

Mohawk Valley

2011 CFA Scoring and Process Memo

2011 Regional Council & Consolidated Funding Applications

The Regional Economic Development Councils are a community driven, regional approach to economic development in New York State. Each of the ten Regional Councils was tasked with developing a five-year strategic plan that included a comprehensive vision for economic development for that region, regional strategies to achieve that vision, and specific priority projects that are significant, regionally supported and capable of stimulating economic investment.¹

To incentivize the planning process, the Regional Councils competed for \$200 million in ESD capital funds and tax credits based on their Strategic Plans. According to the enabling legislation as passed by the New York State legislature and signed into law by the Governor, “funding will be pursuant to a plan developed by the chief executive officer of the New York state urban development corporation and based in part on a competitive selection process among the regional economic development councils and will support initiatives based on anticipated economic development benefits. Such moneys will be awarded by the New York state urban development corporation at its discretion.”²

Pursuant to the statute, the Empire State Development Corporation developed a competitive process in which Regional Council Strategic Plans containing recommendations for funding made by the local councils were reviewed by a Strategic Plan Review Committee. The Committee was comprised of nationally recognized experts in planning and economic development and state Commissioners. The Committee reviewed each region’s Strategic Plan based on established criteria that included a clearly articulated regional economic development vision, robust public and stakeholder engagement in the planning process, meaningful strategies and priority projects aligned with regional goals and objectives, an implementation agenda, and performance measures.

The Review Committee provided an assessment of each plan³ and made recommendations to Kenneth Adams, President & CEO, Empire State Development Corporation of the four plans that most closely aligned with these criteria.⁴ President Adams accepted the recommendations of the Committee and pursuant to his statutory powers as head of the Urban Development Corporation awarded four regions with the top Strategic Plans up to \$40 million in funding consisting of \$25 million in capital grants and \$15 million in Excelsior tax credits for priority projects identified in their Strategic Plans. The balance of the incentives were awarded to priority projects in alignment with the Strategic Plans of the Councils.

In addition, project sponsors applied for up to \$800 million in economic development resources available from nine state agencies and 29 existing programs through the new Consolidated Funding Application (CFA). The CFA allows businesses and other entities to apply for multiple agency funding sources through a single, web-based application. Awards for CFA projects were made based

¹ See Attachment A: Regional Council Guidebook: “A New State Government Approach to Economic Growth”, page 4.

² See Chapter 54 of the Laws of 2011, Capital Projects Budget, page 595.

³ See Attachment D: Regional Documents containing materials for each region, including the Strategic Plan, Review Committee Assessment and CFA Projects.

⁴ See Attachment C: Memo to Kenneth Adams from members of Strategic Plan Review Committee, December 5, 2011.

on the endorsement of the local Regional Council⁵ and the technical program review of the agencies providing resources, subject to interagency team analysis and agency discretion.⁶

The interagency team reviewed projects based on relevant factors including Regional Councils Strategic Plans and designation of priority projects, project alignment with regional goals and objectives, funding availability and regional need.⁷ Priority projects are those specifically enumerated in the Regional Council Strategic Plans and identified as significant, regionally supported and capable of stimulating economic investment.

The interagency team consisted of career staff from relevant agencies and authorities' with detailed knowledge of their respective CFA program funding. This group conducted an analytical assessment that provided the basis for project funding recommendations made by individual agencies for final approval by respective agency or authority head. As provided in the budget, the Regional Council capital fund was awarded by ESD in its discretion.

This process resulted in funding of projects that aligned with a long term, regionally generated strategic plan and are capable of stimulating economic growth and investment.

Attachments include:

- Regional Council Guidebook: "A New State Government Approach to Economic Growth" (2011)
- Available CFA Resources Guide (2011)
- Memo to Kenneth Adams from members of Strategic Plan Review Committee, December 5, 2011

For each of the ten regions, the following region specific documents are attached:

- Regional Council Strategic Plan
- Strategic Plan Review Committee Assessment
- Spreadsheet of CFA projects

⁵ To account for grading variances among regions, the Regional Council score was normalized through a standard statistical process approved and accepted by the Office of the State Comptroller.

⁶ The description and review criteria for these funds can be found in Attachment B: Available CFA Resources Guide.

⁷ See Attachment A: Regional Council Guidebook: "A New State Government Approach to Economic Growth", page 11.

Strategic Plan Review Committee Assessment Memo

MEMORANDUM

To: Kenneth Adams, President/CEO of Empire State Development Corporation

From: Joan McDonald, NYS Commissioner of Transportation
Cesar Perales, NYS Secretary of State
Bruce Katz, Vice President, Brookings Institution
Dall Forsythe, Senior Fellow, Wagner School of Public Service, New York University
Walter Broadnax, Professor of Public Administration, Maxwell School, Syracuse University

RE: Strategic Plan Review

Date: December 5, 2011

Thank you for inviting us to serve on the Strategic Plan Review Committee for New York State's Regional Economic Development Councils. We are honored to be a part of Governor Cuomo's effort to transform how New York coordinates and invests in economic development. In a matter of months, New York State has changed course from a top-down development model to a community-based approach that emphasizes each region's unique assets, harnesses local expertise, and empowers communities to stimulate regional economic development and create jobs statewide.

In launching the Regional Economic Development Councils, Governor Cuomo noted that "[n]o one knows their regions better than the people who live there. Yet for too long, the State has imposed economic development plans that haven't offered the flexibility for regions to make their own decisions about their future." The Councils, comprised of local experts and stakeholders from business, academia, local government, and non-governmental organizations, were challenged to develop strategic plans that emphasize each region's unique assets.

Over the past three weeks, we have had the privilege and responsibility of assessing the work of the Councils, both in their written plans and their oral presentations. We were impressed and inspired by the results of the collaborative strategic planning process. In our review of the plans, several things became apparent.

First, this is a truly galvanizing initiative. It has stimulated cooperation in every part of the state – across sectors, across jurisdictions, and even across historically competitive institutions.

Second, all of the Councils undertook this effort with a tremendous sincerity of purpose, serious focus and tireless dedication. The obvious commitment of all those who lent their voices and talents to the deliberations should be commended.

Third, the Councils and the people they represent are passionate about their regions and about the potential to grow a vibrant economy.

Fourth, every region of the State is rich with talent, resources, innovation and promise, and the work of the Councils will enable New York to capitalize on these strengths.

And perhaps the most important and obvious of all conclusions: there were no clear winners and losers in this process. Each Plan was a powerful blueprint for growth and job creation in the region.

Development of the strategic plans united regional leaders who were not accustomed to working together on economic development issues and reflected the participation of thousands of residents who enthusiastically embraced the process. Each Plan sought to exploit the region's strengths, while honestly assessing and addressing weaknesses, and offered a realistic vision for the region that could only be developed by those who know the region best.

While all of the plans were of high quality, demonstrating a passion for improving the economic vitality of the region and the state, our task was to use the Strategic Plan Scoring Criteria identified in the Regional Plan Guidebook to identify the four plans with the greatest potential to bring transformational change. The criteria, as outlined below, required a bold regional economic development vision, a robust process for stakeholder participation, meaningful strategies for achieving their goals, an implementation process committed to outcomes, significant leverage of public resources and performance measures:

1. Regional Economic Development Vision (5%)
2. Process (10%)
3. Strategies (35%)
4. Implementation (15%)
5. Leverage Resources (15%)
6. Performance Measures (20%)

The Committee has identified the following Strategic Plans as most closely aligning with these criteria:

- North Country
- Central New Yorkⁱ
- Long Island
- Western New York

Identifying the most exemplary plans was a daunting task given the strength of each of the proposals. Each of the 10 regions offered an energetic plan of action, worthy of mention.

The New York City Regional Plan advances bold projects that will bring jobs and revitalization to each of its boroughs, while promoting interregional cooperation and growth. Many of the City's projects can be furthered with economic development funds available to the State through the New York New Jersey Port Authority, and the Committee recommends that New York City's plan receive funding from the Port as well as from the Regional Council process. The Committee also encourages the State and the Chairman's Committee to work with the City to address regulatory hurdles that may impede the Plan's transformational initiatives.

The Finger Lakes Region provides a high quality blueprint with a plan to accelerate its transformation to a diverse, knowledge-based economy by building on strengths, as well as its successful history of collaboration between public and private institutions, to become a national leader in innovation and commercialization. The Region presents focused projects in areas such as renewable energy and technology innovation, which directly connect to their strategic goals.

The Southern Tier's plan presents a bold vision that strikes a balance between a high tech economy - grounded in higher education and larger employers – and the appeals of small-town life. The plan provided a comprehensive review of their strengths and weaknesses and identifies strategies that

advance recovery, economic growth and uniquely thriving down towns.

Mohawk Valley's strategies are based on leveraging its strengths to build new products and new growth opportunities. Mohawk Valley's emergence as a nanotechnology leader will complement its increasingly diverse economic base.

The Capital Region's Plan identifies broad goals that focus on leveraging the area's robust research capacity and revitalizing urban centers. The plan promotes success by maximizing the region's existing strengths – especially in high-tech industry and research.

The Mid-Hudson's Plan, which stresses its unique location north of New York City and south and east of New York's upstate counties, recognizes the skills of its citizens and high quality of life are all assets for future economic development. The plan establishes a cogent strategy for expanding the region's biomedical capacity.

In each of the winning plans, the Committee was impressed by the intensity of the region's collaborative spirit, mission and purpose, forged by challenging economic circumstances. The projects that were identified by the regions were both strategic and realistic, reflecting a sober, judicious and multi-dimensional approach to economic growth. The Committee would like to make special note of the inclusive nature that these four regions brought to the process. The plans were owned and produced by multiple stakeholders, setting the stage for sustained attention and collective action over time.

The North Country's plan represents the first-ever coordinated and collaborative regional economic development effort in the largest geographic area in the competition. We are impressed by the sophisticated explication of the integrated nature of the Canadian and North Country economies, and by the region's powerful statement of support for broadband access as a key to growing small business throughout the region.

In Central New York, our panel is pleased to see that the Plan embraces the region's socio-economic diversity and specific measures (i.e. Say Yes to Education) to enhance the quality of life and the economic potential of those living in the region's urban core. The Central New York Plan also places a special focus on improving competitiveness in and connections to the regional, national and global economies by strengthening targeted industry concentrations that leverage its unique economic assets.

In Long Island, the Committee applauds the Regional Council's honest appraisal of its condition and its commitment to transforming low-income minority communities. The Council's focus on using the smart growth principle of transit oriented development (TOD) to shift from a sprawling development pattern to one that focuses on downtown revitalization positions the region well for sustainable development. Simultaneously it offers Long Island's existing downtowns a much-needed facelift that will provide a significant economic stimulus across the region. While addressing the region's challenges, Long Island's plan also seeks to build on successful cluster strategies from other parts of the United States.

In Western New York, the Committee is encouraged by the Regional Council's enthusiastic commitment to inclusiveness in the Region's economic resurgence. The Plan draws a compelling connection between a sound education, targeted training and job opportunities for minority youth. The Plan also advances a solid strategy for smart growth investment that will help to revitalize urban cores and attract private investment. The Region's commitment to a holistic approach to downtown revitalization is a

central and distinctive element of its plan. And as with the North Country, Western New York recognizes and seeks to exploit the inextricable linkage between the Canadian economy and its own.

In this exciting process, all of the regions are winners – partnerships and cooperative strategies have developed, many for the first time, and these plans will serve as a living document which will guide economic development in the regions and the state for many years to come.

We would like to extend congratulations to all of the Regional Councils for their outstanding work. Thank you again for inviting us to be a part of this truly transformative initiative. State agencies will continue to make all final decisions about how resources are allocated. For the initial competition, however, a Strategic Plan Review Committee will analyze and compare each region's Strategic Plan.

ⁱ Dr. Walter Broadnax, Professor of Public Administration at The Maxwell School of Syracuse University, recused himself from assessing Central New York's regional plan, given Syracuse University's role in developing the plan.

Strategic Plan Review Committee Assessment

MOHAWK VALLEY REGIONAL COUNCIL

Strategic Plan Scoring Criteria

1. REGIONAL ECONOMIC DEVELOPMENT VISION – MAXIMUM 5 %

Discussion/Comments:

The Plan's vision statement evidences a comprehensive understanding of the region based on a thorough assessment. The vision provides the foundation for the plan and the issues, needs and opportunities are tied to the strategy and the projects.

Strengths:

- Vision statement is based on a thorough assessment of strengths, weaknesses, opportunities and threats and on clearly identified issues and opportunities.
- Vision acknowledges and embraces the Region's diversity.

Weakness:

- None noted.

2. PROCESS – MAXIMUM 10 %

Discussion/Comments:

Extensive outreach and work with focus groups was conducted. Process included leveraging the extensive work that each member county had previously invested in.

Strength:

- The public participation process was community-driven, comprehensive, and offered broad opportunity for input.

Weakness:

- None noted.

3. STRATEGIES – MAXIMUM 35 %

The strategies and projects identified by the Council attempt to address the range of issues and challenges. The infrastructure strategy (“Improving Spatial Efficiencies”) relies heavily on state resources to achieve the region’s infrastructure goals of remediating brownfields, downtown revitalization and transportation improvements. Prior to the Council’s submission of its plan, the State announced a \$4.4 billion public/private nano-technology partnership—with the State contributing \$400 million—that will create 450 high tech jobs at SUNY IT.

Strengths:

- The strategies are well rounded and address multiple topic areas (business expansion, infrastructure, workforce, innovation and government reform) and areas of strength.
- The transformational initiatives capitalize on specialty areas of focus/assets within the region.
- The plan also includes education-related strategies to develop the workforce required to meet the transformational projects and strategies.

Weaknesses:

- Many of the plan’s infrastructure strategies and projects require a significant investment from the State. The Plan places considerable focus on the region’s recent partnership with SUNY Nanotechnology. The Review Committee expressed concern about the level of additional investment requested for this project in the Plan.
- Agriculture, though identified throughout the document, had no specific broader strategy to address the opportunities.

4. IMPLEMENTATION – MAXIMUM 15 %

Discussion/Comments:

The Five-year Implementation agenda includes more than 43 projects and several initiatives that cut across the Mohawk Valley Region and target key aspects of the Plan's overall strategies. At least two of these projects are major inter-regional initiatives, with broader transformative benefits across multiple regions of Upstate New York.

Strength:

- The plan provides a timeframe and benchmarks for its priority projects.

Weaknesses:

- Implementation Plan identifies many projects with complex funding requests from multiple and often undefined funding sources.
- The projects have 2 to 5 year timeframes, without a more granular implementation schedule.

5. LEVERAGE RESOURCES – MAXIMUM 15 %

Discussion/Comments:

The Plan evidences an overreliance on state funding for infrastructure including funds needed for brownfield remediation, downtown revitalization and transportation. For projects included in the investment table, the leveraged state funding averaged 1.6:1. Infrastructure projects, which have a total development cost of \$512 million, equal 60% of the total of approximately \$900 million investment. This has a significant impact on the leveraged state funding ratio.

Strength:

- The plan identifies solid private sector investment in business specific projects.

Weakness:

- The plan relies heavily on additional state investment for an array of infrastructure projects. This level of investment is beyond the immediate scope of this competition.

6. EVALUATION/PERFORMANCE MEASURES – MAXIMUM 20 %

Discussion/Comments:

The Council created a performance measurement matrix which is a combination of both qualitative and quantitative metrics. The Co-Chairs noted that they will be tracked and analyzed over the life of the strategic plan as a means of understanding the plan's impact and relative success over time.

Strength:

- The qualitative and quantitative performance measures will give a solid snapshot of progress if implemented properly.

Weaknesses:

- The relationship of projects to performance measures is not always clear.
- The region may be challenged to assemble an organizational structure to implement the evaluation in a rigorous manner.

2011 CFA Project Scores

2011 CFA Projects

MOHAWK VALLEY

| Indicates Priority Project | | | | | | |
|----------------------------|----------------|--------------|--------------|--|-------------|---------------|
| CFA Number | Applicant Name | Project Name | Agency Score | Regional Council Score (Normalized) | Final Score | Amount Funded |

CANALS CORPORATION: CANALWAY GRANT PROGRAM

| | | | | | | |
|------|--|-------------------------------|-------|-------|-------|--------------|
| 6395 | NYS Office of Parks, Recreation & Historic Pr. | Scoharie Crossing Signs | 80.00 | 14.30 | 94.30 | \$ 20,000.00 |
| 8594 | NYS Office of Parks, Recreation & Historic Pr. | Scoharie Crossing Parking Lot | 80.00 | 6.00 | 86.00 | \$ 75,000.00 |
| 7681 | City of Rome | Canalway Trail | 47.50 | 11.13 | 58.63 | \$ 50,000.00 |
| 7433 | City of Utica | Canalway Trail | 40.50 | 11.76 | 52.26 | \$ - |
| 6007 | City of Rome | Rome Navigation Center | 34.00 | 14.93 | 48.93 | \$ - |
| 8431 | Capitol Civic Center | Rome Capitol Theater | 21.50 | 16.83 | 38.33 | \$ - |
| 8261 | City of Utica | Canal Access Improvements | 22.50 | 14.93 | 37.43 | \$ - |
| 6893 | City of Little Falls | Canal Access Improvements | 16.50 | 13.66 | 30.16 | \$ - |

DEPARTMENT OF LABOR: ON THE JOB TRAINING PROGRAM

| | | | | | | |
|------|--------------------------|---|-------|-------|-------|-------------|
| 6803 | Homogeneous Metals, Inc. | HMI NGPFJSF Capacity Expansion Plan | 58.00 | 18.73 | 76.73 | \$ - |
| 5437 | Advanced Tool, Inc. | Nano Technology Tool Manufacturing Training | 64.50 | 11.13 | 75.63 | \$ 2,880.00 |

DEPARTMENT OF LABOR: UNEMPLOYED WORKER PROGRAM

| | | | | | | |
|------|-----------------------------------|---|-------|-------|-------|------|
| 7406 | Herkimer County Community College | Herkimer County Community College Growing Healthcare Professional Program | 53.21 | 12.40 | 65.61 | \$ - |
|------|-----------------------------------|---|-------|-------|-------|------|

2011 CFA Projects

MOHAWK VALLEY

| Indicates Priority Project | | | | | | |
|----------------------------|---------------------------------|----------------------------------|--------------|-------------------------------------|-------------|---------------|
| CFA Number | Applicant Name | Project Name | Agency Score | Regional Council Score (Normalized) | Final Score | Amount Funded |
| 4937 | Mohawk Valley Community College | Brownfields Training Program | 49.69 | 11.13 | 60.82 | \$ 50,000.00 |
| 6509 | Madison-Oneida BOCES | Madison County Manufacturing | 49.05 | 8.64 | 57.69 | \$ 40,000.00 |
| 5742 | Otsego Northern Catskills BOCES | Healthcare Occupational Training | 37.14 | 15.56 | 52.70 | \$ 47,345.00 |

DEPARTMENT OF LABOR: WORKER SKILLS UPGRADING PROGRAM

| | | | | | | |
|------|-----------------------------------|---|-------|-------|-------|------|
| 7406 | Herkimer County Community College | Herkimer County Community College Growing Healthcare Professional Program | 49.24 | 12.40 | 61.64 | \$ - |
| 7196 | Mohawk Ltd. | Welding | 30.39 | 4.79 | 35.18 | \$ - |
| 7711 | Daimler Buses North America Inc. | WDP | 26.59 | 2.26 | 28.85 | \$ - |
| 6630 | Madison Oneida BOCES | | 0.23 | 7.96 | 8.19 | \$ - |

DEPARTMENT OF STATE: LOCAL WATERFRONT REVITALIZATION PROGRAM

| | | | | | | |
|------|-------------------|---|-------|-------|-------|---------------|
| 7329 | City of Amsterdam | Waterfront Heritage Area - Mohawk River Walk | 68.80 | 15.56 | 84.36 | \$ 25,000.00 |
| 8261 | City of Utica | Utica Inner Harbor Catalyst Projects | 67.20 | 14.93 | 82.13 | \$ 250,000.00 |
| 7397 | City of Utica | Utica Local Waterfront Revitalization Program | 68.40 | 13.03 | 81.43 | \$ 200,000.00 |
| 6007 | City of Rome | Rome Navigation Center | 66.40 | 14.93 | 81.33 | \$ 393,060.00 |
| 8356 | City of Rome | Harborway on the Erie Canal | 64.80 | 15.56 | 80.36 | \$ 783,550.00 |

2011 CFA Projects

MOHAWK VALLEY

| Indicates Priority Project | | | | | | |
|----------------------------|----------------------|--|--------------|-------------------------------------|-------------|---------------|
| CFA Number | Applicant Name | Project Name | Agency Score | Regional Council Score (Normalized) | Final Score | Amount Funded |
| 3312 | Town of Forestport | Black River Enhancement Project | 60.00 | 6.06 | 66.06 | \$ - |
| 6893 | City of Little Falls | South Ann Street Bridge RetrofitSuperstructure Replacement | 48.00 | 13.66 | 61.66 | \$ - |
| 8030 | Town of Verona | Durhamville Sewer District Town of Verona | 44.00 | 9.86 | 53.86 | \$ - |

DEPARTMENT OF TRANSPORTATION: AVIATION BOND PROGRAM

| | | | | | | |
|------|-----------------|--|-------|-------|-------|-----------------|
| 6230 | Oneida County | RME Nose Dock | 34.00 | 14.30 | 48.30 | \$ 2,700,000.00 |
| 5160 | Davand Aviation | Remove Existing Underground Fuel System and Install New Aboveground Self Service Fuel Farm | 32.00 | 7.96 | 39.96 | \$ - |

DEPARTMENT OF TRANSPORTATION: MULTI MODAL PROGRAM

| | | | | | | |
|------|---|--|-------|-------|-------|------|
| 6230 | Oneida County | RME Nose Dock | 80.00 | 14.30 | 94.30 | \$ - |
| 7326 | Adirondack Railway Preservation Society | Utica Enginehouse | 80.00 | 9.86 | 89.86 | \$ - |
| 5160 | Davand Aviation | Remove Existing Underground Fuel System and Install New Aboveground Self Service Fuel Farm | 80.00 | 7.96 | 87.96 | \$ - |
| 8410 | Village of Northville | South Main Street Improvement Project | 80.00 | 7.96 | 87.96 | \$ - |
| 7129 | Mohawk Adirondack & Northern RR Corp | Inspect Engineer Loadrate and Repair Culverts Bridges | 80.00 | 7.71 | 87.71 | \$ - |
| 7188 | Mohawk Adirondack & Northern RR Corp | Track Ifrastructure and M of W Impreovements Utica to Lyons Falls | 80.00 | 7.40 | 87.40 | \$ - |
| 5359 | Village of Herkimer | Bellinger Brook Culvert Rehabilitation | 80.00 | 7.33 | 87.33 | \$ - |

2011 CFA Projects

MOHAWK VALLEY

| Indicates Priority Project | | | | | | |
|----------------------------|--------------------|--|--------------|-------------------------------------|-------------|---------------|
| CFA Number | Applicant Name | Project Name | Agency Score | Regional Council Score (Normalized) | Final Score | Amount Funded |
| 3321 | Town of Forestport | Twin Bridge Replacement | 80.00 | 7.33 | 87.33 | \$ - |
| 5325 | Oneida County | Oneida County Route 840 Reconstruction | 80.00 | 6.06 | 86.06 | \$ - |

DEPARTMENT OF TRANSPORTATION: RAIL AND PORT PROGRAM

| | | | | | | |
|------|---|---|-------|------|-------|------|
| 7129 | Mohawk Adirondack & Northern RR Corp | Inspect Engineer Loadrate and Repair Culverts Bridges | 80.00 | 7.71 | 87.71 | \$ - |
| 7326 | Adirondack Railway Preservation Society, Inc. | Utica Enginehouse | 24.83 | 9.86 | 34.69 | \$ - |
| 7188 | Mohawk Adirondack & Northern RR Corp | Track Infrastructure and M of W Improvements Utica to Lyons Falls | 17.50 | 7.40 | 24.90 | \$ - |

ENVIRONMENTAL FACILITIES CORPORATION: GREEN INNOVATION GRANT PROGRAM

| | | | | | | |
|------|----------------------|--|-------|-------|-------|-----------------|
| 7348 | City of Rome | Little Italy Colonnade Red White and Green Infrastructure | 60.00 | 13.66 | 73.66 | \$ 459,000.00 |
| 4175 | City of Utica | Urban Green Infrastructure Project | 59.00 | 10.49 | 69.49 | \$ 1,030,000.00 |
| 7733 | Shumaker Engineering | Oneida County Sewer District Private Property Inflow Reduction Program | 48.00 | 2.26 | 50.26 | \$ - |

EMPIRE STATE DEVELOPMENT

| | | | | | | |
|------|------------------------------------|---|-------|-------|-------|------------------|
| 8430 | City of Utica | Utica Central Industrial Corridor Revitalization Plan | 66.00 | 18.10 | 84.10 | \$ - |
| 4708 | City of Johnstown - Fage | Fage USA | 62.00 | 19.36 | 81.36 | \$ - |
| 8871 | Ft Schuyler Management Corporation | Marcy Technology Complex at SUNY IT | 69.00 | 11.76 | 80.76 | \$ 15,000,000.00 |

2011 CFA Projects

MOHAWK VALLEY

| Indicates Priority Project | | | | | | |
|----------------------------|---|---|--------------|-------------------------------------|-------------|-----------------|
| CFA Number | Applicant Name | Project Name | Agency Score | Regional Council Score (Normalized) | Final Score | Amount Funded |
| 7071 | Millford Central School District | Career Opportunities in Rural Education | 56.00 | 20.00 | 76.00 | \$ 125,000.00 |
| 3319 | Economic Development Growth Enterprises | Marcy Interceptor Sewer Upgrade | 53.50 | 20.00 | 73.50 | \$ 5,000,000.00 |
| 6635 | Brouwerij Belame Ltd. | Ommegang Brewery | 58.50 | 14.93 | 73.43 | \$ 140,000.00 |
| 2085 | City of Amsterdam | Embassy Millworks | 56.00 | 16.83 | 72.83 | \$ - |
| 8106 | Daimler | Oriskany Retro | 57.00 | 15.56 | 72.56 | \$ - |
| 5437 | Advanced Tool, Inc | | 61.00 | 11.13 | 72.13 | \$ - |
| 3799 | Northland Networks Ltd | Broadband | 52.50 | 16.83 | 69.33 | \$ 703,500.00 |
| 5027 | Griffiss Local Development Corporation | Cybersecurity Accelerator | 55.50 | 13.03 | 68.53 | \$ 397,500.00 |
| 7620 | Euphrates, Inc. | Reprocessing whey waste water | 49.00 | 18.10 | 67.10 | \$ - |
| 4252 | Griffiss Local Development Corporation | Defense Contractor Facility | 50.50 | 16.20 | 66.70 | \$ 350,000.00 |
| 8689 | Blenheim Hill LLC. | | 57.00 | 9.23 | 66.23 | \$ - |
| 6731 | Mohawk Valley Community College | Air Mechanic Program | 53.00 | 11.76 | 64.76 | \$ - |
| 8356 | City of Rome | Harborway on the Erie Canalfront living working and playing | 48.50 | 15.56 | 64.06 | \$ - |
| 8261 | City of Utica | Utica Inner Harbor Catalyst Projects | 48.50 | 14.93 | 63.43 | \$ - |

2011 CFA Projects

MOHAWK VALLEY

| Indicates Priority Project | | | | | | |
|----------------------------|---|--|--------------|-------------------------------------|-------------|-----------------|
| CFA Number | Applicant Name | Project Name | Agency Score | Regional Council Score (Normalized) | Final Score | Amount Funded |
| 7454 | Workforce Investment Board | Cyber Training | 46.00 | 14.93 | 60.93 | \$ - |
| 8328 | Fish Creek Cabin Resort LLC | | 49.00 | 11.13 | 60.13 | \$ - |
| 5267 | County of Oneida | Griffis | 50.00 | 9.86 | 59.86 | \$ - |
| 5293 | Mohawk Valley Economic Development Growth Enterprises Inc | | 43.00 | 15.56 | 58.56 | \$ - |
| 8431 | Capitol Civic Center, Inc. | | 41.00 | 16.83 | 57.83 | \$ - |
| 7407 | Amsterdam Embassy Millworks | Embassy Millworks | 40.00 | 16.83 | 56.83 | \$ - |
| 4312 | Mohawk Valley EDGE - Marcy Nanocenter Upgrade to County Interceptor | Marcy Nanocenter Loop Road | 39.00 | 17.46 | 56.46 | \$ 5,000,000.00 |
| 4862 | Griffiss Local Development Corporation | Building 302 Demolition _ Griffiss | 42.00 | 13.66 | 55.66 | \$ - |
| 8318 | Tony's Ornamental Iron Works, Inc | New Generation expansion | 47.00 | 8.59 | 55.59 | \$ - |
| 6143 | Economic Development Growth Enterprises, Inc. | | 40.00 | 15.56 | 55.56 | \$ - |
| 4121 | Cardinal Griffiss | | 38.00 | 17.46 | 55.46 | \$ - |
| 7951 | MH Stallman Company | MH Stallman Expansion | 37.00 | 18.10 | 55.10 | \$ - |
| 7018 | Schoharie Recovery | Schoharie Stabilization and Rehabilitation | 41.00 | 13.66 | 54.66 | \$ - |
| 8635 | W. Kintz Plastics, Inc | Kintz Plastics Inc | 44.00 | 10.49 | 54.49 | \$ - |

2011 CFA Projects

MOHAWK VALLEY

| Indicates Priority Project | | | | | | |
|----------------------------|--|---|--------------|-------------------------------------|-------------|-----------------|
| CFA Number | Applicant Name | Project Name | Agency Score | Regional Council Score (Normalized) | Final Score | Amount Funded |
| 6835 | MVEDGE Multi-Manufacturing Consortium Training Project | Multi Mfg Skills Group | 41.00 | 12.40 | 53.40 | \$ - |
| 2239 | State University of New York at Cobleskill | | 38.00 | 14.93 | 52.93 | \$ - |
| 5251 | Rome Memorial Hospital, Inc. | Hospital Addition | 40.00 | 12.40 | 52.40 | \$ - |
| 2503 | Griffiss Local Development Corporation | | 38.00 | 14.30 | 52.30 | \$ - |
| 6507 | GUSC Energy, Inc | BioEnergy Plant | 32.00 | 20.00 | 52.00 | \$ 1,500,000.00 |
| 3974 | Mohawk Valley Economic Development Growth Enterprises | OCBP Phase I | 35.00 | 16.20 | 51.20 | \$ - |
| 2290 | Masonic Medical Research Laboratory | | 39.00 | 11.13 | 50.13 | \$ - |
| 6188 | SUNYIT | Cyber Shield | 33.00 | 16.83 | 49.83 | \$ 274,000.00 |
| 7879 | St. Mary's Healthcare | Primary Care Master Facility Plan | 35.00 | 13.03 | 48.03 | \$ - |
| 8417 | PAR Gov. Systems | C2IE | 31.00 | 16.83 | 47.83 | \$ - |
| 8433 | Echo Beach Industries | 530 Harbor Way Regional Can Tourism Destination | 34.00 | 13.03 | 47.03 | \$ - |
| 4445 | Mohawk Valley Economic Development Growth Enterprises | Former Rome Cable Building Complex 4 Demolition | 32.00 | 14.93 | 46.93 | \$ - |
| 7617 | Delorio | Creation of New Production Lines | 38.00 | 8.59 | 46.59 | \$ - |
| 4745 | Fulton County IDA | Tryon Technology Park and Incubator Center | 38.00 | 7.96 | 45.96 | \$ 2,000,000.00 |

2011 CFA Projects

MOHAWK VALLEY

| Indicates Priority Project | | | | | | |
|----------------------------|---|--|--------------|-------------------------------------|-------------|-----------------|
| CFA Number | Applicant Name | Project Name | Agency Score | Regional Council Score (Normalized) | Final Score | Amount Funded |
| 7754 | MVEDGE | Mohawk Valley Regional Brownfield Project | 39.00 | 6.69 | 45.69 | \$ - |
| 7008 | Cobleskill Brewery | Cobleskill Brewery | 32.00 | 12.40 | 44.40 | \$ 750,000.00 |
| 6103 | Oneida County - Sauquoit Pumping Station | Oneida County Sewer District Sewer System Improvements | 30.00 | 13.03 | 43.03 | \$ - |
| 6741 | Upper Mohawk Valley Memorial Auditorium Authority | Building Façade Replacement | 30.00 | 13.03 | 43.03 | \$ - |
| 6356 | Herkimer - East Side Sewer | East Herkimer Sewer District | 29.00 | 13.66 | 42.66 | \$ - |
| 4892 | ANDRO Computational Solutions, LLC | Mohawk Valley Center of Excellence | 27.00 | 15.56 | 42.56 | \$ - |
| 4301 | Herkimer County Chapter, NYSARC Inc. | | 32.00 | 10.49 | 42.49 | \$ - |
| 6542 | Herkimer County IDA - Schuyler Sewer | Schuyler Business Park | 29.00 | 13.03 | 42.03 | \$ - |
| 3811 | Town of Cobleskill | Route 7 Water and Sewer Upgrades | 30.00 | 11.76 | 41.76 | \$ 4,100,000.00 |
| 8090 | Buck Home Housing | Harborway | 26.00 | 15.56 | 41.56 | \$ - |
| 6230 | Oneida County - 784 Nosedock Rehab | RME Nose Dock | 27.00 | 14.30 | 41.30 | \$ - |
| 6902 | MVATC/Darman Manufacturing | Darman Manufacturing Expansion | 26.50 | 14.50 | 41.00 | \$ - |
| 5926 | Advanced Automation Corporation | AAC Capital Funding | 28.00 | 12.40 | 40.40 | \$ - |
| 6709 | City of Utica | Utica Sewer Separation Project A8 | 27.00 | 12.39 | 39.39 | \$ - |

2011 CFA Projects

MOHAWK VALLEY

| Indicates Priority Project | | | | | | |
|----------------------------|---|---|--------------|-------------------------------------|-------------|---------------|
| CFA Number | Applicant Name | Project Name | Agency Score | Regional Council Score (Normalized) | Final Score | Amount Funded |
| 5659 | Planned Parenthood Mohawk Hudson, Inc. | PPMH Utica | 30.00 | 9.23 | 39.23 | \$ - |
| 4929 | ANDRO Computational Solutions, LLC | ACS Expansion | 25.00 | 13.66 | 38.66 | \$ - |
| 6955 | Oneida County | Oneida Coutny Sewer District Municipal Sewer Rehabilitation | 27.00 | 11.13 | 38.13 | \$ - |
| 7026 | Upper Mohawk Valley Memorial Auditorium Authority | | 27.00 | 10.49 | 37.49 | \$ - |
| 5965 | City of Rome REACH | Reach Center Improvements | 20.00 | 17.46 | 37.46 | \$ - |
| 6224 | Oneida County - PPIIRP | Oneida County Sewer District Sewage Treatment Plan Upgrades | 30.00 | 6.69 | 36.69 | \$ - |
| 4963 | Walter Elwood Museum of the Mohawk Valley | Museum Flood Clean Up | 28.00 | 7.33 | 35.33 | \$ - |
| 6007 | Rome Navigation Center | Rome Navigation Center | 20.00 | 14.93 | 34.93 | \$ - |
| 8727 | Town of Verona | Route 365 Water District Improvements | 21.00 | 13.66 | 34.66 | \$ - |
| 8441 | Upper Catskill TV Net | HDCCI | 22.00 | 11.13 | 33.13 | \$ - |
| 6015 | Utica College | | 25.00 | 6.69 | 31.69 | \$ - |
| 5981 | Fulton County - Park Addition | Regional Business Park | 24.00 | 6.69 | 30.69 | \$ - |
| 6663 | Earth Mentoring | Shed Improvement | 15.00 | 15.56 | 30.56 | \$ - |
| 8289 | Town of Verona | Verona Sewer District Town of Verona | 18.00 | 12.40 | 30.40 | \$ - |

2011 CFA Projects

MOHAWK VALLEY

| Indicates Priority Project | | | | | | |
|----------------------------|--|--|--------------|-------------------------------------|-------------|---------------|
| CFA Number | Applicant Name | Project Name | Agency Score | Regional Council Score (Normalized) | Final Score | Amount Funded |
| 7174 | New York Logger Training | Web Based Logger Training | 25.00 | 4.16 | 29.16 | \$ - |
| 8526 | Amsterdam IDA | Micro Enterprise | 10.00 | 18.10 | 28.10 | \$ - |
| 4578 | Village of Mohawk | Sewer Backup Prevention Project | 20.00 | 7.96 | 27.96 | \$ - |
| 8030 | Town of Verona | Durhamville Sewer District Town of Verona | 18.00 | 9.86 | 27.86 | \$ - |
| 7549 | Foothills Performing Arts/Civic Center | Foot Hills Project | 21.00 | 6.69 | 27.69 | \$ - |
| 8014 | Delorio | Acquisition | 19.00 | 7.33 | 26.33 | \$ - |
| 6972 | Frank J. Basloe Library | Basloe Librarby Redistricting Merger Project | 17.00 | 9.23 | 26.23 | \$ - |

ESD EXCELSIOR TAX CREDITS

| | | | | | | |
|------|---|---------------------------|-------|-------|-------|---------------|
| 5094 | Fiber Instrument Sales, Inc. | Fiber Optic Test Instrume | 69.50 | 15.56 | 85.06 | \$ 200,000.00 |
| 6316 | Quandt's Foodservice Distributors, Inc. | Quandts Foodservice | 58.00 | 19.36 | 77.36 | \$ 903,021.00 |
| 6803 | Homogeneous Metals, Inc. | Capacity Expansion Plan | 57.00 | 18.73 | 75.73 | \$ 428,605.00 |
| 7634 | Daimler Buses North America Inc. | Oriskany Retro | 57.00 | 14.93 | 71.93 | \$ 80,000.00 |
| 3030 | ProTerra Lighting (US) Inc. | ProTerra | 47.00 | 14.30 | 61.30 | \$ 750,000.00 |
| 7484 | Delorio Foods, Inc. | Freezer Expansion | 45.00 | 6.69 | 51.69 | \$ 300,000.00 |

2011 CFA Projects

MOHAWK VALLEY

| Indicates Priority Project | | | | | | |
|----------------------------|--|---|--------------|-------------------------------------|-------------|---------------|
| CFA Number | Applicant Name | Project Name | Agency Score | Regional Council Score (Normalized) | Final Score | Amount Funded |
| 7366 | Western Contractors Community Outreach Center (WCCO) | WCCO Economic Development Resource Center | 20.00 | 6.06 | 26.06 | \$ - |
| 8108 | Feldmeier Equipment Company Micro Brewery | Feldmeier Brewery | 20.00 | 5.43 | 25.43 | \$ - |
| 6807 | Rome Community Concern Band, Inc. | Pops in the Park | 20.00 | 4.79 | 24.79 | \$ - |
| 6778 | Herkimer County IDA | Manheim Business Park | 13.00 | 11.76 | 24.76 | \$ - |
| 7154 | Robert J. Hickey | Cooperstown Fun Park | 14.00 | 8.59 | 22.59 | \$ - |
| 7605 | The Pedal Cab Company | Adirondack Pedal Cabs | 15.00 | 6.06 | 21.06 | \$ - |
| 8010 | Delorio Training | New Hire Training | 15.00 | 5.43 | 20.43 | \$ - |
| 5597 | Thomas Amidon | | 6.00 | 0.00 | 6.00 | \$ - |

HOMES AND COMMUNITY RENEWAL: 9% LOW INCOME HOUSING CREDIT PROGRAM

| | | | | | | |
|---------------|-------------------------------------|--|-------|-------|-------|-----------------|
| 4325/20116209 | Liberty Gardens Associates II, L.P. | Liberty Gardens Revitalization Phase 2 | 69.00 | 10.49 | 79.49 | \$ 1,068,608.00 |
| 8716/20116029 | Stoneleigh Housing, Inc. | Marcy Apartments | 53.00 | 5.43 | 58.43 | \$ - |

HOMES AND COMMUNITY RENEWAL: LOW INCOME HOUSING TRUST FUND PROGRAM

| | | | | | | |
|---------------|--------------------------|------------------|-------|------|-------|------|
| 8716/20116029 | Stoneleigh Housing, Inc. | Marcy Apartments | 53.00 | 5.43 | 58.43 | \$ - |
|---------------|--------------------------|------------------|-------|------|-------|------|

HOMES AND COMMUNITY RENEWAL/OFFICE OF COMMUNITY RENEWAL: ACCESS TO HOME PROGRAM

2011 CFA Projects

MOHAWK VALLEY

| Indicates Priority Project | | | | | | |
|----------------------------|---------------------------------------|----------------------|--------------|-------------------------------------|-------------|---------------|
| CFA Number | Applicant Name | Project Name | Agency Score | Regional Council Score (Normalized) | Final Score | Amount Funded |
| 20113218 | Otsego Rural Housing Assistance, Inc. | Access '11 | 79.00 | L | 79.00 | \$ 237,500.00 |
| 20113127 | Rivercrest Development Corporation | Rivercrest Access 11 | 68.00 | | 68.00 | \$ - |

HOMES AND COMMUNITY RENEWAL/OFFICE OF COMMUNITY RENEWAL: AFFORDABLE HOUSING CORPORATION PROGRAM

| | | | | | | |
|-------|------------------------------------|--|----------|---------------|--|---------------|
| 11L45 | Habitat for Humanity NYS | HFH-NYS 2011 | Eligible | M/H/H/M/M/H/H | | \$ 900,000.00 |
| 4L52 | Otsego Rural Housing | Otsego County HIP | Eligible | M | | \$ 278,000.00 |
| 7L17 | City of Little Falls | Center-City Neighborhood Investment Prog | Eligible | M | | \$ 184,800.00 |
| 7L65 | Adirondack Community Housing Trust | Adirondack Com. Hsg. Trust Homebuyer | Eligible | M/L/H | | \$ 300,000.00 |

HOMES AND COMMUNITY RENEWAL/OFFICE OF COMMUNITY RENEWAL: COMMUNITY DEVELOPMENT BLOCK GRANT PROGRAM - ECONOMIC DEVELOPMENT

| | | | | | | |
|------|-------------------|-------------------------|-------|--------|-------|---------------|
| 4708 | City of Johnstown | Fage USA Dairy | 58.00 | 19.363 | 58.00 | \$ 750,000.00 |
| 8635 | Schoharie County | W. Kintz Plastics, Inc. | 41.00 | 10.494 | 41.00 | \$ 53,908.00 |
| 7407 | City of Amsterdam | Embassy Millworks | 36.00 | 16.829 | 36.00 | \$ 200,000.00 |

HOMES AND COMMUNITY RENEWAL/OFFICE OF COMMUNITY RENEWAL: COMMUNITY DEVELOPMENT BLOCK GRANT PROGRAM - MICROENTERPRISE

| | | | | | | |
|------|------------------|---|-------|-------|-------|---------------|
| 5847 | Schoharie County | Schoharie County Microenterprise Assistance Program | 58.00 | 16.83 | 74.83 | \$ 200,000.00 |
| 6182 | City of Oneonta | Oneonta Microenterprise Assistance Program - 2 | 47.00 | 18.10 | 65.10 | \$ 200,000.00 |

2011 CFA Projects

MOHAWK VALLEY

| Indicates Priority Project | | | | | | |
|----------------------------|-------------------|--|--------------|-------------------------------------|-------------|---------------|
| CFA Number | Applicant Name | Project Name | Agency Score | Regional Council Score (Normalized) | Final Score | Amount Funded |
| 8526 | City of Amsterdam | Amsterdam Microenterprise Assistance Program | 18.00 | 18.10 | 36.10 | \$ - |

HOMES AND COMMUNITY RENEWAL/OFFICE OF COMMUNITY RENEWAL: COMMUNITY DEVELOPMENT BLOCK GRANT PROGRAM - SMALL BUSINESS ASSISTANCE

| | | | | | | |
|------|------------------|--------------------|-------|------|-------|--------------|
| 8689 | Schoharie County | Blenheim Hill Farm | 50.00 | 9.23 | 59.23 | \$ 60,000.00 |
|------|------------------|--------------------|-------|------|-------|--------------|

HOMES AND COMMUNITY RENEWAL/OFFICE OF COMMUNITY RENEWAL: COMMUNITY DEVELOPMENT BLOCK GRANT PROGRAM - HOUSING REHAB

| | | | | | | |
|-------------|----------------------|---|--------|---|--------|---------------|
| 528HR42-11 | Herkimer County | Herkimer County Housing Rehabilitation Program for Seniors | 377.00 | L | 377.00 | \$ 750,000.00 |
| 458HR7-11 | Town of Gilboa | Town of Gilboa Owner Occupied Housing Rehabilitation Program | 338.00 | M | 338.00 | \$ 400,000.00 |
| 846HR40-11 | Oneida County | Oneida County Housing Rehabilitation Program for Seniors | 317.00 | L | 317.00 | \$ 750,000.00 |
| 462HR6-11 | City of Gloversville | City of Gloversville Housing Rehabilitation Program | 310.00 | M | 310.00 | \$ 400,000.00 |
| 566HR74-11 | Village of Ilion | Village of Ilion Housing Rehabilitation Program | 292.00 | | 292.00 | \$ - |
| 868HR104-11 | Otsego County | Otsego County Mobile/Manufactured Homes Rehabilitation and Replacement Program | 275.00 | | 275.00 | \$ - |
| 379HR14-11 | Town of Esperance | Town of Esperance Housing Rehabilitation Program | 274.00 | | 274.00 | \$ - |
| 629HR90-11 | Town of Lee | Town of Lee joint application with Town of Annsville Housing Rehabilitation Program | 272.00 | | 272.00 | \$ - |
| 851HR86-11 | Town of Oppenheim | Town of Oppenheim Housing Rehabilitation Program | 258.00 | | 258.00 | \$ - |
| 743HR112-11 | Village of Mohawk | Village of Mohawk 2011 Greater Mohawk Revitalization I - Smart Meter Improvements | 138.00 | | 138.00 | \$ - |

2011 CFA Projects

MOHAWK VALLEY

| Indicates Priority Project | | | | | | |
|----------------------------|----------------|--------------|--------------|-------------------------------------|-------------|---------------|
| CFA Number | Applicant Name | Project Name | Agency Score | Regional Council Score (Normalized) | Final Score | Amount Funded |

HOMES AND COMMUNITY RENEWAL/OFFICE OF COMMUNITY RENEWAL: COMMUNITY DEVELOPMENT BLOCK GRANT PROGRAM - PUBLIC FACILITY

| | | | | | | |
|------------|----------------------|---|--------|---|--------|---------------|
| 653PF26-11 | City of Little Falls | City of Little Falls Senior Housing Access-Elevator | 377.00 | L | 377.00 | \$ 400,000.00 |
|------------|----------------------|---|--------|---|--------|---------------|

HOMES AND COMMUNITY RENEWAL/OFFICE OF COMMUNITY RENEWAL: COMMUNITY DEVELOPMENT BLOCK GRANT PROGRAM - PUBLIC INFRASTRUCTURE (SEWAR)

| | | | | | | |
|-------------|-----------------------|---|--------|---|--------|---------------|
| 32PR16-11 | City of Amsterdam | City of Amsterdam Storm Sewer Separation Phase 2 | 280.00 | H | 280.00 | \$ 600,000.00 |
| 973PR68-11 | Village of Remsen | Village of Remsen Storm Water Improvements | 270.00 | H | 270.00 | \$ 600,000.00 |
| 412PR33-11 | Village of Fort Plain | Village of Fort Plain Sewer System Improvements | 210.00 | | 210.00 | \$ - |
| 415PR114-11 | Village of Frankfort | Village of Frankfort Sewer and Water Line Replacement | 0.00 | | 0.00 | \$ - |

HOMES AND COMMUNITY RENEWAL/OFFICE OF COMMUNITY RENEWAL: COMMUNITY DEVELOPMENT BLOCK GRANT PROGRAM - PUBLIC INFRASTRUCTURE (WATER)

| | | | | | | |
|------------|------------------------|--|------|--|------|------|
| 433PW21-11 | Village of Fultonville | Village of Fultonville Water System Improvements | 0.00 | | 0.00 | \$ - |
|------------|------------------------|--|------|--|------|------|

HOMES AND COMMUNITY RENEWAL/OFFICE OF COMMUNITY RENEWAL: NYS HOME PROGRAM

| | | | | | | |
|----------|--|---|-------|-------|-------|---------------|
| 20113105 | City of Little Falls | Center City-Neighborhood Investment Program | 87.00 | H | 87.00 | \$ 330,000.00 |
| 20113089 | Western Catskills Community Revitalization Council, Inc. | HOME Rental Rehab 2 | 84.00 | M/L/H | 84.00 | \$ 400,000.00 |
| 20113160 | Otsego Rural Housing Assistance, Inc. | HOME '11 HOMEowner Rehabilitation | 82.00 | M | 82.00 | \$ 400,000.00 |
| 20113237 | The Valley Rural Housing Corporation | Montgomery County HOME Repair Program | 79.00 | | 79.00 | \$ - |

2011 CFA Projects

MOHAWK VALLEY

| Indicates Priority Project | | | | | | |
|----------------------------|---|---|--------------|-------------------------------------|-------------|---------------|
| CFA Number | Applicant Name | Project Name | Agency Score | Regional Council Score (Normalized) | Final Score | Amount Funded |
| 20113398 | Fulton County Community Heritage Corp. | Fulton County Housing Replacement Project | 79.00 | L | 79.00 | \$ - |
| 20113026 | City of Gloversville | HOME Housing Rehab Program 2011 | 78.00 | M | 78.00 | \$ - |
| 20113025 | City of Amsterdam | HOMEowner Rehab 2011 | 77.00 | M | 77.00 | \$ - |
| 20113369 | Town of Forestport | Forestport Intergovernmental Housing Rehabilitation | 76.00 | | 76.00 | \$ - |
| 20113067 | Schoharie County Rural Preservation Corp. | Schoharie County HOME Program | 75.00 | | 75.00 | \$ - |
| 20113112 | Utica Neighborhood Housing Services, Inc. | Manufactured HOME Replacement 2011 | 73.00 | | 73.00 | \$ - |
| 20113172 | The Empire Housing Center, Inc. | Southern Tier Healthy HOMEs Project | 61.00 | | 61.00 | \$ - |
| 20113225 | New York State Rural Housing Coalition | NYS Rural Housing Coalition Rental Rehab Program | 46.00 | | 46.00 | \$ - |
| 20113139 | Village of Frankfort | Greater Frankfort Revitalization I | 39.00 | | 39.00 | \$ - |
| 20113136 | Village of Mohawk | Greater Mohawk Revitalization I | 35.00 | | 35.00 | \$ - |

HOMES AND COMMUNITY RENEWAL/OFFICE OF COMMUNITY RENEWAL: NEW YORK MAIN STREET PROGRAM

| | | | | | | |
|----------|--|--|-------|--|-------|------|
| 20113183 | Village of Unadilla | Unadilla Downtown Revitalization Program | 74.00 | | 74.00 | \$ - |
| 20113145 | Western Catskills Community Revitalization Council, Inc. | Hamlet of Jefferson | 72.00 | | 72.00 | \$ - |
| 20113142 | Village of Waterville | Waterville Downtown Revitalization Program | 63.00 | | 63.00 | \$ - |

2011 CFA Projects

MOHAWK VALLEY

| Indicates Priority Project | | | | | | |
|----------------------------|---|---|--------------|-------------------------------------|-------------|---------------|
| CFA Number | Applicant Name | Project Name | Agency Score | Regional Council Score (Normalized) | Final Score | Amount Funded |
| 20113411 | City of Rome | 2011 NYMS | 63.00 | | 63.00 | \$ - |
| 20113277 | City of Oneonta | Oneonta Business District Revitalization Program | 59.00 | | 59.00 | \$ - |
| 20113126 | Village of Mohawk | Greater Mohawk Revitalization I-Main St. Program | 57.00 | | 57.00 | \$ - |
| 20113140 | Village of Frankfort | Greater Frankfort Revitalization I-Main St. Program | 53.00 | | 53.00 | \$ - |
| 20113144 | Village of Ilion | 2011 Ilion Revitalization- Rt. 51 Main St. Program | 53.00 | | 53.00 | \$ - |
| 20113323 | Central Adirondack Partnership for the 21st Century | Old Forge Main Streets Phase II | 49.00 | | 49.00 | \$ - |

HOMES AND COMMUNITY RENEWAL/OFFICE OF COMMUNITY RENEWAL: RESTORE PROGRAM

| | | | | | | |
|----------|---|---------------------------------------|-------|---|-------|--------------|
| 20113023 | Otsego Rural Housing Assistance, Inc. | ORH RESTORE '11 | 91.00 | M | 91.00 | \$ 65,000.00 |
| 20113181 | Mohawk Valley Community Action Agency, Inc. | MVCCA/Herkimer County RESTORE Program | 88.00 | L | 88.00 | \$ 75,000.00 |
| 20113182 | Mohawk Valley Community Action Agency, Inc. | MVCCA/Oneida County RESTORE Program | 84.00 | L | 84.00 | \$ 75,000.00 |
| 20113215 | Fulton County Community Heritage Corporation | Fulton County RESTORE Program 2011 | 83.00 | L | 83.00 | \$ - |
| 20113261 | Rivercrest Development Corporation | Rivercrest RESTORE 2 | 70.00 | | 70.00 | \$ - |
| 20113057 | Central Adirondack Partnership for the 21st Century | CAP-21 Neighbor To Neighbor | 36.00 | | 36.00 | \$ - |

INDUSTRIAL DEVELOPMENT BOND CAPITAL

2011 CFA Projects

MOHAWK VALLEY

| Indicates Priority Project | | | | | | |
|---|---|---------------------|--------------|-------------------------------------|-------------|-----------------|
| CFA Number | Applicant Name | Project Name | Agency Score | Regional Council Score (Normalized) | Final Score | Amount Funded |
| 7951 | Fulton County IDA | MH Stallman Company | 80.00 | 18.10 | 98.10 | \$ 5,000,000.00 |
| 7407 | City of Amsterdam | Embassy Millworks | 80.00 | 16.829 | 96.83 | \$ 1,100,000.00 |
| NEW YORK POWER AUTHORITY: RECHARGE NEW YORK | | | | | | |
| 9666 | The Bank of New York Mellon Corp | N/A | 50.59 | 16.69 | 67.27 | 250 kW |
| 9668 | GUSC Energy Inc. | N/A | 49.88 | 16.69 | 66.57 | 6730 kW |
| 9629 | Quandt's Foodservice Distributors, Inc. | N/A | 47.47 | 16.69 | 64.16 | 90 kW |
| 3653 | Revere Copper Products, Inc. | N/A | 47.18 | 16.69 | 63.86 | 6600 kW |
| 9717 | Special Metals Corporation | N/A | 44.45 | 16.69 | 61.14 | 4900 kW |
| 3662 | Nathan Littauer Hospital & Nursing Home | N/A | 48.96 | 11.88 | 60.84 | 330 kW |
| 5408 | ConMed Corporation | N/A | 43.59 | 16.69 | 60.28 | 1440 kW |
| 10136 | Bonide Products, Inc. | N/A | 44.08 | 15.54 | 59.61 | 180 kW |
| 7951 | MH Stallman Company | N/A | 41.11 | 16.69 | 57.80 | 250 kW |
| 4639 | International Wire Group, Inc. | N/A | 45.74 | 11.88 | 57.62 | 496 kW |
| 4630 | International Wire Group, Inc. | N/A | 45.00 | 11.88 | 56.88 | 1760 kW |
| 4653 | International Wire Group, Inc. | N/A | 44.75 | 11.88 | 56.63 | 1080 kW |
| 4658 | International Wire Group, Inc. | N/A | 44.22 | 11.88 | 56.10 | 790 kW |
| 9227 | Universal Photonics Incorporated | N/A | 41.68 | 14.32 | 56.00 | 116 kW |
| 3816 | Matt Brewing Co. INC | N/A | 41.59 | 14.32 | 55.90 | 560 kW |

2011 CFA Projects

MOHAWK VALLEY

| Indicates Priority Project | | | | | | |
|----------------------------|------------------------------------|--------------|--------------|--|-------------|---------------|
| CFA Number | Applicant Name | Project Name | Agency Score | Regional Council Score (Normalized) | Final Score | Amount Funded |
| 8943 | The Indium Corporation of America | N/A | 43.92 | 11.88 | 55.80 | 326 kW |
| 8529 | Broad Street Industrial Park, Inc. | N/A | 45.72 | 9.78 | 55.50 | 340 kW |
| 4433 | Rome Strip Steel Company, Inc. | N/A | 42.20 | 13.10 | 55.30 | 1576 kW |
| 6086 | Gold Medal Packing, Inc. | N/A | 43.09 | 11.88 | 54.97 | 150 kW |
| 7635 | Burrows Paper Corporation | N/A | 46.07 | 8.83 | 54.91 | 1500 kW |
| 3955 | Delorio Foods, Inc. | N/A | 46.52 | 8.22 | 54.75 | 680 kW |
| 3761 | Olbrych Realty Inc. | N/A | 41.57 | 13.10 | 54.67 | 160 kW |
| 9424 | Daimler Buses North America Inc. | N/A | 41.46 | 13.10 | 54.56 | 376 kW |
| 5961 | Corning, Inc. | N/A | 37.51 | 16.69 | 54.20 | 866 kW |
| 9542 | TECT Utica Corporation | N/A | 42.24 | 11.88 | 54.12 | 1266 kW |
| 9225 | Richardson Brands Company | N/A | 38.42 | 14.32 | 52.73 | 346 kW |
| 10204 | Fiber Instrument Sales, Inc. | N/A | 36.98 | 15.54 | 52.52 | 110 kW |
| 8018 | Mohawk Ltd | N/A | 41.65 | 10.66 | 52.31 | 46 kW |
| 4272 | Mill Services | N/A | 39.11 | 13.10 | 52.21 | 176 kW |
| 4104 | AMT, Inc. | N/A | 35.42 | 16.69 | 52.10 | 60 kW |
| 5138 | Keymark Corporation | N/A | 42.36 | 9.44 | 51.80 | 1950 kW |
| 8970 | Kris-Tech Wire Company | N/A | 43.57 | 8.22 | 51.79 | 126 kW |
| 9638 | Brodock Press, Inc. | N/A | 38.27 | 13.10 | 51.37 | 330 kW |
| 8942 | The Indium Corporation Of America | N/A | 40.64 | 10.66 | 51.30 | 180 kW |

2011 CFA Projects

MOHAWK VALLEY

| Indicates Priority Project | | | | | | |
|----------------------------|---|--------------|--------------|-------------------------------------|-------------|---------------|
| CFA Number | Applicant Name | Project Name | Agency Score | Regional Council Score (Normalized) | Final Score | Amount Funded |
| 9590 | Granny's Kitchens, LLC | N/A | 38.05 | 13.10 | 51.15 | 1006 kW |
| 8898 | Briggs & Stratton Power Products Group, LLC | N/A | 38.28 | 12.48 | 50.76 | 430 kW |
| 5437 | Advanced Tool Inc. | N/A | 47.11 | 3.35 | 50.46 | 26 kW |
| 7620 | Euphrates, Inc. | N/A | 36.06 | 14.32 | 50.38 | 100 kW |
| 9470 | Perrone Leather LLC | N/A | 40.00 | 9.78 | 49.78 | 80 kW |
| 3697 | Rome Specialty Company, Inc. | N/A | 37.59 | 11.88 | 49.47 | 10 kW |
| 9187 | Power Pallet | N/A | 38.37 | 10.66 | 49.03 | 116 kW |
| 7508 | Owl Wire and Cable LLC | N/A | 36.61 | 11.88 | 48.49 | 770 kW |
| 10278 | TLC-The Light Connection, Inc. | N/A | 37.53 | 10.66 | 48.19 | 50 kW |
| 8946 | The Indium Corporation of America | N/A | 41.13 | 7.00 | 48.14 | 176 kW |
| 9114 | Feldmeier Equipment, Inc. | N/A | 36.91 | 10.66 | 47.57 | 170 kW |
| 6314 | Beech-nut Nutrition Corporation | N/A | 38.06 | 9.44 | 47.50 | 916 kW |
| 3481 | Bartell Machinery Systems, LLC | N/A | 40.07 | 7.00 | 47.08 | 186 kW |
| 5777 | Divine Brothers Company, Inc. | N/A | 36.54 | 9.44 | 45.99 | 110 kW |
| 9577 | O. W. Hubbell & Sons, Inc. | N/A | 37.58 | 8.22 | 45.80 | 100 kW |

OFFICE OF PARKS, RECREATION AND HISTORIC PRESERVATION: HERITAGE AREAS SYSTEM DEVELOPMENT PROJECTS

| | | | | | | |
|------|--------------|------------------------|-------|-------|-------|------|
| 2149 | Grace Church | Grace Church Landmark | 50.00 | 5.43 | 55.43 | \$ - |
| 6007 | City of Rome | Rome Navigation Center | 31.00 | 14.93 | 45.93 | \$ - |

2011 CFA Projects

MOHAWK VALLEY

| Indicates Priority Project | | | | | | |
|----------------------------|---------------------------|---|--------------|-------------------------------------|-------------|---------------|
| CFA Number | Applicant Name | Project Name | Agency Score | Regional Council Score (Normalized) | Final Score | Amount Funded |
| 7681 | City of Rome, NY | Closing the Rome Gap Building Regional Connectivity on the Canalway Trail | 34.00 | 11.13 | 45.13 | \$ - |
| 3801 | Village of Oriskany Falls | Old Stone Church Stabilization | 40.50 | 4.16 | 44.66 | \$ - |

OFFICE OF PARKS, RECREATION AND HISTORIC PRESERVATION: HERITAGE AREAS - PLANNING PROJECTS

| | | | | | | |
|------|---|-------------|-------|------|-------|------|
| 5995 | Holy Trinity Russian Orthodox Monastery | HTMPlanning | 35.50 | 5.43 | 40.93 | \$ - |
|------|---|-------------|-------|------|-------|------|

OFFICE OF PARKS, RECREATION AND HISTORIC PRESERVATION: HISTORIC PRESERVATION - DEVELOPMENT PROJECT

| | | | | | | |
|------|--|--------------------------------|-------|------|-------|------|
| 5995 | Holy Trinity Russian Orthodox Monastery | HTMPlanning | 40.50 | 5.43 | 45.93 | \$ - |
| 3801 | Village of Oriskany Falls | Old Stone Church Stabilization | 38.50 | 4.16 | 42.66 | \$ - |
| 2280 | Fairfield Restorations Association, Inc. | Historic Trinity Church | 37.00 | 4.79 | 41.79 | \$ - |

OFFICE OF PARKS, RECREATION AND HISTORIC PRESERVATION: HISTORIC PRESERVATION - PLANNING PROJECTS

| | | | | | | |
|------|-------------------|-----------------------|-------|-------|-------|------|
| 4550 | City of Amsterdam | City Hall Restoration | 39.00 | 13.03 | 52.03 | \$ - |
|------|-------------------|-----------------------|-------|-------|-------|------|

OFFICE OF PARKS, RECREATION AND HISTORIC PRESERVATION: PARKS - ACQUISITION PROJECTS

| | | | | | | |
|------|----------------------|------------------|-------|------|-------|---------------|
| 2177 | Village of Frankfort | Marina Expansion | 36.50 | 6.69 | 43.19 | \$ 113,812.00 |
|------|----------------------|------------------|-------|------|-------|---------------|

OFFICE OF PARKS, RECREATION AND HISTORIC PRESERVATION: PARKS - DEVELOPMENT PROJECTS

| | | | | | | |
|------|----------------|---------------------------------------|-------|-------|-------|------|
| 6867 | Town of Caroga | Irving Pond Gateway Trail Improvement | 52.65 | 13.03 | 65.68 | \$ - |
|------|----------------|---------------------------------------|-------|-------|-------|------|

2011 CFA Projects

MOHAWK VALLEY

| Indicates Priority Project | | | | | | |
|----------------------------|------------------------|---|--------------|--|-------------|---------------|
| CFA Number | Applicant Name | Project Name | Agency Score | Regional Council Score (Normalized) | Final Score | Amount Funded |
| 2021 | Village of Herkimer | Rehabilitation of 1954 Municipal Swimming Pool | 56.50 | 5.43 | 61.93 | \$ - |
| 2327 | Village of Cobleskill | Forever Generationslorio Park Restoration Enhancement | 53.69 | 7.33 | 61.02 | \$ - |
| 3897 | City of Oneonta | Wilbur Park Poolhouse Renovation | 53.50 | 4.79 | 58.29 | \$ - |
| 2747 | Town of Herkimer | Community Park Phase II | 42.50 | 6.69 | 49.19 | \$ - |
| 2173 | Village of Mohawk | Tory Creek Park Development | 41.00 | 7.33 | 48.33 | \$ - |
| 2350 | Town of New Hartford | New Hartford Recreation Center Outdoor Skating Facility | 42.50 | 4.79 | 47.29 | \$ - |
| 2027 | City of Sherrill | City of Sherrill CAC Park Expansion | 39.50 | 7.33 | 46.83 | \$ - |
| 4823 | Town of Schuyler | Schuyler Town Park Improvement | 40.50 | 4.16 | 44.66 | \$ - |
| 6007 | City of Rome | Rome Navigation Center | 28.00 | 14.93 | 42.93 | \$ - |
| 3312 | Town Of Forestport, NY | Black River Enhancement | 36.00 | 6.06 | 42.06 | \$ - |