



Regional Economic
Development Councils

Welcome to the
2016 Consolidated Funding
Application Workshop



The Consolidate Funding Application (CFA)

- Streamlined approach to funding requests
- A single portal allowing access to over \$750 million
- Over 30 different programs from 11 state agencies

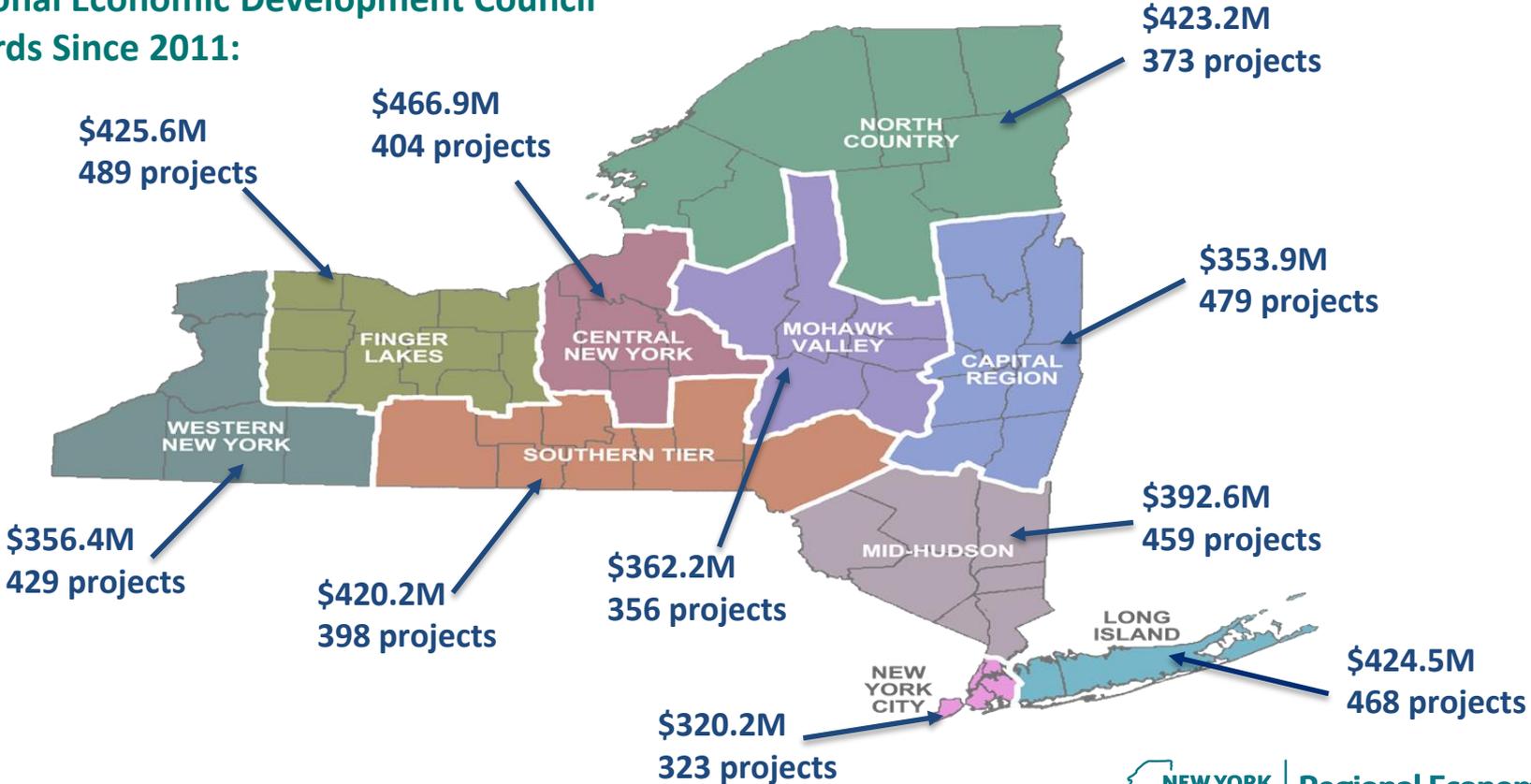
Economic Development

- ❑ Governor Cuomo created the Regional Economic Development Councils (REDCs) to transform the State's economic development process from the top down
- ❑ REDC's built the statewide framework for a bottom-up approach to regional economic growth

Since 2011:

- \$3.9 Billion dollars has been awarded/committed to support over 4,100 projects statewide
- Commitments for 200,000 jobs created/retained
- Over 1,000 businesses received funding for startup or expansion
- Leveraged additional private investment at a 6.5:1 ratio

Regional Economic Development Council Awards Since 2011:



Round 6 Timeline



2016 Competition Breakdown

- ❑ **Unprecedented Commitment to Economic Development in New York State**
- ❑ **More resources = More Opportunities**

- ❑ \$175M in Capital Grants; \$70M in Excelsior Tax Credits
 - ✓ All 10 regions eligible
- ❑ \$510M in additional state funding through the Consolidated Funding Application (CFA) from various state agencies
 - ✓ All 10 regions eligible
- ❑ \$10M to each region for Downtown Revitalization Initiative

2016 Competition Breakdown

Available Resources for Regional Councils in 2016



Regional Economic
Development Councils

NYS CONSOLIDATED FUNDING APPLICATION

Total Grants, Tax Credits & Other Resources *(in millions)*

Agency

Direct Assistance to Businesses and Other Organizations	up to \$262
Empire State Development	
Community Development	up to \$55
Homes and Community Renewal Office of Parks, Recreation, and Historic Preservation Council on the Arts	
Waterfront Revitalization	up to \$20.5
Department of State Canal Corporation	
Energy	up to \$60
Energy Research and Development Authority New York State Power Authority	
Environmental Improvements	up to \$38
Department of Environmental Conservation Environmental Facilities Corporation	
Sustainability Planning and Implementation	up to \$15
Department of Environmental Conservation Department of State	
Education/Workforce Development	up to \$5
Department of Labor	
Low-Cost Financing	up to \$300
Industrial Development Bond Cap	

In 2016, Governor Cuomo has made over \$750 million available from over 30 programs across 11 state agencies.

For more information on each program, visit regionalcouncils.ny.gov and view the Available Resource Guide



Regional Economic
Development Councils

2016 Competition Criteria

In 2016, each REDC will be required to:

- ✓ Support the Downtown Revitalization Initiative & identify projects to implement
- ✓ Address workforce development
- ✓ Review Strategic Plan implementation
- ✓ Update ongoing regional initiatives in Progress Report
- ✓ Build and expand the Project Pipeline
- ✓ Update Performance Measures

Downtown Revitalization Initiative

- ❑ \$100M Program - \$10M awarded for one community in each region
- ❑ Develop strategic plan that will identify economic development, transportation, housing and community projects
- ❑ Use investments to reinforce and secure additional public and private investments



Ongoing Initiatives

- ✓ **Regional Industry Cluster Plans**
- ✓ **Global NY**
- ✓ **Opportunity Agenda**
- ✓ **SUNY 2020**
- ✓ **Business Incubator and Hot Spot Program**
- ✓ **Veteran's Initiative**
- ✓ **Engage Local Governments**

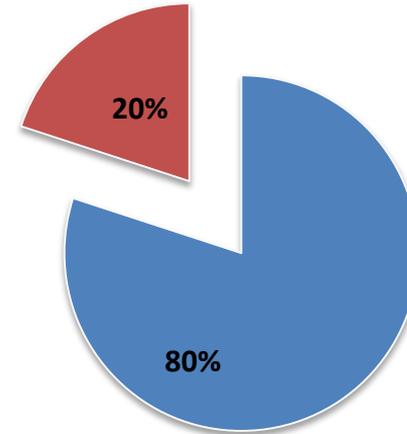
Consolidated Funding Application



CFA Review and Scoring

Regional Council Statewide Endorsement Standard		
For each CFA the Regional Council reviewer will determine the degree to which the application implements the regional strategic plan and assign the corresponding number of points.		
IMPLEMENTATION OF THE PLAN	DEGREE	POINTS
The proposal is identified as a priority project by the Regional Council.	Priority	20
The proposal is identified in the plan and has regional benefits	Very Strong	15
The proposal advances the plan and has local benefits	Strong	10
The proposal is consistent with the plan and has project level benefits.	Moderate	5
The proposal has limited relationship to the plan.	Weak	0

REDC Endorsement



Agency Technical Review



**Regional Economic
Development Councils**

Apply online at RegionalCouncils.ny.gov

REGIONAL ECONOMIC DEVELOPMENT COUNCILS

REGIONS NEWS FAQs MAP MATERIALS **CONSOLIDATED FUNDING APPLICATION** CFA PROJECT INFO

To access the CFA, click the “Consolidated Funding Application” tab.

Regional Councils



2016
Regional Council
Guidebook

Consolidated
Funding Application
Workshops

CFA
Resource
Manual

CFA
Application
Guide

Downtown
Revitalization
Initiative

WELCOME

As part of Governor Cuomo's transformative plan to improve the state's economic development model, a NYS Consolidated Funding Application (CFA) has been created that will streamline and expedite the grant application process. The CFA process marks a fundamental shift in the way state resources are allocated, ensuring less bureaucracy and greater efficiency to fulfill local economic development needs.

Utilizing the CFA serving as the single entry point for access to economic development funding, applicants will no longer have to slowly navigate multiple agencies and sources without any mechanism for coordination. Now, economic development projects will use the CFA as a support mechanism to access multiple state funding sources through one application, making the process quicker, easier, and more productive.

The CFA system is also the mechanism for Upstate Revitalization Initiative (URI) project sponsors to submit applications.

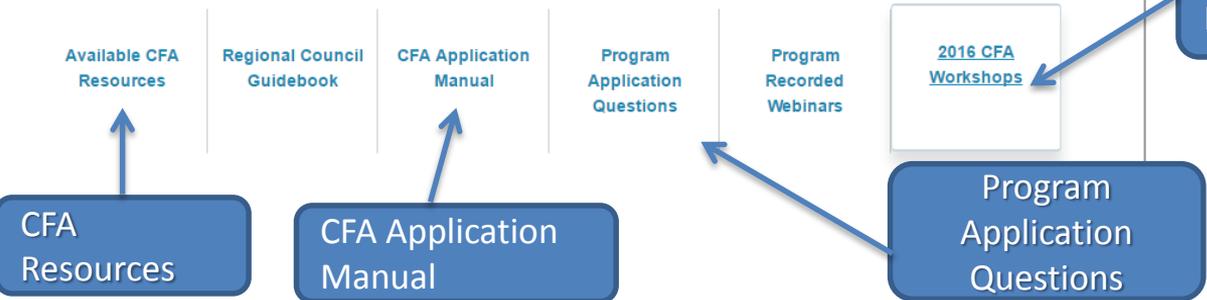
To proceed with your CFA, please use the **Log In** or **Register** buttons.

Log In

Register

Regional Council Information

Links



Workshop Materials



Creating an Account

Save this page
for your
records

The screenshot shows the registration form with the following fields and instructions:

- Email Address**: Input field
- Email Address Check**: Input field
- Re-enter your email address for a verification check.
- Organization Name**: Input field
- If you do not have a business name yet please enter your DBA "Doing Business As". Use only alpha-numeric characters.
- Project Name**: Input field
- This will be the name associated with your project. Use only alpha-numeric characters.
- Security Question**: Please enter the sum of the following two numbers:
7 + 2 =
- This helps to protect our system from spam.
- Register New Application**: Button

The screenshot shows the confirmation page with the following content:

- Thank you for registering with the NYS Consolidated Funding Application.**
- Please retain a copy of this email.*
- Your Application Number is 25665**
- Organization Name: NYS DOS
- Project Name: Sample
- Email Address: Lori.Heithoff@dos.ny.gov
- IMPORTANT**
- To continue the process you must use the following link:**
- You will need to login using the email address shown above and the token displayed when you first registered.
- [CLICK HERE TO ACTIVATE YOUR ACCOUNT](#)
- Home Help Print Page** (Navigation bar)
- NYS Consolidated Funding Application Registration**
- Thank you for registering.
- Instructions**
- Important - Before proceeding read the following carefully:*
- 1. **Save your information**
- Please make note of the following information. You should print this page and save it for your records now.*
- Your organization name is: NYS DOS
- Your project alias name is: Sample
- Your project token is: **every612book**

Follow the link to the Confirmation page

Click LOGIN to begin answering questions

CFA Overview

Select Programs
by Category and
Project Type

The screenshot displays the 'CONSOLIDATED FUNDING APPLICATION' interface. At the top, there is a dark blue navigation bar with the following links: APPLICATION, HELP, PRINT, LOG OUT, REGIONAL ECONOMIC DEVELOPMENT COUNCILS, and CFA PROJECT INFO. Below the navigation bar, the main content area is divided into two columns. The left column features a light gray box with the heading 'Program Wizard' and the text 'Choose project categories and types to help you decide which programs are right for your project's application'. Below this text is a blue button labeled 'Proceed to Program Wizard'. The right column features a light gray box with the heading 'Program List' and the text 'Choose programs by selecting from a list of programs organized by State Agency'. Below this text is a blue button labeled 'Proceed to Program List'. A large, semi-transparent 'OR' is positioned between the two columns. Two blue callout boxes with arrows point to the 'Program Wizard' and 'Program List' buttons respectively.

Choose Programs
directly from a list

CFA Overview

- ❑ Select Project Category and Project type to find out which programs fit with your project
- ❑ Choose programs you would like to apply for
- ❑ Answer threshold questions to determine your eligibility for each program
- ❑ Identify your project location

Application Number 25665 Application is NOT finalized

PROGRAMS LOCATION DOCUMENTS QUESTIONNAIRE FUNDING REVIEW

Select Project Category

Welcome to the NYS Consolidated Funding Application.

Please answer the following set of questions as accurately as possible. Based on your answers to the following questions, programs that you may be eligible for will be displayed along with an additional set of questions for each program.

Choose one or more categories below that best represent the Sample project for which you wish to submit this application.

- Community and/or Waterfront Revitalization
- Direct Assistance to Businesses

Application Number 25665 Application is NOT finalized

PROGRAMS LOCATION DOCUMENTS QUESTIONNAIRE FUNDING REVIEW

Select Type of Project

Choose one or more project types from the list below that best represents your project.

- Acquisition, renovation, and construction of commercial industrial and mixed-use facilities in highly distressed area
[Show Associated Programs](#)
- Assist in the creation or expansion of an agricultural project.
[Show Associated Programs](#)
- Commercial revitalization activities in central business districts or commercial strips
[Show Associated Programs](#)

Programs Recently Added to your Application

Empire State Development

- Excelsior Jobs Program
[Show program description](#) [Remove Program](#)

NYSERDA Energy Research and Development Authority

- NYSERDA Energy Efficiency Projects
[Show program description](#) [Remove Program](#)

Application Number 25665 Application is NOT finalized

PROGRAMS LOCATION DOCUMENTS QUESTIONNAIRE FUNDING REVIEW

PROJECT LOCATION

Select the region(s) within which your project is located

- Capital District
- Central New York
- Empire State
- North Country
- North Hudson
- Mid-Hudson
- Midwest Valley
- New York City
- North Country
- Southern Tier
- Western New York

[Save & Proceed with Application](#)



Regional Economic
Development Councils

Uploading Attachments

The CFA will indicate which materials you must upload before finalizing the application.

No hard copies will be accepted. All documents must be uploaded.

Details on how to create a PDF and upload an attachment are available on the upload page and in the HELP section.

Application Number 25655

Application is NOT FINALIZED

PROGRAMS ✓ LOCATION ✓ DOCUMENTS QUESTIONNAIRE FUNDING

REVIEW

REQUIRED & OPTIONAL DOCUMENTS

Instructions

Your application requires you to upload supporting documents. **These files must be in PDF format and cannot be larger than 5 MB in size. Avoid using special characters like *,%,# in your document name as this will cause errors in the upload.** If an attachment question requires more than one document, you must create a single PDF file that contains all the required documents. More information is available on [how to create PDFs from other documents](#).

You may proceed with your application without uploading these documents. However, you must return to this section and upload the required documents before you can finalize and submit your application.

Your answers will be automatically saved whenever you tab to the next question or when you click outside the answer box in which you are working. You may also click the "Save" button at the bottom of the screen to save your responses.

Legend

- ✓ this document has been uploaded
- ✗ this document is required but has not been uploaded
- ⊖ this document is optional and has not been provided. Optional documents are helpful in the evaluation of your application, but not required.
- Ⓜ the uploaded document is "restricted": The document will only be shared with the state agency/authority that is required to review this application.

Next Step: Program Specific Questions

Application Number 25665

Application IS NOT FINALIZED

PROGRAMS LOCATION DOCUMENTS QUESTIONNAIRE FUNDING REVIEW

QUESTIONNAIRE

Instructions

To proceed with your application, please answer the following questions.

If your answers are lengthy, we recommend you type your answers into a word processor and paste them into the application so you will always have a copy of your answers. (Note: By design, most of the formatting you create with your word processor will be lost when you paste answers into the application.)

Your answers will be automatically saved whenever you tab to the next question or when you click outside the answer box in which you are working. You may also click the "Save" button at the bottom of the screen to save your responses.

Legend

- ✔ the question has been answered
- an answer is required but has not been provided
- an answer is not required and has not been provided
- Ⓜ the answer is "restricted": The answer will only be shared with the state agency/authority that is required to review this application.

Disclaimer: The questions posed in the initial sections of the Consolidated Funding Application are designed to elicit general, non-confidential information about the applicant and its project. Information the applicant believes is confidential (e.g., trade secrets or other sensitive business or personal information) should only be provided, to the extent required, in response to questions designated "Restricted Questions" in the later program-specific portions of this application. Information provided in response to Restricted Questions will not be provided to members of Regional Economic Development Councils, and will be shared only with agencies and public authorities that are responsible for program administration and making decisions on your application, and will be protected from disclosure by agencies and public authorities in a manner that is consistent with state law.

The CFA contains questions relevant to programs for which you are eligible

Certification

Excelsior Jobs Program

Q_1038

By entering your name in the box below, you certify that you are authorized on behalf of the applicant and its governing body to submit this application. You further certify that all of the information contained in this Application and in all statements, data and supporting documents which have been made or furnished for the purpose of receiving Assistance for the project described in this application, are true, correct and complete to the best of your knowledge and belief. You acknowledge that offering a written instrument knowing that the written instrument contains a false statement or false information, with the intent to defraud the State or any political subdivision, public authority or public benefit corporation of the State, with the knowledge or belief that it will be filed with or recorded by the State or any political subdivision, public authority or public benefit corporation of the State, constitutes a crime under New York State Law.

NYSERDA Energy Efficiency Projects

General Certifications

Q_1037

By entering your name in the box below, you certify and agree that you are authorized on behalf of the applicant and its governing body to commit the applicant to comply with the requirements of Article 15-A of the New York State Executive Law: Participation By Minority Group Members and Women With Respect To State Contracts by providing opportunities for MBE/WBE participation. You further certify that the applicant will maintain such records and take such actions necessary to demonstrate such compliance throughout the completion of the project.

The end of the questionnaire includes certifications



NEW YORK
STATE OF
OPPORTUNITY.

Regional Economic
Development Councils

Funding Request and Program Budget

Application Number 23755

PROGRAMS LOCATION DOCUMENTS QUESTIONNAIRE **FUNDING** REVIEW

Application IS NOT FINAL (DTM)

PROJECT FUNDING

Instructions

The following sections will collect information regarding your projects cost and funding. Please follow the instructions associated with each section below.

Total Project Cost

The TOTAL cost of the project for which funds are being requested via this CFA. Projects that have multiple phases should be careful to include ONLY the phase covered by this CFA round.

Total project cost: \$

SAVE

Total Project Funding Requested

The Total CFA funds requested is the maximum funding that could be awarded by any program in the CFA.

Total CFA funding being requested from all programs: \$

SAVE

Total Project Budget

Indicate the sources and uses of funds required to complete the project for which program funds are being requested via this CFA. This should include all requested CFA program funds and all non-CFA sources such as private financing, loans from lending institutions, and in-kind as needed by the program. If funding source supports multiple uses, each source should be accompanied by its respective use and amount. If your project has multiple phases that are outside the scope of this CFA request, include ONLY the budget for the phase included in this CFA.

Use	Source	Amount	Indicate Source / Comments	Add / Remove
Use	Source	\$		Remove
Total		5	0	Add / Remove

SAVE

Funding Requested from Programs

The amount you are requesting for each program on your application. If the project is a multi-year and/or multi-phase project, this should include 100% of the phase of the project for which funds are being requested.

Program	Amount Requested
NYSERDA Energy Efficiency Projects	\$ <input type="text" value=""/>
Industrial Development Bond Cap	\$ <input type="text" value=""/>
Total Amount Requested	\$ 0

SAVE

Program Budget(s)

For each program, indicate the sources and uses of funds required to complete the project for which funds are being requested. If you are requesting funds from multiple programs, the amount of program requests should equal the total CFA funding requested. The Program Budget should be consistent with any program match requirements or funding limits as detailed in the program's RFP section. Include all non-CFA sources such as private financing, funds from lending institutions, and in-kind, as allowed by the program. If a funding source supports multiple uses, each source should be accompanied by a separate use and amount.

NYSERDA Energy Efficiency Projects

Use	Source	Amount	Indicate Source / Comments	Add / Remove
Use	Source	\$		Remove
Total	NYSERDA Energy Efficiency Projects	5	0	Add / Remove

Industrial Development Bond Cap

Use	Source	Amount	Indicate Source / Comments	Add / Remove
Use	Source	\$		Remove
Total	Industrial Development Bond Cap	5	0	Add / Remove

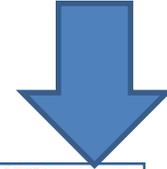
SAVE

Indicate the amount of funding that your project will be requesting from each individual program



Regional Economic Development Councils

Finalizing Your Application



Application Number 25665

Application is NOT FINALIZED

PROGRAMS ✓ LOCATION ✓ DOCUMENTS QUESTIONNAIRE FUNDING REVIEW

REVIEW

- ✓ Project
- ✓ Programs
 - Excelsior Jobs Program
 - NYSERDA Energy Efficiency Projects
- ✓ Location
- Documents
- Questionnaire
- Funding \$ 0

You have not completed 3 areas. Please use the links above to return to incomplete areas.



Application Number 25665

Application is NOT FINALIZED

PROGRAMS ✓ LOCATION ✓ DOCUMENTS ✓ QUESTIONNAIRE ✓ FUNDING ✓ REVIEW

REVIEW

- ✓ Project
- ✓ Programs
- ✓ Location
- ✓ Documents
- ✓ Questionnaire
- ✓ Funding \$ 0

Please Acknowledge

Please Note. By clicking the button below you will no longer be able to modify or amend your application.

BY CLICKING HERE, I ACKNOWLEDGE THAT MY APPLICATION IS COMPLETE AND READY FOR FINALIZATION

Break Out Groups

➤ Group 1: Business & Local Gov't Assistance

Direct Assistance to Businesses and Other Organizations, Low-Cost Financing, Municipal/Public Infrastructure, Gov't Efficiency, and Workforce Development

- **ESD, DOS LGE, DOL representatives**

➤ Group 2: Community Development

Affordable Housing, Community & Waterfront Revitalization, Parks, Historic Preservation & Heritage Areas, and Arts

- **Parks, HCR, Canals, Arts, DOS LWRP representatives**

➤ Group 3: Energy Efficiency & Environmental Improvements

Energy & Environmental Improvements, Climate Smart Communities, and Low Cost Power

- **NYSERDA, EFC, NYPA, DEC representatives**

QUESTIONS?

Regionalcouncils.ny.gov

Thank you!