# **2016 Regional Council Process & Consolidated Funding Applications**

# Background:

The Regional Economic Development Councils (REDCs) are a community driven, regional approach to economic development in New York State. Each Council consists of over 20 business, academic and government leaders from throughout the Region.

In 2011, each of the ten Regional Councils were tasked with developing a five-year strategic plan that included a comprehensive vision for economic development for that region, regional strategies to achieve that vision, and specific priority projects that are significant, regionally supported and capable of stimulating economic investment. Empire State Development Corporation developed a competitive process in which Regional Council Strategic Plans containing recommendations for funding made by each Regional Council were reviewed by a Strategic Plan Review Committee consisting of two State Agency Commissioners and three economic development experts. Members of the 2011 Review Team included: Dall W. Forsythe, Senior Fellow at the Wagner School of Public Service at New York University and former Vice President for Finance and Operations at The Atlantic Philanthropies; Walter D. Broadnax, Professor of Public Administration at The Maxwell School of Syracuse University; Bruce J. Katz, Vice President at the Brookings Institution and founding Director of the Brookings Metropolitan Policy Program; Joan McDonald, Commissioner of the New York State Department of Transportation; and Cesar Perales, New York State Secretary of State. On December 8, 2011, the Governor and Legislative Leaders announced the winners of the first round of competition.

In 2012, the Councils went a step further and produced Strategic Implementation Agendas that built upon the progress from the first round and further developed and refined their Regional Five Year Strategic Plans by undertaking four primary activities: Establishing a framework to advance the Regional Strategic Plan; Implementing the strategies laid out in the Strategic Plan; Creating a project pipeline; and providing a progress report documenting the success the Council has had in implementing its Strategic Plan.

In 2013, all ten of the Regional Councils competed against each other to be designated as a "Top Performer". The 2013 Council competition was designed with a similar framework as 2012 but added several new activities for the council to focus on that would continue to help develop and advance the original strategic plans. Regional Councils were asked to develop an Opportunity Agenda, identify Innovation Hot Spots, and advance regional Cleaner, Greener Community Sustainability Plans.

In 2014, the regional councils developed strategies for several new initiatives including a Global NY Plan to identify import/export and foreign direct investment (FDI) opportunities. Councils also created a Veteran's Work Group to address the unemployment of returning veterans in New York. The REDC's were asked to identify projects as part of NY Rising to assist in the rebuilding of areas devastated by natural disasters. The Councils also provided an update on their Opportunity Agenda, workforce development challenges, and their project pipeline.

In 2015, the Regional Economic Cluster Program was launched to ensure continued focus on the importance of industry cluster growth in statewide economic development efforts through partnerships between business, academia, and venture capital. All the regional councils focused on initiatives that will drive implementation of their regional strategic plans, and to continue support for various State initiatives. Regional Council priorities include: development of strategies and projects that focus on the growth of regional economic clusters; advancement of plans and projects that strengthen the Global NY agenda; implementing additional strategies in their strategic plan; maintaining a pipeline of projects; training the workforce for today and tomorrow; and measuring the performance and progress of the strategic plan and CFA projects.

In 2016, all REDC's participated in the \$100 million Downtown Revitalization Initiative (DRI) which will invest \$10 million in one community in each region to develop a vision, articulate a plan, and identify projects and other implementation steps. Each Regional Council solicited interest in the program from communities in the region and considered how those communities met the criteria put forth from the State. The Council nominated one Downtown in each Region to receive the award.

These actions were designed to encourage and accelerate implementation, performance, and collaboration within the regions. Priority projects that aligned with each region's plan were chosen by the Councils from the applications submitted to the Consolidated Funding Application portal. These projects were published in the regions' Progress Report, which are publically available online at <a href="https://www.regionalcouncils.ny.gov">www.regionalcouncils.ny.gov</a>. Projects were scored by both the state agencies and the Regional Councils. The agencies scored their projects based on a technical evaluation in adherence with existing funding program guidelines and awarded a project up to 80%. The remaining 20% is awarded by the Regional Councils, and were based off the following endorsement standards:

# **Statewide Endorsement Standards**

Regional Council members will determine the degree to which the application implements the regional strategic plan and assign the corresponding number of points as a score.

IMPLEMENTATION OF THE PLAN	DEGREE	POINTS
The proposal is identified as a priority project by the Regional Council.	Priority	20
The proposal is identified in the plan and has regional benefits.	Very Strong	15
The proposal advances the plan and has local benefits.	Strong	10
The proposal is consistent with the plan and has project level benefits.	Moderate	5
The proposal has limited relationship to the plan	Weak	0

Since the inception of the REDCs in 2011, members have been required to sign a Code of Conduct, Conflict of Interest documents, and have undergone training from legal counsel. Both documents are updated annually and new members are required to complete these documents upon appointment. Members with conflicts of interest must recuse themselves from reviewing or discussing identified projects.

# 2016 Competition:

To encourage the implementation of the Regional Economic Development Councils' strategic plans and to continue to motivate investment opportunities and job creation, the Regional Councils competed in 2016 for up to \$175 million in capital funds and \$70 million in Excelsior Tax Credits for projects identified by the Regional Councils in their regions.

As part of this year's competition, all the regional councils focused on initiatives that will drive implementation of their regional strategic plans, and to continue support for various State initiatives. Regional Council priorities include: supporting the Downtown Revitalization Plan and beginning to identify projects to implement it; implementing strategies through the project pipeline; training the workforce for today and tomorrow; and measuring the performance and progress of the strategic plan and CFA projects.

By incentivizing implementation of the strategic plans, Regional Councils, businesses, educational institutions, local governments, not-for-profit groups and other stakeholders are further motivated to work together to accomplish the regional vision. While project funding was awarded in all ten Regional Councils through the 2016 CFA, the competition placed an emphasis on Regional Council performance and action to implement strategies.

The competition was focused on the following:

- 1) Implementation of regional strategic economic development plans;
- 2) Performance in encouraging economic growth through job creation and investment;
- 3) Support for the Downtown Revitalization Initiative.

In 2016, the Regional Councils competed against each other to be designated as a "Top Performer".

The 2016 Strategic Implementation Assessment Team (SIAT) was led by Secretary of State Rosanna Rosado and included Office of General Services Commissioner RoAnn Destito, Department of Transportation Commissioner Matthew Driscoll, Environmental Facilities Corporation President and CEO Sabrina Ty, Department of Labor Commissioner Roberta Reardon, and Parks, Recreation and Historic Preservation Commissioner Rose Harvey. Due to the unprecedented commitment of state funds to economic development in the 2016 State Budget, the SIAT was expanded to include an additional member that was appointed by the Legislature, Hon. Donna Lupardo, State Assembly District 123. The SIAT reviewed each region's Progress Report based on established criteria. Members of all REDC's traveled to Albany and presented a summary of their 2016 activities and priorities to the SIAT which

included PowerPoint presentations, videos, and regional exhibit booths. The SIAT was also given time to ask questions of the REDC's about their progress reports and regional priority projects.

The SIAT provided an assessment of each plan and made recommendations to Howard Zemsky, President & CEO, Empire State Development Corporation of the five reports that most closely aligned with the criteria outlined in the guidebook. President Zemsky accepted the recommendations of the Committee and, pursuant to his statutory powers as head of the Urban Development Corporation, awarded five regions with the Top Performer up to \$40 million in funding consisting of \$25 million in capital grants and up to \$15 million in Excelsior tax credits for priority projects identified in their Strategic Plans. The balance of the incentives was awarded to projects identified by the Councils as in alignment with their strategies and priorities.

In addition, project sponsors applied for over \$510 million in economic development resources available from twelve state agencies through the Consolidated Funding Application (CFA). The CFA allows businesses and other entities to apply for multiple agency funding sources through a single, web-based application. Awards for CFA projects were made based on the endorsement of the local Regional Council and the technical program review of the agencies providing resources, subject to interagency review team analysis and agency discretion.

The 2016 REDC initiative was launched on April 29 and the CFA opened for applications on May 2 and closed on July 29. All details about CFA resources were made available online via the 2016 CFA Resources Available Document, the New York State Register and the Grants Gateway. When the portal closed on July 29, agencies immediately began marking project eligibility. All eligible projects were then made available to the Regional Councils for their scoring process and the agencies for their technical evaluation. On October 3, the REDCs submitted their final 2016 progress reports to the State. After the SIAT presentations concluded in November, the Inter-agency team met to review the projects and make final funding decisions.

The interagency team reviewed projects based on relevant factors including Regional Councils Implementation Agendas and designation of priority projects, project alignment with regional goals and objectives, funding availability and regional need. Priority projects are those specifically enumerated in the Regional Council Reports, receiving a score of 20 from the Regional Council, and identified as significant, regionally supported and capable of stimulating economic investment.

The interagency team once again consisted of professional staff from relevant agencies and authorities' with detailed knowledge of their respective CFA program funding. This group conducted an analytical assessment that provided the basis for project funding recommendations made by individual agencies for final approval by respective agency or authority head. As provided in the budget, the Regional Council Grant funds were awarded by ESD in its discretion.

This process resulted in funding of projects that aligned with a long term, regionally generated implementation agendas and are capable of stimulating economic growth and investment.

On Thursday, December 8, 2016, the Governor announced that 950 projects totaling \$716.9 million had been awarded through Round Six of the Regional Economic Development Council initiative. Top Performer awards were given to the Mid-Hudson, Capital Region, Mohawk Valley, Finger Lakes, and New York City regions in adherence to the competition guidelines detailed above.

# STATE OF NEW YORK DEPARTMENT OF STATE

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#### **MEMORANDUM**

December 8, 2016

**To:** Howard Zemsky, President and CEO of the Empire State Development

Corporation

From: Rossana Rosado, Secretary of State

RoAnn Destito, Commissioner, Office of General Services Matthew Driscoll, Commissioner, Department of Transportation

Rose Harvey, Commissioner, Office of Parks, Recreation & Historic Preservation

Roberta Reardon, Commissioner, Department of Labor

Sabrina Ty, President and CEO of the Environmental Facilities Corporation

Assemblywoman Donna Lupardo, of the 123<sup>rd</sup> District

**Subject:** Review of Progress for the 2016 Regional Economic Development Council Initiative

We are honored to serve as the Strategic Implementation Assessment Team (SIAT) for Governor Cuomo's Regional Economic Development Council (REDC). In the past six years, the REDC initiative has made serious progress in job creation and the growth of new industries across New York State. This year, the REDC initiative has been enhanced by the \$100 million Downtown Revitalization Initiative.

In 2011, each Regional Council was charged with developing a five-year Strategic Economic Development Plan that emphasized their region's unique assets and provided strategies to harness local resources, both human and capital, to stimulate regional economic development, and create jobs statewide. The Strategic Economic Development Plans continue today with annual updates to address emerging state and regional challenges and opportunities. Each region has a unique set of strategies to achieve greater economic prosperity. These include strategies to focus on developing certain industry clusters; global marketing and export strategies; help people in distressed Opportunity Areas to overcome barriers to entry into the workforce; promote veterans' participation in the workforce; and regional sustainable growth strategies. In many of the regions, strategies have been further refined in response to the Upstate Revitalization Initiative and Western NY's Buffalo Billion Plan.

The REDC program redesigned the relationship between state government, businesses, and academia to stimulate regional economic development and create jobs. With this shift, the State asked the REDCs to use their unique understanding of their regional economies to implement important State priorities.

In 2016, in addition to updating their Strategic Economic Development Plans, the regions identified communities ripe for development into vibrant communities where tomorrow's workforce will want to live, work and raise families. The \$100 million Downtown Revitalization Initiative (DRI) is investing \$10 million in one community in each region to develop a vision, articulate a plan, and identify projects and other implementation steps. Each Regional Council solicited interest in the program from communities in the region; considered how interested communities met the DRI criteria and any other local criteria; nominated one downtown to receive a DRI award; and received certification by the state of the one downtown that the Regional Council deemed best positioned to take advantage of the DRI.



This November, the Regional Councils came to Albany to present their progress, talk about plans to implement their economic development strategies in 2016-2017, and to report on the status of the DRI plans. Their oral presentations complemented the regional progress reports submitted by the Regional Councils in October. We listened to those presentations and have the responsibility of assessing the progress of the ten Regional Councils.

# **2016 Annual REDC Competition**

Our task was to evaluate all ten regions based on the competition and Regional Council priorities as described in the 2016 REDC Guidebook. We focused on the following areas:

- Support for the Downtown Revitalization Plan and identification of initial projects to implement it
- Implementation of strategies through the project pipeline
- Training of the workforce for today and tomorrow
- Continued advancement of state priorities and regional strategic economic development plans, and identification of projects that implement related strategies, including:
  - o The regional economic cluster plan
  - o The region's global marketing and export plan
  - o The regional Opportunity Agenda
  - o Regional hot spots and business incubators
  - o SUNY, CUNY, and community college plans
  - Veterans' participation in the workforce
  - o Local government engagement in the Regional Council process
- Measuring the performance and progress of the strategic plan and consolidated funding application (CFA) projects

# **Awards**

All ten regions competed for up to \$175 million in capital funds and \$70 million in Excelsior Tax Credits for projects identified by the Regional Councils as priorities in their regions. In addition, each region was awarded \$10 million to implement projects identified through the Downtown Revitalization Initiative, and projects from all ten regions submitted through the CFA were eligible for over \$510 million in other state agency funds.

The Strategic Implementation Assessment Team has identified five regions that merit the designation as Top Performers and awards of up to \$25 million each in ESD Capital Grants. This was a difficult challenge, as the regions are taking a variety of approaches to very different challenges and opportunities, and are all making progress implementing their strategic plans. However, after careful consideration we selected as Top Performers the following regions: Capital Region, Finger Lakes, Mid-Hudson, Mohawk Valley, and New York City.

The Capital Region Regional Economic Development Council (CREDC) embraces the Capital 20.20 Plan it created last year. Key priorities integrated into the 2011 strategic plan include *Next Tech* - investments in innovative technology and advanced industries, such as health care, clean energy, advanced analytics, and cybersecurity; *Lift-Off* - focusing on the cluster of research and development and commercialization; *Gateway* - investments in port infrastructure, waterways, rail, and ground transport; *Talent* - a cradle-to-career approach to workforce development that connects job-seekers and employers with higher education institutions; and *Metro* - projects that address concentrated urban poverty in support of the Opportunity Agenda. Many ongoing projects support

more than one component of the CRREDC's plan, like the Park South Neighborhood revitalization in Albany, which is leveraging support from the City of Albany, Albany Medical Center, and neighborhood stakeholders to produce \$250 million in new investment, while addressing concentrated urban poverty. The CREDC also identified many projects to continue to grow its commercialization of research and development, and has been supporting construction at SUNY Albany of the Emerging Technology and Entrepreneurship Complex. Downtown revitalization projects were identified for the DRI community of Glens Falls, as well as Troy, Albany, and Schenectady. Glens Falls, the CREDC's DRI selection, imagines possible DRI projects ranging from mixed-use development, façade improvements, and pedestrian trails, to a Science, Technology, Engineering and Math (STEAM) kids' museum and indoor farmers market.

The Finger Lakes Regional Economic Development Council's (FLREDC) momentum is being fueled by a region united around a focused plan to grow jobs, increase regional wealth, drive private sector investment, and reduce poverty. FLREDC focused on three industry clusters: Optics, Photonics, and Imaging; Agriculture and Food Production; and Next Generation Manufacturing and Technology. A comprehensive partnership of private companies, local colleges and universities, federal sources, and state entities support the Optics, Photonics, and Imaging cluster. Apprentice programs at community colleges provide support services to at-risk students and apprenticeships in the OPI area for technicians. The FLREDC significantly refocused its economic development efforts on reducing regional poverty by requiring each proposed priority project to describe how it will address poverty. The City of Geneva was selected as the DRI community because its vision is strongly aligned with the FLREDC goals to grow jobs, increase private sector investment, and reduce poverty. The FLREDC supports the Rochester Monroe Anti-Poverty Initiative (RMAPI) dual generational action plan which is focused on adult mentoring; early childhood supports; and systems integration of social supports. Opportunities for employment are growing in the agricultural and food processing sector, with worker training, expanding food production and processing, growing downtown food and beverage clusters across the region, and waste to energy recycling.

The Mid-Hudson Regional Economic Development Council (MHREDC) continued to refine its economic development strategy to best leverage the region's unique assets (e.g., its proximity to New York City, highly educated workforce, and scenic beauty) and opportunities. Its LIVE, WORK, and PLAY strategies provide a clear road map for choosing projects to invest in and workforce initiatives to support, and industries in the LIVE and PLAY clusters showed growth in employment and the number of establishments. Stakeholders in the region are working together to identify means to address workforce development needs, including workers for which English is a second language and individuals targeted by the regional Opportunity Agenda program. Almost all of the proposed Priority Projects support workforce training. Commitment to downtown revitalization is evident in MHREDC funding recommendations, as half of the proposed Priority Projects are located in urban centers like Poughkeepsie, Kingston, New Rochelle, Yonkers, and Middletown. Chosen by the MHREDC as the DRI community, Middletown hopes to continue its success in adaptive reuse and expand academic partnerships. Many projects meet multiple objectives. For example, the proposed Poughkeepsie Landing Waterfront Redevelopment Project would help revitalize a distressed community identified as part of the Regional Opportunity Agenda, and provide public access and amenities along the Hudson River. Thanks to the intensive efforts to involve stakeholders and inform the public, the region had many consolidated funding applications for which to offer its support.

The Mohawk Valley Regional Economic Development Council (MVREDC) focuses heavily on creating vibrant, diverse, creative, innovative and safe communities. They believe that this may be the most important building block upon which to build a culture of innovation and opportunity. MVREDC is taking an ecosystem approach, investing in the people and the places that make the economy work and leveraging its core strengths in manufacturing, tourism, and agriculture. Nearly 40% of proposed Priority Projects and more than 55% of CFA applications are for downtown projects across the region. Oneonta, the DRI community, will invest in a Craft Food and Beverage Innovation District to enhance Oneonta's appeal to the next generation of employees and employers. Throughout the progress report, they discuss how doors are opened to refugees and hard to place workers for entry level advancement opportunities, as evidenced by the Mohawk Valley Resource Center for Refugees' partnership with food processors in the region. Four out of the top ten firms hiring refugees in the region are food processing and agribusiness supply chain companies, accounting for more than 500 new placements since 2009 and 90 in 2015 alone. The MVREDC industry cluster is Internet Technology (IT) and Cybersecurity. The region has been focusing on increasing the number of patents coming out of their research and development institutions. The Commercialization Academy at the Griffiss Institute is turning Air Force Research Laboratory (AFRL) technologies into commercial products and businesses, providing a continuous source of new businesses and products to support the economy.

The New York City Regional Economic Development Council (NYCREDC) strategic plan and project priorities are designed to help the state make investments and policy decisions that effectively address fiscal and economic challenges facing the city, such as increased competition for investment, persistent poverty, rising household costs, and reduced federal funding to address aging infrastructure and housing stock. NYCREDC has consistently prioritized projects that support their strategic plan strategies to improve quality of life, invest in the future, and foster innovation. Through the strength of the community's commitment to planning, Jamaica earned designation as the region's DRI community. This long-dormant community is addressing the needs of the local population through expanded educational, workforce development, employment and entrepreneurial opportunities. A shift in the regional economic cluster plan to Life Sciences will leverage investments in basic research and incubator facilities in a sector primed for growth. NYCREDC recognized the necessity to develop innovative long-term partnerships to accommodate the type of skills and work-based learning programs that are required to create most quality jobs. For example, the Classrooms to Career Project was successfully completed with collaboration from seven high schools; employers in IT, healthcare, engineering, energy utilities and advertising; and CUNY colleges that provide mentoring, internship and post program support to students.

Five regions should receive awards of up to \$10 million each in ESD Capital Grants to help attract and grow business in their regions: Central New York, Long Island, North Country, Southern Tier, and Western New York.

The Central New York Regional Economic Development Council (CNYREDC) added the three pillars of economic growth (Invest in Key Growth Drivers; Build an Inclusive Economy; and Develop Enablers of Prosperity) contained in its winning Upstate Revitalization Initiative plan to the strategic goals identified in 2011. A neighborhood within the City of Oswego was designated the DRI community in recognition of its many assets, such as a scenic waterfront location, compact and walkable downtown, rich cultural history, and strong anchor institutions. Many downtown revitalization projects are identified in other community centers, such as Cortland, Auburn, and Syracuse. Progress has been made on innovation corridor projects in downtown Syracuse, which includes raising capital and creating jobs at Clean Tech Center and expanding services at Tech Garden. The CNYREDC targeted industry cluster of Data to Decisions includes precision

medicine, thermal and electronic controls, cybersecurity and information assurance, and unmanned aerial systems which saw tangible progress in 2015-2016 through project U-SAFE and planning for NUSTAR and Unmanned Aircraft System Traffic Management (UTM). The ongoing Advanced Manufacturing Jobs and Innovation Accelerator Challenge (AMJIAC) cluster group provides a variety of networking, capacity building, and reporting activities to assist 64 firms employing 6,300 individuals in the D2D cluster. The strong contribution of agriculture to the regional economy was also addressed. Overlap with other regions in agribusiness proposals was recognized by the CNYREDC, which formed an interregional group to assure projects are mutually reinforcing.

The Long Island Regional Economic Development Council (LIREDC) continues support of the strategies identified in its strategic plan. It is maintaining its momentum in the biotechnology sector through ongoing projects like the Broad Hollow Bioscience Park and Ronkonkoma Hub, nine projects supported for priority project funding this year, as well as others being advanced through other funding sources. The LIREDC supported workforce development initiatives like eMINDSET at Stony Brook University, expected to boost enrollment in engineering and applied science by 700% and to help the region meet the expected demand for engineers in increasingly complex and entrepreneurial-minded endeavors. LIREDC developed five sector advisory groups (health care, manufacturing, information technology, agriculture, and retail/hospitality/tourism) to identify skills gaps and unmet labor needs; assemble a database of existing degree and training programs in the region; and then propose a program to address identified needs. Through its Opportunity Agenda, LIREDC continues to support Wyandanch Rising infrastructure improvements and programs that target hard-to-place workers. LIREDC recognizes the need for improved infrastructure as a foundational element for downtown revitalization, and its selection of Westbury as the DRI will allow the community to plan how to capitalize on improvements to the Long Island Rail Road. To support a new Foodie Long Island cluster, local agencies will partner to establish a proposed Nassau County Food Production Incubator to support fledgling new food producers.

The North Country Regional Economic Development Council (NCREDC) identified a diverse set of strategies to deliver a continuous series of "firecrackers" through the tailored targeting of key communities described in the progress report. Plattsburgh, selected as the region's DRI community, is showing strong continuing growth as heart of region's Transportation Equipment & Aerospace Cluster, including the current development of Norsk Titanium, major expansion of capabilities at Plattsburgh International Airport, and construction of a new Institute for Advanced Manufacturing. Watertown retained Fort Drum as NYS's largest single site employer, meeting housing needs and creating energy independence. In Clayton, ripple effects from investment in the 1000 Islands Harbor Hotel illustrate the payoff in investing in new accommodations for many distressed tourism communities across the region. The region's tourism fund used \$1.12M of state dollars to leverage \$8.67M of private investment. Tupper Lake boosted visitation and spending with a multi-phase investment in the Wild Center "Wild Walk" and new businesses downtown. Several proposed Priority Projects link tourism to downtown revitalization as a driver for private investment, such as the Downtown Campus Revitalization of Clarkson University in Potsdam. The NCREDC is working hard to be a successful member of the global community as well, attracting foreign direct investment in more than 20 companies, growing exports, enhancing global logistics, and forming new international partnership agreements.

The **Southern Tier Regional Economic Development Council** (STREDC) maintained its focus on picking priority projects that address one or more of the four regional strategies (Build the Greater Binghamton Innovation Ecosystem; Invest in the Advanced Manufacturing Industry; Transform the Food and Agriculture Industry; and Promote the Southern Tier's Innovative Culture). For example, Binghamton University purchased and installed the NECCES Battery Dry Room at the Center of Excellence to create a testing facility for new materials and devices related to the energy storage industry. The creation of GLASE (Greenhouse Lighting and Systems Engineering) allowed for more affordable LED crop lighting for New York growers, increasing

local crop production and the availability of year-round fresh vegetables. Downtown Elmira, selected as the region's DRI community, has strong links between its proposed downtown revitalization strategies and the STREDC emphasis on entrepreneurship, advanced manufacturing, and the innovation culture. STREDC supports several different programs/training options to appeal to various demographics, such impoverished residents, veterans, people without formal education or English language skills, and people with sustained and severe vision loss. The STREDC also provides numerous examples of collaboration between employers and educational institutions to offer training for jobs skills of various levels and experience. There are signs of economic improvement in the region – increases in manufacturing wages; increasing numbers of 30-34 year olds migrating into the region; and growth in employment in food manufacturing.

The Western NY Regional Economic Development Council (WNYREDC) presents "A Strategy for Prosperity" that encompasses 10 strategies, including implementation of smart growth principles like downtown investment, neighborhood revitalization, brownfield redevelopment, and water resource protection. One smart growth project is accelerating the redevelopment of a longvacant, major brownfield site, Bethlehem Steel. WNYREDC focuses on seven industry sectors, which helps drive investment decisions. The region experienced job growth in several sectors such as agriculture, bi-national logistics, professional services, and tourism. Its targeted cluster of Materials and Manufacturing has seen an increase in total wages, and total job count and firm count have decreased in the past few years. Buffalo has been a focus of investment, but other communities are benefiting from ESD Capital Funds and other state investments. For example, parkways are being rethought in Niagara Falls; riverfront access restored; and downtown areas revitalized. In addition, recent investments in adaptive reuse projects, complete streets, tourism, and the waterfront positioned Jamestown as the community most ready to benefit from DRI funding. Job training and engagement of hard-to-place workers is a regional priority (Prepare our Workforce), and includes efforts for unskilled workers, construction trades, and high tech/bio tech workers. As part of its Opportunity Agenda, the WNYREDC is taking steps to ensure that education, transportation and support services are growing so that economic prosperity is reaching all segments of the population.

#### Conclusion

In each of the regions, the Strategic Implementation Assessment Team was impressed by the continued dedication of the Regional Council members, work group volunteers, their selection of strategic and realistic projects, and staff of the Regional Empire State Development offices who serve the Regional Councils. We continue to believe that the REDC process is a recipe for success, bringing together the key ingredients of economic development, academic advancement of new ideas and products, and development of a workforce across all age groups and socioeconomic strata. While we may only recommend five regions as top performers, we believe all of the regions are winners.

We extend our thanks and our congratulations to all Region Council members for the outstanding work they are doing to strengthen New York's economy.

# **Long Island REDC**

# **2016 Progress Report Assessment**

# Section I. Performance

Impact on job creation and retention

#### Strengths

- The unemployment rate in July of 2016 fell 3 full percentage points since 2011 and stands at 4.2%.
- From 2011-2015, private sector jobs increased by over 66,000 jobs and public sector jobs decreased by 7,615, with a net total increase of almost 59,000. From 2014 to 2015, the number of private sector jobs increased 1.4% and all jobs 1.2%.
- From 2011-2015, average annual wage increased by over \$5,000 or 9.3% and total annual wages increased 13%. From 2014 to 2015, average annual private sector wages increased 3.5% and all wages 3.3%.

#### Weaknesses

- Long Island's job growth lagged behind the statewide rate by about 2 percentage points.
- Job growth has not been uniformly distributed across all wage levels.
- Long Island lagged behind the statewide increases in both average annual and total annual wages from 2011 to 2015.

Business growth and leverage of private sector investments

#### Strengths

- Over the past five years \$425 million in state funds for 470 initiatives leveraged \$1.8 billion in private investment.
- The ratio of total project cost to ESD capital awards amount for the 141 Priority Projects (2011-2015) is estimated in the report to be 16.6:1, and for the 47 projects still active in 2015 it is 11:1.
- From 2011-2015 the Long Island region saw a 4.3% growth in the total number of establishments. The rate of growth for 2014-2015 was 1.45%.

# Weaknesses

• There was little discussion in the report of leveraging private sector investment.

# Section II. Progress

Implementation of strategies in the 5-year regional strategic economic development plan

#### Strengths

- LIREDC identified Biotech as their industry cluster in 2015. They advanced 9 projects associated with the cluster and 7 are on schedule.
- Broad Hollow Bioscience Park (2012 award) recently hired a commercial real estate broker to exclusively market the building to biotech companies.

• The Ronkonkoma Hub, a transit-oriented development, received site plan approval and engineering, stormwater, and traffic safety issues have been resolved.

#### Weaknesses

- Two priority projects associated with the industry cluster have been cancelled Accelerate Long Island Capital Equipment Fund and The Farmingdale State College Next Generation Vaccine Development.
- Other than some training activities, little progress seems to have been made related to the reinvigorating the manufacturing sector.

Execution of strategies in annual plan updates that address emerging state and regional challenges and opportunities

#### Strengths

- Progress continues on Wyandanch Rising infrastructure improvements.
- The Institute for Hospitality and Tourism Management at St. Joseph's College (2013 award) completed its Admissions and Hospitality Center and Student Success Center in August 2015. Construction is underway on the Student Hospitality Lounge. They have developed alliances with the Huntington Business Incubator to offer workshops at the Huntington Opportunity Resource Center which target unemployed displaced workers, persons with disabilities and veterans, emphasizing the skills needed to succeed in the hospitality industry.
- LIREDC developed five sector advisory groups to identify skills gaps and unmet labor needs, and assemble database of existing degree and training programs in region.

# Weaknesses

- Other than establishing a committee (LI-Bio) to recruit companies to the region, little progress was show on bio-tech strategies.
- While the LIREDC explains actions taken to address critical activities and strategies identified last year, they did not support any new Global NY projects for funding.

Success in job training and engagement of hard-to-place workers

# **Strengths**

- LIREDC developed five sector advisory groups (Health Care, Manufacturing, Information Technology,
  Agriculture, and Retail/Hospitality/Tourism) to identify skills gaps and unmet labor needs, and assemble
  database of existing degree and training programs in region. They developed a proposed program to
  address identified needs.
- Molloy College (2012 award) completed its Center for Nursing Excellence in January 2016. The center
  consolidates the college's nursing programs under a single roof, and features seven laboratories with 20
  simulator patients that allow students to practice clinical procedure and provide nursing care in varied
  clinical situations.
- Adelphi University's Nursing Innovation Lab and Training Center (2014 award) is scheduled to open in December.
- Several initiatives targeted veterans and hard-to-place workers:
  - Seven percent of enrolled nursing students at St. Joseph's College new Pre-Licensure Nursing Program (2014 award) are veterans.
  - The Institute for Hospitality and Tourism Management at St. Joseph's College (2013 award) in partnership with Huntington Opportunity Resource center offers workshops targeting unemployed displaced workers, persons with disabilities, and veterans.

 Hempstead Works (2014 award) coordinates closely with partners such as the Department of Labor and Veterans Service Organizations to recruit unemployed workers and veterans, and with area businesses to place them.

# Weaknesses

• Employer reports suggest available pools of seasoned managers as well as entry level workers are shrinking, potentially requiring further programs for management and workforce development.

Advancement and completion of prior round Priority Projects

#### Strengths

- 85% of all past Priority Projects are completed or on schedule (140 of 164).
- 82% of all projects receiving CFA awards are completed or on schedule (367 of 450).

#### Weaknesses

None noted.

# Section III. Planning

Quality of the Progress Report

# **Strengt**hs

The report does a good job of laying out the LIREDC's strategies, progress and plans to move forward.
 Project updates, summaries of advisory group reports, and updated strategies to continue to improve the region are provided. For example, to address the strategy of increasing the supply of trained employees, the report discusses the Long Island Biotech Education Consortium's continued efforts to revamp K-12 curriculum and how Stony Brook University will lead the training efforts.

# Weaknesses

- Little information is provided on the impacts of completed projects, such as the number of jobs created.
- Descriptions on proposed jobs to be created by priority projects descriptions do not differentiate between created and retained jobs.

Coordination with institutions of higher learning to address regional workforce development needs

# Strengths

- Many of the proposed projects involve coordination with higher learning institutions in the region, including Molloy College, St. Joseph's College, Hofstra University, Stony Brook University, and Adelphi University.
- Stony Brook University eMINDSET is expected to boost enrollment in engineering and applied science by 700%, helping the region meet the expected demand for engineers in entrepreneurial-minded endeavors. The program will focus on recruitment and graduation of more minority group members.

#### Weaknesses

None noted.

Collaboration with local governments in advancing opportunities for improved efficiency

# **Strengths**

• LIREDC supported an application to the Department of State Local Government Efficiency program to consolidate law enforcement records in Nassau County.

#### Weaknesses

 The report does not address engagement with local governments on issues of shared services and other efficiency measures.

Ability to take the next steps in growing the regional economy

#### Strengths

- Consumer sentiment is stronger and more positive than a year ago.
- Both the labor force participation and the number of persons employed were at multi-year highs.
- Biomedical (12%), Tourism (13%) and Information Technology (17%) all showed double digit percentage increases in jobs from 2011.
- The Long Island Angel Network, a private group of investors, invested \$10 million over the last 5 years in 7 early stage companies that also attracted federal and state funding.
- State investment in the Ronkonkoma Hub parking structure and infrastructure improvements will lead to 2.2 million square feet of mixed use development and leverage more than \$600 million in private investment. In 2016, Phase One received site plan approval and all outstanding issues involving engineering, storm water, and traffic safety have been resolved.

#### Weaknesses

- The LIREDC reports that the National Federation of Independent Business' Small Business Optimism Index remains below levels seen a year ago.
- The Empire State Manufacturing Index has been largely flat.

Development of regional metrics to measure success

#### Strengths

- The LIREDC provided an Economic Outlook for Long Island.
- Metrics related to early stage investment dollars, research institution expenditures, and Small Business Administration grants are used to illustrate resources for regional innovation.
- A chart of patents awarded to Long Island inventors is used to demonstrate innovation efforts.
- Additional metrics are provided for numbers of high school diplomas and degrees, and vacancy rates.

#### Weaknesses

 While there are several unique data points offered, they are not all connected to strategies, benchmarks, or aspirational goals.

# Section IV. Projects

Solicitation and development of projects that advance the regional economic cluster

# **Regional Cluster**

Biotechnology

#### Strengths

- The Long Island Association formed the LI-Bio Committee to foster collaborative and inclusive approaches to biotech job creation.
- The LIREDC proposed nine projects in the Biotech field, many projecting significant new job creation. For example Modern Meadow is projected to create/retain 272 jobs and the Luminati Aerospace proposal is projected to create/retain 221 jobs.
- The Center for Advanced Engineering at the Feinstein Institute for Medical Research will create a new laboratory to develop new Medical devices and technologies.
- Additional biotech projects are being advanced through other means, such as Empire State
  Development's Division of Science, Technology and Innovation (NYSTAR), the National Institute of
  Health, U.S. Food and Drug Administration, and the U.S. Department of Agriculture.

#### Weaknesses

None noted.

Identification of projects to advance State and regional priorities.

#### **Strengths**

- The LIREDC continues to address the priorities of the state and they have given updates on progress and future actions that will be taken.
- There are two projects that will continue the development at Wyandanch Rising: construction of a mixed-income, mixed-use transit oriented residential and commercial space (Building E) and a new train station.
- The LIREDC created a new cluster -Foodie LI- to support and promote the food industry on Long Island.
   Nassau County in partnership with local agencies proposed a food manufacturing incubator to support fledgling new food producers.
- LIREDC supported an Entrepreneurship Technology Innovation Center (ETIC) proposed by the New York Institute of Technology to provide startup services for high-tech businesses. The project is projected to create/retain 831 jobs.

# Weaknesses

• There are no new projects proposed in the Global NY sector.

Advancement of projects and activities to meet workforce development needs

# **Strengt**hs

- LIREDC supported the LGBT Community Center Phase 2 project will expand its Patchogue facility to offer workplace initiative training, health services and cultural exhibition space to the LGBT community.
- The proposed Hofstra University Consumer Behavior Market Research Laboratory will better educate business school students and assist businesses.
- The proposed Suffolk County Community College Advanced Manufacturing Training program will
  provide training to 32 participants for careers in high-demand manufacturing job openings identified by
  partner employers.
- Suffolk County Community College Veterans Resource Center will serve as a single point of contact for more than 700 military-connected students as they transition into the academic environment.

- Suffolk County will train 37 dislocated workers in the healthcare electronic records-related job titles.
- The Amneal Pharmaceutical training project is designed to improve the process/project management, technical writing skills, quality systems and lean manufacturing skill-set of existing employees.

#### Weaknesses

None noted.

Identification of projects in the region that would revitalize downtowns

# **Strengt**hs

- The need for improved infrastructure as a foundational element for downtown revitalization was recognized.
- LIREDC provided all supervisors and mayors in the region information about the Downtown Revitalization Initiative (DRI) competition. Applications were received from 21 communities.
- LIREDC supported several downtown-related applications, including ones from the City of Long Beach, Town of North Hempstead, Town of Oyster Bay, and a group in the Village of Babylon.

#### Weaknesses

None noted.

# Section V. Participation

Engagement of the community, public, and other stakeholders in the Regional Council process

#### Strengths

- LIREDC has five active work groups, including a broad range of both public and private stakeholders, to
  make sure that key strategies are being met and to identify where changes to the strategy need to be
  made.
- LIREDC has a strong partnership with higher education institutions in the region and supports collaborative research and development projects between the institutions and businesses.
- LIREDC outreach consisted of social media, forums, speaking engagements, public meetings, mail, and email.

# Weaknesses

None noted.

Regional unity and support of the Progress Report and Downtown Revitalization Plan

#### Strengths

- The report includes first person accounts of how the LIREDC projects have affected the region.
- Westbury, the DRI community, built a strong public consensus for change.

# Weaknesses

None noted.

CFA#	Region	Agency	Program Name	Project Name	Business Name	Agency Score	REDC Score	Total Score	Award	Awarded / Not- Awarded
65489	Long Island	Arts	Arts CHPG I	Hudson River/Erie Canal and Long Island Contemporary Maritime Currents	Long Island Traditions	56	5	61.00	\$30,000	Awarded
66572	Long Island	Arts	Arts CHPG I	Long Island Art and Culture Tourism Initiative	Long Island Arts Alliance	29	20	49.00	\$0	Not-Awarded
64918	Long Island	Arts	Arts CHPG P	The Parrish as Catalyst for the Cultural Economy	Parrish Art Museum	49	15	64.00	\$49,500	Awarded
66664	Long Island	Arts	Arts CHPG P	Integrated Marketing Plan for the AUPAC 10th Anniversary Season	Adelphi University	31	20	51.00	\$0	Not-Awarded
66428	Long Island	Arts	Arts CHPG P	New Cassel Wayfinding	Town of North Hempstead	28	20	48.00	\$0	Not-Awarded
67300	Long Island	Arts	Arts CHPG P	TSC infrastructure	Tesla Science Center at Wardenclyffe	27	20	47.00	\$0	Not-Awarded
53403	Long Island	Arts	Arts WIP	Administrative Workforce Expansion	Patchogue Arts Council, Inc.	47	20	67.00	\$49,500	Awarded
67668	Long Island	Arts	Arts WIP	Fellowship Workforce Expansion	Byrd Hoffman Water Mill Foundation	45	15	60.00	\$48,000	Awarded
67931	Long Island	Arts	Arts WIP	Fellowship Workforce Expansion	Standby Program	37	20	57.00	\$25,000	Awarded
28816	Long Island	Arts	Arts WIP	Administrative Workforce Expansion	Darc Productions	40	10	50.00	\$0	Not-Awarded
68161	Long Island	Arts	Arts WIP	Administrative Workforce Expansion	MONTAUK CHAMBER MUSIC SOCIETY, INC.	21	15	36.00	\$0	Not-Awarded
65965	Long Island	Arts	Arts WIP	Administrative Workforce Expansion	Friends of The Coltrane Home	13	20	33.00	\$0	Not-Awarded
67919	Long Island	DEC	DEC CSC C	Village of Great Neck Plaza Climate Smart Communities Certification	Village of Great Neck Plaza	29	15	44.00	\$0	Not-Awarded
65654	Long Island	DEC	DEC CSC C	Mastic Beach Municipal Fleet Vehicle Purchase	Village of Mastic Beach	20.5	15	35.50	\$0	Not-Awarded
67527	Long Island	DEC	DEC CSC I	Restoration of Clam Pond Sand Spit and Intertidal Habitat	Village of Saltaire	16.5	20	36.50	\$0	Not-Awarded
64584	Long Island	DEC	DEC EPG	Riverside Sewer District Map and Plan	Town of Southampton	64	10	74.00	\$30,000	Awarded
68252	Long Island	DEC	DEC WQIP AHR	Swan River Fish Ladder	Town of Brookhaven	78	20	98.00	\$345,000	Awarded
67241	Long Island	DEC	DEC WQIP AHR	Woodhull Dam Fish Passage and Peconic River Connectivity Project Implementation and Monitoring	Suffolk County Department of Parks, Recreation and Conservation	78	20	98.00	\$278,964	Awarded
64350	Long Island	DEC	DEC WQIP AHR	Mud Creek Watershed Aquatic Ecosystem Restoration Project	Suffolk County Department of Economic Development and Planning	68	10	78.00	\$2,262,910	Awarded
66415	Long Island	DEC	DEC WQIP AHR	Hempstead Harbor Wetland Restoration	Town of North Hempstead	53	20	73.00	\$400,000	Awarded
68315	Long Island	DEC	DEC WQIP MS4	Retrofit Implementation Inventory and Prioritization	Town of Brookhaven	70	20	90.00	\$187,500	Awarded
66830	Long Island	DEC	DEC WQIP NPS	North and South Shore Pumpout Stations	Town of Brookhaven	63	20	83.00	\$388,500	Awarded
68042	Long Island	DEC	DEC WQIP NPS	Soil Health Guide Development Project	Suffolk County Soil and Water Conservation District	65	15	80.00	\$16,000	Awarded
64351	Long Island	DEC	DEC WQIP NPS	Heisser Drain Improvement Project	Nassau County	33	20	53.00	\$0	Not-Awarded
66967	Long Island	DOL	DOL EET	Continuing Education for Existing Employees in Healthcare	South Nassau Communities Hospital	72.58	20	92.58	\$60,841	Awarded
64518	Long Island	DOL	DOL EET	Amneal Pharmaceuticals	Amneal Pharmaceuticals, LLC	70.87	20	90.87	\$51,291	Awarded
65330	Long Island	DOL	DOL EET	Customer Culture Training Program	Clear Vision Optical Co., Inc.	68.97	20	88.97	\$40,500	Awarded
66325	Long Island	DOL	DOL EET	Clean Room Existing Employee Training	Qosina Corp.	67.87	20	87.87	\$86,130	Awarded
65765	Long Island	DOL	DOL EET	Employee Productivity Development Training Program	Curtiss Wright	72.02	15	87.02	\$43,616	Awarded
65908	Long Island	DOL	DOL EET	Spectronics Training and Development	Spectronics Corporation	70.91	15	85.91	\$29,495	Awarded
64165	Long Island	DOL	DOL EET	Existing Employees Business Skills Training Program	Leviton Manufacturing	65.74	20	85.74	\$73,600	Awarded

CFA#	Region	Agency	Program Name	Project Name	Business Name	Agency Score	REDC Score	Total Score	Award	Awarded / Not- Awarded
64251	Long Island	DOL	DOL EET	Advanced Manufacturing Employee Training	Adchem Corporation	65.52	20	85.52	\$100,000	Awarded
67303	Long Island	DOL	DOL EET	Employee Professional Development Training Program	Luitpold Pharmaceuticals	65.28	20	85.28	\$42,152	Awarded
66576	Long Island	DOL	DOL EET	Aeroflex Plainview Existing Employee Training	Cobham	69.35	15	84.35	\$78,718	Awarded
64709	Long Island	DOL	DOL EET	GKN Machining Training Project 2016	GKN Aerospace Monitor, Inc.	63.8	20	83.80	\$39,400	Awarded
68281	Long Island	DOL	DOL EET	AS9100 Conversion Certification Program	CH Machining, Inc.	61.32	20	81.32	\$18,200	Awarded
65170	Long Island	DOL	DOL EET	Enhancing Skills for Advanced Manufacturing	VE Power Door Co., Inc.	61.23	20	81.23	\$21,685	Awarded
65868	Long Island	DOL	DOL EET	LEAN Manufacturing	US Nonwovens Corp	60.34	20	80.34	\$77,000	Awarded
67322	Long Island	DOL	DOL EET	Technician Technical Training for Career Advancement	Custom Computer Specialists, Inc.	59.51	20	79.51	\$99,788	Awarded
66516	Long Island	DOL	DOL EET	Bearings Limited 2016 NYS EET Project	Bearings Limited	58.03	20	78.03	\$46,200	Awarded
66565	Long Island	DOL	DOL EET	Blackman Plumbing Supply 2016 NYS EET Project	Blackman Plumbing Supply Co., Inc.	61.65	15	76.65	\$96,800	Awarded
68499	Long Island	DOL	DOL EET	Advancing Efficiency and Quality for a Biotech Manufacturer	Piper Plastics Corp.	54.99	20	74.99	\$52,540	Awarded
65153	Long Island	DOL	DOL EET	Staff Training	Graphic Image, Inc.	49.48	20	69.48	\$0	Not-Awarded
64595	Long Island	DOL	DOL EET	APS Employee Training	Alliant Techsystems Operations, LLC	54	15	69.00	\$0	Not-Awarded
64557	Long Island	DOL	DOL EET	Air Stream Corp 2016 NYS EET Project	Air Stream Corp Food Authority, Inc. and Affiliates	53.82	15	68.82	\$0	Not-Awarded
64078	Long Island	DOL	DOL EET	Winthrop-University Hospital Training	Winthrop-University Hospital	53.71	15	68.71	\$0	Not-Awarded
65028	Long Island	DOL	DOL EET	Lean Manufacturing ISO continuing education for Advanced Manufacturer	BR Industries, Inc.	53	15	68.00	\$0	Not-Awarded
67665	Long Island	DOL	DOL EET	Sharepoint and ISO90012008 to 2015 Conversion	Catalina Instrument Corp.	52	15	67.00	\$0	Not-Awarded
67590	Long Island	DOL	DOL EET	ISO9001 ERPMRP Sharepoint Implementation Training	ACFR Industries, Inc.	57	10	67.00	\$0	Not-Awarded
65239	Long Island	DOL	DOL EET	Microsoft Office Specialist Training (M.O.S.T.) at Molloy College	Molloy College	45	20	65.00	\$0	Not-Awarded
64498	Long Island	DOL	DOL EET	Abel Schafer 2016 NYS EET Project	Abel Schafer, Inc.	49.97	15	64.97	\$0	Not-Awarded
66083	Long Island	DOL	DOL EET	LiRo CFA	The LiRo Group	47.86	15	62.86	\$0	Not-Awarded
68624	Long Island	DOL	DOL EET	East West Industries Training Project	East West Industries	42.09	20	62.09	\$0	Not-Awarded
67585	Long Island	DOL	DOL EET	Castell Training	Castella Imports, Inc.	35.03	15	50.03	\$0	Not-Awarded
65391	Long Island	DOL	DOL NHT	Apprentice Program	CPI Aerostructures, Inc.	68	20	88.00	\$52,480	Awarded
63666	Long Island	DOL	DOL SPT	Project SCHOOL	Suffolk County Department of Labor Licensing and Consumer Affairs	65.48	20	85.48	\$100,000	Awarded
63371	Long Island	DOL	DOL SPT	Hempstead Career Pathways Project	Town of Hempstead Department of Occupational Resources	65	20	85.00	\$21,182	Awarded
67719	Long Island	DOL	DOL SPT	Certified Nurse Assistant Training	Cambridge Business Institute, Inc.	63.56	20	83.56	\$60,000	Awarded
63367	Long Island	DOL	DOL UWT	HempsteadWorks Sector Strategies Project - Significant Industries	Town of Hempstead Department of Occupational Resources	71.73	20	91.73	\$75,245	Awarded
68264	Long Island	DOL	DOL UWT	Project Management Training for Unemployed Workers	Stony Brook University	58.82	20	78.82	\$100,000	Awarded
67719	Long Island	DOL	DOL UWT	Certified Nurse Assistant Training	Cambridge Business Institute, Inc.	55.78	20	75.78	\$40,000	Awarded

CFA#	Region	Agency	Program Name	Project Name	Business Name	Agency Score	REDC Score	Total Score	Award	Awarded / Not- Awarded
64813	Long Island	DOL	DOL UWT	SCCC Advanced Manufacturing Training	Suffolk County Community College	50.09	20	70.09	\$81,000	Awarded
68344	Long Island	DOS	DOS LGE	Nassau County Law Enforcement Records Management System Consolidation and Efficiency Project	Nassau County Police Department	51	20	71.00	\$399,588	Awarded
65981	Long Island	DOS	DOS LGE P	Emergency Data and Phone Installation	Town of North Hempstead	39.33	20	59.33	\$7,500	Awarded
65110	Long Island	DOS	DOS LWRP	Suffolk County Blueway Trail Plan	Suffolk County	69	20	89.00	\$60,000	Awarded
64953	Long Island	DOS	DOS LWRP	Downtown Resilient Connectivity for Park Avenue Phase II	City of Long Beach	65.55	20	85.55	\$775,000	Awarded
66098	Long Island	DOS	DOS LWRP	Shorefront Park Resiliency and Enhancement Design	Village of Patchogue	65.55	20	85.55	\$202,050	Awarded
62311	Long Island	DOS	DOS LWRP	Bay to Sound Trails Initiative Phase II	Town of Southold	64.86	20	84.86	\$245,055	Awarded
66416	Long Island	DOS	DOS LWRP	Implementing North Hempstead's Manhasset Baywalk Trail	Town of North Hempstead	62.79	20	82.79	\$655,458	Awarded
65979	Long Island	DOS	DOS LWRP	Blueway Natural and Cultural Heritage Self Guided Trail System	Town of Huntington	62.1	20	82.10	\$76,000	Awarded
67396	Long Island	DOS	DOS LWRP	New York State Water Trail and Tourism Summit	Village of Freeport	59.00	20	79.00	\$25,000	Awarded
64991	Long Island	DOS	DOS LWRP	Western Gateway Revitalization Planning	City of Glen Cove	53.82	20	73.82	\$0	Not-Awarded
65523	Long Island	DOS	DOS LWRP	John Steinbeck Waterfront Park	Village of Sag Harbor	60.72	10	70.72	\$0	Not-Awarded
65225	Long Island	DOS	DOS LWRP	San Remo Area Drainage Mitigation Study	Town of Smithtown	55.2	15	70.20	\$0	Not-Awarded
65725	Long Island	DOS	DOS LWRP	Workforce Housing Support Media Campaign	Town of Southold	63.48	5	68.48	\$0	Not-Awarded
65301	Long Island	DOS	DOS LWRP	Enhanced Pedestrian Access to Roslyn Pond, Grist Mill, and Hempstead Harbor	Village of Roslyn	35.19	20	55.19	\$0	Not-Awarded
66013	Long Island	DOS	DOS LWRP CC	Local Waterfront Revitalization Program Update	Town of Oyster Bay	62.1	20	82.10	\$25,000	Awarded
63382	Long Island	DOS	DOS LWRP CC	Local Waterfront Revitalization Program Update	Village of Sag Harbor	57.27	15	72.27	\$50,000	Awarded
65571	Long Island	EFC	EFC GIGP	Meadow Road Stormwater Management	Town of Smithtown	40	15	55.00	\$150,000	Awarded
63651	Long Island	EFC	EFC GIGP	Green Park Retrofit	Sunken Meadow State Park	34	20	54.00	\$0	Not-Awarded
66051	Long Island	EFC	EFC GIGP	Green Infrastructure Stormwater Harvesting	Village of Shoreham	27	15	42.00	\$0	Not-Awarded
66446	Long Island	EFC	EFC GIGP	South Jamesport Avenue Green Infrastructure Upgrade	Town of Riverhead Highway	18	15	33.00	\$0	Not-Awarded
64340	Long Island	ESD	ESD BIHSP I	Stony Brook University Certified Business Incubator	Stony Brook University	71	20	91.00	\$125,000	Awarded
68146	Long Island	ESD	ESD BIHSP I	NYIT Business Incubator	New York Institute of Technology	62	20	82.00	\$125,000	Awarded
68405	Long Island	ESD	ESD Grants	Luminati Aerospace	Luminati Aerospace, LLC	76	20	96.00	\$1,000,000	Awarded
67438	Long Island	ESD	ESD Grants	Modern Meadow Manufacturing Facility	Modern Meadow, Inc.	76	20	96.00	\$1,000,000	Awarded
66747	Long Island	ESD	ESD Grants	Green Pedestrian Connections at the Nassau Hub	County of Nassau	75	20	95.00	\$1,000,000	Awarded
68311	Long Island	ESD	ESD Grants	Wyandanch Train Station	Town of Babylon	75	20	95.00	\$900,000	Awarded
66563	Long Island	ESD	ESD Grants	Center for Advanced Engineering at the Feinstein Institute for Medical Research	Northwell Health	75	20	95.00	\$500,000	Awarded
65415	Long Island	ESD	ESD Grants	Consumer Behavior Market Research Laboratory	Hofstra University	72	20	92.00	\$500,000	Awarded
67149	Long Island	ESD	ESD Grants	LIU Innovation and Entrepreneurship Park	Long Island University	72	20	92.00	\$500,000	Awarded
66477	Long Island	ESD	ESD Grants	School of Medicine Pre-Clinical MRI Equipment	Stony Brook University School of Medicine	72	20	92.00	\$500,000	Awarded

CFA#	Region	Agency	Program Name	Project Name	Business Name	Agency Score	REDC Score	Total Score	Award	Awarded / Not- Awarded
66963	Long Island	ESD	ESD Grants	Inlet Seafood Ice Project	Montauk Inlet Seafood Inc.	72	20	92.00	\$300,000	Awarded
64763	Long Island	ESD	ESD Grants	Incubator Kitchen at Old Bethpage Village Restoration	Nassau County Department of Parks, Recreation and Museums	71	20	91.00	\$200,000	Awarded
66818	Long Island	ESD	ESD Grants	Downtown Riverhead East End Market	North To South Real Estate, LLC	71	20	91.00	\$200,000	Awarded
65700	Long Island	ESD	ESD Grants	Veterans Resource Center	Suffolk County Community College	71	20	91.00	\$23,000	Awarded
68148	Long Island	ESD	ESD Grants	Wyandanch Rising Building E	Community Development Corporation of Long Island	70	20	90.00	\$500,000	Awarded
64709	Long Island	ESD	ESD Grants	GKN Machining Equipment Acquisition	GKN Aerospace Monitor, Inc.	70	20	90.00	\$500,000	Awarded
68097	Long Island	ESD	ESD Grants	Patchogue Gateway Transportation Center	Suffolk County	70	20	90.00	\$300,000	Awarded
68189	Long Island	ESD	ESD Grants	LS Packing Expansion	L. and S. Packing Co., Inc.	70	20	90.00	\$200,000	Awarded
66325	Long Island	ESD	ESD Grants	Qosina Corp. Clean Room Construction	Qosina Corp.	70	20	90.00	\$185,000	Awarded
63604	Long Island	ESD	ESD Grants	Babylon Village Theater	Main Street Theatre Partners, LLC	70	20	90.00	\$150,000	Awarded
66099	Long Island	ESD	ESD Grants	Stony Brook University eMINDSET- Engineering School Expansion	The Research Foundation for The State University of New York	70	20	90.00	\$150,000	Awarded
66562	Long Island	ESD	ESD Grants	LGBT Community Center Phase 2	Long Island LGBT Network	68	20	88.00	\$250,000	Awarded
65043	Long Island	ESD	ESD Grants	Alken Capital Expenditures	Alken Industries, Inc.	68	20	88.00	\$75,000	Awarded
63648	Long Island	ESD	ESD Grants	CH Machining Expansion Project	Cliff Wayne Havel	68	20	88.00	\$13,000	Awarded
68606	Long Island	ESD	ESD Grants	Sheltair Farmingdale	Sheltair Farmingdale, LLC	65	20	85.00	\$200,000	Awarded
67459	Long Island	ESD	ESD Grants	Globe Grinding Expansion	Globe Grinding, Inc.	65	20	85.00	\$150,000	Awarded
68466	Long Island	ESD	ESD Grants	Business Machinery Upgrades	Satur Farms, LLC	65	20	85.00	\$75,000	Awarded
65695	Long Island	ESD	ESD Grants	Wood working equipment purchase	Framerica Corporation	65	20	85.00	\$50,000	Awarded
65170	Long Island	ESD	ESD Grants	Advanced Manufacturing Equipment Acquisition	VE Power Door Co., Inc.	65	20	85.00	\$50,000	Awarded
67652	Long Island	ESD	ESD Grants	Industrial Metals Expansion	Industrial Metals International, Ltd.	65	20	85.00	\$25,000	Awarded
67850	Long Island	ESD	ESD Grants	Huntington YMCA Healthy Living Center	YMCA of Long Island Inc. Huntington branch	74	10	84.00	\$400,000	Awarded
66590	Long Island	ESD	ESD Grants	Adelphi University Advanced Bio Science Lab	Adelphi University	74	10	84.00	\$104,000	Awarded
65665	Long Island	ESD	ESD Grants	Center for Renewable Energy	Cold Spring Harbor Laboratory	59	20	79.00	\$0	Not-Awarded
66642	Long Island	ESD	ESD Grants	Winthrop-University Hospital's Hempstead Family Care Center	Winthrop-University Hospital Association	58	20	78.00	\$0	Not-Awarded
64747	Long Island	ESD	ESD Grants	Southampton Hospital Cancer Center	Southampton Hospital Association	58	20	78.00	\$0	Not-Awarded
67857	Long Island	ESD	ESD Grants	Mercy Medical Center Integrated Outpatient Center	Catholic Health Services of Long Island	62	15	77.00	\$0	Not-Awarded
66969	Long Island	ESD	ESD Grants	NYIT Bio Med and Environmental Research and Training Lab	New York Institute of Technology	62	15	77.00	\$0	Not-Awarded
66167	Long Island	ESD	ESD Grants	Fisheries Future Fund	Peconic Land Trust, Incorporated	56	20	76.00	\$0	Not-Awarded
65176	Long Island	ESD	ESD Grants	Port Jefferson Village Inclusive Play Downtown Park	Incorporated Village of Port Jefferson	61	15	76.00	\$0	Not-Awarded
65252	Long Island	ESD	ESD Grants	Theodore Roosevelt Audubon New York Center for Long Island Conservation	National Audubon Society, Inc	61	15	76.00	\$0	Not-Awarded
63424	Long Island	ESD	ESD Grants	Phase II Development of Good Ground Park	Town of Southampton	61	15	76.00	\$0	Not-Awarded
68479	Long Island	ESD	ESD Grants	Long Island Manufacturing Group Competitiveness Project	Manufacturing Consortium of Long Island	55	15	70.00	\$0	Not-Awarded

CFA#	Region	Agency	Program Name	Project Name	Business Name	Agency Score	REDC Score	Total Score	Award	Awarded / Not- Awarded
66458	Long Island	ESD	ESD Grants	WinthropUniversity Hospital Combined Heat and Power	Winthrop-University Hospital Association	58	10	68.00	\$0	Not-Awarded
64642	Long Island	ESD	ESD Grants	Indigo Village Welcome and Exhibition Center	Jaral Management Corporation	51	10	61.00	\$0	Not-Awarded
66206	Long Island	ESD	ESD Grants	MicroTurbine CoGeneration Facility Expansion	Great Neck Water Pollution Control District	46	10	56.00	\$0	Not-Awarded
67674	Long Island	ESD	ESD Grants	Feasibility Study Phase 2	Family Community Life Center, Inc.	30	20	50.00	\$0	Not-Awarded
64629	Long Island	ESD	ESD Grants	Sysco Long Island LLC	Sysco Long Island, LLC	48	0	48.00	\$0	Not-Awarded
66951	Long Island	ESD	ESD Grants	Business Advancement Center: Long Island	Manufacturing Consortium of Long Island	40	5	45.00	\$0	Not-Awarded
65189	Long Island	ESD	ESD Grants	Rehabilitation of Historic Nugent Carriage House	Village of Southampton	40	5	45.00	\$0	Not-Awarded
63493	Long Island	ESD	ESD Grants	School Building Renovations	Developmental Disabilities Institute	44	0	44.00	\$0	Not-Awarded
68489	Long Island	ESD	ESD Grants	ADDAPT Manufacturing Group Competitiveness Program	Aerospace and Defense Diversification Alliance in Peacetime Transition	38	5	43.00	\$0	Not-Awarded
65000	Long Island	ESD	ESD Grants	Suffolk County Historical Society ADA Wing	Suffolk County Historical Society	43	0	43.00	\$0	Not-Awarded
66578	Long Island	ESD	ESD Grants	Riverside Revitalization Phase I Traffic Calming and Streetscape Improvements	Suffolk County	30	5	35.00	\$0	Not-Awarded
67398	Long Island	ESD	ESD MNY	Virtual Tours of Long Island's East End	East End Tourism Alliance	72	20	92.00	\$206,250	Awarded
68280	Long Island	ESD	ESD MNY	Cooperative International Marketing Initiative	Long Island Convention and Visitors Bureau and Sports Commission	67	20	87.00	\$150,000	Awarded
66836	Long Island	ESD	ESD MNY	Long Island Hospitality Tourism Management Link to Education	St. Joseph's College	60	20	80.00	\$120,000	Awarded
66572	Long Island	ESD	ESD MNY	Long Island Art and Culture Tourism Initiative	Long Island Arts Alliance	60	20	80.00	\$50,000	Awarded
65252	Long Island	ESD	ESD MNY	Theodore Roosevelt Audubon New York Center for Long Island Conservation	National Audubon Society, Inc	61	15	76.00	\$130,000	Awarded
65942	Long Island	ESD	ESD MNY	North Hempstead Beach Park Promotion Campaign	Town of North Hempstead	60	15	75.00	\$82,500	Awarded
67707	Long Island	ESD	ESD MNY	Pride on the Beach!	LGBT Network	52	20	72.00	\$300,000	Awarded
66664	Long Island	ESD	ESD MNY	Integrated Marketing Plan for the AUPAC 10th Anniversary Season	Adelphi University	49	20	69.00	\$0	Not-Awarded
65575	Long Island	ESD	ESD MNY	Kings Park Hike and Bike/Greenbelt Trail Marketing Campaign	Town of Smithtown Planning Department	48	20	68.00	\$0	Not-Awarded
66818	Long Island	ESD	ESD MNY	Downtown Riverhead East End Market	North to South Real Estate, LLC	45	20	65.00	\$0	Not-Awarded
68118	Long Island	ESD	ESD MNY	Youth Food Revolution	Cinema Arts Centre	43	20	63.00	\$0	Not-Awarded
66562	Long Island	ESD	ESD MNY	LGBT Network Patchogue Center Phase 2	LGBT Network	40	20	60.00	\$0	Not-Awarded
66657	Long Island	ESD	ESD MNY	LocalChalkBoard Marketing	LocalChalkboard	35	15	50.00	\$0	Not-Awarded
64642	Long Island	ESD	ESD MNY	Indigo Village Expansion Visitors Welcome Center ConventionMarketplace Facility	Jaral East End Hotel Corp	40	10	50.00	\$0	Not-Awarded
68605	Long Island	ESD	ESD MNY	Market Long Island - Corporate Tourism	ADDAPT	39	10	49.00	\$0	Not-Awarded
63754	Long Island	ESD	ESD MNY	Tourism Marketing Plan for Stony Brook Village	Ward Melville Heritage Organization	27	10	37.00	\$0	Not-Awarded
67220	Long Island	ESD	ESD SPFS	The Uniondale Bridge Project	Nassau County	75	20	95.00	\$40,000	Awarded
67489	Long Island	ESD	ESD SPFS	Wyandanch Village Recharge Basin Relocation Study	Suffolk County	70	20	90.00	\$25,000	Awarded
65980	Long Island	ESD	ESD SPFS	Upper Port Jefferson Urban Renewal Study	Incorporated Village of Port Jefferson	70	20	90.00	\$25,000	Awarded

CFA#	Region	Agency	Program Name	Project Name	Business Name	Agency Score	REDC Score	Total Score	Award	Awarded / Not- Awarded
67674	Long Island	ESD	ESD SPFS	Feasibility Study Phase 2	Family Community Life Center, Inc.	70	20	90.00	\$10,000	Awarded
66946	Long Island	ESD	ESD SPFS	Mastic / Shirley Revitalization	Town of Brookhaven	52	10	62.00	\$0	Not-Awarded
68122	Long Island	ESD	ESD SPFS	Lawrence Aviation Reuse Feasibility Study	The Suffolk County Landbank Corporation	49	10	59.00	\$0	Not-Awarded
68426	Long Island	ESD	ESD SPFS	Tourism Capital Project Feasibility Study	Long Island Convention Visitors Bureau	41	10	51.00	\$0	Not-Awarded
68102	Long Island	ESD	ESD SPFS	The Long Island Natural Gas Clean Energy Technology Initiative	Keyspan Gas East dba National Grid	33	10	43.00	\$0	Not-Awarded
66407	Long Island	ESD	ESD SPFS	Prospect Ave Utility Lines Undergrounding Feasibility Study	Town of North Hempstead	33	10	43.00	\$0	Not-Awarded
65601	Long Island	HCR	HCR NYMS	Village of Patchogue NYMS Program	Patchogue Business Improvement Management Association	56	20	76.00	\$250,000	Awarded
67769	Long Island	HCR	HCR NYMS	Riverhead East End Market Concept	Town of Rivehead	23	20	43.00	\$0	Not-Awarded
65252	Long Island	Parks	OPRHP HAS D	Theodore Roosevelt Sanctuary & Audubon Center	National Audubon Society, Inc.	44.50	15	59.50	\$0	Not-Awarded
67300	Long Island	Parks	OPRHP HAS D	Tesla Science Center	Friends of Science East, Inc.	38.00	20	58.00	\$0	Not-Awarded
63436	Long Island	Parks	OPRHP HAS D	Sea Cliff Village Hall & Community Complex Interior Repair & Renovation	Inc. Village of Sea Cliff	40.00	15	55.00	\$0	Not-Awarded
67924	Long Island	Parks	OPRHP HP D	Hempstead House Roof Repair and Restoration	Sands Point Preserve Conservancy	42.00	20	62.00	\$245,000	Awarded
65965	Long Island	Parks	OPRHP HP D	The Coltrane Home - Restoring the Home of an American Jazz Great	Friends of The Coltrane Home	41.50	20	61.50	\$172,750	Awarded
65189	Long Island	Parks	OPRHP HP D	Rehabilitation of Historic Nugent Carriage House	Village of Southampton	54.00	5	59.00	\$210,000	Awarded
63436	Long Island	Parks	OPRHP HP D	Sea Cliff Village Hall & Community Complex Interior Repair & Renovation	Inc. Village of Sea Cliff	42.00	15	57.00	\$0	Not-Awarded
67578	Long Island	Parks	OPRHP HP D	Restoration of the Historic Presidential Oyster Bay Railroad Station	Oyster Bay Railroad Museum	42.50	10	52.50	\$0	Not-Awarded
63803	Long Island	Parks	OPRHP HP D	Renovation of Tee Ridder Building	Nassau County Museum of Art	20.50	15	35.50	\$0	Not-Awarded
65573	Long Island	Parks	OPRHP PKS A	Open Space Acquisition Ryan Property	Town of Smithtown	49.00	20	69.00	\$500,000	Awarded
65192	Long Island	Parks	OPRHP PKS D	Historic Argyle Lake Park Shoreline Restoration	Village of Babylon	58.05	20	78.05	\$365,083	Awarded
63401	Long Island	Parks	OPRHP PKS D	EPCAL Athletic Trail	Town of Riverhead	53.25	20	73.25	\$500,000	Awarded
63424	Long Island	Parks	OPRHP PKS D	Phase 2 Development of Good Ground Park	Town of Southampton	56.55	15	71.55	\$0	Not-Awarded
66413	Long Island	Parks	OPRHP PKS D	Hempstead Harbor Shoreline Trail Extension	Town of North Hempstead	48.63	20	68.63	\$0	Not-Awarded
66184	Long Island	Parks	OPRHP PKS D	Patchogue Park Improvement Project	Incorporated Village of Patchogue	48.00	15	63.00	\$0	Not-Awarded
68177	Long Island	Parks	OPRHP PKS D	South Setauket Park	Town of Brookhaven	40.25	20	60.25	\$0	Not-Awarded
65176	Long Island	Parks	OPRHP PKS D	Port Jefferson Village Inclusive Play Downtown Park	Incorporated Village of Port Jefferson	43.25	15	58.25	\$0	Not-Awarded
67335	Long Island	Parks	OPRHP PKS D	Central Avenue Park	Town of Hempstead	39.00	15	54.00	\$0	Not-Awarded
63933	Long Island	Parks	OPRHP PKS D	Revitalization of the Brookville Nature Park	Incorporated Village of Brookville	38.00	15	53.00	\$0	Not-Awarded
66813	Long Island	Parks	OPRHP PKS D	Gentlemans Driving Track	Town of Brookhaven	37.75	15	52.75	\$0	Not-Awarded
65523	Long Island	Parks	OPRHP PKS P	John Steinbeck Waterfront Park	Village of Sag Harbor	53.90	10	63.90	\$0	Not-Awarded

# **2016 Program Legend**

Agency	Abbreviation	Program Name
Arts	Arts CHPG I	Arts, Culture, Heritage New Initiatives - Implementation
Arts	Arts CHPG P	Arts, Culture, Heritage New Initiatives - Planning
Arts	Arts WIP	Workforce Investment
Canals	Canals	Canalway Grant Program
DEC	DEC CSC C	Climate Smart Communities Program - Certification
DEC	DEC CSC I	Climate Smart Communities Program - Implementation
DEC	DEC EPG	Engineering Planning Grant
DEC	DEC WQIP AHR	Water Quality Improvement Project - Aquatic Habitat Restoration
DEC	DEC WQIP MS4	Water Quality Improvement Project - Municipal Separate Storm Sewer Systems
DEC	DEC WQIP NPS	Water Quality Improvement Program - Non-agricultural Nonpoint Source Abatement and Control
DEC	DEC WQIP NPSGL	Water Quality Improvement Program - Non-agricultural Nonpoint Source Abatement and Control - Great Lakes
DEC	DEC WQIP WTI	Water Quality Improvement Program - Wastewater Treatment Improvement
DEC	DEC WQIP WTID	Water Quality Improvement Program - Wastewater Treatment Improvement Disinfection
DOL	DOL EET	Existing Employee Training
DOL	DOL NHT	New Hire Training
DOL	DOL SPT	Special Populations Training
DOL	DOL UWT	Unemployed Worker Training
DOS	DOS LGE	Local Government Efficiency Program
DOS	DOS LGE P	Local Government Efficiency Program - Planning
DOS	DOS LWRP	Local Waterfront Revitalization Program
DOS	DOS LWRP CC	Local Waterfront Revitalization Program - Climate Change
EFC	EFC GIGP	Green Innovation Grant Program
ESD	ESD BIHSP HS	Business Incubator and Innovation Hot Spot Program - Hot Spot
ESD	ESD BIHSP I	Business Incubator and Innovation Hot Spot Program - Incubator
ESD	ESD Grants	Empire State Development Grant Program
ESD	ESD MNY	Market New York
ESD	ESD SPFS	Strategic Planning and Feasibility Studies
HCR	HCR CDBGCP	Community Development Block Grant - Community Planning
HCR	HCR CDBGME	Community Development Block Grant - Microenterprise
HCR	HCR CDBGPIPF	Community Development Block Grant - Public Infrastructure & Public Facilities
HCR	HCR NYMS	New York Main Street
OPRHP	OPRHP HAS A	Heritage Area Systems (Acquisition)
OPRHP	OPRHP HAS D	Heritage Area Systems (Development)
OPRHP	OPRHP HAS P	Heritage Area Systems (Planning)
OPRHP	OPRHP HP A	Historic Property (Acquisition)
OPRHP	OPRHP HP D	Historic Property (Development)
OPRHP	OPRHP HP P	Historic Property (Planning)
OPRHP	OPRHP PKS A	Parks (Acquisition)
OPRHP OPRHP	OPRHP PKS D OPRHP PKS P	Parks (Development) Parks (Planning)