



**Office of Planning
and Development**

Consolidated Funding Application 2015 – 2016

Environmental Protection Fund Local Waterfront Revitalization Program

An Office of New York Department of State

May 6, 2015

Eligible Applicants

- Villages, towns, or cities, located along New York's coasts or designated inland waterways
- Counties with the consent and on behalf of one or more villages, towns, or cities, located along New York's coasts or designated inland waterways

The Coastal Waterbodies and Designated Inland Waterways List and additional grant program information can be found at <http://www.dos.ny.gov/funding/>

Eligible Activities

- General planning needed to advance eligible activities
- Project-specific planning, feasibility, design, and/or marketing needed to advance eligible activities
 - Must currently be preparing a LWRP or component, or with an approved LWRP or component
- Construction of projects needed to advance eligible activities
 - Must have an approved LWRP or relevant LWRP component substantially completed

EPF LWRP Grant Categories

- Preparing or Implementing a Local Waterfront Revitalization Program (LWRP)
- Redeveloping Hamlets, Downtowns and Urban Waterfronts
- Planning or Constructing Land and Water-based Trails
- Preparing or Implementing a Lakewide or Watershed Revitalization Plan
- Preparing or Implementing a Community Resilience Strategy or Updating an LWRP to Incorporate Resilience Strategy
- Preparing or Implementing a Clean Transportation Strategy

Funds may be used for planning, design, and construction related to these grant categories with implementation funds tied to prior planning

Preparing or Implementing a Local Waterfront Revitalization Program

- Preparing or implementing an LWRP
 - comprehensive land and water use program
 - vision for the waterfront
 - refines policies to reflect local and regional needs
- Preparing or implementing strategies or plans for:
 - Economic development
 - Harbor management
 - Scenic byways
 - Heritage areas
 - Historic preservation
 - Coastal education
 - Tourism promotion & development
 - Public access and open space
 - Natural resource protection/restoration
 - Flooding & erosion management
 - Dredged material management
 - Community resilience



Preparing or Implementing a Local Waterfront Revitalization Program

Applicants may apply for funding to prepare or complete an LWRP or component, including developing strategies or plans on a variety of focal areas such as: economic development; community resilience; historic preservation; harbor management; and/or public access; or a Health Impact Assessment to identify and evaluate public health outcomes of a strategy, approach, or project(s) contained in an existing LWRP, or one under preparation.

Applicants with an approved LWRP, or relevant component substantially completed, may apply for implementation funding for project-specific planning, feasibility, design or to construct projects necessary to implement an approved LWRP or a substantially-completed component of an LWRP under preparation.

Redeveloping Hamlets, Downtowns and Urban Waterfronts

- Spurring appropriate economic activity in previously-developed hamlet, downtown and waterfront areas
- Catalyzing appropriate economic activity through development of water-dependent and water-enhanced uses and activities
- Redeveloping underutilized abandoned buildings/brownfield sites
- Improving the recreational, cultural, environmental and economic value and quality of waterfronts
- Linking downtowns and hamlets with nearby waterfronts
- Creating a positive image of a hamlet center, downtown commercial district, and/or waterfront



Redeveloping Hamlets, Downtowns and Urban Waterfronts

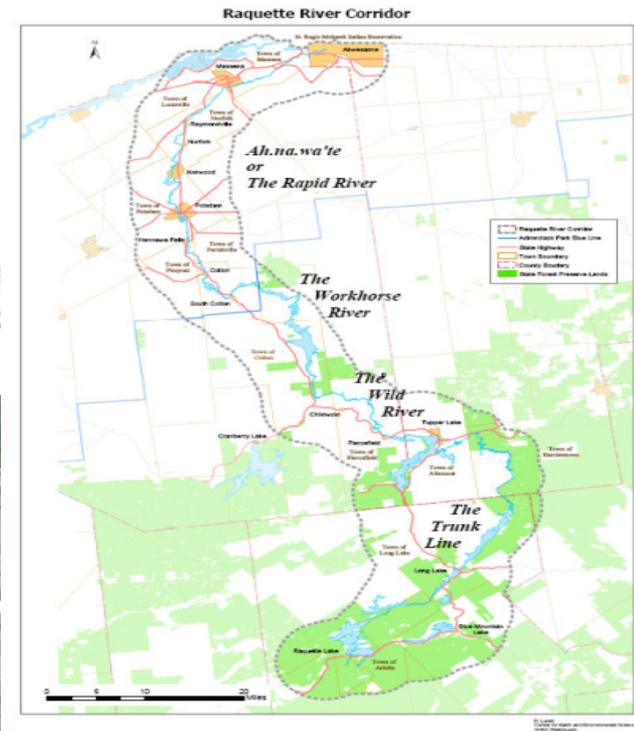
Applicants may apply for funding for planning activities for hamlets, downtowns, and urban waterfronts, including:

- preparation of a comprehensive redevelopment program
- identification of linkages to the waterfront, tourism and recreation
- preparation of a Health Impact Assessment to identify and evaluate public health outcomes of a strategy or project(s)
- preparation of a renewable energy ordinance, developing energy efficiency guidelines, or creating green building codes to encourage renewable energy

Applicants with an approved LWRP, or relevant component substantially completed, may apply for implementation funding for site-specific community and waterfront redevelopment projects, including design, construction and/or implementation activities

Planning or Constructing Land and Water-based Trails

- Planning and design of local land and water-based trails or regional land and water-based trails (greenways and blueways)
- Design and construction of land and water-based trails, with an approved LWRP or relevant component substantially completed



Planning or Constructing Land and Water based Trails

Applicants may apply for grant funding to undertake the planning and design of local or regional land and water based trails (greenways and blueways), including:

- route identification and assessment of facilities and infrastructure
- conceptual planning for launch sites, parking, and trail infrastructure
- providing walking and biking opportunities as alternatives to motor vehicle use to reduce emissions and promote physical activity
- preparation of a Health Impact Assessment to identify and evaluate public health outcomes of the trail

Applicants with an approved LWRP or relevant component substantially completed may apply for grant funding to construct land and water based trails.

Preparing or Implementing a Lakewide or Watershed Revitalization Plan

- Prepare/update a lakewide or watershed revitalization plan
 - Local businesses, recreation, tourism, agriculture, industry, and economic development are dependent on high-quality water resources.
 - Planning for the protection and promotion of natural assets on an intermunicipal, lakewide or watershed basis can make the most of human and financial resources, to address concerns and achieve shared goals
- Implement priority actions to revitalize waterfronts and protect and restore water resources and water dependent uses
 - Must have an approved LWRP or relevant component, lakewide or watershed revitalization plan substantially completed



Guidebooks on waterfront revitalization and watershed planning are available at: <http://www.dos.ny.gov/opd/publications.html>



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Preparing or Implementing a Lakewide or Watershed Revitalization Plan

Applicants may apply for grant funding to prepare or update a lakewide or watershed plan, including:

- inventory, analyze and characterize the lakewide area or watershed
- identification of water quality problems, impairments and threats
- planning for the protection and promotion of natural assets on an intermunicipal, lakewide or watershed basis
- prioritization of projects and actions on an intermunicipal basis to revitalize a lakewide, or watershed area

Applicants with an approved or substantially completed LWRP or lakewide or watershed plan may apply for grant funding to implement such a program or plan, including but not limited to:

- implementation of priority actions to revitalize waterfronts and protect and restore water resources and water dependent uses

Preparing or Implementing a Community Resilience Strategy or Updating an LWRP to Incorporate Resilience Strategy

- Advance projects and strategies in an approved NY Rising Community Reconstruction Plan or NY Rising Countywide Resiliency Plan
 - Must have an approved LWRP or relevant LWRP component substantially completed
- Integrate NY Rising Community Reconstruction Plans or NY Rising Countywide Resiliency Plan with existing LWRPs or components of an LWRP
- Prepare a resilience strategy consistent with the NY Rising Community Reconstruction Program

Preparing or Implementing a Community Resilience Strategy or Updating an LWRP to Incorporate Resilience Strategy

Grants may be awarded to eligible communities for planning activities such as:

- assessment of risks associated with coastal/riverine flooding and erosion, including impacts to wetlands, habitats, and other natural resources
- assessment of risks to critical infrastructure and systems such as water supply, sewage treatment plants, combined sewer overflows, electric utilities, transmission lines, dams, shoreline stabilization infrastructure and other in-water structures, and transportation systems
- identification of strategies related to climate change/sea level rise adaptation that can be implemented through both public and private actions

Preparing or Implementing a Clean Transportation Strategy

Energy-efficient and multi-modal transportation systems can help communities become more sustainable, attract recreation and tourism, and improve community character.

Clean transportation planning can include transit-oriented development, parking and street redesign, and development of infrastructure for low-emission vehicles.

Transit-oriented development, one component of smart growth that specifically targets transportation revitalization, incorporates mixed-use and multiple transportation options, such as public transit and biking. It can be used to strategically connect neighborhoods to improve cultural understanding and attract business.

Preparing or Implementing a Clean Transportation Strategy

Eligible applicants may apply for funding for planning activities that will reduce emissions from transportation, including:

- development of a comprehensive clean infrastructure strategy such as transit-oriented development plan or electric vehicle development plan
- developing strategies or plans for one or more of the following:
enhancing utilization of public transportation, bicycles, or pedestrian walkways; establishing electric vehicle charging stations and promote electric vehicle charging use; incorporation of electric vehicles into public fleets; encouraging walking parks or scenic areas

LWRP Evaluation Criteria

Vision (4 points)

- Regional Economic Development Strategy
- NY Rising Community Reconstruction Plan
- Regional or local vision for capitalizing on community assets

Process (8 pts)

- Public Engagement
- Local Commitment & Previous Performance

Strategies (28 pts)

- Advancing NY Rising Community Reconstruction Program
- Advancing REDC Strategies
- Regional Significance
- Local Significance
- Public Value
- Community Livability
- Sustainability
- Economic Value
- Natural Resource Value

Implementation (20 pts)

- Scope of Work
- Project Phasing
- Time Frame
- Project Readiness
- Project Deliverables

Leveraging (12 pts)

- Resources
- Advancing Plans
- Development of Partnerships

Performance Measures (8 pts)

Evaluation of Budget and Cost (20 pts)

Regional Economic Development Council Endorsement (20 pts)

Disbursements Methods

- Total eligible cost of the project = total of costs set forth in the grant application, less any federal assistance and other state assistance from the Environmental Protection Fund
- State assistance awarded and paid shall not exceed 50% of the total eligible project costs set forth in the application and as approved by the Department of State
- Reimbursement payment will be made only upon proper documentation of cost and compliance with reimbursement procedures and all contractual requirements.

Local Match

- Demonstration of 50% match, within the application, is required. Failure to explicitly specify local match (the amount, type and source) may result in a reduced award amount or in the disqualification of the application
- Local match can include cash, volunteer services, donated services
- Volunteer services - limited to those that significantly contribute to the completion of project tasks
- Land acquisition – may be used only as local match for construction projects

See details in the RFA <http://www.dos.ny.gov/funding>

Costs

Eligible Costs:

- Personal Services – including direct salaries, wages, fringe benefits for activities related to project work by municipal employees and for grant administration. (Grant administration may not exceed 15% of the award amount or \$50,000, whichever is less.)
- Non-Personal Services – including supplies and materials, travel, equipment, consultant/contractual services for direct project related costs and limited grant administration, and other goods and services. (Contractual grant administration may not exceed 15% of the award amount or \$50,000, whichever is less.)
- Land acquisition – land acquired within 3 years prior to the contract start date may be used only as local match for construction projects

Ineligible Costs:

- Indirect or overhead costs
- Salaries and expenses of elected officials
- Fund raising events/expenses
- Federal assistance
- Other EPF awards
- Land acquisition (except as noted)
- Taxes, insurance, fines, deficit funding
- Bond interest and associated fees
- Contingency costs
- Lobbying expenses
- Cost incurred prior to contract start date
- Costs not adequately justified or that do not directly support the project

See details in the RFA

<http://www.dos.ny.gov/funding>

Request for Applications (RFA # 15-LWRP-6)

<http://www.dos.ny.gov/funding>

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To apply or access related CFA materials:

<http://regionalcouncils.ny.gov>