

2013 Regional Council Process & Consolidated Funding Applications

Background:

Governor Andrew M. Cuomo established the Regional Economic Development Councils (REDC) to serve as a community driven, regional approach to economic development in New York State. Each Council is made up to 30 members representing business, academia, labor, community and not-for-profit organizations, and government leaders throughout the region.

In 2011, each of the ten Regional Councils were tasked with developing a five-year Strategic Plan that included a comprehensive vision for economic development for that region, regional strategies to achieve that vision, and specific priority projects that are significant, regionally supported and capable of stimulating economic investment. Empire State Development developed a competitive process in which Regional Council Strategic Plans containing recommendations for funding made by each Regional Council were reviewed by a Strategic Plan Review Committee consisting of two State Agency Commissioners and three economic development experts. Members of the 2011 Review Team included: Dall W. Forsythe, Senior Fellow at the Wagner School of Public Service at New York University and former Vice President for Finance and Operations at The Atlantic Philanthropies; Walter D. Broadnax, Professor of Public Administration at The Maxwell School of Syracuse University; Bruce J. Katz, Vice President at the Brookings Institution and founding Director of the Brookings Metropolitan Policy Program; Joan McDonald, Commissioner of the New York State Department of Transportation; and Cesar Perales, New York State Secretary of State. On December 8, 2011, the Governor and Legislative Leaders announced the winners of the first round of competition and one year later 97 percent of Round One projects were under contract and/or progressing.

In 2012, the Councils went a step further and produced Strategic Implementation Agendas that built upon the progress from the first round and further developed and refined their Regional five-year Strategic Plans by undertaking four primary activities: (1) Establishing a framework to advance the Regional Strategic Plan; (2) Implementing the strategies laid out in the Strategic Plan; (3) Creating a project pipeline; and (4) providing a progress report documenting the Council's success in implementing its Strategic Plan.

Building on the success from previous years, the 2013 Council competition was designed with a similar framework as 2012, but added several new activities for the Councils to focus on that would continue to help develop and advance the original Strategic Plans. In 2013, all ten of the Regional Councils competed against each other to be designated as a "Top Performer".

These actions were designed to encourage and accelerate implementation, performance, and collaboration within the regions. Priority Projects that aligned with each region's agenda were chosen by the Councils from the applications submitted to the Consolidated Funding Application portal. These projects were published in the regions' Implementation Agendas, which are publically available online at www.regionalcouncils.ny.gov. Projects were scored by both the State agencies and the Regional Councils. The agencies scored their projects based on a technical evaluation in adherence with existing funding program guidelines and awarded a project up to 80 points. The remaining 20 points were awarded by the Regional Councils, and were based off the following endorsement standards:

Statewide Endorsement Standards

Regional Council members will determine the degree to which the application implements the Regional Strategic Plan and assign the corresponding number of points as a score.

IMPLEMENTATION OF THE PLAN	DEGREE	POINTS
The proposal is identified as a Priority Project by the Regional Council.	Priority	20
The proposal is identified in the plan and has regional benefits.	Very Strong	15
The proposal advances the plan and has local benefits.	Strong	10
The proposal is consistent with the plan and has project level benefits.	Moderate	5
The proposal has limited relationship to the plan	Weak	0

2013 Competition:

To encourage the implementation of the REDC's Strategic Plans and to continue to motivate investment opportunities and job creation, in 2013 the Councils competed for up to \$150 million in capital grant funds and \$70 million in Excelsior Tax Credits for projects identified by the REDCs in their regions.

By incentivizing implementation of the strategic plans, Regional Councils, businesses, educational institutions, local governments, not-for-profit groups and other stakeholders are further motivated to work together to accomplish the regional vision for economic growth. Project funding was awarded in all ten Regional Councils through the 2013 CFA; however, the competition placed an emphasis on Regional Council performance and action to implement strategies.

The competition was focused on the following:

- 1) Implementation of regional strategic economic development strategic plans;
- 2) Performance in encouraging economic growth through job creation and investment; and
- 3) Identification of transformative projects that support interregional collaboration.

All ten regions competed against each other in 2013— five regions were identified as “top performers” and received \$25 million each in capital grants and the remaining regions competed for the balance of \$25 million. Each region was also eligible for up to \$10 million in Excelsior Tax Credits to help attract and grow businesses in the region.

The 2013 Strategic Implementation Assessment Team (SIAT) was led by Secretary of State Cesar Perales and included Office of General Services Commissioner Roann Destito, Tax and Finance Commissioner Thomas Mattox, Environmental Facilities Corporation CEO Matthew Driscoll, Commissioner and Civil Service President Jerry Boone, Chair of NYS Tourism Advisory Council Cristyne Nicholas, and President and CEO of the New York Power Authority Gil Quiniones with support from the Brookings Institution, represented by Bruce Katz and Amy Liu. The SIAT reviewed each region's Implementation Agendas based on established criteria and also traveled to each region to see the progress first hand. Each region's tour included a panel presentation that allowed for ample question and answer opportunities between the SIAT and Regional Council members. Each visit included a tour of projects funded in the 2011 and 2012 CFA which demonstrated that Regional Council's progress and accomplishments.

The SIAT provided an assessment of each plan and made recommendations to Kenneth Adams, President, CEO, & Commissioner of Empire State Development, of the five reports that most closely aligned with these criteria. President Adams accepted the recommendations of the Committee and, pursuant to his statutory powers as head of the Urban Development Corporation, awarded five regions with the top Implementation Agenda up to \$35 million in funding consisting of \$25 million in capital grants and \$10 million in Excelsior tax credits for Priority Projects identified in their Strategic Plans. The balance of the incentives was awarded to projects identified by the Councils as in alignment with their strategies and priorities.

In addition, project sponsors applied for over \$500 million in economic development resources available from 12 state agencies through the CFA. The CFA allows businesses and other entities to apply for multiple agency funding sources through a single, web-based application. Awards for CFA projects were made based on the endorsement of the local Regional Council and the technical program review of the agencies providing resources, subject to interagency team analysis and agency discretion.

In 2013, the CFA opened for applications on June 16 and closed on August 12. Prior to the opening of the application period, all details about CFA resources were made available online through the 2013 CFA Resources Available Document, the State Register and the New York State Contract Reporter. When the portal closed on August 12, agencies immediately began marking projects eligible or ineligible. All eligible projects were then made available to the Regional Councils for their scoring process and the agencies for their technical evaluation, with scores finalized by September 24. After the SIAT visits concluded, the interagency team met to review the projects and make final funding decisions.

The interagency team reviewed projects based on relevant factors, which included Regional Council Implementation Agendas and designation of Priority Projects, project alignment with regional goals and objectives, funding availability, and regional need. Priority Projects are those specifically enumerated in the Regional Council Reports, receiving a score of 20 from the Council, and identified as significant and potentially transformative, regionally supported, and capable of stimulating economic investment.

The interagency team once again consisted of professional staff from relevant agencies and authorities' with detailed knowledge of their respective CFA program funding. This group conducted an analytical assessment that provided the basis for project funding recommendations made by individual agencies for final approval by respective agency or authority head. As provided in the State budget, the Regional Council Grant funds were awarded by ESD at its discretion.

This process has resulted in funding of projects that aligned with a long term, regionally generated implementation agendas and are capable of stimulating economic growth and investment.

On December 11, 2013, Governor Cuomo announced that 824 projects had been awarded economic development resources totaling \$715.9 million through Round III of the Regional Economic Development Council initiative. Capital Region, Long Island, Southern Tier, North Country and Mohawk Valley were selected as “Top Performers” in adherence to the competition guidelines detailed above.

MEMORANDUM

To: Kenneth Adams, President and CEO of Empire State Development Corporation

From: Cesar Perales, Secretary of State
Jerry Boone, Commissioner and President, Civil Service Commission
RoAnn Destito, Commissioner, Office of General Services
Matthew Driscoll, President and CEO, Environmental Facilities Corporation
Thomas Mattox, Commissioner, Department of Taxation and Finance
Cristyne Nicholas, Chair, New York State Tourism Advisory Council
Gil Quiniones, President and CEO, New York Power Authority

Subject: Review of 2013 Regional Economic Development Councils' Progress

Date: December 6, 2013

Thank you for inviting us to serve on the Strategic Implementation Assessment Team for New York State's Regional Economic Development Councils. We are honored to be a part of this important initiative by Governor Cuomo that is transforming how New York coordinates and invests in economic development.

The strategic plans developed by the ten Regional Councils, adopted in 2011 and updated in 2012 and 2013, emphasize each region's unique assets, harness local expertise and empower communities to stimulate regional economic development and create jobs statewide. Through the Regional Councils -- comprised of local experts and stakeholders from business, academia, local government and non-governmental organizations -- and the support of state agencies, we are remaking the way the state invests in economic development and job creation.

Over the past seven weeks we have had the privilege and responsibility of assessing the progress of the Regional Councils, through their written progress reports, oral presentations, and regional tours. We are impressed and encouraged by the continuing results of the collaborative strategic plan implementation process.

In our review of the Council's progress, we became cognizant of several points common to the Councils:

First, regions are continuing to build upon their strengths, focusing on key industries as they seek new businesses and support incubators that can generate marketable ideas for the next generation of products.

Second, workforce development is a strong concern. Regions are devising ways to use academic institutions, internship programs, and on-the-job training to develop a workforce that meets the needs of current or incoming employers.

Third, regions have embraced the concept that no one should be left behind, and have thoughtfully developed Opportunity Agendas that will lay the groundwork for future inclusion in the workforce of people from the most disadvantaged of communities.

Fourth, projects funded by the State through the Consolidated Funding Application (CFA) are moving forward, thanks to the combined efforts of the sponsors, regional councils, and state agency staff. Where issues arise, all are working toward quick solutions.

Fifth, the Regional Councils added work groups to handle new initiatives, developed new partnerships, and formed stronger bonds with state agency resource team members. Each region also reflected on the assessment of their progress in 2012, addressing many of their weaknesses and building upon their strengths.

And perhaps most importantly – the REDC process is fostering a collaborative environment where people are not competing against each other for existing business, but are working together to attract new businesses and to strengthen existing economic engines.

2013 Competition

Our task this year was to evaluate all ten regions based on the competition and Council priorities as described in the 2013 REDC Guidebook. We examined three areas:

- Progress the Regional Councils made implementing their strategic plans, including how the regions addressed the following 2013 priorities:
 - Keeping the project pipeline flowing;
 - Selecting “Innovation Hot Spots” to facilitate tech transfer;
 - Developing an Opportunity Agenda to revitalize distressed communities and address barriers to entry into the workforce for people living in areas of concentrated poverty;
 - Assuring the workforce is being trained for today and tomorrow;
 - Engaging local government officials in reshaping the regional business climate;
 - Creating interregional collaborations around shared resources and opportunities;
 - Advancing regional Cleaner, Greener Communities sustainability plans; and
 - Measuring the performance of their plans.
- Performance in encouraging economic growth through job creation and investment; and
- Identification of transformative projects that support interregional collaboration.

Awards

The Strategic Implementation Assessment Team has identified five regions as “Top Performers” this year: the **Capital Region**, **Long Island**, the **Mohawk Valley**, the **North Country**, and the **Southern Tier**. This was a difficult task, as all ten regions continue to advance their strategies and build on their previous successes. The regions we are recommending, however, edged out the others and should receive up to \$25 million in capital funds and up to \$10 million in Excelsior Tax Credits.

The **Capital Region Economic Development Council** (CRREDC) is being recommended for a top award for the first time. The Council continues to strive for strong public participation in the planning and implementation process and markedly expanded input from stakeholders as a means of identifying projects that will best advance regional strategies. The CRREDC is building on existing partnerships to implement new strategies and goals in nanotechnology, agriculture marketing, and tourism, such as interregional efforts involving the Hudson Valley Region that will strengthen a multiregional food economy.

The **Long Island Regional Economic Development Council** (LIREDC) articulated a clear vision and rationale for its 2013-14 implementation efforts. The Council continued its deep commitment to engaging stakeholders and the public across the region in identifying areas of concern and gaining their support for implementation of the strategies. They have proposed a comprehensive approach to their Opportunity Agenda which focuses on Wyandanch. The LIREDC also demonstrated a commitment to capturing data during the implementation periods of past CFA funded projects to quantitatively measure each project’s progress and success.

The **Mohawk Valley Regional Economic Development Council** (MVREDC), another first time top performer, is building on a more focused set of strategies developed in 2012 through a strong community-driven process. In 2013, the MVREDC aligned strategies with action steps, sustainability goals, and performance metrics, as well as initiatives outside of the CFA process. The Council’s prime strategy, to grow private business, continues to be a focus with an increased emphasis on cross-regional collaboration. The Council provided clear evidence of investment and business partnerships that increased output and expanded job growth. Their approach balances the support for high tech post-secondary education opportunities to meet the needs of the expanding nanotechnology and cyber security sectors with support for strong primary and secondary education efforts to connect the next generation with jobs across all sectors.

The **North Country Regional Economic Development Council** (NCREDC) continues to bring the region together as one voice for economic development, identifying new strategies and projects to advance the region economically. As a geographically large region with a diverse population and varying need levels, the NCREDC is leveraging its

natural resources, human capital and geographic location to bolster the entire North Country economy. Professing to be strategy-driven, the NCREDC prominently featured in 2013 projects and actions to develop its workforce, expand tourism, move to more renewable energy sources, capitalize on biotechnology resources, market agriculture, and increase manufacturing. The Council sought out opportunities to collaborate with other regions, including its Canadian neighbors. Improvements to the Plattsburgh International Airport will further enhance cross-border activities.

The **Southern Tier Regional Economic Development Council (STREDC)** continued to leverage its Rural Initiative and Community Revitalization Program funds, and CFA projects to support job creation. The STREDC engages in a highly collaborative process, engaging key stakeholders in work groups that provide a source of knowledge, ideas, and project impetus to the Council. This process is helping to modernize healthcare and grow international tourism in the region. The Council reported on several interregional activities, and supports several interregional projects as 2013 priority projects, such as the Catskills Interpretive Center that would increase tourism in two other regions as well. Illustrative of both collaboration and inter-regionalism, the region's Innovation Hot Spot proposal involves Cornell University, SUNY Binghamton and Corning Incorporated as anchor nodes, and Alfred University's School of Ceramics (Western NYREDC) and the Geneva Experimental and Testing Station (Finger Lakes REDC) to better leverage existing assets and fill key gaps in services to increase the pace of commercialization and the success rate of startup companies.

Five regions should receive up to \$5 million in capital funding each, and up to \$10 million in Excelsior Tax Credits to help attract and grow business in their regions: **Central NY**, the **Finger Lakes**, **Mid-Hudson**, **New York City**, and **Western NY**.

The **Central New York Regional Economic Development Council (CNYREDC)** continues on its path toward claiming a world-class presence in the biomedical, climate control, and precision engineering fields. Through its project pipeline, the Council attracted priority projects that will help advance its five-year strategic plan. Seeking to include all sectors of the workforce, the CNYREDC supported programs such as Say Yes to Education and the Green Train, and developed an Opportunity Agenda that seeks to link low-income communities to employment opportunities. The imminent launch of Amory Square Ventures, a regional resident venture fund, will focus investments on seed and early-stage companies. Interregional projects in health care, land bank coordination, and coordination of statewide business improvement districts demonstrate the Council's ability to work across regions.

The **Finger Lakes Regional Economic Development Council (FLREDC)** continued to emphasize core concepts of revitalizing the Eastman Business Park; harnessing the scientific discoveries and expertise of the region's research universities and colleges; reinforcing the region's quality of life and job growth in strategic sectors; and supporting investment in workforce development efforts to maintain the region's asset of a skilled

workforce. The Council's Opportunity Agenda will help one of the region's disadvantaged neighborhoods, Rochester's El Camino neighborhood, join the pathway to success.

The **Mid-Hudson Regional Economic Development Council** (MHREDC) excelled in recruiting applicants for state funding for projects to meet regional objectives, prompting 386 CFAs. The Council has incorporated a unique requirement for MHREDC endorsement – the creation by applicants of internship programs. The Council remains committed in its strategy for investing in key industry clusters: biotech, biomedical and healthcare; advanced manufacturing; and information technology. The SUNY New Paltz 3D Printing initiative and the Taylor Biomass Energy project that will generate clean energy using a renewable and unlimited feedstock are two examples of how the region is embracing technology as a catalyst for new development.

In the wake of Superstorm Sandy, the **New York City Regional Economic Development Council** (NYCREDC) is focused on putting people back to work in good jobs through a five-borough strategy that encourages partnerships among government, business, labor, academia and civic organizations. Initiatives such as the Steiner Studio project, Green Manufacturing Building project and New Lab project in the Brooklyn Navy Yard; the Applied Sciences Initiative; and the Create@Harlem Green projects are positioned to drive economic development and garner positive transformative elements. This year, the Council placed particular attention on improvements to the area around the St. George's Ferry Terminal.

The **Western NY Regional Economic Development Council** (WNYREDC) is bringing projects, initiatives, actions and collaborations into operation which is resulting in targeted education, revitalization of cities and community centers and job growth in the strategic industry sectors which the region has been dependent upon and will be for the future. The Buffalo-Niagara Medical Campus and revitalization efforts in downtown Niagara Falls will help revitalize two urban centers. The Council remains committed to workforce development, taking creative approaches such as Say Yes to Education, NY Skills Broker, and the Buffalo Workforce Pilot Program. WNYREDC demonstrates a strong commitment to smart growth. The WNY Smart Growth Coordinating Council created a smart growth scorecard to drive decision-making on investments to ensure adherence to smart growth principles.

Conclusion

In each of the regions, the Assessment Team was impressed by the continued dedication of the Regional Council members and their selection of strategic and realistic projects. We share the sentiment we heard throughout the state, that the REDC process is a recipe for success, bringing together the key ingredients of economic development skills, academic advancement of new ideas and products, and development of a workforce across all age groups and socioeconomic strata. While we may only recommend five regions as top performers, we believe all of the regions are winners.

We extend our thanks and our congratulations to all members of the Regional Councils for the outstanding work they are doing strengthening New York's economy.

Mohawk Valley Regional Economic Development Council

Assessment of the 2013 Progress Report

Part One: Implementation of the Strategic Plan

A. Keep the pipeline flowing.

The Mohawk Valley Regional Economic Development Council (MVREDC) provided public forums to increase awareness of funding opportunities and criteria. In addition, the MVREDC helped promote Consolidated Funding Application (CFA) workshops.

The MVREDC County Liaison Committee, comprised of 60+ members of the community representing business, education, and Chambers of Commerce, as well as economic development, planning and government organizations, reaches out to economic development leaders in each of the Region's counties. The Liaison Committee makes recommendations regarding proposed projects, scoring submitted Priority Projects using standard scoring criteria. The MVREDC generally recommended projects selected for funding at 20% of the total project cost; however, infrastructure, civic facilities, and other capital projects were recommended for funding at 50% of the total project cost.

B. Select "Innovation Hot Spots" to facilitate tech transfer.

Griffiss Institute Innovation Hot Spot builds off existing business incubators and connects several priority projects. The selection of the Griffiss Institute Incubator as the hot spot location is clearly related to its close proximity to SUNYIT and its ability to build upon an existing base of cyber and technology jobs. It is also the only incubator in the Mohawk Valley.

The existing business incubator, operating since 2007, would be expanded by an additional 20,000 sq. feet of technology space to support attraction of cyber and nano related businesses. A stronger connection with the emerging technology incubator at the SUNYIT Quad C would also be established.

C. Develop an Opportunity Agenda to revitalize distressed communities and address barriers to entry into the workforce for people living in areas of concentrated poverty.

The MVREDC's Opportunity Agenda offers a series of goals intended to increase educational attainment for all Mohawk Valley residents through strengthened partnerships with public agencies, foundations, think tanks, and community-based organizations.

The Opportunity Agenda outlines intended outcomes. The strategies to achieve those outcomes should be clearer. Metrics to measure progress and success should be defined.

The Young Scholars Liberty Partnerships Program, which will use five BOCES to create a STEM hub, will address educational achievement goals that were included in the MVREDC Opportunity Agenda. The other three Opportunity Agenda projects are not described in the progress report:

- NY Folklore Society Newcomer Microenterprise
- NYSID – CREATE
- NYLT Education Expansion

D. Assure the workforce is being trained for today and tomorrow.

The 2013 progress report has a marked increased emphasis on workforce development and training. The workforce development plan focuses on STEM, high technology, as well as agri-business development.

The MVREDC held a series of forums for key industry clusters, including workforce development and training. They also collaborated with the Mohawk Valley Refugee Center to assess advances in job placements, identify new opportunities, and develop strategies to more fully integrate the immigrant population in the region's workforce development efforts.

One session was held to identify economic development initiatives across the region that might benefit from collaboration. The progress report provides the example involving Fage USA, where joint-county collaboration is aligning the workforce in one location with training and job opportunities in another.

The MVREDC's *BUILD Workforce* strategy describes action steps with associated priority projects and outside initiatives, although it is not clear what the role of the MVREDC was in advancing these efforts. They include:

- Ongoing work to create a STEM HUB to provide a regional pipeline of skilled workers for existing and new businesses.
- A new apprenticeship and expanded internship programs in retail, service industry and manufacturing careers at the Hamilton-Fulton-Montgomery BOCES.
- A Professional Partnership Pilot Project that partners Utica College and Oriskany Central School in joint staff development and student teacher experience.
- An in-depth, interactive course taught by industry professionals to train local educators in the most effective way to introduce to their classrooms the rapidly expanding career fields of STEM.
- Strengthening partnerships to support critical industry needs with the region's colleges. For example, installation of a clean room for electronics curriculum at Fulton Montgomery Community College.
- Boosting the region's percentage of population with four-year college degrees.

A variety of proposed workforce development projects were recommended for 2013 CFA funding including the Center for Craft Food and Beverage at Hartwick College, where CFA funds would allow the purchase of machinery and equipment, and development of a training curriculum for unmanned aircraft system (UAS) at Mohawk Valley Community College.

E. Engage local government officials in reshaping the regional business climate.

The MVREDC outreach strategy includes one-on-one meetings with local government officials and leadership roundtable discussions. It is not clear whether the strategy was realized.

The MVREDC specifically mentions a number of incidences in which local governments have taken actions that advance MVREDC strategies. An example of this would be Oneida County's efforts to consolidate 911 services with Utica and New Hartford, which clearly aligns with the Council's *FORGE Partnerships* strategy and the associated action steps to modernize governmental structures.

F. Advance regional Cleaner, Greener Communities sustainability plans.

The MVREDC accepted the regional Cleaner, Greener plan in 2013 and established a work group with over 200 members. The MVREDC's 2013 Action Plan identified projects and initiatives that would implement a sustainability goal outlined in the Cleaner, Greener plan. Sustainability goals related to clean water and clean energy were addressed by projects relating to FAGE USA and FX Matt brewery.

General progress in addressing downtown, waterfront and brownfield goals was discussed as examples of smart growth. Actions taken in the recent past to unite institutional fiefdoms were also briefly discussed. Projects supported for the 2013 CFA included, for example, a project to incorporate smart growth standards into the City of Rome's land use laws and an evaluation of stormwater improvement options using green infrastructure in Utica.

G. Identify new or current strategies on which to focus implementation efforts.

The MVREDC conducted an extensive community survey in 2012 to simplify and repackage the strategies and chose to focus on further development of those strategies.

MVREDC surveyed its members for the first time about their perception of the region's greatest strengths and threats related to economic success, and asked them to rank their strategic priorities. The survey helped reshape and re-prioritize existing strategies, with greater emphasis placed on growing economic development opportunities, building workforce and creating pathways to innovation. *GROW Business* was chosen by 81 percent of MVREDC members to be the highest priority now and in the next five years. *FORGE Partnerships* was viewed as the least important of the five strategies.

The MVREDC made some revisions to address areas of importance noted in the 2012 assessment. Most notable of the revisions was the greater emphasis placed on agriculture and agribusiness. The MVREDC identified priority projects related to agriculture and food processing such as the Matt Brewing,

Delorios, and Fage Yogurt projects. Projects in this industry cluster were seen as lacking in the 2012 assessment.

Each of the 2013-14 priority projects is linked to the stated strategies and associated with a specific action plan. However, there are projects that were previously listed as “on track” in the 2012 progress report, but are now listed as “delayed” in the 2013 implementation agenda, without explanation. Many projects listed in this year’s report have no set completion date, yet are listed as “on track.” Without any milestones mentioned, it is unclear how the MRVREC is assessing the actual progress of implementation.

H. Build upon strengths and address weaknesses identified in the 2012 assessment.

The MVREDC updated its strategic plan to address several opportunities for improvement noted in the 2012 assessment. The MVREDC included a list of non-CFA funded projects that are advancing the MVREDC’s strategies and are connected to individual action steps in its implementation agenda. The MVREDC also tied projects to key targeted concentrations in their detailed budget descriptions.

Strategies continue to be prioritized in alignment with the region’s strengths in manufacturing, and key emerging assets in nanotechnology and cyber security development.

Building on its strength in developing strong community participation, the MVREDC broadened its approach to interregional discussions, enhancing the region’s ability to export goods and share technology that would increase economic growth. For example, Central New York Region, the Brookings Institution and other partners collaborated to develop the Metropolitan Business Plan, to highlight the area’s best growth opportunities, produce a feasibility study based on the region’s current market position, and develop an implementation plan to support area growth.

The MVREDC addressed weaknesses in the 2012 assessment related to agriculture. In 2013 projects are emphasized that bolster the long-term agricultural activities of Mohawk Valley (e.g., FAGE USA yogurt, wine/beer/spirits), and leverage the region’s proximity and resources to provide solutions for downstate needs. Several priority projects – Erie Canal Distillers, Matt Brewing Co., Adirondack Barrel Cooperage, and Hartwick College Center for Craft Food & Beverage – align with TasteNY, MarketNY, and Pride of NY initiatives.

What seems to be missing is a concentration on tourism. More tourism-related projects in 2014 should be the outcome of the Mighty Waters Working Group, Path Through History Steering Committee, and the tourism bureau partnership involving Oneida and nine other counties.

I. Leverage outside resources and additional non-state support for projects.

The report includes multiple examples of leveraged resources, both in terms of non-state funding as well as material resources such as colleges and universities advancing workforce development and business investment leading to spillover expansion of partnering businesses.

The MVREDC priority projects recommended for funding significantly leverage non-state sources of capital. Of the 36 priority projects outlined in the report, 25 have significant sources of private funding. Overall, the projects advanced by the MVREDC represent a 5-to-1 ratio of private-to-public funding.

Recognizing opportunity for improvement over the previous year's proposal, the MVREDC included outside initiatives - non-CFA funded projects - in its implementation agenda. Although it is unclear to what degree the MVREDC influences or advances these initiatives, they do account for the broader development across the region and do connect to stated strategies.

The MVREDC also notes how other businesses have leveraged the investments made through the CFA process. For example, FAGE Yogurt received 2011 CFA funding to support expansion of its Johnstown facility. FAGE has plans to increase output and increase jobs. This expansion has led to secondary job growth at dairy farms and FAGE suppliers.

J. Engage the public and other stakeholders

The report outlined steps taken in 2013 to engage the public, including information sharing and roundtable forums. Public outreach highlights include:

- Engagement of a network of chambers of commerce to expand distribution of MVREDC news.
- Launch of the MVREDC newsletter.
- A series of stakeholder meetings across the region, with a special focus on industry clusters whose needs are not currently being addressed through the CFA process, to understand challenges such as growth drivers, gaps in funding, and policy issues.
- Promotion of CFA workshops.

Part Two: Performance in encouraging economic growth through job creation and investment

Actual and estimated performance based on job creation, investment, and relevant performance metrics.

The progress report combines estimated job creation numbers for both previously funded projects and currently proposed projects. It estimates 930 new jobs and retention of over 2,400 jobs since CFA round one.

Of the 118 priority projects advanced in 2011 and 2012, six have been completed and 24 projects (~20%) are scheduled to be completed by the end of 2013. Eighty-three percent of all projects are on schedule for completion.

Performance metrics for strategies one through four were identified, with several action steps associated with each strategy. Job and business growth metrics are clearer than educational, workforce development, and strategic partnership metrics. Notable performance metrics measure the number of small businesses and the total change in jobs, as well as tourism spending and acres of waterfront revived.

Part Three: Identification of transformative projects that support interregional collaboration

Create interregional collaborations and transformative projects around shared resources and opportunities.

MVREDC clearly outlined interregional collaborations on projects with direct connection to their strategies and identified industry sectors. A few examples follow:

- In an effort to capitalize on the region’s agricultural potential, Mohawk Valley EDGE worked with Assemblyman Brindisi, Assemblyman Lentol, and the Brooklyn Chamber of Commerce to identify business-to-business networking opportunities to match Brooklyn food manufacturers with Mohawk Valley food producers and manufacturers. For example, Matt Brewing worked with Brooklyn Brewery to package and distribute its Brooklyn products upstate.
- MVREDC and SUNYIT partnered with the Mid-Hudson REDC and the Center for Global Advanced Manufacturing to develop characterization labs in both regions, supported by two CFA awards submitted by their respective regions.
- Mohawk Valley Community College formed a partnership with NUAIR– a regional alliance of private industry, academic institutions and military assets and operations – to build on the unique expertise of the Air Force Research Lab (AFRL) and to develop a curriculum in Unmanned Aircraft Systems (UAS). The project connects nearly every region in New York State.
- The Oneida County Tourism Bureau received a grant in 2012 to partner with nine other counties spanning the Mohawk Valley, Central New York, and Southern Tier Economic Regional Development Councils. This fall the tourism partners are scheduled to expand their CNY Fresh buy local initiative into comprehensive agri-tourism marketing campaign.

The MVREDC suggests that a collaboration of statewide academia identify new cross-regional opportunities. They suggest a series of half day or day-long learning trips for regional council co-chairs or members to learn the particular strengths of each region’s plan; coordination of a regular dialogue between the co-chairs or members; or a statewide list serve for council members to share information, news and ideas.

Application Number	Regions	Agency	Program Name	Project Name	Business Name	Agency Score	REDC Score	Total Score	Agency Recommended Funding	Awarded/Not-Awarded
27645	Mohawk Valley	Arts	Arts CHPG	Winslow Homer The Nature and Rhythm of Life	New York State Historical Association	73	15	88	\$74,000.00	Awarded
27462	Mohawk Valley	Arts	Arts CHPG	The Golden Age of European Painting	MunsonWilliamsProctor Arts Institute	65	15	80	\$100,000.00	Awarded
27767	Mohawk Valley	Arts	Arts CHPG	Summer of Sculpture 2014	Sculpture Space Inc	43	5	48	\$0.00	Not-Awarded
14631	Mohawk Valley	Arts	Arts CHPG	Creative Arts Academy	Rome Art And Community Center	37	10	47	\$0.00	Not-Awarded
30198	Mohawk Valley	Arts	Arts CHPG	Cooperstown Heritage Festivals	The Farmers Museum	33	10	43	\$0.00	Not-Awarded
26950	Mohawk Valley	Arts	Arts DFPCP	Rome Capitol Theatre Expansion and Restoration Project	Capitol Civic Center, Inc.	69	15	84	\$100,000.00	Awarded
26927	Mohawk Valley	Canals	Canalway Grants	Mohawk Valley Villages St Johnsville Marina	Mohawk Valley VillagesSt Johnsville	70	15	85	\$150,000.00	Awarded
31642	Mohawk Valley	Canals	Canalway Grants	Sylvan Beach Bathhouse	Village of Sylvan Beach	62	10	72	\$120,000.00	Awarded
27032	Mohawk Valley	Canals	Canalway Grants	Tory Creek Park Development Project	Village of Mohawk	0	5	5	\$0.00	Not-Awarded
32384	Mohawk Valley	DEC	DEC EPGP	City of Utica Municipal Facilities Stormwater Control Plan	City of Utica	80	10	90	\$ 40,000.00	Awarded
32662	Mohawk Valley	DEC	DEC EPGP	Town of Kirkland Sewer Capacity Expansion Study in the Village of Clinton	Town of Kirkland	34	10	44	\$ 30,000.00	Awarded
29576	Mohawk Valley	DEC	DEC EPGP	Village of Barneveld Wastewater Facility and Collection Study	Village of Barneveld	32	10	42	\$ 30,000.00	Awarded
29932	Mohawk Valley	DEC	DEC EPGP	WWTP Evaluation	Village of Vernon	29	10	39	\$0.00	Not-Awarded
29678	Mohawk Valley	DOL	DOL EET	ISO	The Harva Company, Inc.	37.08	20	57.08	\$0.00	Not-Awarded
31304	Mohawk Valley	DOL	DOL NHT	Jason Smith	Jason Smith dba JT Vinyl	39	0	39	\$0.00	Not-Awarded
26879	Mohawk Valley	DOL	DOL UWT	Fast Lane to Technology	Mohawk Valley Community College	44.69	10	54.69	\$0.00	Not-Awarded
31304	Mohawk Valley	DOL	DOL UWT	Jason Smith	Jason Smith dba JT Vinyl	16.27	0	16.27	\$0.00	Not-Awarded
26833	Mohawk Valley	DOS	DOS CSBG	Bridge Program	Workforce Investment Board	64	20	84	\$ 93,358.00	Awarded
28670	Mohawk Valley	DOS	DOS CSBG	MVCAA Training	Mohawk Valley Community Action Agency Inc	66.42	10	76.42	\$0.00	Not-Awarded
31840	Mohawk Valley	DOS	DOS CSBG	Regional Office for New Americans Employment Diversity Project	Mohawk Valley Resource Center for Refugees	54.79	20	74.79	\$0.00	Not-Awarded
31533	Mohawk Valley	DOS	DOS LGE	Otsego County Highway Asset Management Program	Otsego County	44	10	54	\$ 36,000.00	Awarded
30729	Mohawk Valley	DOS	DOS LGE	Milford Central School Career Opportunities in Rural Education - Biomedical Program	Milford Central School District	30.4166	15	45.42	\$ 40,000.00	Awarded
27258	Mohawk Valley	DOS	DOS LGE	Shared Municipal Building Project	Town of Richmondville	21.4583	5	26.46	\$0.00	Not-Awarded

Application Number	Regions	Agency	Program Name	Project Name	Business Name	Agency Score	REDC Score	Total Score	Agency Recommended Funding	Awarded/Not-Awarded
27253	Mohawk Valley	DOS	DOS LGE	Village Hall Renewal Improvement Project	Village of Clayville	20.625	5	25.63	\$0.00	Not-Awarded
28618	Mohawk Valley	DOS	DOS LWRP	Mohawk River Watershed Management Plan Implementation: Phase 1	Schoharie County	72.8	20	92.8	\$483,625.00	Awarded
32339	Mohawk Valley	DOS	DOS LWRP	Utica Harbor Phase I and II Projects	City of Utica	70.4	20	90.4	\$500,000.00	Awarded
32354	Mohawk Valley	DOS	DOS LWRP	Waterfront Recreational Assets Planning	Village of Schoharie	69.6	15	84.6	\$41,750.00	Awarded
31642	Mohawk Valley	DOS	DOS LWRP	Sylvan Beach Bathhouse: Design and Construction	Village of Sylvan Beach	68	10	78	\$0.00	Not-Awarded
29481	Mohawk Valley	DOS	DOS LWRP	Susquehanna Greenway Phase II: Design and Construction	City of Oneonta	66.4	10	76.4	\$0.00	Not-Awarded
26927	Mohawk Valley	DOS	DOS LWRP	St. Johnsville Marina Upgrade	Village of St. Johnsville	60	15	75	\$0.00	Not-Awarded
29563	Mohawk Valley	DOS	DOS LWRP	East End Streetscape	City of Amsterdam	64.8	10	74.8	\$0.00	Not-Awarded
27032	Mohawk Valley	DOS	DOS LWRP	Tory Creek Park: Design and Construction	Village of Mohawk	61.6	5	66.6	\$0.00	Not-Awarded
27009	Mohawk Valley	DOS	DOS LWRP	Frankfort Water for Growth Project	Village of Frankfort	49.2	5	54.2	\$0.00	Not-Awarded
30799	Mohawk Valley	EFC	EFC GIGP	Capitol Steps	City of Rome	59	20	79	\$230,900.00	Awarded
31797	Mohawk Valley	EFC	EFC GIGP	Villa Verona Vineyard	Vino Verona LLC	38	15	53	\$0.00	Not-Awarded
32652	Mohawk Valley	ESD	ESD EJP	Brewing Capacity Expansion	Matt Brewing Co Inc	66	20	86	\$200,000.00	Awarded
31071	Mohawk Valley	ESD	ESD EJP	9735 River Road Building Renovation and Business Expansion	Cryo Pure Corp	64	20	84	\$150,000.00	Awarded
32026	Mohawk Valley	ESD	ESD EJP	Establish distillery in Fort Plain	Erie Canal Distillers	62	20	82	\$20,000.00	Awarded
28348	Mohawk Valley	ESD	ESD EJP	Excelsior Job Credits	Full Circle Packing	48	15	63	\$0.00	Not-Awarded
27552	Mohawk Valley	ESD	ESD EJP	Project Exposure	US Consults	0	0	0	\$0.00	Not-Awarded
29295	Mohawk Valley	ESD	ESD Grants	Shavings	3B Timber Co., Inc.	68	20	88	\$150,000.00	Awarded
29562	Mohawk Valley	ESD	ESD Grants	Adirondack Barrel Cooperage	Joseph & Kelly Blazosky	68	20	88	\$60,000.00	Awarded
30267	Mohawk Valley	ESD	ESD Grants	HARC Business Park Development	Herkimer County Chapter, NYSARC, Inc.	68	20	88	\$250,000.00	Awarded
31159	Mohawk Valley	ESD	ESD Grants	Rome Cable From Unusable to Renewable	Rome Community Brownfield Restoration Corporation	68	20	88	\$150,000.00	Awarded
32244	Mohawk Valley	ESD	ESD Grants	RSS furnace bases	Rome Strip Steel Company, Inc.	68	20	88	\$150,000.00	Awarded
32339	Mohawk Valley	ESD	ESD Grants	Utica Harbor Phase I and II Projects	City of Utica	68	20	88	\$5,000,000.00	Awarded
30669	Mohawk Valley	ESD	ESD Grants	Next Generation Genetic Sequencing	Masonic Medical Research Laboratory	66	20	86	\$250,000.00	Awarded
31633	Mohawk Valley	ESD	ESD Grants	Deer Run	Deer Run at River Ridge LLC	66	20	86	\$1,000,000.00	Awarded
32625	Mohawk Valley	ESD	ESD Grants	Southside Water Project	Town of Oneonta	66	20	86	\$500,000.00	Awarded
32652	Mohawk Valley	ESD	ESD Grants	Capacity Expansion	Matt Brewing Co., Inc.	66	20	86	\$250,000.00	Awarded
32088	Mohawk Valley	ESD	ESD Grants	Erie Canal Rv Resort Campground	Erie Canal RV Resort & Campground	65	20	85	\$200,000.00	Awarded

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32273	Mohawk Valley	ESD	ESD Grants	hamptoninn2	american hotel and hospitality management llc	65	20	85	\$880,000.00	Awarded
30535	Mohawk Valley	ESD	ESD Grants	Mohawk Lifts	Mohawk Resources Ltd	64	20	84	\$250,000.00	Awarded
30628	Mohawk Valley	ESD	ESD Grants	The Utica Landmark Project	Primo Property Management LLC	64	20	84	\$400,000.00	Awarded
31071	Mohawk Valley	ESD	ESD Grants	Amonute	Cryo Pure Corp	64	20	84	\$100,000.00	Awarded
27424	Mohawk Valley	ESD	ESD Grants	Utica Coffee	Frank E. Elias III	63	20	83	\$150,000.00	Awarded
30045	Mohawk Valley	ESD	ESD Grants	Northland Fiber Phase 2	Northland Networks LTD	63	20	83	\$70,000.00	Awarded
30441	Mohawk Valley	ESD	ESD Grants	Westmoreland Ambulatory Surgical Center	Westmoreland Development Company of NY, LLC	63	20	83	\$400,000.00	Awarded
32511	Mohawk Valley	ESD	ESD Grants	Utica Memorial Auditorium Major Facility Upgrades	Upper Mohawk Valley Memorial Auditorium Authority	63	20	83	\$700,000.00	Awarded
30035	Mohawk Valley	ESD	ESD Grants	Farm Distillery and Tourist Destination	Revolutionary Spirits, LLC	62	20	82	\$60,000.00	Awarded
31542	Mohawk Valley	ESD	ESD Grants	Griffiss Institute Expansion	Griffiss Local Development Corpoartion	62	20	82	\$1,100,000.00	Awarded
31966	Mohawk Valley	ESD	ESD Grants	Medcare Administrators	Medcare Administrators, LLC	62	20	82	\$200,000.00	Awarded
32026	Mohawk Valley	ESD	ESD Grants	Erie Canal Distillers 2	Jonathan Michael Rosemyer	62	20	82	\$10,000.00	Awarded
31860	Mohawk Valley	ESD	ESD Grants	GIANTS Solutions	City of Amsterdam	61	20	81	\$30,000.00	Awarded
20823	Mohawk Valley	ESD	ESD Grants	QUAD CCAT Building	Fort Schuyler Management Corporation	60	20	80	\$3,100,000.00	Awarded
32241	Mohawk Valley	ESD	ESD Grants	Matthew Dann Oneonta	LCL Associates	60	20	80	\$200,000.00	Awarded
28409	Mohawk Valley	ESD	ESD Grants	MNC Time to Market Improvements	Mohawk Valley EDGE	59	20	79	\$3,250,000.00	Awarded
32271	Mohawk Valley	ESD	ESD Grants	GUSC Solar PV for AFRL	Griffiss Utility Services Corporation	58	20	78	\$150,000.00	Awarded
30795	Mohawk Valley	ESD	ESD Grants	Brownfields to Brightfields	City of Rome, NY	66	10	76	\$200,000.00	Awarded
29182	Mohawk Valley	ESD	ESD Grants	Corbin Hill Farm	Corbin Hill Road Farm	55	20	75	\$180,000.00	Awarded
28060	Mohawk Valley	ESD	ESD Grants	REA WIng	County of Oneida	54	20	74	\$200,000.00	Awarded
28106	Mohawk Valley	ESD	ESD Grants	Phase II County Interceptor	Mohawk Valley EDGE	54	20	74	\$700,000.00	Awarded
30379	Mohawk Valley	ESD	ESD Grants	Unmanned Aerial Systems Training	Mohawk Valley Community College	54	20	74	\$100,000.00	Awarded
30373	Mohawk Valley	ESD	ESD Grants	Community Entrepreneurship Sandbox	Mohawk Valley Community College Foundation	52	20	72	\$55,000.00	Awarded
31808	Mohawk Valley	ESD	ESD Grants	Rome Terminal	County of Oneida	61	10	71	\$500,000.00	Awarded
32032	Mohawk Valley	ESD	ESD Grants	Utica Sewer Separation Project A8	City of Utica	51	20	71	\$1,300,000.00	Awarded
27585	Mohawk Valley	ESD	ESD Grants	Craft Food and Beverage Center	Hartwick College	50	20	70	\$60,000.00	Awarded
31924	Mohawk Valley	ESD	ESD Grants	Water Supply Improvements Project	Village of Cobleskill	50	20	70	\$300,000.00	Awarded
31174	Mohawk Valley	ESD	ESD Grants	Valley Cinema	Valley Cinema Twin	61	5	66	\$25,000.00	Awarded
30321	Mohawk Valley	ESD	ESD Grants	Rock Ledge Campground	James R. Morgan	56	10	66	\$25,000.00	Awarded
28487	Mohawk Valley	ESD	ESD Grants	21st Century STEM Workforce Education	Oneida-Herkimer-Madison BOCES	45	20	65	\$0.00	Not-Awarded

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28348	Mohawk Valley	ESD	ESD Grants	Herkimer Beef Project	Full Circle Packing (a corporation to be formed)	48	15	63	\$0.00	Not-Awarded
31832	Mohawk Valley	ESD	ESD Grants	Westmoreland Ambulatory Surgical Center	Westmoreland ASC, LLC	48	15	63	\$0.00	Not-Awarded
30570	Mohawk Valley	ESD	ESD Grants	Expansion	Chris's Collision Incorporated	49	10	59	\$0.00	Not-Awarded
31506	Mohawk Valley	ESD	ESD Grants	PTL NY	Empire State Forest Products Association	44	15	59	\$0.00	Not-Awarded
32436	Mohawk Valley	ESD	ESD Grants	cooperstown cookie	Cooperstown Cookie Company, LLC	47	5	52	\$0.00	Not-Awarded
27009	Mohawk Valley	ESD	ESD Grants	Frankfort Water for Growth Project	Village of Frankfort	43	5	48	\$0.00	Not-Awarded
27258	Mohawk Valley	ESD	ESD Grants	Town and Village Shared Municipal Building Project	Town of Richmondville	43	5	48	\$0.00	Not-Awarded
30494	Mohawk Valley	ESD	ESD Grants	Movies under the Star	Lilogrey, Inc.	42	5	47	\$0.00	Not-Awarded
29933	Mohawk Valley	ESD	ESD Grants	Mohawk Valley Small Community Improvement Fund	Mohawk Valley Economic Development District	36	10	46	\$0.00	Not-Awarded
27039	Mohawk Valley	ESD	ESD Grants	Verona Tourism and Visitor Center Development Project	Town of Verona	39	5	44	\$0.00	Not-Awarded
27254	Mohawk Valley	ESD	ESD Grants	East German Street Extension Rehabilitation Project	Town of Herkimer	39	5	44	\$0.00	Not-Awarded
27015	Mohawk Valley	ESD	ESD Grants	Frankfort Main Street Program Business Improvement Project	Village of Frankfort	32	10	42	\$0.00	Not-Awarded
27033	Mohawk Valley	ESD	ESD Grants	Mohawk Main Street Business Assistance Program	Village of Mohawk	32	10	42	\$0.00	Not-Awarded
32559	Mohawk Valley	ESD	ESD Grants	Utica Harbor Repair or Replace Bulkheads	Utica Harbor Point Local Development Corporation	15	20	35	\$0.00	Not-Awarded
30058	Mohawk Valley	ESD	ESD Grants	Tryon Technology Park Incubator Center Electrical WWTP Equipment Replacement	Fulton County	29	5	34	\$0.00	Not-Awarded
27253	Mohawk Valley	ESD	ESD Grants	Village Hall Renewal Improvement Project	Village of Clayville	27	5	32	\$0.00	Not-Awarded
32602	Mohawk Valley	ESD	ESD Grants	Downtown Utica Parking and Streetscape Improvement Plan	City of Utica, New York	25	5	30	\$0.00	Not-Awarded
30352	Mohawk Valley	ESD	ESD Grants	Caseus Energy Johnstown	Caseus Energy LLC	10	20	30	\$0.00	Not-Awarded
27264	Mohawk Valley	ESD	ESD Grants	Verona Business Development Program	Town of Verona	26	0	26	\$0.00	Not-Awarded
27056	Mohawk Valley	ESD	ESD Grants	Clayville Village Park Enhancement and Access Improvement Project	Village of Clayville	18	5	23	\$0.00	Not-Awarded
27032	Mohawk Valley	ESD	ESD Grants	Tory Creek Park Development Project	Village of Mohawk	17	5	22	\$0.00	Not-Awarded

Application Number	Regions	Agency	Program Name	Project Name	Business Name	Agency Score	REDC Score	Total Score	Agency Recommended Funding	Awarded/Not-Awarded
29853	Mohawk Valley	ESD	ESD HSSP	Project MV Incubator	Mohawk Valley EDGE	63	20	83	\$125,000.00	Awarded
30493	Mohawk Valley	ESD	ESD MNY	Cycle Adirondacks	Wildlife Conservation Society	75	20	95	\$211,750.00	Awarded
30035	Mohawk Valley	ESD	ESD MNY	Farm Distillery and Tourist Destination	Revolutionary Spirits	52	20	72	\$47,200.00	Awarded
27786	Mohawk Valley	ESD	ESD MNY	Mobile Application	National Baseball Hall Of Fame	47	15	62	\$234,000.00	Awarded
27462	Mohawk Valley	ESD	ESD MNY	The Golden Age of European Painting	MunsonWilliamsProctor Arts Institute	47	15	62	\$18,000.00	Awarded
31667	Mohawk Valley	ESD	ESD MNY	Utica Zoo Accreditation Readiness Project	Utica Zoological Society	40	15	55	\$0.00	Not-Awarded
32595	Mohawk Valley	ESD	ESD MNY	Beer Garden	Matt Brewing Co Inc	37	15	52	\$0.00	Not-Awarded
31642	Mohawk Valley	ESD	ESD MNY	Sylvan Beach Bathhouse	Village of Sylvan Beach	33	10	43	\$0.00	Not-Awarded
29207	Mohawk Valley	ESD	ESD MNY	Howe Caverns Tourism	Howe Caverns	29	5	34	\$0.00	Not-Awarded
27039	Mohawk Valley	ESD	ESD MNY	Verona Tourism and Visitor Center Development Project	Town of Verona	28	5	33	\$0.00	Not-Awarded
32663	Mohawk Valley	ESD	ESD MNY	NYSSCOGS	New York State Sportswriters and Coaches Organization for Girls Sports	10	15	25	\$0.00	Not-Awarded
30494	Mohawk Valley	ESD	ESD MNY	Movies under the Star	Lilogrey Inc	20	5	25	\$0.00	Not-Awarded
29704	Mohawk Valley	ESD	ESD MNY	Doubleday Field Marketing	Village of Cooperstown	13	10	23	\$0.00	Not-Awarded
27217	Mohawk Valley	ESD	ESD OA	Mohawk Valley STEM Opportunities	Workforce Investment Board	52	20	72	\$90,000.00	Awarded
27000	Mohawk Valley	ESD	ESD OA	Newcomer Microenterprise	New York Folklore Society	55	15	70	\$10,000.00	Awarded
31506	Mohawk Valley	ESD	ESD OA	PTL NY	Empire State Forest Products Association	44	15	59	\$0.00	Not-Awarded
31487	Mohawk Valley	ESD	ESD OA	NYLT Education Expansion	New York Logger Training Inc	43	10	53	\$0.00	Not-Awarded
31784	Mohawk Valley	ESD	ESD SPFS	Middleburgh Comprehensive Plan	Town of Middleburgh	50	20	70	\$20,000.00	Awarded
29475	Mohawk Valley	ESD	ESD SPFS	Focus for the Future Main Street Oneonta	City of Oneonta	50	20	70	\$75,000.00	Awarded
31994	Mohawk Valley	ESD	ESD SPFS	Rome Site Development	CS Engineers	49	10	59	\$0.00	Not-Awarded
28667	Mohawk Valley	HCR	HCR CDBGPF	Village of Fultonville Flood Mitigation Project	Village of Fultonville	51	20	71	\$400,000.00	Awarded
29676	Mohawk Valley	HCR	HCR CDBGPF	Herkimer Community Pool Enhancement and ReDevelopment Project	Village of Herkimer	15	5	20	\$0.00	Not-Awarded
30854	Mohawk Valley	HCR	HCR CDBGPI	Village of Ilion East St Public Infrastructure Improvements	Village of Ilion	56	15	71	\$600,000.00	Awarded
29695	Mohawk Valley	HCR	HCR CDBGPI	Village of Canajoharie Water System Improvements	Village of Canajoharie	48	15	63	\$600,000.00	Awarded
29565	Mohawk Valley	HCR	HCR CDBGPI	Amsterdam Sewer System Improvements Phase 4	City of Amsterdam	42	20	62	\$600,000.00	Awarded

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29829	Mohawk Valley	HCR	HCR CDBGPI	Herkimer 2013 Smartmeter Efficiency Program	Village of Herkimer	15	15	30	\$0.00	Not-Awarded
27009	Mohawk Valley	HCR	HCR CDBGPI	Frankfort Water for Growth Project	Village of Frankfort	0	5	5	\$0.00	Not-Awarded
28307	Mohawk Valley	HCR	HCR CDBGSBA	Oneida County Assistance to 3B Timber Company	County of Oneida	57	20	77	\$100,000.00	Awarded
31860	Mohawk Valley	HCR	HCR CDBGSBA	City of Amsterdam Assistance to GIANT Solutions	City of Amsterdam	48	20	68	\$50,000.00	Awarded
29182	Mohawk Valley	HCR	HCR CDBGSBA	Schoharie County Assistance to Corbin Hill Farm	Corbin Hill Road Farm	37	20	57	\$180,000.00	Awarded
29453	Mohawk Valley	HCR	HCR CDBGSBA	Bullshead Inn	Schoharie County	0	5	5	\$0.00	Not-Awarded
29479	Mohawk Valley	HCR	HCR NYMS	Oneonta Downtown Revitalization Program	City of Oneonta	59	20	79	\$200,000.00	Awarded
29362	Mohawk Valley	HCR	HCR NYMS	City of Johnstown NYMS	City of Johnstown	43	20	63	\$200,000.00	Awarded
26845	Mohawk Valley	HCR	HCR NYMS	Village of Camden Facade Program	Village of Camden	30	20	50	\$0.00	Not-Awarded
27897	Mohawk Valley	HCR	HCR NYMS	Downtown Utica Main Street Improvements	City of Utica	17	20	37	\$0.00	Not-Awarded
28201	Mohawk Valley	HCR	HCR NYMS	Old Forge Main Street	Central Adirondack Partnership for the 21st Century	21	10	31	\$0.00	Not-Awarded
32461	Mohawk Valley	HCR	HCR NYMS	Hartwick Revitalization	Town of Hartwick	5	5	10	\$0.00	Not-Awarded
30365	Mohawk Valley	HCR	HCR NYMSTA	Oriskany Falls Downtown Technical Assistance Project	Village of Oriskany Falls	44	10	54	\$16,625.00	Awarded
30312	Mohawk Valley	HCR	HCR NYMSTA	Main Street and Bridge Street Revitalization	Village of St. Johnsville	21	10	31	\$0.00	Not-Awarded
32461	Mohawk Valley	HCR	HCR NYMSTA	Hartwick Revitalization NYMS TA	Town of Hartwick	3	5	8	\$0.00	Not-Awarded
31935	Mohawk Valley	HCR	HCR UI	DeSales Project	Thea Bowman House, Inc.	21	5	26	\$0.00	Not-Awarded
27208	Mohawk Valley	HESC	HESC CACG	College Access Project	Workforce Investment Board	67.5	20	87.5	\$0.00	Not-Awarded
30797	Mohawk Valley	NYSERDA	NYSERDA CGC2	ReTooling Rome for Smart Growth	City of Rome	65.86	20	85.86	\$75,000.00	Awarded
27988	Mohawk Valley	NYSERDA	NYSERDA CGC2	This project will perform the feasibility assessment, planning and preliminary engineering of biomass fired district energy (DE) system for the City of Utica. The thermal energy from the biomass fired plant will be used for DE supply to business and	The City of Utica NY	41.71	15	56.71	\$0.00	Not-Awarded
29101	Mohawk Valley	NYSERDA	NYSERDA CGC3	Mixed Use Development In Utica	Talgo Enterprises	54.67	15	69.67	\$0.00	Not-Awarded

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30793	Mohawk Valley	NYSERDA	NYSERDA CGC3	Digester Upgrades In Rome	City of Rome NY	47.33	20	67.33	\$0.00	Not-Awarded
32355	Mohawk Valley	NYSERDA	NYSERDA CGC3	Aeaerobic Digester In Utica	GHD	47.11	15	62.11	\$0.00	Not-Awarded
26891	Mohawk Valley	ONCS	ONCS Amer	Mohawk Valley Economic Development AmeriCorps Program	Mohawk Valley Community College	71	20	91	\$128,378.00	Awarded
30537	Mohawk Valley	ONCS	ONCS Amer	CORE Americorps	Milford Central School District	27	10	37	\$0.00	Not-Awarded
29215	Mohawk Valley	OTDA	OTDA SNAP	Womens Employment Resource Center SNAP Opportunities Program	Womens Employment Resource Center, Inc.	56	15	71	\$19,500.00	Awarded
26841	Mohawk Valley	OTDA	OTDA SNAP	Oneida County Workforce Development SNAP Opportunities Program	Oneida County Workforce Development	48	20	68	\$300,000.00	Awarded
28060	Mohawk Valley	Parks	Parks HPA D	REA WIng	Oneida County	46	20	66	\$300,000.00	Awarded
29597	Mohawk Valley	Parks	Parks HPA D	Tabernacle Baptist Church Masonry Restoration	Tabernacle Baptist Church of Utica	43.5	15	58.5	\$0.00	Not-Awarded
32360	Mohawk Valley	Parks	Parks HPA D	Arts and Tourism at the Core of Downtown Fort Plain	Historic Fort Plain Inc	38.5	10	48.5	\$0.00	Not-Awarded
27123	Mohawk Valley	Parks	Parks HPA D	Restoration Repair Exterior Facade Historic 1834 Jail	Friends of Historic Herkimer County	38	10	48	\$0.00	Not-Awarded
32166	Mohawk Valley	Parks	Parks HPA D	Amsterdam City Hall Historic Preservation	City of Amsterdam	42.5	5	47.5	\$0.00	Not-Awarded
27743	Mohawk Valley	Parks	Parks HPA D	FULTON COUNTY JAIL COURTHOUSE RENOVATION PROJECT	FULTON COUNTY	30	10	40	\$0.00	Not-Awarded
29146	Mohawk Valley	Parks	Parks HPA D	Repair Johnson Building Granite Slabs	MunsonWilliamsProctor Arts Institute	24.5	15	39.5	\$0.00	Not-Awarded
30015	Mohawk Valley	Parks	Parks HPA D	Fairy Spring Park Revitalization	Village of Cooperstown	28.5	10	38.5	\$0.00	Not-Awarded
29068	Mohawk Valley	Parks	Parks HPA D	The Childrens Museum of Utica NY	The Childrens Museum of History Science Technology	0	5	5	\$0.00	Not-Awarded
28555	Mohawk Valley	Parks	Parks PA D	Town of Broadalbin Park Development	Town of Broadalbin	48.5	10	58.5	\$500,000.00	Awarded
28937	Mohawk Valley	Parks	Parks PA D	Fort Herkimer ChurchGerman Flatts Town Park Development Project	Town of German Flatts	50	5	55	\$171,792.00	Awarded
28771	Mohawk Valley	Parks	Parks PA D	Forever Generations Nick Iorio Park Project	Village of Cobleskill	36.5	10	46.5	\$0.00	Not-Awarded
28669	Mohawk Valley	Parks	Parks PA D	South Main Street Improvement	Village of Northville	40.5	5	45.5	\$0.00	Not-Awarded
27804	Mohawk Valley	Parks	Parks PA D	Kuyahoor Valley Park Development Project	Town of Russia	39	5	44	\$0.00	Not-Awarded
27032	Mohawk Valley	Parks	Parks PA D	Tory Creek Park Development Project	Village of Mohawk	32.5	5	37.5	\$0.00	Not-Awarded
29676	Mohawk Valley	Parks	Parks PA D	Community Pool Enhancement and ReDevelopment Project	Village of Herkimer	32.5	5	37.5	\$0.00	Not-Awarded

Application Number	Regions	Agency	Program Name	Project Name	Business Name	Agency Score	REDC Score	Total Score	Agency Recommended Funding	Awarded/Not-Awarded
32563	Mohawk Valley	Parks	Parks PA D	Pietryka Park Playground Project	Village of New York Mills	26.5	5	31.5	\$0.00	Not-Awarded
28858	Mohawk Valley	Parks	Parks PA D	Val Bialas Sports Center Improvements	City of utica	16	15	31	\$0.00	Not-Awarded
27056	Mohawk Valley	Parks	Parks PA D	Clayville Village Park Enhancement and Access Improvement Project	Village of Clayville	20.5	5	25.5	\$0.00	Not-Awarded
27948	Mohawk Valley	Parks	Parks PA P	Headwaters Trails	Western Catskills Community Revitalization Council Inc	38.5	5	43.5	\$0.00	Not-Awarded
32354	Mohawk Valley	Parks	Parks PA P	Waterfront Recreational Assets Planning	Village of Schoharie NY	25.5	15	40.5	\$0.00	Not-Awarded
28646	Mohawk Valley	Parks	Parks RTP M	Snowmobile Groomer Equipment Purchase	Exeter Trailblazers, Inc.	49	5	54	\$200,000.00	Awarded
27438	Mohawk Valley	Parks	Parks RTP NMD	Proctor Park Trail Improvements	City of Utica	39.5	10	49.5	\$0.00	Not-Awarded
27032	Mohawk Valley	Parks	Parks RTP NMD	Tory Creek Park Development Project	Village of Mohawk	35	5	40	\$0.00	Not-Awarded
31743	Mohawk Valley	Parks	Parks RTP NMD	Griffiss Trail Expansion	Griffiss Park Landowners Association	34.5	5	39.5	\$0.00	Not-Awarded
27056	Mohawk Valley	Parks	Parks RTP NMD	Clayville Village Park Enhancement and Access Improvement Project	Village of Clayville	30	5	35	\$0.00	Not-Awarded
32461	Mohawk Valley	Parks	Parks RTP NMD	Hartwick Revitalization	Town of Hartwick	21	5	26	\$0.00	Not-Awarded

Program Legend

Agency	Program Abbreviation	Program Name
Arts	Arts APCE	Artistic Program Capital Equipment
Arts	Arts CHPG	Arts, Culture & Heritage Project Grant
Arts	Arts DFPCP	Digital Film Projector Conversion Program
Arts	Arts IWDP	Arts Intern Workforce Development Program
Canals	Canalway Grants	NYS Canalway Grant Program
DEC	DEC EPGP	New York State DEC/EFC Wastewater Infrastructure Engineering Planning Grant
DOL	DOL EET	Existing Employee Training Program
DOL	DOL NHT	New Hire (On-the-Job) Training
DOL	DOL UWT	Unemployed Worker Training
DOS	DOS CSBG	Community Services Block Grant
DOS	DOS LGE	Local Government Efficiency Program
DOS	DOS LWRP	Local Waterfront Revitalization Program
EFC	EFC GIGP	Green Innovation Grant Program
ESD	ESD EIPCAP	ESD - Environmental Investment Program - Capital
ESD	ESD EIPRDD	ESD - Environmental Investment Program - Research Development and Demonstration (RD&D)
ESD	ESD EIPTA	ESD - Environmental Investment Program - Technical Assistance (TA)
ESD	ESD EJP	ESD - Excelsior Jobs Program
ESD	ESD Grants	Empire State Development Grant Funds
ESD	ESD HSSP	ESD - New York State Business Incubator and Innovation Hot Spot Support Program
ESD	ESD IDBC	ESD - Industrial Development Bond Cap
ESD	ESD MNY	ESD - Market New York
ESD	ESD OA	ESD - ESD Technical Assistance and Training Grants/Opportunity Agenda Projects
ESD	ESD SPFS	ESD - ESD - Strategic Planning and Feasibility Studies
HCR	HCR CDBGED	HCR - HCR - Community Development Block Grant (CDBG) Economic Development
HCR	HCR CDBGPF	HCR - HCR - Community Development Block Grant (CDBG) - Public Facilities
HCR	HCR CDBGPI	HCR - HCR - Community Development Block Grant (CDBG) - Public Infrastructure
HCR	HCR CDBGSBA	HCR - HCR - Community Development Block Grant (CDBG) - Small Business Assistance
HCR	HCR NYMS	HCR - HCR - New York Main Street (NYMS)
HCR	HCR NYMSTA	HCR - HCR - New York Main Street Technical Assistance (NYMS-TA)
HCR	HCR RARP	HCR - HCR - Rural Area Revitalization Projects (RARP)
HCR	HCR UI	HCR - HCR - Urban Initiatives (UI)
HESC	HESC CACG	HESC - College Access Challenge Grant
NYSERDA	NYSERDA CGC2	Cleaner Greener Communities (CGC), Phase II Implementation Grants, Category 2: Comprehensive Planning
NYSERDA	NYSERDA CGC3	Cleaner Greener Communities (CGC), Phase II Implementation Grants, Category 3: Sustainability Projects
ONCS	ONCS Amer	New York State AmeriCorps Program
OTDA	OTDA SNAP	SNAP Opportunities
Parks	Parks HASA A	Parks - Heritage Areas System Acquisition (Acquisition)
Parks	Parks HASA D	Parks - Heritage Areas System Acquisition (Development)
Parks	Parks HASA P	Parks - Heritage Areas System Acquisition (Planning)
Parks	Parks HPA A	Parks - Historic Property Acquisition (Acquisition)
Parks	Parks HPA A/D	Parks - Historic Property Acquisition (Acquisition/Development)
Parks	Parks HPA D	Parks - Historic Property Acquisition (Development)
Parks	Parks HPA P	Parks - Historic Property Acquisition (Planning)
Parks	Parks PA A	Parks - Park Acquisition (Acquisition)
Parks	Parks PA D	Parks - Park Acquisition (Development)
Parks	Parks PA P	Parks - Park Acquisition (Planning)
Parks	Parks RTP D	Parks - Recreational Trails Program Diverse
Parks	Parks RTP M	Parks - Recreational Trails Program Motorized
Parks	Parks RTP NM	Parks - Recreational Trails Program Non-Motorized
Parks	Parks RTP NMD	Parks - Recreational Trails Program Non-Motorized Diverse