	\$32,085,000.00	\$0
42385	Hudson Group (HC) Retail, LLC	Hudson Group Headquarter Expansion and Relocation	ESD	Excelsior Jobs Program	\$2,000,000.00	\$2,000,000.00	\$0
42763	Ceres Technologies, Inc.	Ceres Technologies Excelsior	ESD	Excelsior Jobs Program	\$500,000.00	\$3,430,000.00	\$0

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CFA#	Applicant Name	Project Name	Agency	Program	Award Amount	Total Project Cost	Funds Disbursed
42596	Zumtobel Lighting, Inc.	Zumtobel Lighting Capital	ESD	Excelsior Jobs Program	\$290,000.00	\$6,300,000.00	\$0
39961	Walkway Over the Hudson	Greater Walkway Experience Working Capital	ESD	Market New York	\$189,000.00	\$253,500.00	\$189,000.00
40583	Village of Sleepy Hollow	Sleepy Hollow Multicultural Arts Celebration Working Capital	ESD	Market New York	\$45,000.00	\$180,000.00	\$45,000.00
40726	Phoenicia Festival of the Voice Foundation	Phoenicia International Festival of the Voice Working Capital	ESD	Market New York	\$65,000.00	\$81,250.00	\$41,333.00
42443	BELLEAYRE CONSERVATORY, INC	Belleayre Music Festival Working Capital	ESD	Market New York	\$30,000.00	\$59,000.00	\$25,070.00
38913	Town of Fishkill	Hudson Highlands Fjord Trail- Capital Project	ESD	Market New York	\$100,000.00	\$500,000.00	\$0
43451	Merlin Entertainments	LEGOLAND Park Working Capital	ESD	Market New York	\$100,000.00	\$420,000,000.00	\$0
39728	City of Yonkers	Hudson River Museum West Wing Capital II	ESD	Market New York	\$380,000.00	\$6,150,000.00	\$0
42781	Hudson Valley Brewery, Inc.	Hudson Valley Brewery Working Capital	ESD	Market New York	\$40,000.00	\$2,500,000.00	\$0
41453	The Biotechnology Incubator at NY Medical College Inc.	Hudson Valley Innovation Hot Spot	ESD	New York State Innovation Hot Spot Support Program	\$750,000.00	\$2,250,000.00	\$750,000.00
38614	Village of Kiryas Joel	Village of Kiryas Joel Drinking Water Improvements	HCR	HCR - Community Development Block Grant (CDBG) - Public Infrastructure	\$600,000.00	\$725,000.00	\$600,000.00
38615	Town of Wawarsing	Town of Wawarsing Napanoch Sewer District Improvements	HCR	HCR - Community Development Block Grant (CDBG) - Public Infrastructure	\$600,000.00	\$611,700.00	\$600,000.00
38633	Village of Liberty	Village of Liberty UV Disinfection System Improvements	HCR	HCR - Community Development Block Grant (CDBG) - Public Infrastructure	\$599,800.00	\$599,800.00	\$590,101.00
38645	Town of Ulster	Town of Ulster Water District Extension to Brigham Lane and Leggs Mill Road	HCR	HCR - Community Development Block Grant (CDBG) - Public Infrastructure	\$600,000.00	\$612,465.00	\$600,000.00
38670	Town of Liberty	Town of Liberty Water Main Replacement	HCR	HCR - Community Development Block Grant (CDBG) - Public Infrastructure	\$599,500.00	\$599,500.00	\$599,500.00
40664	Village of New Square	Village of New Square Sidewalk Improvements	HCR	HCR - Community Development Block Grant (CDBG) - Public Infrastructure	\$400,000.00	\$437,560.00	\$400,000.00
40680	City of Port Jervis	City of Port Jervis Jersey Avenue Sewer Project	HCR	HCR - Community Development Block Grant (CDBG) - Public Infrastructure	\$590,867.00	\$620,867.00	\$590,867.00
40585	Sullivan County	Sullivan County Microenterprise Assistance Program	HCR	HCR - Community Development Block Grant (CDBG) Microenterprise	\$200,000.00	\$218,200.00	\$200,000.00
38907	Rural Ulster Preservation Company, Inc.	Kingston Lace Factory	HCR	HCR - New York Main Street (NYMS)	\$250,000.00	\$14,619,871.00	\$250,000.00
39147	Land Use Law Center at Pace Law School	Municipal Sustainability Training Technical Assistance	NYSERDA	Cleaner Greener Communities (CGC), Phase II Implementation Grants, Category 2: Planning & Technical Assistance - Round 2 (CFA Round 4)	\$250,000.00	\$334,930.00	\$250,000.00
40518	Cornell Cooperative Extension Dutchess County	Energy Plan for Dutchess County	NYSERDA	Cleaner Greener Communities (CGC), Phase II Implementation Grants, Category 2: Planning & Technical Assistance - Round 2 (CFA Round 4)	\$233,000.00	\$333,760.00	\$233,000.00
41249	Town of Clarkstown	Transit Oriented Planning for Nanuet	NYSERDA	Cleaner Greener Communities (CGC), Phase II Implementation Grants, Category 2: Planning & Technical Assistance - Round 2 (CFA Round 4)	\$150,000.00	\$200,000.00	\$150,000.00
41658	Town of Hyde Park	Retrofitting Hyde Park's Town Center	NYSERDA	Cleaner Greener Communities (CGC), Phase II Implementation Grants, Category 2: Planning & Technical Assistance - Round 2 (CFA Round 4)	\$161,000.00	\$215,000.00	\$159,000.00

Project is complete
Project is progressing more slowly than anticipate
Project is progressing more slowly than anticipate
Project is on schedule
Project concerns need to be resolved
Project canceled or funding declined

CFA#	Applicant Name	Project Name	Agency	Program	Award Amount	Total Project Cost	Funds Disbursed
42087	Village of Nyack	Update to the Comprehensive Plan: Climate Smart Planning for the 21st Century	NYSERDA	Cleaner Greener Communities (CGC), Phase II Implementation Grants, Category 2: Planning & Technical Assistance - Round 2 (CFA Round 4)	\$206,000.00	\$275,148.00	\$206,000.00
40849	City of Poughkeepsie	Poughkeepsie City Center Connectivity Project	NYSERDA	Cleaner Greener Communities (CGC), Phase II Implementation Grants, Category 2: Planning & Technical Assistance - Round 2 (CFA Round 4)	\$250,000.00	\$425,000.00	\$229,500.00
26702	Green Team Spirit	Westchester Green Business Challenge	NYSERDA	Cleaner Greener Communities (CGC), Phase II Implementation Grants, Category 3: Sustainability Projects - Round 2 (CFA Round 4)	\$540,000.00	\$1,139,900.00	\$540,000.00
39425	Catskill Mountainkeeper	Renewable New York Catskill Outreach & Education	NYSERDA	Cleaner Greener Communities (CGC), Phase II Implementation Grants, Category 3: Sustainability Projects - Round 2 (CFA Round 4)	\$1,857,275.00	\$2,574,325.00	\$1,780,321.00
39896	Energy Improvement Corporation	Energize New York Clean Energy Market Transformation	NYSERDA	Cleaner Greener Communities (CGC), Phase II Implementation Grants, Category 3: Sustainability Projects - Round 2 (CFA Round 4)	\$3,000,000.00	\$5,166,294.00	\$4,541,566.00
38871	Hudson River Housing	Restoration of Poughkeepsie Underwear Factory	Parks	Historic Property Acquisition, Development and Planning	\$500,000.00	\$6,943,562.00	\$500,000.00
39014	Palisades Parks Conservancy Inc.	Restoring the Tower of Victory	Parks	Historic Property Acquisition, Development and Planning	\$500,000.00	\$1,688,185.00	\$500,000.00
42448	D&H Canal Historical Society Inc.	Depuy Canal House Acquisition	Parks	Historic Property Acquisition, Development and Planning	\$500,000.00	\$1,000,000.00	\$450,000.00
41197	Jay Heritage Center	Restoration of Historic Jay Gardens	Parks	Historic Property Acquisition, Development and Planning	\$500,000.00	\$1,485,246.00	\$125,000.00
38883	Mohonk Preserve, Inc.	Foothills Trailhead Implementation	Parks	Park Acquisition, Development and Planning	\$500,000.00	\$1,133,550.00	\$500,000.00
42589	Black Rock Forest Consortium	Black Rock Forest Public Access Pathway	Parks	Park Acquisition, Development and Planning	\$216,924.00	\$434,146.00	\$204,269.00
42737	City of Kingston Parks and Recreation	Forsyth Park Development Project	Parks	Park Acquisition, Development and Planning	\$304,354.00	\$501,983.00	\$265,095.81
38913	Town of Fishkill	Hudson Highlands Fjord Trail	Parks	Park Acquisition, Development and Planning	\$500,000.00	\$4,404,687.00	\$0

CFA#	Applicant Name	Project Name	Agency	Program	Award Amount	Total Project Cost	Funds Disbursed
55352	City of Yonkers	Yonkers Arts and Culture Project	Arts	Council on the Arts - Arts, Culture and Heritage New Initiatives - Implementation (Round 5)	\$90,000.00	\$350,000.00	\$90,000.00
57475	Spark Media Project	Digital Workforce Library Lab	Arts	Council on the Arts - Arts, Culture and Heritage New Initiatives - Implementation (Round 5)	\$35,091.00	\$111,756.00	\$35,091.00
52281	Women's Studio Workshop	Dinner and Dialogues, Cross Pollinating Art and Community	Arts	Council on the Arts - Arts, Culture and Heritage New Initiatives - Planning (Round 5)	\$19,760.00	\$20,000.00	\$19,760.00
52860	Hudson River Maritime Museum	Expanded Programmatic Capacity	Arts	Council on the Arts - Workforce Investment (Round 5)	\$45,000.00	\$653,321.00	\$36,000.00
52866	Edward Hopper House Art Center	Expanded Operational Capacity	Arts	Council on the Arts - Workforce Investment (Round 5)	\$49,500.00	\$110,000.00	\$49,500.00
54430	RiverArts	Expanded Development Capacity	Arts	Council on the Arts - Workforce Investment (Round 5)	\$49,500.00	\$49,500.00	\$49,500.00
56056	Delaware Valley Arts Alliance	Expanded Programmatic and Outreach Capacity	Arts	Council on the Arts - Workforce Investment (Round 5)	\$28,350.00	\$37,800.00	\$28,350.00
52055	Village of Monticello	Village of Monticello Inflow and Infiltration Reduction Engineering Study	DEC	Engineering Planning Grant Program	\$100,000.00	\$125,000.00	\$100,000.00
54085	City of Port Jervis	City of Port Jervis Engineering Study	DEC	Engineering Planning Grant Program	\$100,000.00	\$125,000.00	\$89,052.22
54659	City of Kingston	City of Kingston Engineering Study	DEC	Engineering Planning Grant Program	\$100,000.00	\$125,000.00	\$90,232.15
55537	City of Newburgh	City of Newburgh Engineering Study	DEC	Engineering Planning Grant Program	\$100,000.00	\$125,000.00	\$90,435.17
56148	Village of Walden	Village of Walden Sanitary Sewer System Engineering Study	DEC	Engineering Planning Grant Program	\$19,600.00	\$24,500.00	\$19,600.00

	ROUND 5											
CFA#	Applicant Name	Project Name	Agency	Program	Award Amount	Total Project Cost	Funds Disbursed					
57022	Village of Pawling	Village of Pawling Engineering Study	DEC	Engineering Planning Grant Program	\$100,000.00	\$125,000.00	\$100,000.00					
57762	Village of Cornwall on Hudson	Cornwall-on-Hudson MS4 Mapping Project	DEC	Water Quality Improvement Project (WQIP) Program	\$73,875.00	\$985,000.00	\$63,600.00					
51356	Village of Monticello	Monitcello Wastewater Disinfection Project	DEC	Water Quality Improvement Project (WQIP) Program	\$2,500,000.00	\$2,950,000.00	\$1,594,785.68					
52091	Village of Sleepy Hollow	Westchester County MS4 Mapping Project	DEC	Water Quality Improvement Project (WQIP) Program	\$343,854.00	\$460,854.00	\$155,236.51					
54789	Town of East Fishkill	Hillside Lake Stormwater Improvements	DEC	Water Quality Improvement Project (WQIP) Program	\$647,250.00	\$1,113,000.00	\$153,394.11					
57157	Village of Scarsdale	Cayuga Pond Stormwater and Sediment Reduction	DEC	Water Quality Improvement Project (WQIP) Program	\$1,050,000.00	\$1,400,000.00	\$262,500.00					
57304	Rockland County	Rockland County MS4 Mapping Project	DEC	Water Quality Improvement Project (WQIP) Program	\$410,000.00	\$540,000.00	\$336,890.70					
57910	Trout Unlimited	Willowemoc Creek Aquatic Barrier Removal Project	DEC	Water Quality Improvement Project (WQIP) Program	\$215,539.00	\$315,245.00	\$53,884.75					
55995	Town of Hyde Park	Fall Kill Creek Dam Removal	DEC	Water Quality Improvement Project (WQIP) Program	\$150,000.00	\$200,000.00	\$0					
54511	Greyston Foundation Inc.	Production Training	DOL	Existing Employee Training Program	\$88,362.00	\$110,788.00	\$2,902.26					
55025	Shop-Rite Supermarkets, Inc.	Retail Careers Training	DOL	New Hire Training Program	\$100,000.00	\$200,000.00	\$73,476.75					
57671	Advanced Building Solutions NY LLC	Manufacturing and Construction Training	DOL	New Hire Training Program	\$100,000.00	\$3,750,000.00	\$0					
52264	Ulster County Board of Cooperative Educational Services	Hospitality and Culinary Arts Training	DOL	Special Populations Training Program	\$33,900.00	\$125,920.00	\$4,500.50					
53988	Westhab, Inc.	Workforce Development Training	DOL	Special Populations Training Program	\$96,000.00	\$208,000.00	\$68,738.75					
52264	Ulster County Board of Cooperative Educational Services	Nurse Assistant Training	DOL	Unemployed Worker Training Program	\$62,920.00	\$125,920.00	\$6,014.92					
55260	Orange County	Dwaarkill Pine Bush Regional Water Supply Project	DOS	Local Government Efficiency Program	\$400,000.00	\$4,094,000.00	\$375,506.00					
54345	Dutchess County Water and Wastewater Authority	Public Water System Consolidation	DOS	Local Government Efficiency Program	\$404,619.00	\$456,625.00	\$192,765.00					
56301	Town of Patterson	Fire Services Consolidation Study	DOS	Local Government Efficiency Program	\$22,500.00	\$45,000.00	\$0					
57747	Town of Clarkstown	Consolidated Purchasing Department Study	DOS	Local Government Efficiency Program	\$25,000.00	\$50,000.00	\$0					
51329	Marlborough (T)	Waterfront Revitalization Plan and Comprehensive Plan Update	DOS	Local Waterfront Revitalization Program	\$135,000.00	\$270,000.00	\$92,908.99					
51399	Peekskill (C)	Construction of Charles Point Multi- Use Waterfront Trail	DOS	Local Waterfront Revitalization Program	\$950,000.00	\$1,900,000.00	\$949,999.99					
54714	Nyack (V)	Local Waterfront Revitalization Program Update	DOS	Local Waterfront Revitalization Program	\$75,000.00	\$150,408.00	\$37,500.00					
55262	Port Jervis (C)	Land Use and Development Code Update	DOS	Local Waterfront Revitalization Program	\$50,000.00	\$100,000.00	\$48,530.00					
56388	Tivoli (V)	Design of Tivoli Waterfront Park	DOS	Local Waterfront Revitalization Program	\$25,000.00	\$50,000.00	\$24,999.96					
56785	Tarrytown (V)	Update of Comprehensive Plan and Zoning Regulations	DOS	Local Waterfront Revitalization Program	\$100,000.00	\$200,000.00	\$100,000.00					
56925	Beacon (C)	Transit Oriented Development Plan	DOS	Local Waterfront Revitalization Program	\$50,000.00	\$100,000.00	\$48,402.12					
54508	Philipstown (T)	Hudson Highlands Fjord Trail - Shoreline Trail Design	DOS	Local Waterfront Revitalization Program	\$400,000.00	\$812,500.00	\$198,396.64					

Project is complete
 Project is progressing more slowly than anticipate
 Project is progressing more slowly than anticipated
 Project is progressing more slowly than anticipated
 Project canceled or funding declined

CFA#	Applicant Name	Project Name	Agency	Program	Award Amount	Total Project Cost	Funds Disbursed
56978	Wappingers Falls (V)	Wappinger Creek Watershed Revitalization Plan	DOS	Local Waterfront Revitalization Program	\$287,500.00	\$575,000.00	\$72,216.21
41201	Saugerties (V)	Local Waterfront Revitalization Program Update	DOS	Local Waterfront Revitalization Program	\$20,000.00	\$40,000.00	\$0
56208	Poughkeepsie (C)	Kaal Rock Connector Feasibility Study	DOS	Local Waterfront Revitalization Program	\$92,075.00	\$184,150.00	\$55,335.74
57055	Stony Point (T)	Local Waterfront Revitalization Program Update	DOS	Local Waterfront Revitalization Program	\$65,978.00	\$132,076.00	\$0
50877	Town of Orangetown	Home for Heroes Green Innovation	EFC	Green Innovation Grant Program	\$895,000.00	\$994,444.50	\$557,708.67
57574	Marist College	Steel Plant Studios Capital RC5	ESD	Empire State Development Grant Funds	\$1,500,000.00	\$7,653,000.00	\$1,500,000.00
55255	Efco Products, Inc.	Efco Capital	ESD	Empire State Development Grant Funds	\$80,000.00	\$400,000.00	\$80,000.00
51725	Hudson River Housing, Inc.	Queen City Lofts Mixed-Use Development	ESD	Empire State Development Grant Funds	\$300,000.00	\$22,255,000.00	\$300,000.00
52472	RXR SoYo Exalta Owner, LLC	RXR SoYo Exalta Capital	ESD	Empire State Development Grant Funds	\$1,250,000.00	\$11,644,896.00	\$1,250,000.00
57919	Sprague Operating Resources LLC	Sprague Operating Resources Capital	ESD	Empire State Development Grant Funds	\$500,000.00	\$1,300,000.00	\$500,000.00
52545	Greyston Bakery Inc.	Greyston Bakery Capital	ESD	Empire State Development Grant Funds	\$200,000.00	\$1,046,755.00	\$0
50577	Warwick Valley Wine Co., Inc.	Warwick Valley Wine Co. Capital	ESD	Empire State Development Grant Funds	\$160,000.00	\$1,808,775.00	\$120,000.00
52574	City of Middletown	City of Middletown Capital	ESD	Empire State Development Grant Funds	\$500,000.00	\$2,996,500.00	\$0
52824	City of Yonkers	City of Yonkers Waterfront Walkway Trails	ESD	Empire State Development Grant Funds	\$400,000.00	\$4,445,000.00	\$0
54163	Xin Shi Ji Corporation	Xin Shi Ji Corporation	ESD	Empire State Development Grant Funds	\$100,000.00	\$1,344,000.00	\$0
57595	Merlin Entertainments	LEGOLAND New York Capital RC5	ESD	Empire State Development Grant Funds	\$3,000,000.00	\$287,900,000.00	\$0
53796	The Solar Energy Consortium	The Solar Energy Consortium Capital	ESD	Empire State Development Grant Funds	\$700,000.00	\$7,500,000.00	\$425,000.00
51316	T-Rex Hyde Park Owner LLC	Bellefield at Hyde Park Hospitality and Retail Development	ESD	Empire State Development Grant Funds	\$1,250,000.00	\$18,703,756.00	\$844,835.00
52144	Vassar Brothers Hospital Foundation	Vassar Brothers Capital	ESD	Empire State Development Grant Funds	\$1,250,000.00	\$55,000,000.00	\$0
50725	Wildberry Lodge, LLC	Wildberry Lodge Capital	ESD	Empire State Development Grant Funds	\$1,250,000.00	\$10,223,904.00	\$0
52455	Ulster County	Ulster County - Golden Hill Drive Capital	ESD	Empire State Development Grant Funds	\$200,000.00	\$1,111,000.00	\$0
54059	Empire State Cooperage	Empire State Cooperage Capital	ESD	Empire State Development Grant Funds	\$1,000,000.00	\$7,097,394.00	\$0
54111	R.J. Rose Realty LLC	Yonkers Carpet Mill Arts and Technology Hub	ESD	Empire State Development Grant Funds	\$500,000.00	\$3,054,000.00	\$0
54177	Town of New Windsor	New Windsor Town Water	ESD	Empire State Development Grant Funds	\$1,000,000.00	\$14,100,000.00	\$0
55084	Gillinder Glass	Gillinder Bros Capital	ESD	Empire State Development Grant Funds	\$60,000.00	\$300,000.00	\$0
56436	County of Orange	Orange County New Hampton Regional Wastewater System Capital	ESD	Empire State Development Grant Funds	\$1,000,000.00	\$6,000,000.00	\$0
56905	BBG Ventures, LLC	BBG Ventures Capital	ESD	Empire State Development Grant Funds	\$1,000,000.00	\$7,900,000.00	\$0
53956	Putnam County	Putnam County-Wide Feasibility Study	ESD	ESD - Strategic Planning and Feasibility Studies	\$50,000.00	\$200,000.00	\$50,000.00
54448	Rockland Community College	SUNY Rockland Community College Working Capital	ESD	ESD - Strategic Planning and Feasibility Studies	\$25,000.00	\$159,267.00	\$25,000.00
54823	Pace University Land Use Law Center	Pace University & City of Poughkeepsie Working Capital	ESD	ESD - Strategic Planning and Feasibility Studies	\$25,000.00	\$50,000.00	\$25,000.00
50577	Warwick Valley Wine Co., Inc.	Warwick Valley Wine Co. Capital	ESD	Excelsior Jobs Program	\$169,000.00	\$1,808,775.00	\$0

	ROUND 5											
CFA#	Applicant Name	Project Name	Agency	Program	Award Amount	Total Project Cost	Funds Disbursed					
55681	Heritage Restoration Properties, LLC	Heritage Restoration Properties Excelsior	ESD	Excelsior Jobs Program	\$215,000.00	\$1,140,000.00	\$0					
52521	Historic Hudson Valley	Philipsburg Manor Bridge and Wharf Restoration Capital	ESD	Market New York	\$100,000.00	\$551,417.00	\$100,000.00					
53454	Visit Nyack, Inc.	Hudson Valley Gateway Marketing Working Capital	ESD	Market New York	\$37,500.00	\$65,600.00	\$37,500.00					
53996	Village of Port Chester	Port Chester 150th Anniversary Reinvestment Working Capital	ESD	Market New York	\$10,000.00	\$15,000.00	\$8,655.00					
54636	Phoenicia Festival of the Voice Foundation	Phoenicia Festival of the Voice Working Capital	ESD	Market New York	\$56,000.00	\$75,200.00	\$54,820.00					
51749	The Poughkeepsie-Highland Railroad Bridge Co., Inc	Walkway Visitor Facility Improvement and Accessibility	ESD	Market New York	\$100,000.00	\$500,000.00	\$0					
51316	T-Rex Hyde Park Owner LLC	Bellefield at Hyde Park Hospitality and Retail Development	ESD	Market New York	\$1,000,000.00	\$18,703,756.00	\$460,317.00					
50725	Wildberry Lodge, LLC	Wildberry Lodge Capital	ESD	Market New York	\$500,000.00	\$10,723,904.00	\$0					
56600	Mirbeau Inn Spa	Mirbau Inn Spa Rhinebeck	ESD	Market New York	\$307,550.00	\$1,207,550.00	\$0					
52296	Village of Kiryas Joel	Village of Kiryas Joel Sidewalk Improvements	HCR	HCR - Community Development Block Grant (CDBG) - Public Facilities	\$400,000.00	\$516,800.00	\$400,000.00					
50597	Town of Wawarsing	Napanoch Waste Water Treatment Infrastructure Improvements	HCR	HCR - Community Development Block Grant (CDBG) - Public Infrastructure	\$600,000.00	\$610,015.00	\$600,000.00					
50613	Town of Liberty	Town of Liberty White Sulphur Springs Water District Improvements	HCR	HCR - Community Development Block Grant (CDBG) - Public Infrastructure	\$599,724.00	\$599,724.00	\$591,616.00					
50620	Village of Liberty	V of Liberty Wastewater Treatment Plant Clarifier Reconstruction	HCR	HCR - Community Development Block Grant (CDBG) - Public Infrastructure	\$599,830.00	\$599,830.00	\$599,830.00					
50882	Village of New Paltz	Village of New Paltz Sewer Reconstruction	HCR	HCR - Community Development Block Grant (CDBG) - Public Infrastructure	\$599,150.00	\$599,150.00	\$599,150.00					
54599	Village of Ellenville	Village of Ellenville Sanitary Sewer Collection	HCR	HCR - Community Development Block Grant (CDBG) - Public Infrastructure	\$600,000.00	\$600,000.00	\$569,110.00					
55565	Village of Monticello	Village of Monticello Water Meter Replacement	HCR	HCR - Community Development Block Grant (CDBG) - Public Infrastructure	\$600,000.00	\$600,000.00	\$600,000.00					
53234	Town of Shandaken	Town of Shandaken Phoenicia Water District Improvements	HCR	HCR - Community Development Block Grant (CDBG) - Public Infrastructure	\$415,000.00	\$415,000.00	\$47,255.00					
53355	Hudson River Housing, Inc.	Cardinal Court	HCR	HCR - New York Main Street (NYMS)	\$500,000.00	\$6,895,942.00	\$500,000.00					
55089	Newburgh Community Land Bank	Newburgh Community Stabilization Project	HCR	HCR - New York Main Street (NYMS)	\$129,000.00	\$2,440,000.00	\$129,000.00					
56870	Shadowland Artists, Inc.	Shadowland Second Stage	HCR	HCR - New York Main Street (NYMS)	\$200,000.00	\$300,000.00	\$200,000.00					
53012	Courtney Strong, Inc.	Municipal LED Streetlight Consortium	NYSERDA	Cleaner Greener Communities (CGC), Phase II Implementation Grants, Category 3: Community- Scale Sustainability Projects - Round 3 (CFA Round 5)	\$792,441.00	\$1,067,094.00	\$777,446.00					
54322	RUPCO, Inc.	Central Kingston Green Affordable Housing Civic Uses	NYSERDA	Cleaner Greener Communities (CGC), Phase II Implementation Grants, Category 3: Community- Scale Sustainability Projects - Round 3 (CFA Round 5)	\$1,000,000.00	\$27,941,900.00	\$0					
55811	EV Connect	NY Community Project	NYSERDA	Cleaner Greener Communities (CGC), Phase II Implementation Grants, Category 3: Community- Scale Sustainability Projects - Round 3 (CFA Round 5)	\$1,800,000.00	\$4,024,461.00	\$1,252,102.00					
57954	Joule Assets	Community Energy Action Network	NYSERDA	Cleaner Greener Communities (CGC), Phase II Implementation Grants, Category 3: Community- Scale Sustainability Projects - Round 3 (CFA Round 5)	\$1,000,000.00	\$1,793,334.00	\$201,025.00					

Project is complete Project is on schedule

Project is progressing more slowly than anticipate Project is progressing more slowly than anticipated

Project concerns need to be resolved

Project canceled or funding declined

CFA#	Applicant Name	Project Name	Agency	Program	Award Amount	Total Project Cost	Funds Disbursed
54014	Bardavon 1869 Opera House, Inc. DBA Ulster Performing Arts Center	Ulster Performing Arts Center (UPAC) Interior/Exterior Renovation Construction Project Final Phases 3 4	Parks	Heritage Areas System Acquisition, Development and Planning	\$500,000.00	\$6,500,000.00	\$500,000.00
53067	Friends of John Jay Homestead, Inc.	John Jay Homestead Circulation and Site Improvement Plan	Parks	Historic Property Acquisition, Development and Planning	\$85,719.00	\$171,437.00	\$85,719.00
54392	National Trust for Historic Preservation	Lyndhurst River Loop Trail	Parks	Historic Property Acquisition, Development and Planning	\$500,000.00	\$1,000,000.00	\$500,000.00
52922	Hudson River Sloop Clearwater, Inc.	Sloop Clearwater Restoration Project	Parks	Historic Property Acquisition, Development and Planning	\$343,776.00	\$464,891.00	\$0
51000	Mohonk Preserve, Inc.	Trapps Bridge and Carriage Road Project	Parks	Park Acquisition, Development and Planning	\$188,785.00	\$521,892.00	\$188,785.00
51749	Poughkeepsie-Highland Railroad Bridge Co., Inc.	Walkway Visitor Facility Improvement and Accessibility Project	Parks	Park Acquisition, Development and Planning	\$500,000.00	\$1,178,173.00	\$500,000.00
51812	Open Space Institute	Smiley-High Point Carriage Road Reconstruction	Parks	Park Acquisition, Development and Planning	\$500,000.00	\$1,272,620.00	\$500,000.00
53279	The Palisades Parks Conservancy, Inc.	Expanding Access to Stony Kill Falls	Parks	Park Acquisition, Development and Planning	\$254,097.00	\$339,097.00	\$254,097.00
53978	Village of Irvington	Old Croton Aqueduct Trail Restoration	Parks	Park Acquisition, Development and Planning	\$230,750.00	\$461,500.00	\$230,750.00
54742	Black Rock Forest Consortium	Black Rock Forest Visitor Access Pathway	Parks	Park Acquisition, Development and Planning	\$352,939.00	\$755,878.00	\$352,939.00
55437	The Trust for Public Land	Marydell on Hudson	Parks	Park Acquisition, Development and Planning	\$450,000.00	\$4,000,000.00	\$450,000.00
55978	Yonkers Rail Trail Phase 1 Capital Construction	Groundwork Hudson Valley	Parks	Park Acquisition, Development and Planning	\$394,280.00	\$1,070,728.00	\$394,280.00
54261	County of Orange	Heritage Trail Extension	Parks	Park Acquisition, Development and Planning	\$500,000.00	\$5,979,072.00	\$0
53055	New York-New Jersey Trail Conference	Bear Mountain Trails Project	Parks	Recreational Trails Program	\$200,000.00	\$891,088.00	\$200,000.00

### **ROUND 6**

CFA#	Applicant Name	Project Name	Agency	Program	Award Amount	Total Project Cost	Funds Disbursed
67131	Westchester Arts Council Inc	Suffrage Now, A Contemporary Art Exhibition	Arts	Council on the Arts - Arts, Culture and Heritage New Initiatives - Implementation (Round 6)	\$75,000.00	\$157,000.00	\$18,750.00
67407	Pen American Center, Inc.	Workers Writers Program at PEN America	Arts	Council on the Arts - Arts, Culture and Heritage New Initiatives - Implementation (Round 6)	\$24,000.00	\$45,000.00	\$24,000.00
68153	SS Columbia Project	River Stories	Arts	Council on the Arts - Arts, Culture and Heritage New Initiatives - Implementation (Round 6)	\$48,500.00	\$102,475.00	\$48,500.00
63772	City of Mount Vernon	Mount Vernon Arts and Culture Master Plan	Arts	Council on the Arts - Arts, Culture and Heritage New Initiatives - Planning (Round 6)	\$40,000.00	\$50,000.00	\$40,000.00
65403	Piermont Straus Foundation	Marketing Rocklands Art Artists and Culture	Arts	Council on the Arts - Arts, Culture and Heritage New Initiatives - Planning (Round 6)	\$35,000.00	\$50,000.00	\$35,000.00
66356	Clay Art Center	Clay Art Center - A New Future for our Community	Arts	Council on the Arts - Arts, Culture and Heritage New Initiatives - Planning (Round 6)	\$49,500.00	\$49,500.00	\$49,500.00
55789	Spark Media Project	Administrative Workforce Expansion	Arts	Council on the Arts - Workforce Investment (Round 6)	\$40,000.00	\$50,000.00	\$40,000.00
63873	Bard College	Fellowship Workforce Expansion	Arts	Council on the Arts - Workforce Investment (Round 6)	\$49,500.00	\$80,600.00	\$49,500.00
65347	The Wassaic Project	Administrative Workforce Expansion	Arts	Council on the Arts - Workforce Investment (Round 6)	\$37,500.00	\$50,000.00	\$37,500.00
66226	Garnerville Arts Project, Inc.	Administrative Workforce Expansion	Arts	Council on the Arts - Workforce Investment (Round 6)	\$38,000.00	\$51,037.00	\$38,000.00
67008	Half Moon Theatre	Administrative Workforce Expansion	Arts	Council on the Arts - Workforce Investment (Round 6)	\$49,500.00	\$62,400.00	\$49,500.00
67124	Pelham Art Center	Administrative Workforce Expansion	Arts	Council on the Arts - Workforce Investment (Round 6)	\$40,000.00	\$50,000.00	\$40,000.00
67138	Copland House	Administrative Workforce Expansion	Arts	Council on the Arts - Workforce Investment (Round 6)	\$40,500.00	\$67,075.00	\$40,500.00
67510	Magic Box Productions, Inc.	Administrative Workforce Expansion	Arts	Council on the Arts - Workforce Investment (Round 6)	\$28,000.00	\$37,800.00	\$28,000.00
66935	Town of Highland	Relocation of Town of Highland Highway Facility	DEC	Climate Smart Communities Grants	\$489,700.00	\$979,400.00	\$489,699.99

	ROUND 6											
CFA#	Applicant Name	Project Name	Agency	Program	Award Amount	Total Project Cost	Funds Disbursed					
67538	Town of Dover	Town of Dover Climate Smart Communities Certification	DEC	Climate Smart Communities Grants	\$40,445.00	\$80,890.00	\$37,745.00					
68071	Orange County	Orange County Climate Smart Communities Certification	DEC	Climate Smart Communities Grants	\$51,034.00	\$114,370.00	\$50,936.65					
64654	Orange County	Yard Waste Composting Facility Expansion	DEC	Climate Smart Communities Grants	\$510,985.00	\$1,030,398.96	\$157,472.46					
65815	City of Kingston	City of Kingston Greenhouse Gas Inventory	DEC	Climate Smart Communities Grants	\$50,000.00	\$103,468.00	\$20,925.24					
66859	City of Kingston	Kingston Connectivity Project - Construction of Complete Streets Elements on Broadway	DEC	Climate Smart Communities Grants	\$850,000.00	\$1,700,000.00	\$10,387.69					
66921	Ulster County	Expansion of Ulster County Municipal Composting Expansion	DEC	Climate Smart Communities Grants	\$261,000.00	\$523,502.02	\$246,944.26					
67240	Town of New Paltz	Joint Town & Village Climate Smart Communities Certification	DEC	Climate Smart Communities Grants	\$38,000.00	\$90,710.00	\$20,590.73					
67421	Town of Rockland	Livingston Manor Riverwalk	DEC	Climate Smart Communities Grants	\$115,000.00	\$759,306.00	\$0					
68476	Village of Haverstraw	Village of Haverstraw Comprehensive Plan Update	DEC	Climate Smart Communities Grants	\$100,000.00	\$150,000.00	\$73,248.87					
63415	Village of New Paltz	Consent Order Compliance DEC Engineering Planning Grant Project	DEC	Engineering Planning Grant Program	\$99,888.00	\$124,860.00	\$83,239.73					
64885	City of Peekskill	Citywide Clean Water Analysis	DEC	Engineering Planning Grant Program	\$100,000.00	\$125,000.00	\$50,000.00					
66397	Beacon, City of	City of Beacon	DEC	Engineering Planning Grant Program	\$30,000.00	\$37,500.00	\$0					
67314	Village of Woodbury	Municipal Separate Storm Sewer System Mapping	DEC	Water Quality Improvement Project (WQIP) Program	\$135,075.00	\$180,100.00	\$135,075.00					
65636	Town of Somers	Lake Shenorock Stormwater Improvement Project	DEC	Water Quality Improvement Project (WQIP) Program	\$847,500.00	\$1,130,000.00	\$518,557.04					
66452	City of Mount Vernon	Mount Vernon Municipal Separate Storm Sewer System Illicit Discharge Investigation	DEC	Water Quality Improvement Project (WQIP) Program	\$1,641,928.00	\$1,931,680.00	\$0					
65952	The City of Yonkers	Saw Mill River Daylighting Phase 4	DEC	Water Quality Improvement Project (WQIP) Program	\$2,400,000.00	\$13,530,000.00	\$0					
67418	Village of Wappingers Falls	Wappinger Lake Inflow Quality Improvements	DEC	Water Quality Improvement Project (WQIP) Program	\$925,766.00	\$1,234,355.00	\$0					
65687	ShopRite Supermarkets, Inc.	Worker Development Training	DOL	Existing Employee Training Program	\$51,250.00	\$315,811.00	\$51,250.00					
65687	ShopRite Supermarkets, Inc.	Worker Development Training	DOL	New Hire Training Program	\$48,750.00	\$315,811.00	\$4,981.00					
65750	SullivanArc	Workforce Development Training	DOL	New Hire Training Program	\$54,286.00	\$108,573.00	\$1,708.00					
67863	Primas Green House Green Collar Agency, Inc.	Green Housing Training Program	DOL	New Hire Training Program	\$19,050.00	\$35,000.00	\$0					
64269	Jawonio	Jawonio Workforce Development Training Program	DOL	Special Populations Training Program	\$33,000.00	\$100,000.00	\$28,871.30					
67998	Westhab, Inc.	Ready To Work Phase 2 Expansion	DOL	Special Populations Training Program	\$96,000.00	\$208,000.00	\$18,744.75					
64269	Jawonio	Jawonio Workforce Development Training Program	DOL	Unemployed Worker Training Program	\$67,000.00	\$100,000.00	\$0					
63700	City of New Rochelle	Consolidated Fleet Maintenance	DOS	Local Government Efficiency Program	\$25,000.00	\$50,000.00	\$25,000.00					
64909	Village of Briarcliff Manor	Briarcliff Manor's Consolidated Water Supply Implementation	DOS	Local Government Efficiency Program	\$602,879.00	\$2,262,250.00	\$76,392.00					
68594	City of Poughkeepsie	Consolidation of Dutchess County Bus Service	DOS	Local Government Efficiency Program	\$315,000.00	\$367,500.00	\$0					

Project is complete
 Project is progressing more slowly than anticipate
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 Project canceled or funding declined

CFA#	Applicant Name	Project Name	Agency	Program	Award Amount	Total Project Cost	Funds Disbursed
63527	Town of Mount Kisco	Water Plant Operation Consolidation	DOS	Local Government Efficiency Program	\$144,234.00	\$160,260.00	\$0
67798	Beacon (C)	Mid-Hudson Regional Downtown Initiative	DOS	Local Waterfront Revitalization Program	\$175,000.00	\$350,000.00	\$175,000.00
64853	Haverstraw (V)	Local Waterfront Revitalization Program Update - Resiliency Planning for Climate Change	DOS	Local Waterfront Revitalization Program	\$65,000.00	\$130,000.00	\$39,169.31
64967	Sleepy Hollow (V)	Local Waterfront Revitalization Program Update	DOS	Local Waterfront Revitalization Program	\$59,929.00	\$119,858.00	\$53,936.10
65740	Kingston (C)	Rondout Riverport Shoreline Stabilization and Public Access Design	DOS	Local Waterfront Revitalization Program	\$197,500.00	\$395,000.00	\$111,424.21
66600	Poughkeepsie (C)	Southern Waterfront Promenade Construction	DOS	Local Waterfront Revitalization Program	\$500,000.00	\$1,000,000.00	\$0
67890	Ardsley (V)	Saw Mill River Revitalization Plan	DOS	Local Waterfront Revitalization Program	\$40,000.00	\$80,000.00	\$9,187.50
67990	Poughkeepsie (C)	Poughkeepsie Waterfront Connectivity Project Phase II	DOS	Local Waterfront Revitalization Program	\$500,000.00	\$1,000,000.00	\$0
68525	Red Hook (T)	Local Waterfront Revitalization Program Update	DOS	Local Waterfront Revitalization Program	\$42,440.00	\$84,880.00	\$23,101.64
66859	City of Kingston	Kingston Green Streetscape	EFC	Green Innovation Grant Program	\$1,400,000.00	\$3,100,000.00	\$681,585.04
68039	Marist College	Steel Plant Studios Capital RC6	ESD	Empire State Development Grant Funds	\$1,000,000.00	\$8,347,000.00	\$1,000,000.00
63707	Star Kay White, Inc.	Star Kay White Capital RC6	ESD	Empire State Development Grant Funds	\$2,250,000.00	\$18,073,000.00	\$2,250,000.00
63894	Tuxedo Hudson Management LLC	Tuxedo Sloatsburg Corridor Revitalization Capital	ESD	Empire State Development Grant Funds	\$750,000.00	\$5,565,111.00	\$750,000.00
66895	Ironworkers Local 417 Training and Education	Iron Workers Local 417 Training and Education Capital	ESD	Empire State Development Grant Funds	\$300,000.00	\$1,669,800.00	\$300,000.00
65375	Urban Electric Power, LLC	Urban Electric Power Capital RC6	ESD	Empire State Development Grant Funds	\$1,000,000.00	\$14,370,000.00	\$0
57588	Merlin Entertainments	LEGOLAND New York Capital RC6	ESD	Empire State Development Grant Funds	\$1,000,000.00	\$285,900,000.00	\$0
63509	Family Services Inc.	Family Services Capital	ESD	Empire State Development Grant Funds	\$100,000.00	\$504,000.00	\$0
66351	Efco Products, Inc.	Efco Products Capital 2018	ESD	Empire State Development Grant Funds	\$200,000.00	\$1,046,956.00	\$140,000.00
65210	Jawonio	Jawonio Capital II	ESD	Empire State Development Grant Funds	\$825,000.00	\$20,205,572.00	\$0
64839	City of New Rochelle	City of New Rochelle Main and Huguenot Capital	ESD	Empire State Development Grant Funds	\$500,000.00	\$4,387,000.00	\$0
65071	RUPCO, Inc.	RUPCO Studio Capital	ESD	Empire State Development Grant Funds	\$1,000,000.00	\$8,855,000.00	\$0
64385	T-Rex Hyde Park Owner LLC	Bellefield at Historic Hyde Park - Phase II	ESD	Empire State Development Grant Funds	\$2,000,000.00	\$16,250,000.00	\$0
65639	The Arc of Ulster-Greene	The Arc of Ulster-Greene Capital	ESD	Empire State Development Grant Funds	\$200,000.00	\$1,422,166.00	\$0
66047	HealthAlliance of the Hudson Valley	HealthAlliance of the Hudson Valley Capital	ESD	Empire State Development Grant Funds	\$500,000.00	\$5,571,072.00	\$0
66511	Fishkill Farms LLC	Fishkill Farms Capital RC6	ESD	Empire State Development Grant Funds	\$300,000.00	\$1,500,000.00	\$0
67671	The Star Estate Distillery	Star Estate Distillery Capital	ESD	Empire State Development Grant Funds	\$212,400.00	\$1,469,400.00	\$0
67950	New York Medical College	New York Medical College Capital	ESD	Empire State Development Grant Funds	\$750,000.00	\$15,109,030.00	\$0
64954	Vassar Brothers Medical Center	Foundation for Vassar Brothers Medical Center Capital RC6	ESD	Empire State Development Grant Funds	\$2,500,000.00	\$92,000,000.00	\$0
63784	City of Mount Vernon	City of Mount Vernon Capital	ESD	Empire State Development Grant Funds	\$520,000.00	\$2,600,000.00	\$0
65939	Village of Ossining	Historic Hudson River Towns Capital RC6	ESD	Empire State Development Grant Funds	\$500,000.00	\$2,500,000.00	\$0

	ROUND 6											
CFA#	Applicant Name	Project Name	Agency	Program	Award Amount	Total Project Cost	Funds Disbursed					
66883	Kas Spirits	Kas Spirits Capital	ESD	Empire State Development Grant Funds	\$5,000.00	\$25,000.00	\$0					
67830	Putnam County	Village of Brewster Capital	ESD	Empire State Development Grant Funds	\$2,000,000.00	\$12,000,000.00	\$0					
65355	Poughkeepsie Waterfront Development, LLC	Poughkeepsie Waterfront Development Capital	ESD	Empire State Development Grant Funds	\$2,000,000.00	\$18,550,000.00	\$0					
66871	The Armory Foundation	The Armory Foundation Capital	ESD	Empire State Development Grant Funds	\$2,000,000.00	\$10,000,000.00	\$0					
65009	Town of Wawarsing	Town of Wawarsing Planning and Feasibility Study Working Capital	ESD	ESD - Strategic Planning and Feasibility Studies	\$20,000.00	\$46,700.00	\$20,000.00					
66766	Hudson Valley Pattern for Progress	Urban Action Agenda Community Profiles	ESD	ESD - Strategic Planning and Feasibility Studies	\$80,000.00	\$160,000.00	\$80,000.00					
63707	Star Kay White, Inc.	Star Kay White Capital RC6	ESD	Excelsior Jobs Program	\$350,000.00	\$18,073,000.00	\$0					
67283	Greater Hudson Heritage Network	Hudson Valley - Catskills Pathfinder Working Capital	ESD	Market New York	\$9,238.00	\$12,321.00	\$9,238.00					
67968	Historic Hudson Valley	Historic Hudson Valley Great Jack O'Lantern Blaze Working Capital	ESD	Market New York	\$159,937.00	\$213,250.00	\$159,937.00					
68575	Hudson River Partners	Historic Thayer Hotel at West Point Working Capital	ESD	Market New York	\$356,725.00	\$475,633.00	\$356,725.00					
66453	Sullivan County	Sullivan County O&W Rail Trail Working Capital	ESD	Market New York	\$22,500.00	\$30,000.00	\$0					
67230	Historic Hudson Valley	Philipsburg Manor Bridge and Wharf Market NY Capital RC6	ESD	Market New York	\$400,000.00	\$2,435,955.00	\$0					
65317	City of White Plains	White Plains Market NY Working Capital	ESD	Market New York	\$490,000.00	\$702,600.00	\$0					
64892	Hudson Valley Center for Innovation Inc.	Accel7 (formerly iCANny)	ESD	New York State Business Incubator and Innovation Hot Spot Support Program	\$625,000.00	\$1,875,000.00	\$64,415.00					
64996	City of Peekskill	City of Peekskill Microenterprise Program	HCR	HCR - Community Development Block Grant (CDBG) - Microenterprise	\$200,000.00	\$220,000.00	\$200,000.00					
63411	Town of Wawarsing	Town of Wawarsing Napanoch Sewer District Improvements	HCR	HCR - Community Development Block Grant (CDBG) - Public Infrastructure & Public Facilities	\$750,000.00	\$763,400.00	\$734,265.00					
63413	Town of Liberty	Town of Liberty White Sulphur Springs Improvements	HCR	HCR - Community Development Block Grant (CDBG) - Public Infrastructure & Public Facilities	\$745,299.00	\$745,299.00	\$732,814.00					
63414	Village of New Paltz	Village of New Paltz Sanitary Sewer Improvements	HCR	HCR - Community Development Block Grant (CDBG) - Public Infrastructure & Public Facilities	\$750,000.00	\$757,430.00	\$750,000.00					
66998	City of Peekskill	City of Peekskill Hollowbrook Pump Station	HCR	HCR - Community Development Block Grant (CDBG) - Public Infrastructure & Public Facilities	\$750,000.00	\$787,500.00	\$750,000.00					
67903	Town of Ulster	Town of Ulster Katrine Lane Sewer Extension	HCR	HCR - Community Development Block Grant (CDBG) - Public Infrastructure & Public Facilities	\$750,000.00	\$788,950.00	\$750,000.00					
65010	Safe Harbors of the Hudson	Ritz Theater Stabilization Project	HCR	HCR - New York Main Street (NYMS)	\$500,000.00	\$675,000.00	\$500,000.00					
64553	Poughkeepsie-Highland Railroad Bridge Company, Inc.	Walkway East Entranceway Visitor Improvement Project	Parks	Environmental Protection Fund: Parks, Preservation and Heritage Grants	\$500,000.00	\$2,500,000.00	\$500,000.00					
65663	Boys & Girls Club of Newburgh	Center for Arts and Education	Parks	Environmental Protection Fund: Parks, Preservation and Heritage Grants	\$500,000.00	\$785,660.00	\$450,000.00					
66143	Family Services, Inc.	Family Partnership Center's Urban Park	Parks	Environmental Protection Fund: Parks, Preservation and Heritage Grants	\$422,576.00	\$557,685.00	\$254,742.00					
66453	County of Sullivan	Sullivan County OW Rail Trail Project	Parks	Environmental Protection Fund: Parks, Preservation and Heritage Grants	\$127,000.00	\$204,250.00	\$127,000.00					
67330	The Beacon Institute, Inc.	Dennings Point Renovation	Parks	Environmental Protection Fund: Parks, Preservation and Heritage Grants	\$500,000.00	\$1,670,103.00	\$500,000.00					

Project is complete

Project is progressing more slowly than anticipate Project is progressing more slowly than anticipated

Project is on schedule

Project concerns need to be resolved

Project canceled or funding declined

CFA#	Applicant Name	Project Name	Agency	Program	Award Amount	Total Project Cost	Funds Disbursed
68355	County of Ulster	Ashokan Rail Trail	Parks	Environmental Protection Fund: Parks, Preservation and Heritage Grants	\$500,000.00	\$5,895,000.00	\$500,000.00
64793	Harlem Valley Rail Trail Association, Inc.	Harlem Valley Rail Trail Outdoor Classroom	Parks	Environmental Protection Fund: Parks, Preservation and Heritage Grants	\$97,000.00	\$200,000.00	\$7,500.00
65689	New York-New Jersey Trail Conference	Final Planning Phase of the Bear Mountain Trails Project	Parks	Environmental Protection Fund: Parks, Preservation and Heritage Grants	\$47,720.00	\$95,440.00	\$30,095.00
65734	City of Kingston	Kingston Point Park Infrastructure Improvements	Parks	Environmental Protection Fund: Parks, Preservation and Heritage Grants	\$300,000.00	\$684,260.00	\$0
65737	City of Kingston	Kingston Point Rail Trail Enhancements	Parks	Environmental Protection Fund: Parks, Preservation and Heritage Grants	\$500,000.00	\$693,242.00	\$0
65603	Village of New Paltz	Mill Brook Preserve Development	Parks	Environmental Protection Fund: Parks, Preservation and Heritage Grants	\$317,997.00	\$1,038,997.00	\$0
67421	Town of Rockland	Livingston Manor Riverwalk	Parks	Environmental Protection Fund: Parks, Preservation and Heritage Grants	\$305,000.00	\$759,306.00	\$0

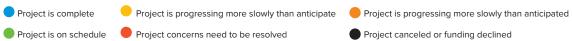
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CFA#	Applicant Name	Project Name	Agency	Program	Award Amount	Total Project Cost	Funds Disbursed
72556	Cornell Cooperative Extension Dutchess County	Hudson Valley New York State Grown Certified Program Administration	Ag and Markets	NY Grown & Certified Agricultural Producers Grant Program	\$500,000.00	\$500,000.00	\$0
76543	Westchester Arts Council	Public Art on the Bridge	Arts	Council on the Arts - Arts and Cultural Impact Programming (Round 7)	\$75,000.00	\$161,000.00	\$0
72227	Dutchess County Art Association, Inc.	Administrative Workforce Expansion	Arts	Council on the Arts - Workforce Investment (Round 7)	\$25,000.00	\$31,250.00	\$25,000.00
73237	Shandaken Theatrical Society Inc.	Administrative Workforce Expansion	Arts	Council on the Arts - Workforce Investment (Round 7)	\$32,600.00	\$43,530.00	\$0
73499	Starling Productions, Inc.	Administrative Workforce Expansion	Arts	Council on the Arts - Workforce Investment (Round 7)	\$45,000.00	\$60,000.00	\$0
75742	The Picture House Regional Film Center, Inc.	Artistic Workforce Expansion	Arts	Council on the Arts - Workforce Investment (Round 7)	\$27,000.00	\$33,750.00	\$0
74430	Mill Street Loft	Workforce Training and Development Expansion	Arts	Council on the Arts - Workforce Readiness (Round 7)	\$52,100.00	\$71,437.00	\$0
75003	Hudson Valley Shakespeare Festival, Inc.	Workforce Training and Development Expansion	Arts	Council on the Arts - Workforce Readiness (Round 7)	\$75,000.00	\$208,333.00	\$0
72844	Village of Dobbs Ferry	Construction of Community Warming/Cooling/Charging Center	DEC	2017 Climate Smart Communities Grants	\$306,656.00	\$766,640.00	\$306,656.00
75105	Village of Rhinebeck	Flood proofing for Rhinebeck Low Lift Pump Station	DEC	2017 Climate Smart Communities Grants	\$32,500.00	\$65,000.00	\$25,798.78
75943	Village of Irvington	Culvert Right-sizing for Hudson View Park Flood Mitigation	DEC	2017 Climate Smart Communities Grants	\$299,317.00	\$748,292.00	\$284,351.15
73447	City of New Rochelle	Construction of New Rochelle Complete Streets Phase II	DEC	2017 Climate Smart Communities Grants	\$804,350.00	\$1,608,796.00	\$0
74492	Town of Greenburgh	Implementation of Food Scrap Recycling Drop-off Facilities	DEC	2017 Climate Smart Communities Grants	\$30,000.00	\$60,000.00	\$0
74633	Town of Ossining	Ossining Climate and Public Health Policy Updates	DEC	2017 Climate Smart Communities Grants	\$70,100.00	\$140,200.00	\$0
74751	Town of Pleasant Valley	Pleasant Valley Town Hall Relocation out of Flood Zone	DEC	2017 Climate Smart Communities Grants	\$867,753.00	\$2,300,000.00	\$0
74790	City of Kingston	Kingston Organic Waste Management Plan	DEC	2017 Climate Smart Communities Grants	\$62,960.00	\$126,051.00	\$24,123.11
74816	City of Kingston	Design and Construction of Franklin Street Complete Streets Features	DEC	2017 Climate Smart Communities Grants	\$750,000.00	\$1,500,000.00	\$15,894.50
74823	Sullivan County	Sullivan County Organic Waste Management Plan	DEC	2017 Climate Smart Communities Grants	\$77,500.00	\$155,000.00	\$43,667.89
75808	Town of Orangetown	Construction of Sparkill Creek/ Route 303 Culvert	DEC	2017 Climate Smart Communities Grants	\$220,000.00	\$445,000.00	\$0
75997	Ulster County	Ulster County Vulnerability Assessment and Municipal Support	DEC	2017 Climate Smart Communities Grants	\$84,000.00	\$171,677.00	\$17,959.44
76123	Village of Mamaroneck	Comprehensive Plan Update with Sustainability Focus	DEC	2017 Climate Smart Communities Grants	\$37,500.00	\$75,000.00	\$0

	ROUND 7												
CFA#	Applicant Name	Project Name	Agency	Program	Award Amount	Total Project Cost	Funds Disbursed						
73542	Town of New Paltz	Building Two Cool Bot Refrigerated Sheds for Food Recovery	DEC	2017 Climate Smart Communities Grants	\$17,190.00	\$17,190.00	\$0						
76196	Westchester County	Planning and Design of a Flood Warning System	DEC	2017 Climate Smart Communities Grants	\$200,000.00	\$400,000.00	\$-						
74313	Town of Delaware	Calicoon Wastewater Treatment Plant Relocation	DEC	Engineering Planning Grant Program	\$30,000.00	\$37,500.00	\$30,000.00						
74775	Town of Rosendale	Rosendale Hamlet Inflow and Infiltration Mitigation Project	DEC	Engineering Planning Grant Program	\$100,000.00	\$121,598.00	\$100,000.00						
75384	Town of Fallsburg	Mountaindale Wastewater Treatment Plant Collection System Planning Initiative	DEC	Engineering Planning Grant Program	\$30,000.00	\$36,000.00	\$30,000.00						
75482	Village of Ellenville	Central Corridors Inflow and Infiltration Study	DEC	Engineering Planning Grant Program	\$30,000.00	\$36,000.00	\$26,258.54						
76549	Village of Millerton	Village of Millerton Wastewater Study	DEC	Engineering Planning Grant Program	\$18,800.00	\$22,600.00	\$15,199.92						
75902	Town of Poughkeepsie	Spackenkill Pump Station	DEC	Engineering Planning Grant Program	\$100,000.00	\$120,000.00	\$50,000.00						
72516	Town of Delaware	Salt Shed	DEC	Water Quality Improvement Project (WQIP) Program	\$393,995.00	\$693,660.00	\$0						
74015	Orange County Water Authority	City of Newburgh Water Supply Land Acquisition and Restoration Program	DEC	Water Quality Improvement Project (WQIP) Program	\$1,723,870.00	\$2,308,110.00	\$0						
74214	Winnakee Land Trust	Saw Kill Land Acquisition	DEC	Water Quality Improvement Project (WQIP) Program	\$1,214,650.00	\$1,714,650.00	\$303,662.50						
74253	Town of Patterson	Veterans Park Stormwater Project	DEC	Water Quality Improvement Project (WQIP) Program	\$146,250.00	\$195,000.00	\$0						
75403	Town of Fallsburg	Loch Sheldrake Wastewater Treatment Plant Phase 3 Improvement Project	DEC	Water Quality Improvement Project (WQIP) Program	\$110,500.00	\$130,000.00	\$110,000.00						
75584	Dutchess Land Conservancy	Land Acquisition for Source Water Protection in Dutchess County	DEC	Water Quality Improvement Project (WQIP) Program	\$982,290.00	\$1,337,290.00	\$245,572.50						
76043	City of Middletown	Land Acquisition Project for Source Water Protection	DEC	Water Quality Improvement Project (WQIP) Program	\$3,056,565.00	\$4,075,420.00	\$0						
76081	Town of Poughkeepsie	Mapping Program	DEC	Water Quality Improvement Project (WQIP) Program	\$28,063.00	\$35,079.00	\$26,213.17						
76444	City of Middletown	Municipal Separate Storm Sewer System Comprehensive System Mapping (GIS)	DEC	Water Quality Improvement Project (WQIP) Program	\$128,887.00	\$171,850.00	\$0						
75652	Town of Highland	Salt Shed	DEC	Water Quality Improvement Project (WQIP) Program	\$624,750.00	\$833,000.00	\$0						
72397	Praxair Surface Technologies, Inc.	Lean Manufacturing Skills Training	DOL	Existing Employee Training Program	\$66,000.00	\$152,068.00	\$66,000.00						
72578	NYSARC Inc, Westchester County Chapter	Direct Support Professional Training	DOL	Existing Employee Training Program	\$80,900.00	\$132,260.00	\$73,717.17						
74079	Konica Minolta Supplies Manufacturing U.S.A., Inc.	Lean Manufacturing Skills Training	DOL	Existing Employee Training Program	\$48,400.00	\$97,937.00	\$48,400.00						
74258	Shop-Rite Supermarkets, Inc.	Food Safety Leadership Training	DOL	Existing Employee Training Program	\$100,000.00	\$238,969.00	\$96,739.65						
74613	Ferncliff Nursing Home Company, Inc.	CNA Training	DOL	Unemployed Worker Training Program	\$72,302.00	\$72,302.00	\$61,421.38						
76028	Westhab, Inc.	Food Preparation, Electrical Security Skills Training	DOL	Unemployed Worker Training Program	\$97,386.00	\$97,386.00	\$93,160.00						
75574	Roscoe Central School District	Roscoe, Downsville and Livingston Manor School District Shared Services Study	DOS	Local Government Efficiency Program	\$37,500.00	\$75,000.00	\$0						
76374	Rockland County	Rockland County Shared Services Program	DOS	Local Government Efficiency Program	\$25,000.00	\$50,000.00	\$0						

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CFA#	Applicant Name	Project Name	Agency	Program	Award Amount	Total Project Cost	Funds Disbursed
74424	Highland Falls (V)	Highland Falls Waterfront Revitalization Strategy	DOS	Local Waterfront Revitalization Program	\$56,250.00	\$75,000.00	\$48,862.50
72767	Cortlandt (T)	Local Waterfront Revitalization Program	DOS	Local Waterfront Revitalization Program	\$250,000.00	\$333,333.00	\$64,138.12
72903	Sleepy Hollow (V)	Headless Horseman Statue Island Improvements	DOS	Local Waterfront Revitalization Program	\$195,000.00	\$260,000.00	\$0
75500	Port Chester (V)	Byram River Bulkhead Replacement	DOS	Local Waterfront Revitalization Program	\$1,167,558.00	\$5,047,308.00	\$0
76248	Newburgh (C)	Newburgh Landing Pier Final Design	DOS	Local Waterfront Revitalization Program	\$280,000.00	\$329,412.00	\$0
74322	Town of Clarkstown	West Nyack Hamlet Green Revitalization	EFC	Green Innovation Grant Program	\$1,050,000.00	\$1,200,000.00	\$0
72012	Montefiore Nyack Hospital	Montefiore Nyack Hospital Capital	ESD	Empire State Development Grant Funds	\$340,000.00	\$1,764,440.00	\$340,000.00
76394	Efco Products, Inc.	EFCO Products Capital	ESD	Empire State Development Grant Funds	\$550,000.00	\$2,750,000.00	\$0
73695	Kolmar Laboratories Inc	Kolmar Laboratories Capital	ESD	Empire State Development Grant Funds	\$500,000.00	\$2,500,000.00	\$0
75286	Tuxedo Hudson Management LLC	Tuxedo Sloatsburg Corridor Revitalization Phase II	ESD	Empire State Development Grant Funds	\$1,000,000.00	\$9,438,290.00	\$500,000.00
72154	Town of Hyde Park	Town of Hyde Park Capital	ESD	Empire State Development Grant Funds	\$1,500,000.00	\$14,050,000.00	\$0
72820	Hudson Valley Beverage Co. Inc.	Hudson Valley Beverage Co. Capital	ESD	Empire State Development Grant Funds	\$400,000.00	\$2,601,260.00	\$300,000.00
73000	Dutchess County	Dutchess County Capital	ESD	Empire State Development Grant Funds	\$1,500,000.00	\$11,945,000.00	\$0
73140	Seminary Hill Ciders	Seminary Hill Ciders Capital	ESD	Empire State Development Grant Funds	\$400,000.00	\$2,600,915.00	\$0
73396	City of New Rochelle	New Rochelle Green Parking Garage Capital	ESD	Empire State Development Grant Funds	\$500,000.00	\$9,610,000.00	\$0
73649	Schatz Bearing Corporation	Schatz Bearing RC7	ESD	Empire State Development Grant Funds	\$600,000.00	\$3,015,436.00	\$0
73829	Mount Saint Mary College	Mount Saint Mary College Capital	ESD	Empire State Development Grant Funds	\$500,000.00	\$3,856,442.00	\$0
75653	JM Development Group LLC	The Kingstonian Capital RC7	ESD	Empire State Development Grant Funds	\$2,000,000.00	\$51,878,217.00	\$0
75824	Kraftify LLC	Drowned Lands Brewery Capital	ESD	Empire State Development Grant Funds	\$390,000.00	\$2,800,000.00	\$270,000.00
75887	RUPCO, Inc.	RUPCO- Stockade Works Capital	ESD	Empire State Development Grant Funds	\$242,500.00	\$1,285,472.00	\$0
75904	Columbia University	Columbia University LINAC Capital	ESD	Empire State Development Grant Funds	\$400,000.00	\$2,000,000.00	\$0
76608	North Street Brick Works, LLC	North Street Brick Works Capital	ESD	Empire State Development Grant Funds	\$832,445.00	\$4,718,370.00	\$0
76755	The Bowery of Port Chester LLC	The Bowery Brewery Capital	ESD	Empire State Development Grant Funds	\$1,700,000.00	\$17,000,000.00	\$0
73304	Putnam County	Southeast Sewer Water Infrastructure Capital	ESD	Empire State Development Grant Funds	\$1,147,500.00	\$12,000,000.00	\$0
73990	Cambridge Security Seals, LLC	Cambridge Security Seals Capital	ESD	Empire State Development Grant Funds	\$730,000.00	\$3,650,000.00	\$0
74971	The Plant Powerhouse, LLC	The Plant Powerhouse Capital	ESD	Empire State Development Grant Funds	\$1,000,000.00	\$10,000,000.00	\$0
73966	USAI, LLC	USAI Expansion Phase 2	ESD	Empire State Development Grant Funds	\$720,000.00	\$6,527,360.00	\$0
74811	The Antrim, LLC	The Antrim Lodge Capital	ESD	Empire State Development Grant Funds	\$800,000.00	\$11,000,000.00	\$0
73191	Hudson River Fruit Distributors	Hudson River Fruit Distributors Capital	ESD	Empire State Development Grant Funds	\$180,000.00	\$2,250,000.00	\$0
74003	Chartwell Pharmaceuticals	Chartwell Pharmaceuticals Capital	ESD	Empire State Development Grant Funds	\$700,000.00	\$53,400,000.00	\$0
76943	VITS International Inc.	VITS International Capital	ESD	Empire State Development Grant Funds	\$400,000.00	\$2,850,000.00	\$0

	ROUND 7											
CFA#	Applicant Name	Project Name	Agency	Program	Award Amount	Total Project Cost	Funds Disbursed					
74298	Pace University	MidHudson Municipal Redevelopment Readiness	ESD	ESD - Strategic Planning and Feasibility Studies	\$40,000.00	\$80,000.00	\$40,000.00					
76459	Hudson Valley Pattern for Progress	Mid-Hudson Pattern for Progress Working Capital	ESD	ESD - Strategic Planning and Feasibility Studies	\$60,000.00	\$160,000.00	\$60,000.00					
76950	Contract Packaging Services, Inc.	Contract Packaging Services Excelsior RC7	ESD	Excelsior Jobs Program	\$695,000.00	\$4,250,000.00	\$0					
72820	Hudson Valley Beverage Co. Inc.	Hudson Valley Beverage Co. Capital	ESD	Excelsior Jobs Program	\$165,000.00	\$2,601,260.00	\$0					
75755	MEDIPRINT LLC	Mediprint Excelsior	ESD	Excelsior Jobs Program	\$1,200,000.00	\$3,650,000.00	\$0					
73966	USAI, LLC	USAI Expansion Phase 2	ESD	Excelsior Jobs Program	\$300,000.00	\$6,527,360.00	\$0					
76943	VITS International Inc.	VITS International Excelsior	ESD	Excelsior Jobs Program	\$464,000.00	\$2,850,000.00	\$0					
74305	Dutchess Tourism, Inc.	Dutchess Tourism, Inc. Working Capital	ESD	Market New York	\$121,875.00	\$162,500.00	\$121,875.00					
75207	Garnerville Arts Project, Inc. d/b/a Garner Arts Center	GARNER Arts Center Working Capital	ESD	Market New York	\$120,375.00	\$160,500.00	\$120,375.00					
73140	Seminary Hill Ciders	Seminary Hill Cidery Capital	ESD	Market New York	\$500,000.00	\$2,600,915.00	\$0					
71828	Leentjes Amusements Corp. D/B/A The Castle Fun Center	Castle Fun Center Capital	ESD	Market New York	\$500,000.00	\$2,530,000.00	\$0					
71968	The Bethel Performing Arts Center	50th Anniversary of Woodstock Special Event Working Capital (Bethel Woods)	ESD	Market New York	\$689,063.00	\$995,313.00	\$393,607.00					
73239	Open Space Institute, Inc.	Minnewaska Visitor Center Capital	ESD	Market New York	\$150,000.00	\$3,817,296.00	\$0					
75640	THOMAS MARTINELLI CONSULTING INC. d/b/a Martinelli Custom Publishing	Martinelli Custom Publishing Working Capital	ESD	Market New York	\$65,625.00	\$87,500.00	\$0					
75824	Kraftify LLC	Drowned Lands Brewery Capital	ESD	Market New York	\$150,000.00	\$2,800,000.00	\$135,000.00					
74811	The Antrim, LLC	The Antrim Lodge Capital	ESD	Market New York	\$800,000.00	\$11,000,000.00	\$0					
74207	The Biotechnology Incubator at NYMC Inc.	BioIncNYMC - Mid-Hudson Innovation Hot Spot	ESD	New York State Innovation Hot Spot Support Program	\$1,250,000.00	\$4,138,000.00	\$623,265.00					
72868	Music Conservatory of Westchester	Music Conservatory of Westchester Facilities Improvement and Upgrades	ESD-Arts	Arts & Cultural Facilities Improvement Program	\$500,000.00	\$1,000,000.00	\$0					
74554	The Campaign for the Westchester Children's Museum, Inc.	Westchester Children's Museum Facilities Expansion and Improvement Project	ESD-Arts	Arts & Cultural Facilities Improvement Program	\$824,000.00	\$1,648,664.00	\$0					
76877	Dia Center for the Arts, Inc. dba Dia Art Foundation	DiaBeacon Facilities Improvement	ESD-Arts	Arts & Cultural Facilities Improvement Program	\$760,000.00	\$1,519,873.00	\$0					
74484	Town of Rye	Town of Rye Engineering Study	HCR	HCR - Community Development Block Grant (CDBG) - Community Planning	\$45,000.00	\$95,000.00	\$45,000.00					
72228	Town of Wawarsing	Town of Wawarsing Sewer Infrastructure Improvements	HCR	HCR - Community Development Block Grant (CDBG) - Public Infrastructure & Public Facilities	\$750,000.00	\$848,800.00	\$750,000.00					
72229	Town of Liberty	Town of Liberty White Sulphur Springs Water District Improvements	HCR	HCR - Community Development Block Grant (CDBG) - Public Infrastructure & Public Facilities	\$748,900.00	\$748,900.00	\$565,528.00					
76204	Town of Ulster	Town of Ulster Spring Lake Sewer District Improvement	HCR	HCR - Community Development Block Grant (CDBG) - Public Infrastructure & Public Facilities	\$750,000.00	\$803,500.00	\$559.00					
73460	Sullivan County	Broadway Revitalization Initiative	HCR	HCR - New York Main Street (NYMS)	\$300,000.00	\$1,043,000.00	\$35,580.00					
73799	Poughkeepsie-Highland Railroad Bridge Company, Inc.	Walkway East Entranceway Visitor Improvement Project	Parks	Environmental Protection Fund: Parks, Preservation and Heritage Grants	\$500,000.00	\$3,120,131.00	\$500,000.00					
72450	Safe Harbors of the Hudson, Inc.	Ritz Theater Rehabilitation Project	Parks	Environmental Protection Fund: Parks, Preservation and Heritage Grants	\$500,000.00	\$667,000.00	\$52,045.00					

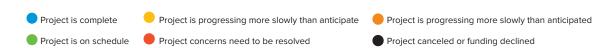


Project canceled or funding declined

CFA#	Applicant Name	Project Name	Agency	Program	Award Amount	Total Project Cost	Funds Disbursed
72565	Mohonk Preserve, Inc.	Stabilizing the Historic Testimonial Gateway Tower	Parks	Environmental Protection Fund: Parks, Preservation and Heritage Grants	\$200,000.00	\$400,000.00	\$0
73239	Open Space Institute, Inc.	Minnewaska Visitor Center	Parks	Environmental Protection Fund: Parks, Preservation and Heritage Grants	\$500,000.00	\$3,817,296.00	\$0
73814	Bethel Woods Center for the Arts	Planning Preservation Improvements to the Historic 1969 Woodstock Festival Site	Parks	Environmental Protection Fund: Parks, Preservation and Heritage Grants	\$36,950.00	\$73,903.00	\$15,586.00
76255	Open Space Institute	Wallkill Valley Rail Trail Improvements - Northern Extension	Parks	Environmental Protection Fund: Parks, Preservation and Heritage Grants	\$459,300.00	\$920,200.00	\$0
74340	Town of Fishkill	Breakneck Connector Phase 2	Parks	Environmental Protection Fund: Parks, Preservation and Heritage Grants	\$200,000.00	\$740,000.00	\$0
75017	Town of Lloyd	Hudson Valley Rail Trail Restoration Project	Parks	Recreational Trails Program	\$196,500.00	\$255,100.00	\$176,850.00
74982	City of Kingston	Kingston Waterfront Empire State Trail Improvements	Parks	Recreational Trails Program	\$178,000.00	\$250,000.00	\$0
76007	New York-New Jersey Trail Conference	Harriman-Bear Mountain Trails Improvement Project	Parks	Recreational Trails Program	\$157,040.00	\$201,300.00	\$443.00

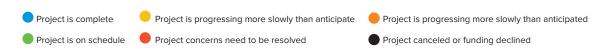
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CFA#	Applicant Name	Project Name	Agency	Program	Award Amount	Total Project Cost	Funds Disbursed
81848	Jacob Burns Film Center	Jacob Burns Film Center Capital Improvements Project	Arts	Council on the Arts - Arts & Cultural Facilities Improvement Program (Round 8) - Large Capital Project Fund	\$506,500.00	\$1,406,959.00	\$0
84654	Boys & Girls Club of Newburgh, Inc.	Center for Arts and Education	Arts	Council on the Arts - Arts & Cultural Facilities Improvement Program (Round 8) - Large Capital Project Fund	\$720,000.00	\$6,205,377.00	\$0
67096	National Trust for Historic Preservation in the US	Defying Labels	Arts	Council on the Arts - Arts and Cultural Impact Programming (Round 8)	\$35,000.00	\$217,000.00	\$0
80462	Wassaic Project	NYSCA 2018 - Arts and Cultural Impact Programming	Arts	Council on the Arts - Arts and Cultural Impact Programming (Round 8)	\$48,275.00	\$102,725.00	\$0
83092	Westchester Arts Council, Inc.	Amanda Browder at ArtsWestchester, Public Art in White Plains	Arts	Council on the Arts - Arts and Cultural Impact Programming (Round 8)	\$49,500.00	\$201,000.00	\$0
82726	Center for Creative Education	Workforce Expansion	Arts	Council on the Arts - Workforce Investment (Round 8)	\$42,000.00	\$320,000.00	\$0
83323	The Woodstock School of Art, Inc.	Workforce Training and Development Programs	Arts	Council on the Arts - Workforce Readiness (Round 8)	\$30,000.00	\$40,444.00	\$0
84792	Town of Philipstown	Consumption Based GHG Emissions Inventory	DEC	2018 Climate Smart Communities Grants	\$9,670.00	\$19,670.00	\$0
80041	Town of Philipstown	Town of Philipstown Climate Smart Communities Campaign	DEC	2018 Climate Smart Communities Grants	\$6,000.00	\$12,000.00	\$0
80487	Sullivan County DPW	Hamlet of Kohlertown Flood Risk Reduction Project	DEC	2018 Climate Smart Communities Grants	\$934,084.00	\$2,018,170.00	\$10,654.14
81009	City of Kingston	City of Kingston Pedestrian and Bicycle Master Plan	DEC	2018 Climate Smart Communities Grants	\$60,000.00	\$120,000.00	\$0
81495	Town of Dover	Climate Smart Sustainability Update to Comprehensive Plan	DEC	2018 Climate Smart Communities Grants	\$14,723.00	\$29,446.00	\$4,750.00
82407	City of Kingston	Safe and Accessible Flatbush and Foxhall Avenues	DEC	2018 Climate Smart Communities Grants	\$772,752.00	\$1,545,505.00	\$0
82417	Town of Poughkeepsie	Comprehensive Plan Update with Sustainability Elements	DEC	2018 Climate Smart Communities Grants	\$45,000.00	\$106,537.00	\$27,359.20
83063	Town of Ossining	Climate Smart Communities Certification Actions	DEC	2018 Climate Smart Communities Grants	\$100,000.00	\$200,719.00	\$0
83416	Town of Fallsburg	Mongaup Road Culvert Right Sizing	DEC	2018 Climate Smart Communities Grants	\$168,713.00	\$337,426.00	\$0
84285	Town of Amenia	Town of Amenia Climate Smart Community Certification Actions	DEC	2018 Climate Smart Communities Grants	\$17,208.00	\$34,416.00	\$0
84432	Town of North East	Climate Smart Community Certification Actions	DEC	2018 Climate Smart Communities Grants	\$29,708.00	\$59,416.00	\$0
84656	Village of Port Chester	Climate Change Vulnerability Assessment and Adaptation Plan	DEC	2018 Climate Smart Communities Grants	\$50,000.00	\$100,000.00	\$0
81161	Town of Rockland	Livingston Manor Sewage Treatment Plant Disinfection Study	DEC	Engineering Planning Grant Program	\$24,960.00	\$30,000.00	\$24,960.00

	ROUND 8										
CFA#	Applicant Name	Project Name	Agency	Program	Award Amount	Total Project Cost	Funds Disbursed				
83601	Town of Thompson	Town of Thompson Sackett Lake Sewer District Collection System Study	DEC	Engineering Planning Grant Program	\$100,000.00	\$120,000.00	\$100,000.00				
83702	Town of Thompson	Town of Thompson Kiamesha Lake Sewer District Improvements Study	DEC	Engineering Planning Grant Program	\$30,000.00	\$37,500.00	\$30,000.00				
83793	Village of Ellenville	Village of Ellenville Nevele Area Sanitary Sewer Study	DEC	Engineering Planning Grant Program	\$30,000.00	\$36,000.00	\$25,598.62				
83064	Town of New Windsor	Town of New Windsor Infiltration and Inflow Study	DEC	Engineering Planning Grant Program	\$100,000.00	\$120,000.00	\$50,000.00				
83717	Village of Wappingers Falls	Village of Wappingers Falls Grease Traps Study	DEC	Engineering Planning Grant Program	\$30,000.00	\$36,000.00	\$15,000.00				
84350	Village of Maybrook	Village of Maybrook Wastewater Treatment Plant Disinfection Study	DEC	Engineering Planning Grant Program	\$20,000.00	\$24,000.00	\$10,000.00				
81365	Village of Sleepy Hollow	Fremont Pond Water Quality Improvement	DEC	Water Quality Improvement Project (WQIP) Program	\$292,487.00	\$389,983.00	\$0				
81538	Rockland County Soil and Water Conservation District	Rockland County MS4 Mapping	DEC	Water Quality Improvement Project (WQIP) Program	\$400,000.00	\$500,000.00	\$100,000.00				
81813	Town of Bedford	Bedford Hills and Katonah Sewer Project	DEC	Water Quality Improvement Project (WQIP) Program	\$1,000,000.00	\$16,134,000.00	\$0				
81959	Westchester Land Trust, Inc.	Indian Brook Reservoir Land Acquisition for Source Water Protection Project	DEC	Water Quality Improvement Project (WQIP) Program	\$475,000.00	\$648,482.00	\$0				
82860	City of Kingston	City of Kingston Hasbrouck Combined Sewer Overflow Improvements Phase 1	DEC	Water Quality Improvement Project (WQIP) Program	\$600,000.00	\$791,319.00	\$0				
83126	City of Port Jervis	City of Port Jervis Land Acquisition for Source Water Protection Program	DEC	Water Quality Improvement Project (WQIP) Program	\$1,882,500.00	\$2,692,000.00	\$720,625.00				
83858	City of Middletown	City of Middletown Land Acquisition for Source Water Protection Project	DEC	Water Quality Improvement Project (WQIP) Program	\$2,999,356.00	\$3,999,142.00	\$0				
84451	Town of Wallkill	Town of Wallkill Hulse Avenue Sanitary Sewer Overflow Elimination	DEC	Water Quality Improvement Project (WQIP) Program	\$210,000.00	\$280,000.00	\$0				
84485	Town of Poughkeepsie	Town of Poughkeepsie MS4 Vacuum Truck	DEC	Water Quality Improvement Project (WQIP) Program	\$310,671.00	\$414,229.00	\$0				
84646	Village of Port Chester	Village of Port Chester Sanitary Sewer Overflow Improvements	DEC	Water Quality Improvement Project (WQIP) Program	\$506,000.00	\$1,265,000.00	\$0				
83708	City of Rye	City of Rye Sanitary Sewer Overflow Improvements	DEC	Water Quality Improvement Project (WQIP) Program	\$3,964,500.00	\$5,286,000.00	\$0				
80340	Greyston Bakery Inc.	Lean Manufacturing Training	DOL	Existing Employee Training Program	\$50,500.00	\$78,905.00	\$14,000.00				
81043	Shop-Rite Supermarkets, Inc.	Leadership and Food Safety Trainings	DOL	Existing Employee Training Program	\$99,994.00	\$245,518.00	\$27,800.80				
81817	Chromalloy Gas Turbine LLC	Lean Manufacturing Training	DOL	Existing Employee Training Program	\$89,000.00	\$255,395.00	\$0				
82893	901 D, LLC	Lean Manufacturing Training	DOL	Existing Employee Training Program	\$32,000.00	\$77,463.00	\$0				
83292	Jawonio	Workforce Development Training	DOL	Unemployed Worker Training Program	\$100,000.00	\$100,000.00	\$52,908.65				
84610	Westchester-Putnam Workforce Development Board	Westchesters Promise	DOL	Unemployed Worker Training Program	\$100,000.00	\$150,000.00	\$7,010.00				
83142	Roscoe Central School District	Three School District Shared Services Implementation	DOS	Local Government Efficiency Program	\$594,000.00	\$660,000.00	\$0				
83908	Rockland County Sewer District	County Sewer System Consolidation Study	DOS	Local Government Efficiency Program	\$62,500.00	\$200,000.00	\$0				
80656	Esopus (T)	Town of Esopus Local Waterfront Revitalization Program Amendment	DOS	Local Waterfront Revitalization Program	\$75,688.00	\$89,045.00	\$0				



CFA#	Applicant Name	Project Name	Agency	Program	Award Amount	Total Project Cost	Funds Disbursed
81185	Westchester (Co)	Bronx River Watershed Management Plan Update	DOS	Local Waterfront Revitalization Program	\$245,428.00	\$327,238.00	\$0
82208	Tivoli (V)	Shoreline Stabilization of Tivoli Waterfront	DOS	Local Waterfront Revitalization Program	\$343,500.00	\$458,000.00	\$8,215.24
83017	Haverstraw (V)	Village of Haverstraw Hudson River Shoreline Improvements	DOS	Local Waterfront Revitalization Program	\$262,500.00	\$350,000.00	\$0
83373	Tivoli (V)	Village of Tivoli Local Waterfront Revitalization Program Amendment	DOS	Local Waterfront Revitalization Program	\$49,875.00	\$66,500.00	\$0
83399	Nyack (V)	Memorial Park, Village Marina Connection & Waterfront Pathway	DOS	Local Waterfront Revitalization Program	\$401,700.00	\$10,252,500.00	\$50,000.00
83471	Piermont (V)	Zoning and Building Code Update	DOS	Local Waterfront Revitalization Program	\$60,000.00	\$83,430.00	\$0
80499	City of Poughkeepsie	Stormwater Management Parking Lot Project	EFC	Green Innovation Grant Program	\$1,200,000.00	\$1,390,000.00	\$0
82859	City of Kingston	Midtown Parking Lot Green Infrastructure Project	EFC	Green Innovation Grant Program	\$1,200,000.00	\$1,398,600.00	\$0
82179	City of Yonkers	Saw Mill River Daylighting Phase 4	EFC	Green Innovation Grant Program	\$1,250,000.00	\$13,750,000.00	\$0
80700	City Winery Hudson Valley LLC	City Winery Hudson Valley Capital	ESD	Empire State Development Grant Funds	\$832,000.00	\$9,727,300.00	\$832,000.00
84604	FALA Technologies Inc	FALA Technoligies Toolmaker Equipment Capital	ESD	Empire State Development Grant Funds	\$30,000.00	\$187,266.00	\$30,000.00
80890	The Thornwillow Institute	Thornwillow Makers Village	ESD	Empire State Development Grant Funds	\$320,000.00	\$1,600,000.00	\$0
83746	Opportunity Poughkeepsie I, LLC	Academy Street Market	ESD	Empire State Development Grant Funds	\$1,118,910.00	\$6,025,000.00	\$0
80421	Huguenot Historical Society, New Paltz, N.Y.	Historic Huguenot Street MSP 2018	ESD	Empire State Development Grant Funds	\$12,000.00	\$60,000.00	\$0
80428	Village of Sleepy Hollow	Sleepy Hollow Commons	ESD	Empire State Development Grant Funds	\$1,580,000.00	\$14,900,048.00	\$0
80510	Regent Hospitality Linen	Regent Mt Vernon Laundry	ESD	Empire State Development Grant Funds	\$250,000.00	\$1,000,000.00	\$0
80854	McNeilly Wood Products	McNeilly Wood Products Facility Expansion	ESD	Empire State Development Grant Funds	\$705,000.00	\$3,750,000.00	\$0
80933	The Center for Discovery, Inc.	The Research Institute for Brain and Body Health Capital	ESD	Empire State Development Grant Funds	\$1,000,000.00	\$4,760,000.00	\$0
80938	Bre & Co.	Bre & Co. Peekskill	ESD	Empire State Development Grant Funds	\$480,000.00	\$2,400,000.00	\$0
81383	Galaxy Limited LLC	Galaxy Business Park	ESD	Empire State Development Grant Funds	\$600,000.00	\$3,084,491.00	\$0
81386	Town of Marlborough	Town of Marlborough Milton Landing Pier Capital	ESD	Empire State Development Grant Funds	\$313,000.00	\$1,568,730.00	\$0
82409	Bethel Woods Center for the Arts	Bethel Woods Art Center Capital	ESD	Empire State Development Grant Funds	\$143,000.00	\$715,000.00	\$0
82457	Creodent Hudson Valley	Creodent Capacity Expansion Capital	ESD	Empire State Development Grant Funds	\$460,000.00	\$2,309,800.00	\$0
82890	Unlimited Tomorrow Inc	Unlimited Tomorrow Expansion	ESD	Empire State Development Grant Funds	\$255,000.00	\$2,905,000.00	\$0
83112	Caraway Tea Company, LLC	Caraway Tea Phase 1 Expansion	ESD	Empire State Development Grant Funds	\$500,000.00	\$2,500,000.00	\$0
83519	River Main LLC	Livingston Manor Main Street Redevelopment Project	ESD	Empire State Development Grant Funds	\$330,000.00	\$1,650,000.00	\$0
84034	Caribbean Food Delights Inc	Caribbean Food Delights Capital	ESD	Empire State Development Grant Funds	\$2,250,000.00	\$23,500,000.00	\$0
84380	Village/Town of Mount Kisco	Mount Kisco Pedestrian Access/ Train Station Improvement	ESD	Empire State Development Grant Funds	\$200,000.00	\$1,000,000.00	\$0
84481	Bread Alone Bakery	Bread Alone Bakery 2019 Expansion Capital	ESD	Empire State Development Grant Funds	\$800,000.00	\$4,380,750.00	\$0
84625	VILLAGE OF PORT CHESTER	Port Chester Waterfront Revitalization Project	ESD	Empire State Development Grant Funds	\$980,000.00	\$4,900,000.00	\$0
80120	Thompson's Ciders LLC	Thompsons Cider Mill Expansion Project	ESD	Empire State Development Grant Funds	\$137,000.00	\$687,660.00	\$0

	ROUND 8										
CFA#	Applicant Name	Project Name	Agency	Program	Award Amount	Total Project Cost	Funds Disbursed				
81327	Wildberry Lodge LLC	The Wildberry Lodge and Spa Capital	ESD	Empire State Development Grant Funds	\$1,250,000.00	\$29,118,128.00	\$0				
81829	North Street Brick Works LLC	Hutton Brickyards Redevelopment ProjectPhase Two	ESD	Empire State Development Grant Funds	\$1,170,000.00	\$12,698,589.00	\$0				
82039	Orange County Community College	Innovation Grand Street	ESD	Empire State Development Grant Funds	\$1,831,000.00	\$9,158,566.00	\$0				
83920	GoPal Farm LLC	GoPal Farm Produce and Creamery Expansion Project	ESD	Empire State Development Grant Funds	\$600,000.00	\$6,810,425.00	\$0				
80555	Greyston Foundation	Greyston Capital Improvements 2018	ESD	Empire State Development Grant Funds	\$1,071,000.00	\$5,396,140.00	\$0				
81137	Ametek Rotron	Ametek Site Improvement Plan 2019/2020	ESD	Empire State Development Grant Funds	\$424,000.00	\$2,348,949.00	\$0				
82160	CYRE, Inc.	CYRE, Inc. Expansion Project	ESD	Empire State Development Grant Funds	\$110,000.00	\$550,000.00	\$0				
83743	Hudson Valley Pattern for Progress	GARNER Historic District Working Capital	ESD	ESD - Strategic Planning and Feasibility Studies	\$40,000.00	\$80,000.00	\$40,000.00				
81702	Village of Maybrook	Maybrook Pedestrian Railroad Tunnel	ESD	ESD - Strategic Planning and Feasibility Studies	\$25,000.00	\$161,000.00	\$0				
82284	Town of Cortlandt	Transit Oriented Development Feasibility Study	ESD	ESD - Strategic Planning and Feasibility Studies	\$77,500.00	\$155,000.00	\$0				
82712	Village of Buchanan	Wastewater Plant Improvement Study	ESD	ESD - Strategic Planning and Feasibility Studies	\$40,000.00	\$125,000.00	\$0				
82890	Unlimited Tomorrow Inc	Unlimited Tomorrow Expansion	ESD	Excelsior Jobs Program	\$645,000.00	\$2,905,000.00	\$0				
84034	Caribbean Food Delights Inc	Caribbean Food Delights Capital	ESD	Excelsior Jobs Program	\$500,000.00	\$23,500,000.00	\$0				
83920	GoPal Farm LLC	GoPal Farm Produce and Creamery Expansion Project	ESD	Excelsior Jobs Program	\$550,000.00	\$6,810,425.00	\$0				
80852	Katz Gluten Free	Katz Gluten Free Capital	ESD	Excelsior Jobs Program	\$300,000.00	\$1,552,500.00	\$0				
81137	Ametek Rotron	Ametek Site Improvement Plan 2019/2020	ESD	Excelsior Jobs Program	\$180,000.00	\$2,348,949.00	\$0				
80825	Arrowood Farms	Arrowood Farms Brewery Expansion Capital	ESD	Market New York	\$360,000.00	\$1,800,112.00	\$360,000.00				
81612	Sullivan County Visitors Association, Inc.	Dove Art Trail Legacy Connector Project Working Capital	ESD	Market New York	\$103,862.00	\$139,062.00	\$103,862.00				
83238	Storm King Art Center	Storm King Art Center Working Capital	ESD	Market New York	\$50,250.00	\$67,000.00	\$43,555.62				
67096	National Trust for Historic Preservation in the United States dba Lyndhurst	Defying Labels: Lyndhurst Marketing Plan	ESD	Market New York	\$87,000.00	\$217,000.00	\$0				
80700	City Winery Hudson Valley LLC	City Winery Hudson Valley, Working Capital	ESD	Market New York	\$240,000.00	\$320,000.00	\$0				
82216	Wolf Conservation Center	Education Pavilion	ESD	Market New York	\$253,800.00	\$1,300,000.00	\$0				
82805	Hudson Valley Tourism Inc.	Discover Hudson Valley Working Capital	ESD	Market New York	\$202,500.00	\$270,000.00	\$108,837.00				
80188	Village of Kiryas Joel	Village of Kiryas Joel Microenterprise Assistance	HCR	HCR - Community Development Block Grant (CDBG) - Microenterprise	\$200,000.00	\$222,200.00	\$200,000.00				
80525	Village of New Paltz	Village of New Paltz Sewer Line Upgrades	HCR	HCR - Community Development Block Grant (CDBG) - Public Infrastructure & Public Facilities	\$750,000.00	\$784,550.00	\$750,000.00				
80612	Town Wawarsing	Town Wawarsing Sewer Line Improvements	HCR	HCR - Community Development Block Grant (CDBG) - Public Infrastructure & Public Facilities	\$750,000.00	\$762,765.00	\$746,859.00				



CFA#	Applicant Name	Project Name	Agency	Program	Award Amount	Total Project Cost	Funds Disbursed
80653	Town of Liberty	Town of Liberty Water System Improvements	HCR	HCR - Community Development Block Grant (CDBG) - Public Infrastructure & Public Facilities	\$749,500.00	\$749,500.00	\$749,500.00
83577	City of Port Jervis	City of Port Jervis Wastewater Improvements	HCR	HCR - Community Development Block Grant (CDBG) - Public Infrastructure & Public Facilities	\$750,000.00	\$955,769.00	\$731,993.00
83911	Village of Ellenville	Village of Ellenville Deteriorated Water Mains and Hydrants Replacement	HCR	HCR - Community Development Block Grant (CDBG) - Public Infrastructure & Public Facilities	\$750,000.00	\$864,000.00	\$750,000.00
81975	Family Services, Inc.	Family Partnership Center Campus Master Plan	HCR	HCR - New York Main Street (NYMS)	\$20,000.00	\$30,000.00	\$20,000.00
81957	RUPCO, Inc.	The Metro Stockade Works & RUPCO Makers Space	HCR	HCR - New York Main Street (NYMS)	\$339,334.00	\$14,228,700.00	\$200,000.00
82684	Peekskill Facilities Development Corporation	Monument Park Neighborhood Project	HCR	HCR - New York Main Street (NYMS)	\$300,000.00	\$585,000.00	\$0
80700	City Winery	City Winery Hudson Valley	NYSERDA	Net Zero Energy for Economic Development	\$805,756.00	\$7,320,000.00	\$120,863.00
82814	MatriArch Development Company	101 Wolfs Lane Mixed Use TOD	NYSERDA	Net Zero Energy for Economic Development	\$1,092,000.00	\$29,560,000.00	\$0
80886	Hudson Highlands Land Trust, Inc.	Putnam Valley Land Acquisition	Parks	Environmental Protection Fund: Parks, Preservation and Heritage Grants	\$250,000.00	\$545,000.00	\$0
83938	Open Space Institute	Minnewaska Visitor Center: Exhibits and Interpretation	Parks	Environmental Protection Fund: Parks, Preservation and Heritage Grants	\$422,480.00	\$563,307.00	\$0
73787	New York-New Jersey Trail Conference	Welch Trail Education Center Improvements	Parks	Environmental Protection Fund: Parks, Preservation and Heritage Grants	\$126,026.00	\$265,861.00	\$0
80395	Mohonk Preserve, Inc.	Lenape Lane Bridge Replacement	Parks	Environmental Protection Fund: Parks, Preservation and Heritage Grants	\$181,500.00	\$270,000.00	\$0
81231	Untermyer Gardens Conservancy, Inc.	Untermyer Gardens Pool Restoration Planning Project	Parks	Environmental Protection Fund: Parks, Preservation and Heritage Grants	\$50,000.00	\$100,000.00	\$15,310.00
81613	Hudson River Maritime Museum	Rondout Riverport Phase 2	Parks	Environmental Protection Fund: Parks, Preservation and Heritage Grants	\$430,000.00	\$666,667.00	\$0
81849	The Poughkeepsie-Highland Railroad Bridge Company, Inc.	Walkway Over the Hudson's Lighting Project	Parks	Environmental Protection Fund: Parks, Preservation and Heritage Grants	\$100,000.00	\$135,000.00	\$24,937.00
83470	Rye Town Park Commission	Rye Town Park Oakland Beach and Bath House ADA Improvements	Parks	Environmental Protection Fund: Parks, Preservation and Heritage Grants	\$300,000.00	\$2,664,518.00	\$0
83567	Bard College	Montgomery Place Mansion Restoration Project	Parks	Environmental Protection Fund: Parks, Preservation and Heritage Grants	\$300,000.00	\$481,957.00	\$0
80518	Winnakee Land Trust	Saw Kill Link Trail Project	Parks	Environmental Protection Fund: Parks, Preservation and Heritage Grants	\$500,000.00	\$1,523,250.00	\$0
84038	Westchester County	Playland Carousel Restoration	Parks	Environmental Protection Fund: Parks, Preservation and Heritage Grants	\$450,000.00	\$5,160,000.00	\$0

	ROUND 9										
CFA#	Applicant Name	Project Name	Agency	Program	Award Amount	Total Project Cost	Funds Disbursed				
89798	Hudson Valley Writers Center	Restore and Modernize Philipse Manor Station Building	Arts	Council on the Arts - Arts & Cultural Facilities Improvement Program (Round 9) - Mid-Size Capital Project Fund	\$75,000.00	\$425,000.00	\$0				
93044	TRANSART Cultural Services Inc.	Burger Matthews Restoration Final Phase	Arts	Council on the Arts - Arts & Cultural Facilities Improvement Program (Round 9) - Mid-Size Capital Project Fund	\$85,000.00	\$233,000.00	\$0				
94886	Stockade Works	Stockade Works Production & Post Production Equipment	Arts	Council on the Arts - Arts & Cultural Facilities Improvement Program (Round 9) - Mid-Size Capital Project Fund	\$145,000.00	\$403,406.00	\$0				
94837	Historic Hudson Valley	The Great Jack O'Lantern Blaze Expansion Project	Arts	Council on the Arts - NY State Arts Impact Awards (Round 9)	\$100,000.00	\$3,534,696.00	\$0				
93214	Woodstock School of Art	Workforce Training and Development Programs	Arts	Council on the Arts - Workforce Fellowship Program (Round 9)	\$15,000.00	\$38,300.00	\$0				
94508	Hudson Valley Shakespeare Festival, Inc.	Workforce Training and Development Programs	Arts	Council on the Arts - Workforce Fellowship Program (Round 9)	\$37,500.00	\$290,908.00	\$0				
91157	Mill Street Loft dba The Art Effect	Workforce Expansion	Arts	Council on the Arts - Workforce Investment (Round 9)	\$56,250.00	\$182,700.00	\$0				

	ROUND 9										
CFA#	Applicant Name	Project Name	Agency	Program	Award Amount	Total Project Cost	Funds Disbursed				
91281	Westechester Arts Council dba ArtsWestchester	Workforce Expansion	Arts	Council on the Arts - Workforce Investment (Round 9)	\$49,650.00	\$208,360.00	\$0				
91771	D&H Canal Historical Society	Workforce Expansion	Arts	Council on the Arts - Workforce Investment (Round 9)	\$20,000.00	\$108,000.00	\$0				
92258	Mid-Hudson Heritage Center dba Fall Kill Creative Works	Workforce Expansion	Arts	Council on the Arts - Workforce Investment (Round 9)	\$25,000.00	\$135,000.00	\$0				
92446	Arm of the Sea Productions Inc.	Workforce Expansion	Arts	Council on the Arts - Workforce Investment (Round 9)	\$18,750.00	\$56,552.00	\$0				
93037	Nesin Cultural Arts, Inc.	Workforce Expansion	Arts	Council on the Arts - Workforce Investment (Round 9)	\$19,500.00	\$58,240.00	\$0				
93676	Arts Mid-Hudson	Workforce Expansion	Arts	Council on the Arts - Workforce Investment (Round 9)	\$18,750.00	\$37,500.00	\$0				
92738	City of Kingston	Green Infrastructure at Dietz Stadium	DEC	2019 Climate Smart Communities Grants	\$1,000,000.00	\$5,250,000.00	\$0				
89640	Village of Port Chester	Downtown Walkability Project - Phase I	DEC	2019 Climate Smart Communities Grants	\$676,048.00	\$1,352,096.00	\$0				
90129	Town of Delaware	Highway Garage Relocation	DEC	2019 Climate Smart Communities Grants	\$1,859,890.00	\$4,042,980.00	\$0				
90736	City of New Rochelle	New Rochelle Complete Streets Phase III	DEC	2019 Climate Smart Communities Grants	\$500,000.00	\$1,000,000.00	\$0				
90967	Village of New Hempstead	Union Road Sidewalk Enhancement	DEC	2019 Climate Smart Communities Grants	\$250,000.00	\$500,000.00	\$0				
91041	Town of Bedford	Food Waste Pickup Pilot	DEC	2019 Climate Smart Communities Grants	\$37,422.00	\$75,047.00	\$0				
91220	Village of Sleepy Hollow	Department of Public Works Relocation	DEC	2019 Climate Smart Communities Grants	\$2,000,000.00	\$16,314,000.00	\$0				
91822	Orange County	Orange County Greenhouse Gas Inventory and Climate Action Plan	DEC	2019 Climate Smart Communities Grants	\$100,000.00	\$202,288.00	\$0				
92226	Dutchess County	Dutchess County Climate Action Planning Institute (CAPI)	DEC	2019 Climate Smart Communities Grants	\$99,548.00	\$220,784.00	\$0				
92245	Town of New Paltz	Stormwater Management Along the Empire State Trail	DEC	2019 Climate Smart Communities Grants	\$475,700.00	\$3,145,000.00	\$0				
93613	Westchester County	Westchester County Climate Action Planning Institute (CAPI)	DEC	2019 Climate Smart Communities Grants	\$100,000.00	\$351,441.00	\$0				
93887	City of Poughkeepsie	City Comprehensive Plan and Zoning Update	DEC	2019 Climate Smart Communities Grants	\$100,000.00	\$250,000.00	\$0				
92133	Vilage of Nyack	Nyack Climate Action Plan	DEC	2019 Climate Smart Communities Grants	\$20,000.00	\$52,872.00	\$0				
90507	Town of Rockland	Town of Rockland Wastewater Treatment Plant Disinfection Study	DEC	Engineering Planning Grant Program	\$20,800.00	\$24,960.00	\$20,800.00				
92106	Village of Ellenville	Village of Ellenville Sewer Inflow and Infiltration Study	DEC	Engineering Planning Grant Program	\$30,000.00	\$36,000.00	\$25,452.98				
90956	Town of Gardiner	Town of Gardiner Sewer Inflow and Infiltration Study	DEC	Engineering Planning Grant Program	\$100,000.00	\$120,000.00	\$50,000.00				
91875	Village of Pawling	Village of Pawling Trunk Sewer East Inflow and Infiltration Study	DEC	Engineering Planning Grant Program	\$30,000.00	\$36,000.00	\$15,000.00				
91876	Village of Pawling	Village of Pawling Sewer Inflow and Infiltration Study	DEC	Engineering Planning Grant Program	\$30,000.00	\$36,000.00	\$15,000.00				
91980	Town of Cornwall	Town of Cornwall Sewer Inflow and Infiltration Study	DEC	Engineering Planning Grant Program	\$100,000.00	\$130,872.50	\$50,000.00				
94036	City of Poughkeepsie	City of Poughkeepsie Sewer Inflow and Infiltration Study	DEC	Engineering Planning Grant Program	\$100,000.00	\$250,000.00	\$50,000.00				
90833	Town of Yorktown	Town of Yorktown Lake Mohegan Green Infrastructure Feasibility Study	DEC	Non-Agricultural Nonpoint Source Planning Grant Program	\$30,000.00	\$106,500.00	\$0				

Project is complete
 Project is progressing more slowly than anticipate
 Project is progressing more slowly than anticipated
 Project is progressing more slowly than anticipated
 Project canceled or funding declined

CFA#	Applicant Name	Project Name	Agency	Program	Award Amount	Total Project Cost	Funds Disbursed
92260	Village of Piermont	Village of Piermont Sparkill Creek Streambank Stabilization Study	DEC	Non-Agricultural Nonpoint Source Planning Grant Program	\$22,000.00	\$24,315.00	\$0
89638	Town/Village of Harrison	Town/Village of Harrison Sanitary Sewer Overflow Abatement	DEC	Water Quality Improvement Project (WQIP) Program	\$5,000,000.00	\$7,000,000.00	\$0
91883	Westchester Land Trust	Town of North Castle Land Acquisition for Source Water Protection	DEC	Water Quality Improvement Project (WQIP) Program	\$1,062,500.00	\$1,330,944.00	\$0
92757	City of Kingston	City of Kingston Combined Sewer Overflow Abatement	DEC	Water Quality Improvement Project (WQIP) Program	\$1,611,200.00	\$2,014,000.00	\$0
93753	Village of Warwick	Village of Warwick Land Acquisition for Source Water Protection	DEC	Water Quality Improvement Project (WQIP) Program	\$288,150.00	\$384,200.00	\$0
94357	Village of New Paltz	Village of New Paltz Sanitary Sewer Overflow Abatement	DEC	Water Quality Improvement Project (WQIP) Program	\$267,320.00	\$334,150.00	\$0
90768	Dutchess (Co)	Schatz Redevelopment BOA	DOS	Brownfield Opportunity Areas	\$270,000.00	\$300,000.00	\$0
92743	City of Kingston	City of Kingston and Ulster County Bus System Integration	DOS	Local Government Efficiency Program	\$400,000.00	\$444,444.00	\$0
93119	Town of Monroe	Solid Waste Management Efficiency Study	DOS	Local Government Efficiency Program	\$25,000.00	\$50,000.00	\$0
92824	Peekskill (C)	Reconstruction of Fleischmann Pier	DOS	Local Waterfront Revitalization Program	\$2,000,000.00	\$2,653,000.00	\$0
94233	Peekskill (C)	Southern Waterfront Trail Connection and Shoreline Stabilization Design	DOS	Local Waterfront Revitalization Program	\$85,000.00	\$100,000.00	\$0
90919	Dobbs Ferry (V)	Mid-Hudson Regional Community Resilience Planning	DOS	Local Waterfront Revitalization Program	\$246,845.00	\$343,400.00	\$0
92738	City of Kingston	Dietz Stadium Green Infrastructure	EFC	Green Innovation Grant Program	\$1,500,000.00	\$1,666,667.00	\$0
92761	Town of Mamaroneck	Madison Avenue Green Infrastructure	EFC	Green Innovation Grant Program	\$1,000,000.00	\$1,230,000.00	\$0
93137	Cooperative Ext. Association in the State of NY, O	Water Harvesting	EFC	Green Innovation Grant Program	\$215,000.00	\$238,900.00	\$15,774.77
94442	Village of Ossining	Indian Brook Water Treatment Plant	EFC	Green Innovation Grant Program	\$160,000.00	\$38,375,552.00	\$0
89408	PS 6 Liberty Street LLC	PS 6 Center for Film and Television	ESD	Empire State Development Grant Funds	\$455,000.00	\$3,119,900.00	\$0
90073	Instrumentation Laboratory	Instrumentation Laboratory Building Expansion and Renovation	ESD	Empire State Development Grant Funds	\$2,405,000.00	\$20,000,000.00	\$0
93930	Vitane Pharmaceuticals Inc	Vitane Pharmaceuticals Expansion Project	ESD	Empire State Development Grant Funds	\$500,000.00	\$8,500,000.00	\$0
91577	Graft Cider, LLC	Graft Cider Expansion	ESD	Empire State Development Grant Funds	\$264,000.00	\$1,320,000.00	\$0
93745	Spectrum Designs	Spectrum Designs - Westchester Expansion	ESD	Empire State Development Grant Funds	\$240,000.00	\$2,241,500.00	\$0
93978	SeeQC Inc	SeeQC Inc. Clearbrook Expansion	ESD	Empire State Development Grant Funds	\$600,000.00	\$3,004,900.00	\$0
89838	Manhattanville College	Manhattanville College Clinical Learning Laboratory (CLL) for School of Nursing and Health Sciences	ESD	Empire State Development Grant Funds	\$60,000.00	\$300,497.00	\$0
91981	Bow Tie Cinemas	Bow Tie Cinemas Downtown Redevelopment Project	ESD	Empire State Development Grant Funds	\$2,000,000.00	\$17,426,900.00	\$0
92003	Kolmar Laboratories Inc	Kolmar Laboratories Facility Upgrades	ESD	Empire State Development Grant Funds	\$200,000.00	\$1,000,000.00	\$0
92859	South Gate Flats Ltd	Thayer Resort and Spa	ESD	Empire State Development Grant Funds	\$1,900,000.00	\$46,150,772.00	\$0
92889	Healthy Kids Extended Day Program Inc	Monticello Daycare 2019	ESD	Empire State Development Grant Funds	\$116,000.00	\$583,210.00	\$0
94015	NP International	Hyde Park Hotel	ESD	Empire State Development Grant Funds	\$1,900,000.00	\$118,750,000.00	\$0
92268	Glynwood Center Inc.	Hudson Valley Livestock and Meat Training Center	ESD	Empire State Development Grant Funds	\$95,000.00	\$455,500.00	\$0
93194	WB Sweetners, LLC	WB Sweetners Fulfillment & Distribution Center	ESD	Empire State Development Grant Funds	\$500,000.00	\$500,000.00	\$0

	ROUND 9										
CFA#	Applicant Name	Project Name	Agency	Program	Award Amount	Total Project Cost	Funds Disbursed				
90805	Town of Pine Plains	Strategic Plan and Feasibility Study for Pine Plains Waste Management System	ESD	ESD - Strategic Planning and Feasibility Studies	\$20,500.00	\$55,500.00	\$0				
92993	Village of Ossining	Parking Feasibility and Planning Study	ESD	ESD - Strategic Planning and Feasibility Studies	\$60,000.00	\$120,000.00	\$0				
93607	Hudson Valley Agribusiness Development Corporation	Eastern Broccoli Market Development Feasibility Study for New York State	ESD	ESD - Strategic Planning and Feasibility Studies	\$50,000.00	\$100,000.00	\$0				
94804	Mid-Hudson Pattern for Progress	Ellenville Strategic Planning	ESD	ESD - Strategic Planning and Feasibility Studies	\$50,000.00	\$100,000.00	\$0				
90190	TOWN OF LIBERTY	Town of Liberty Parksville Sewer ESD SPFS	ESD	ESD - Strategic Planning and Feasibility Studies	\$18,750.00	\$37,500.00	\$0				
90073	Instrumentation Laboratory	Instrumentation Laboratory Building Expansion and Renovation	ESD	Excelsior Jobs Program	\$500,000.00	\$20,000,000.00	\$0				
93930	Vitane Pharmaceuticals Inc	Vitane Pharmaceuticals Expansion Project	ESD	Excelsior Jobs Program	\$1,200,000.00	\$8,500,000.00	\$0				
92946	Guardian Booth, LLC	Guardian Booth Expansion - Excelsior	ESD	Excelsior Jobs Program	\$320,000.00	\$420,000.00	\$0				
91610	Direct Refreshments LLC	New York Juice Company	ESD	Excelsior Jobs Program	\$800,000.00	\$10,000,000.00	\$0				
92179	Riviera Produce Corp	Riviera Produce Relocation	ESD	Excelsior Jobs Program	\$550,000.00	\$550,000.00	\$0				
81533	Hudson Valley Seed Co	New Headquarters and Public Gardens	ESD	Market New York	\$181,000.00	\$917,000.00	\$0				
91191	The Culinary Institute of America	The Culinary Institute of America Project	ESD	Market New York	\$175,000.00	\$880,000.00	\$0				
92781	Village of Sleepy Hollow	Washington Irving/Legend of Sleepy Hollow Bicentennial Celebration	ESD	Market New York	\$160,000.00	\$240,000.00	\$0				
90404	Huguenot Historical Society, New Paltz, N.Y.	Historic Huguenot Street Visitors Center	ESD	Market New York	\$290,000.00	\$1,450,000.00	\$0				
92795	The City of Yonkers	City Pier Floating Dock	ESD	Market New York	\$350,000.00	\$1,454,500.00	\$0				
92836	Lighthouse Landing Communities, LLC	Edge-On-Hudson Waterfront Open Space Project	ESD	Market New York	\$500,000.00	\$16,790,000.00	\$0				
94810	Storm King Art Center	Storm King Commission and Exhibition Project	ESD	Market New York	\$460,000.00	\$2,300,000.00	\$0				
91656	Village of New Square	Village of New Square Community Drainage Infrastructure Needs Assessment	HCR	HCR - Community Development Block Grant (CDBG) - Community Planning	\$50,000.00	\$52,700.00	\$0				
92253	City of Peekskill	City of Peekskill Microenterprise Program	HCR	HCR - Community Development Block Grant (CDBG) - Microenterprise	\$200,000.00	\$220,000.00	\$0				
92933	Town of Wawarsing	Town of Wawarsing Kerhonkson Sanitary Sewer Collection System Improvements	HCR	HCR - Community Development Block Grant (CDBG) - Public Infrastructure & Public Facilities	\$750,000.00	\$764,900.00	\$175,223.00				
93563	City of Port Jervis	City of Port Jervis Pike Street Sidewalk Project	HCR	HCR - Community Development Block Grant (CDBG) - Public Infrastructure & Public Facilities	\$300,000.00	\$315,000.00	\$0				
92576	Village of Spring Valley	Downtown Spring Valley Design Guidelines Initiative	HCR	HCR - New York Main Street (NYMS)	\$20,000.00	\$21,053.00	\$20,000.00				
89409	Orange County Arts Council, Inc.	PS 6 Center for Film and Television	HCR	HCR - New York Main Street (NYMS)	\$500,000.00	\$3,119,900.00	\$0				
92178	Family Services, Inc.	Family Partnership Center Community Facilities Restoration Project	HCR	HCR - New York Main Street (NYMS)	\$490,000.00	\$788,902.00	\$0				
92786	Village of Ossining	200 Main Street Stabilization	HCR	HCR - New York Main Street (NYMS)	\$467,300.00	\$635,415.00	\$0				
92171	Vassar College	Vassar College Renewable Fuel Oil Conversion	NYSERDA	Commercial & Industrial Carbon Challenge	\$1,259,097.00	\$1,678,796.00	\$0				

Project is progressing more slowly than anticipate Project is progressing more slowly than anticipated Project is complete Project is on schedule Project concerns need to be resolved

Project canceled or funding declined

CFA#	Applicant Name	Project Name	Agency	Program	Award Amount	Total Project Cost	Funds Disbursed
94617	IBM	IBM Carbon Challenge Project	NYSERDA	Commercial & Industrial Carbon Challenge	\$1,000,500.00	\$29,534,230.00	\$0
92074	Pelham RE Partners LLC	139 Fifth Avenue Pelham	NYSERDA	Net Zero Energy for Economic Development	\$943,072.00	\$11,676,112.00	\$0
89329	The Scenic Hudson Land Trust, Inc.	John Burroughs Black Creek Trail Project	Parks	Environmental Protection Fund: Parks, Preservation and Heritage Grants	\$600,000.00	\$1,705,929.00	\$0
91260	The Poughkeepsie Highland Railroad Bridge Company, Inc.	Walkway Over the Hudson Lighting ProjectPhase Two	Parks	Environmental Protection Fund: Parks, Preservation and Heritage Grants	\$588,562.00	\$800,000.00	\$0
91379	Town of Greenburgh	John Odell House Restoration	Parks	Environmental Protection Fund: Parks, Preservation and Heritage Grants	\$600,000.00	\$1,200,000.00	\$0
92053	Open Space Institute, Inc.	High Point Carriage Road Reconstruction	Parks	Environmental Protection Fund: Parks, Preservation and Heritage Grants	\$600,000.00	\$1,060,057.00	\$0
92065	New York-New Jersey Trail Conference	Spider Hill House Adaptive Reuse Project Phase II at Bear Mountain State Park	Parks	Environmental Protection Fund: Parks, Preservation and Heritage Grants	\$183,750.00	\$367,500.00	\$0
92740	Village of Millerton	Eddie Collins Memorial Park Revitalization Project, Phase 1	Parks	Environmental Protection Fund: Parks, Preservation and Heritage Grants	\$600,000.00	\$1,224,986.00	\$0
92878	Safe Harbors of the Hudson, Inc.	Ritz Theater Redevelopment	Parks	Environmental Protection Fund: Parks, Preservation and Heritage Grants	\$222,900.00	\$297,200.00	\$173,177.00
93950	Friends of John Jay Homestead, Inc.	John Jay Homestead Fire Prevention and Protection Project	Parks	Environmental Protection Fund: Parks, Preservation and Heritage Grants	\$600,000.00	\$1,200,001.00	\$0
94040	Friends of the Rockefeller State Park Preserve	Rehabilitation of Historic Carriage Roads	Parks	Environmental Protection Fund: Parks, Preservation and Heritage Grants	\$250,000.00	\$500,000.00	\$0
94517	Open Space Institute	Hubbard Perkins Loop Trail	Parks	Environmental Protection Fund: Parks, Preservation and Heritage Grants	\$600,000.00	\$1,355,217.00	\$0
91267	The Poughkeepsie Highland Railroad Bridge Company, Inc.	Walkway Over the Hudson, Western Entrance Enhancement Project	Parks	Recreational Trails Program	\$250,000.00	\$500,000.00	\$0
92739	City of Kingston	Kingston Point Rail Trail - Phase 2	Parks	Recreational Trails Program	\$250,000.00	\$912,500.00	\$0