# 2014 Regional Council Process & Consolidated Funding Applications

#### Background:

The Regional Economic Development Councils (REDCs) are a community driven, regional approach to economic development in New York State. Each Council consists of up to 30 business, academic and government leaders from throughout the Region.

In 2011, each of the ten Regional Councils were tasked with developing a five-year strategic plan that included a comprehensive vision for economic development for that region, regional strategies to achieve that vision, and specific priority projects that are significant, regionally supported and capable of stimulating economic investment. Empire State Development Corporation developed a competitive process in which Regional Council Strategic Plans containing recommendations for funding made by each Regional Council were reviewed by a Strategic Plan Review Committee consisting of two State Agency Commissioners and three economic development experts. Members of the 2011 Review Team included: Dall W. Forsythe, Senior Fellow at the Wagner School of Public Service at New York University and former Vice President for Finance and Operations at The Atlantic Philanthropies; Walter D. Broadnax, Professor of Public Administration at The Maxwell School of Syracuse University; Bruce J. Katz, Vice President at the Brookings Institution and founding Director of the Brookings Metropolitan Policy Program; Joan McDonald, Commissioner of the New York State Department of Transportation; and Cesar Perales, New York State Secretary of State. On December 8, 2011, the Governor and Legislative Leaders announced the winners of the first round of competition and one year later 97% of Round One projects were under contract and/or progressing.

In 2012, the Councils went a step further and produced Strategic Implementation Agendas that built upon the progress from the first round and further developed and refined their Regional Five Year Strategic Plans by undertaking four primary activities: Establishing a framework to advance the Regional Strategic Plan; Implementing the strategies laid out in the Strategic Plan; Creating a project pipeline; and providing a progress report documenting the success the Council has had in implementing its Strategic Plan.

In 2013, all ten of the Regional Councils competed against each other to be designated as a "Top Performer". The 2013 Council competition was designed with a similar framework as 2012 but added several new activities for the council to focus on that would continue to help develop and advance the original strategic plans. Regional Councils were asked to develop an Opportunity Agenda, identify Innovation Hot Spots, and advance regional Cleaner, Greener Community Sustainability Plans.

This year the regional councils developed strategies for several new initiatives including a Global NY Plan to identify import/export and foreign direct investment (FDI) opportunities. Councils also created a Veteran's Work Group to address to the unemployment of returning Veteran's in New York. The REDC's were asked to identify projects as part of NY Rising to assist in the rebuilding of areas devastated by natural disasters. The Councils also provided an update on their Opportunity Agenda, workforce development challenges, and their project pipeline.

These actions were designed to encourage and accelerate implementation, performance, and collaboration within the regions. Priority projects that aligned with each region's plan were chosen by the Councils from the applications submitted to the Consolidated Funding Application portal. These projects were

published in the regions' Progress Report, which are publically available online at <a href="https://www.regionalcouncils.ny.gov">www.regionalcouncils.ny.gov</a>. Projects were scored by both the state agencies and the Regional Councils. The agencies scored their projects based on a technical evaluation in adherence with existing funding program guidelines and awarded a project up to 80%. The remaining 20% is awarded by the Regional Councils, and were based off the following endorsement standards:

#### **Statewide Endorsement Standards**

Regional Council members will determine the degree to which the application implements the regional strategic plan and assign the corresponding number of points as a score.

IMPLEMENTATION OF THE PLAN	DEGREE	POINTS
	Deionity	20
The proposal is identified as a	Priority	20
priority project by the		
Regional Council.		
The proposal is identified in	Very Strong	15
the plan and has regional		
benefits.		
The proposal advances the	Strong	10
plan and has local benefits.		
The proposal is consistent	Moderate	5
with the plan and has project		
level benefits.		
The proposal has limited	Weak	0
relationship to the plan		

#### **2014 Competition**:

To encourage the implementation of the Regional Economic Development Councils' strategic plans and to continue to motivate investment opportunities and job creation, the Regional Councils competed in 2014 for up to \$150 million in capital funds and \$70 million in Excelsior Tax Credits for projects identified by the Regional Councils in their regions.

By incentivizing implementation of the strategic plans, Regional Councils, businesses, educational institutions, local governments, not-for-profit groups and other stakeholders are further motivated to work together to accomplish the regional vision. While project funding was awarded in all ten Regional Councils through the 2014 CFA, the competition placed an emphasis on Regional Council performance and action to implement strategies.

The competition was focused on the following:

- 1) Implementation of regional strategic economic development plans;
- 2) Performance in encouraging economic growth through job creation and investment;
- 3) Identification of global marketing and export strategies;

In 2014, the five regions identified as top performers from 2013 would be competing for two awards of \$25 million each in additional funding while the remaining five regions from 2013 were competing for three awards of \$25 million each. The ten regions were also eligible for up to \$10 million in Excelsior Tax Credits to help attract and grow businesses in the region.

The 2014 Strategic Implementation Assessment Team (SIAT) was led by Secretary of State Cesar Perales and included Commissioner and Civil Service President Jerry Boone, Office of General Services Commissioner Roann Destito, Environmental Facilities Corporation CEO Matthew Driscoll, Chair of NYS Tourism Advisory Council Cristyne Nicholas, Director of NYS Division of Veteran's Affairs Colonel Eric J. Hesse, Tax and Finance Commissioner Thomas Mattox, and the Director of the Trade Promotion Coordinating Committee, International Trade Administration Patrick Kirwan. The SIAT reviewed each region's Progress Report based on established criteria. Members of all REDC's traveled to Albany and presented a summary of their 2014 activities and priorities to the SIAT which included PowerPoint presentations, videos, and regional exhibit booths. The SIAT was also given time to ask questions of the REDC's about their progress reports and regional priority projects.

The SIAT provided an assessment of each plan and made recommendations to Kenneth Adams, President & CEO, Empire State Development Corporation of the five reports that most closely aligned with the criteria outlined in the guidebook. President Adams accepted the recommendations of the Committee and, pursuant to his statutory powers as head of the Urban Development Corporation, awarded five regions with the top Progress Report up to \$35 million in funding consisting of \$25 million in capital grants and \$10 million in Excelsior tax credits for priority projects identified in their Strategic Plans. The balance of the incentives was awarded to projects identified by the Councils as in alignment with their strategies and priorities.

In addition, project sponsors applied for over \$500 million in economic development resources available from twelve state agencies through the Consolidated Funding Application (CFA). The CFA allows businesses and other entities to apply for multiple agency funding sources through a single, web-based application. Awards for CFA projects were made based on the endorsement of the local Regional Council and the technical program review of the agencies providing resources, subject to interagency review team analysis and agency discretion.

The 2014 REDC initiative was launched on April 28 and the CFA opened for applications on May 01 and closed on June 16. All details about CFA resources were made available online via the 2014 CFA Resources Available Document, the State Register and the New York State Contract Reporter. When the portal closed on June 16, agencies immediately began marking project eligibility. All eligible projects were then made available to the Regional Councils for their scoring process and the agencies for their technical evaluation. On August 15, the REDCs submitted their final 2014 progress reports to the State. After the SIAT presentations concluded in September, the Inter-agency team met to review the projects and make final funding decisions.

The interagency team reviewed projects based on relevant factors including Regional Councils Implementation Agendas and designation of priority projects, project alignment with regional goals and objectives, funding availability and regional need. Priority projects are those specifically enumerated in the Regional Council Reports, receiving a score of 20 from the Regional Council, and identified as significant, regionally supported and capable of stimulating economic investment.

The interagency team once again consisted of professional staff from relevant agencies and authorities' with detailed knowledge of their respective CFA program funding. This group conducted an analytical assessment that provided the basis for project funding recommendations made by individual agencies for final approval by respective agency or authority head. As provided in the budget, the Regional Council Grant funds were awarded by ESD in its discretion.

This process resulted in funding of projects that aligned with a long term, regionally generated implementation agendas and are capable of stimulating economic growth and investment.

On Thursday, December 11, 2014, the Governor announced that 852 projects totaling \$709.2 million had been awarded through Round Four of the Regional Economic Development Council initiative. Top Performer awards were given to the Finger Lakes, Southern Tier, Central New York, Mid-Hudson, and Long Island regions in adherence to the competition guidelines detailed above.

# **MEMORANDUM**

**To:** Kenneth Adams, President and CEO of Empire State Development

Corporation

From: Cesar Perales, Secretary of State

Jerry Boone, Commissioner and President, Civil Service Commission

RoAnn Destito, Commissioner, Office of General Services

Matthew Driscoll, President and CEO, Environmental Facilities Corporation

Thomas Mattox, Commissioner, Department of Taxation and Finance Cristyne Nicholas, Chair, New York State Tourism Advisory Council Colonel Eric J. Hesse, Director, NYS Division of Veteran's Affairs

Patrick Kirwan, Director of the Trade Promotion Coordinating Committee,

International Trade Administration

**Subject:** Review of 2014 Regional Economic Development Councils' Progress

Date: December 9, 2014

We have been honored to serve as the Strategic Implementation Assessment Team (SIAT) for Governor Cuomo's Regional Economic Development Council Initiative. In the past four years, this important initiative has created jobs and grown new industries across the state.

In 2011, each Regional Council was charged with developing a five-year Strategic Economic Development Plan which emphasized the region's unique assets and provided strategies to harness local resources, both human and capital, to stimulate regional economic development and create jobs statewide. Each year since, the plans have been updated to identify projects that continue to support each region's vision of its economic future.

In September of this year, each Regional Council came to Albany to present their progress. Their oral presentations complemented and built upon regional progress reports and plan updates submitted by the Regional Councils in August. We heard those presentations and have the responsibility of assessing the progress of the Regional Councils.

With the shift to a bottom-up planning process, the State has turned to the Regional Councils to implement many State priorities. In year four (2014), Regional Councils were asked to address three new State priorities: global marketing and export strategies; veterans' participation in the in the workforce; and support of NY Rising Community Reconstruction Plan projects.

# **2014 Competition**

Our task this year was to evaluate all ten regions based on the competition and Regional Council priorities as described in the 2014 REDC Guidebook. We focused on three areas:

- 1) Implementation of regional strategic economic development plans, including how the regions addressed the following 2014 priorities:
- Support NY Rising Community Reconstruction Plan projects
- Maintain a project pipeline
- Address regional workforce development needs for key sectors
- Refine the region's Opportunity Agenda
- Encourage Veterans' participation in the workforce
- Contribute to NYSUNY 2020 Challenge Grant Program
- Implement Cleaner, Greener Communities Sustainability Plan
- Nominate Innovation Hot Spots
- Expand collaborative efforts with other Regional Councils
- 2) Performance in encouraging economic growth through job creation and investment, including such factors as:
- Job creation and investment
- Status of past priority projects
- Collaboration with local government to transform the regional business climate
- Performance measures
- 3) Identification of global marketing and export strategies.

# <u>Awards</u>

The regions competed in two groups this year. One group consisted of the five regions identified as Top Performers in 2013. They competed for two awards of \$25 million each, and consisted of the following regions: Capital Region, Long Island, Mohawk Valley, North Country, and Southern Tier.

The second group consisted of the five regions that did not receive top awards in 2013. They competed for three awards of \$25 million each, and consisted of the following regions: Central NY, Finger Lakes, Mid-Hudson, New York City, and Western NY.

The Strategic Implementation Assessment Team has identified five regions that deserve the highest awards this year: **Central NY, Finger Lakes, Long Island, Mid-Hudson,** and the **Southern Tier**. This was a difficult task, as regions shined in different areas, with some excelling in the development of global marketing and export strategies while others excelled in different priority areas. All regions continued to build upon their previous successes, adjusting their strategies as needed and identifying projects that will help advance their vision.

The regions we are recommending, however, edged out the others and should receive up to \$25 million in capital funds and up to \$10 million in Excelsior Tax Credits.

The **Central New York Regional Economic Development Council** (CNYREDC) provides a forum for stronger partnerships, growth-oriented investments, and incubation of new initiatives to bolster economic growth in Central New York. CNYREDC joined forces with the Mohawk Valley Regional Economic Development Council to develop a joint Global NY Plan, with emphasis on manufacturers of building systems that heat and cool buildings, refrigerate produce, and control manufacturing processes. They have joined with MVREDC, private industry, and academic institutions to move the Northeast UAS Airspace Integration Research Alliance (NUAIR) forward, establishing the Regions' place in the burgeoning field of unmanned aircraft. CNYREDC has also been working to push workforce alignment and training to the forefront of community discussions, through programs like Salt Works, Work Train, and Say Yes to Education Syracuse, and the efforts of the Next Generation Job Linkage Work Group.

The **Finger Lakes Regional Economic Development Council** (FLREDC) continued its focus on revitalizing the Eastman Business Park, and has identified new priority projects that will foster innovation and technology commercialization, strengthen communities and key industrial sites, address key infrastructural barriers to growth, enhance workforce development, and expand access to foreign markets and capital. FLREDC is seeking to create a new center for high tech manufacturing in Genesee County through development of the Science and Technology Advanced Manufacturing Park. The region has identified middle skills positions as key for future economic growth, and is advancing a priority project to address this. It has also extended its Opportunity Agenda to include more of the City of Rochester, proposing new programs to target at-risk students and prepare them for academic success and job opportunities.

The **Long Island Regional Economic Development Council** (LIREDC) maintained its focus on key initiatives, including creating a cohesive education and workforce training strategy through partnerships among a range of stakeholders - business, trade groups, labor, government agencies, educational institutions, and parents; and developing

innovation and industry clusters in transformative locations across the region - including downtowns, brownfields, and university research and medical centers. In addition to reinvigorating Long Island's manufacturing sector through a shift to technology products, the region is producing a new generation of sustainable, well-paying jobs in the legacy sectors of agriculture, aquaculture, fisheries and tourism. Finally, it is revitalizing its poorest neighborhoods by targeting the region's collective resources on new community-driven initiatives that can create jobs, homes and businesses. The Wyandanch Rising project embodies that community centered work.

The Mid-Hudson Regional Economic Development Council (MHREDC) excels in identifying projects that will help the region attain its economic vision, and has persuaded priority project sponsors to provide paid internships as a way of boosting training opportunities. New performance measures using sector metrics are useful for identifying specific areas of opportunity or concern within the regional economy. Strong performance of mature industries suggest the region's economic fundamentals are sound, although employment gains have been offset by losses in technology firms. Regional strategies have been fine-tuned with increased emphasis on university/public/private partnerships, and projects such as expansion of the SUNY Manufacturing Alliance for Research and Technology Transfer (SMARTT) are proposed to implement those strategies.

The **Southern Tier Regional Economic Development Council** (STREDC) continues its enthusiastic commitment to a strategic plan that is "Catalytic, Collaborative, Comprehensive and Competitive." This collaborative spirit is reflected in the Regional Council's support for plans and projects developed under the NY Rising Community Reconstruction Program, and the participation of local governments and others in a Regional Resiliency Summit. The global marketing and export strategy for the region is extensive, identifying existing regional exporters, barriers to exporting and opportunities for expanded exporting. One identified approach is to capitalize on the region's location between two major international visitor destinations (New York City and Niagara Falls), and to improve its competitiveness in hosting global racing events by addressing critical safety issues at the Watkins Glen International racing facility.

Five regions should receive up to \$5 million in capital funding each, and up to \$10 million in Excelsior Tax Credits to help attract and grow business in their regions: **Capital Region**, **Mohawk Valley**, **New York City**, **North Country**, and **Western NY**.

The **Capital Region Economic Development Council** (CRREDC) is seeking to secure market share for existing companies and to target industries that have national and

international potential; prepare for the future through investments in projects to enhance security, improve weather forecasting; build an infrastructure for new businesses in defense, paper, emerging high-tech fields and others; and open up opportunities for students, veterans and others eager to contribute to the economic well-being of the Capital Region. The CRREDC is collaborating with local industry stakeholders to implement the region's sustainability plan, creating a ripple effect that produces a stream of consistent, effective projects, dubbed "Sustainability Squared." Global strategies seek to both attract foreign direct investment (FDI) and increase exports, particularly service exports like the arts, culture, tourism and higher education.

The Mohawk Valley Regional Economic Development Council (MVREDC), maintains a focus on attracting high technology jobs; revitalizing its downtown and waterfronts; growing agriculture and agribusinesses; and expanding tourism. Updates were made to its strategic plan to include projects from five NY Rising Communities. Other project ideas were generated at a Regional Summit which brought together leaders and stakeholders across the six county region. MVREDC continued to provide substantial emphasis on workforce development and training, prioritizing the following sectors: STEM, high technology, agri-business, downtown development, tourism and waterfronts. Global marketing strategies were developed in collaboration with the CNYREDC, and include maturation of the proposed Northeast UAS Airspace Integration Research Alliance (NUAIR).

The New York City Regional Economic Development Council (NYCREDC) is focused on design and implementation of public-private and interregional partnerships in order to accelerate economic growth and job creation in the City and State and to extend the benefits of growth to the most economically distressed individuals and communities. During this year of transition in the City's government, the REDC has begun work to ensure that the new Mayor's economic development team is informed about the REDC's strategic plan and is fully engaged in implementation of regional priority projects. NYCREDC is working with employers and developers to ensure that state-assisted projects maximize opportunities for local hiring and training initiatives. The NY Metro Export plan represents a collaborative effort between the New York City, Long Island, and the Mid-Hudson regions, and involve developing a network to share information and resources among the different regions.

The **North Country Regional Economic Development Council** (NCREDC) seeks to receive global recognition of the region as a special place to visit, live, work and study. Its global marketing and export strategies are comprehensive and build upon the strengths and characteristics of the region, such as: bi-national partnerships, aerospace, border

crossings, transportation, international tourism, agriculture, and international sports. The NCCREDC continues to successfully engage with other regions in advancing recreation and tourism, broadband, defense, and international business strategies. Its progress and prioritization in its work with sister regions is reflective of its ability to tap in to the potential of the North Country, despite its immense geography and sparse population. The Cleaner Greener Communities program continues to be a central component of the NCREDC's strategic plan, with sustainability plan goals built into priority project and Consolidated Funding Application (CFA) scoring criteria.

The Western NY Regional Economic Development Council (WNYREDC) continues to embrace its three core strategies of workforce enhancement, entrepreneurship and smart growth to provide a regional foundation by which its seven strategic industries can grow and prosper. The Regional Council has developed meaningful performance metrics that will allow for assessments of the Regions' social and economic progress and provide guidance for amendments where needed in their strategies and initiatives. The Region's commitment to workforce enhancement is evidenced by the number of education based priority projects which have been advanced by the WNYREDC. It also uses a three-prong approach to meeting the educational and workforce needs of the region's veterans – access to employment counselors, helping develop educational plans, and promoting veteran employment to potential employers.

# **Conclusion**

The Assessment Team was impressed by the continued dedication of the Regional Council members, people who volunteer for their work groups, and staff of the Regional Empire State Development offices who serve the Regional Councils. We continue to believe that the REDC process is taking New York down the path of even greater success, driven by the economic development skills of REDC members, spurred on by the advancement of new ideas and products by institutions of higher learning, and fueled by the development of a workforce across all age groups and socioeconomic strata. Please extend our congratulations to all members of the Regional Councils for the outstanding work they are doing to strengthen New York's economy.

# Mohawk Valley Regional Economic Development Council 2014 Preliminary Progress Report Assessment

# Section I. Implementation of the Regional Strategic Economic Development Plans

# a. Support NY Rising Community Reconstruction Plan projects

#### **Strengths**

- The Mohawk Valley Regional Economic Development Council (MVREDC) updated its strategic plan to include projects from five NY Rising Communities: Amsterdam-Amsterdam; Esperance-Schoharie, et al; Montgomery County; Herkimer County; and Oneida County.
- The MVREDC expressed support for eight NY Rising projects.

#### b. Maintain a Project Pipeline

#### **Strengths**

- The MVREDC did an excellent job maintaining a project pipeline, identifying projects in all funding categories and that address all regional strategies.
- The MVREDC conducted 23 different outreach events, informational meetings and workshops across the region to build new relationships, advance projects and identify new ones.
- The Regional Summit held in April of 2014, brought together leaders and stakeholders across the six county region, and provided a platform for collaboration, advancement of current projects and identification of priority projects for 2014.

#### c. Address regional workforce development needs for key sectors

#### **Strengt**hs

- MVREDC continued to provide substantial emphasis on workforce development and training, with a broad area of focus, prioritizing the following sectors: STEM, high technology, agribusiness, downtown development, tourism and waterfronts.
- MVREDC clearly utilizes pre-existing industries, business and academic expertise to create employment options.
- A signature project is the Otsego County and Schoharie County Agricultural Microenterprise Grant program, which will provide businesses with gap financing for applicable job creating projects.

#### Weaknesses

- Job training for unemployed adults is minimally addressed.
- The role of the MVREDC in advancing its strategies and coordinating the different actors is not clear, although it could be inferred as a result of the various pubic engagement efforts it has engaged in.
- It is not clear whether efforts supported by the MVREDC are successful in aligning workforce training with actual job opportunities.

#### d. Refine the Regional Opportunity Agenda

#### Strengths

- The elimination of barriers to employment through various education and training focused projects is at the center of the MVREDC Opportunity Agenda.
- The goal of providing an opportunity for all sectors of the community (skilled, unskilled, immigrants, etc.) is strengthened in the 2014 plan. Nano centers in Utica and Marcy are expected to generate employment for engineers as well as clerical and maintenance workers.
- The Young Scholars Program enrolled 75 students in a summer 2014 STEM program.

#### Weakness

 More emphasis could be placed on projects and actions to address the needs of immigrants, an important population identified by the MVREDC.

#### e. Promote Veterans' Participation in the Workforce

#### **Strengths**

- Some projects included workforce goals related to Veteran's employment, such as Bridge 360s Veterans 4Quality Program.
- The MVREDC supports joint efforts between SUNY Polytechnic Institute and Sitrin Health Care
  Center to rehabilitate disabled individuals and create an assistive technology laboratory to allow
  students and faculty to modify assisted devices to restore functionality and allow individuals to
  return to work.

#### Weaknesses

- A comprehensive strategy directly targeting Veterans for employment is not articulated.
- Although a Veterans Advisory Group was formed, it is unclear whether they played a role in engaging applicants to set veteran's employment goals or in encouraging veterans to apply to the CFA.

#### f. NYSUNY 2020 Challenge Grants

#### Strength

MVREDC is leveraging state academic partners and strengthening partnerships to support
critical dairy industry needs in the region. The SUNY Manufacturing Alliance for Research and
Technology Transfer (SMART), formed by several colleges to create an educational curriculum
and workforce training programs for manufacturers, is supported by MVREDC.

#### g. Cleaner, Greener Communities Sustainability Plans

#### Strengths

- The Cleaner, Greener Communities initiative continues to be an important component of the MVREDC plan. Eight projects are stated to be underway and intended to provide the region the tools to replicate sustainability best practices, and develop new projects.
- MVREDC and the North Country Regional Council both support a proposed priority project for a Pellet Hub, which will result in installation of pellet boiler systems in 8 municipalities, new jobs, and reduced reliance on fossil fuels.

#### h. Innovation Hot Spots

#### Strengths

- The Griffiss Institute incubator is seeking to become a Hot Spot in partnership with the Mohawk Valley Community College "thINCubator" a business incubator and student accelerator that started operation in the winter of 2013.
- The thINCubator incorporate a cross-country effort involving professors from Arizona State
  University and Griffiss, called New York Furnace. New York Furnace is a technology transfer
  accelerator program that will enable the market to pull technologies from research institutions
  and turn them into new ventures and new products.

#### i. Interregional Collaboration

#### Strengths

- MVREDC continues to outline interregional collaboration on projects with direct connection to
  its strategies and identified industry sectors, such as the Path Through History Cycling Tour that
  spans four REDCs (Mohawk Valley, Central, Southern Tier and Capital Region).
- The Global Market Initiative is being undertaken in collaboration with the Central NY REDC in order to maximize scarce resources, prevent the duplication of effort, and build a critical mass of participants in export development programming.
- The proposed Northeast UAS Airspace Integration Research Alliance (NUAIR), a Round IV Priority Project, is partnership between MVREDC and the Central NY Regional Council.

#### j. Implementation Gaps

# **Strength**

 MVREDC addressed the tourism gap from its 2013 plan by incorporating a regional tourism industry framework. The framework included three categories: heritage, agri-tourism and cultural tourism and identified three distinct types of tourism experiences: passive, interactive and induced.

#### Weakness

• Although there are projects in every county of the region, investments seem to be focused primarily in one county.

#### k. New Priorities and Strategies

- Tourism is a new priority.
- There is an increased focus on retention of foreign direct investment and global marketing.
- Encouraging entrepreneurialism is expressed in this year's Hot Spot proposal which would use shared facilities and technologies to facilitate entrepreneurship across a broad spectrum of business activities.

# Section II. Performance in Encouraging Economic Growth through Job Creation and Investment

#### a. Job Creation and Investment

#### Strengths

- Total job creation for the region is stated to be 1020 jobs created and 3381 jobs retained.
- MVREDC has 188 projects since Round 1 with a total investment of \$688M.
- There is a substantial focus on attraction and retention of business with high growth potential, such as NUAIR at Griffiss International Airport with a potential to generate \$106M by 2017.

#### Weakness

- A leverage ratio of 7:1 is provided for rounds I-III, but to what this ratio refers is not clear. For
  example, it could apply to priority projects or all CFA projects; it is not clear how non-CFA State
  investments are factored in.
- The report does not readily identify the amounts of private, state or federal investments proposed for 2014.

#### b. Status of past priority projects:

#### **Strengths**

- Ten percent of projects are complete and 70 percent are on track.
- An example of a project that was substantially advanced includes construction of the Nano Utica ("Quad C"), which includes \$1.5 billion in capital investment that will create up to 1,500 jobs with a focus on packaging and 3D interconnect technologies.

#### Weakness

- The MVREDC reports that 10 percent of projects are delayed and 10 percent are inactive.
- Very little information is given about the status of specific past projects, such as the Utica
  Harbor project. A table showing each project's status, including whether it is on schedule (and
  what that means), what investment has been made so far, and job creation/retention results
  would be helpful.

#### c. Collaborate with Local Governments

### **Strengths**

• Public engagement is a significant element in MVREDC plan, with 23 different meetings, workshops, a summit, and round table. These events included participation by local leaders.

#### Weaknesses

- The meeting between MVREDC members and leaders in the Village of Sharon Springs is the only
  example provided of substantive collaboration with a local government, although more
  collaboration may have occurred.
- A specific strategy for local leader engagement with the purpose of transforming the regional business climate is not clear.

#### d. Performance Measures

#### Strength

• The report, using U.S. Census Bureau data for the years 2010/2011, shows a drop in unemployment of 36%; an increase in the Median Household Income of 4.75% (\$47,250) and, an increase in educational attainment of 4.0% for Bachelors or higher and of 3.9% for High School or higher.

#### Weaknesses

- The U.S. Census Bureau shows a drop of 0.7% in the number of jobs, a decline in population of 0.9%, and an increase in the poverty rate of 6.8% (rate of 15.7%).
- Specific performance metrics outlined in the report are not quantified.

# Section III. Identification of Global Marketing and Export Strategies

#### a. Develop Global marketing and export strategies

#### **Strengths**

- MVREDC's global marketing and export plan builds on marketing strategies established through
  the Metropolitan Export Initiative (MEI) by the Brookings Institution. The goal is to overcome
  the MEI's finding that the region is less sophisticated about exports than other mid-to largesized US metropolitan areas and that local businesses face a number of obstacles.
- The region established the Central New York International Business Alliance (CNY IBA) as the lead group for export assistance and promotion in the community. It became an Export-Import Bank City State partner to ease the availability of export financing to local businesses.
- New educational programs and direct export assistance services, including an export assistance website, were launched.

### b. Global marketing and export projects

#### Strengths

- Seven priority projects are identified as Global NY projects, including the proposed Marcy Nanocenter Time to Market project, which entails developing the site to support full build-out for a three-fab campus that can support 8.25 M square feet of advanced manufacturing.
- The proposed Beechgrove Buildout involves renovating a foot vacant structure into an industry standard facility for the advanced design and manufacturing of electronic products used for military, industrial, and commercial applications.
- A 2014 priority project supported by MVREDC is construction of a Nano Cyber Security,
  Reliability and Quality Assurance innovation/training facility for innovation in cyber security,
  system reliability and quality assurance, complemented by a Full Spectrum Exploitation Lab that
  would enhance research capabilities in the cybersecurity/IT concentration.

CFA#	Region	Agency	Program Name	Project Title	Business Name	Agency Score	REDC Score	Total Score	Award	Awarded/ Not-Awarded
43317	Mohawk Valley	Arts	Arts CHPG	Glimmerglass Festival 40th Anniversary Marketing	Glimmerglass Opera Theatre	65.19	20	85.19	\$64,600.00	Awarded
39012	Mohawk Valley	Arts	Arts CHPG	Monet to Matisse Exhibit	MunsonWilliamsProctor Arts Institute	61.67	20	81.67	\$60,000.00	Awarded
40781	Mohawk Valley	Arts	Arts CHPG	Breadbasket Heritage Trail	Village of Middleburgh	42.86	20	62.86	\$15,200.00	Awarded
41887	Mohawk Valley	Arts	Arts CHPG	A Celebration of Our Agricultural Community	The Farmers Museum Inc	43	10	53	\$0.00	Not-Awarded
43122	Mohawk Valley	Arts	Arts CHPG	Native American Art Culture Take Center Stage	New York State Historical Association	47.41	5	52.41	\$0.00	Not-Awarded
40300	Mohawk Valley	Arts	Arts WIP	New Development Associate Position	Sculpture Space	64.81	5	69.81	\$18,700.00	Awarded
42546	Mohawk Valley	Canals	Canals	Bicentennial and Beyond	City of Rome	65	15	80	\$125,000.00	Awarded
41195	Mohawk Valley	Canals	Canals	Fulmer Creek Greenplain Resiliency Project	Town of German Flatts	60	20	80	\$0.00	Not-Awarded
39769	Mohawk Valley	Canals	Canals	Village of Fonda Canalside Park Improvements	Village of Fonda	63	15	78	\$0.00	Not-Awarded
38672	Mohawk Valley	Canals	Canals	Main Street Diefendorf Hall Cultural Heritage Center and Inn	Friends of Fort Plain Inc	53	20	73	\$0.00	Not-Awarded
39744	Mohawk Valley	Canals	Canals	Mohawk Valley Gateway Overlook	City of Amsterdam	40	20	60	\$0.00	Not-Awarded
43465	Mohawk Valley	DEC	DEC EPGP	Nelliston Wastewater	Village of Nelliston	56	20	76	\$30,000.00	Awarded
43368	Mohawk Valley	DEC	DEC EPGP	Wastewater Study	Village of Sharon Springs	31	20	51	\$30,000.00	Awarded
41009	Mohawk Valley	DEC	DEC EPGP	Village of Frankfort Inflow and Infiltration Study	Village of Frankfort	27	20	47	\$30,000.00	Awarded
40780	Mohawk Valley	DEC	DEC EPGP	Wastewater Treatment Plant Evaluation	Village of Vernon	26	20	46	\$30,000.00	Awarded
42484	Mohawk Valley	DEC	DEC EPGP	Nanocenter Wastewater Treatment System	Oneida County Department of Water Pollution	24	20	44	\$50,000.00	Awarded
40819	Mohawk Valley	DOL	DOL EET	ISO 9001 Quality Management Systems Training	The Harva Company, Inc.	48.35	20	68.35	\$67,905.00	Awarded
40957	Mohawk Valley	DOL	DOL UWT	Heating and Welding Training	Mohawk Valley Community College	46.93	20	66.93	\$98,967.00	Awarded
40927	Mohawk Valley	DOL	DOL UWT	Medical and Welder Training	Madison-Oneida BOCES	37.29	20	57.29	\$0.00	Not-Awarded
40966	Mohawk Valley	DOS	DOS CSBG	Project Veterans Environmental Training VET	Workforce Investment Board	62.3	20	82.3	\$0.00	Not-Awarded
42381	Mohawk Valley	DOS	DOS CSBG	STEPS	Schoharie County Community Action Program	64	5	69	\$0.00	Not-Awarded
41401	Mohawk Valley	DOS	DOS CSBG	Employment Training Opportunities	Mohawk Valley Community Action Agency Inc	56	5	61	\$0.00	Not-Awarded
40165	Mohawk Valley	DOS	DOS CSBG	Nano Apprenticeship	For the Good Inc	45.1	5	50.1	\$0.00	Not-Awarded
41241	Mohawk Valley	DOS	DOS LGE	Shared Emergency Services Telecommunications Tower Facility	Otsego County	45.96	20	65.96	\$0.00	Not-Awarded
39757	Mohawk Valley	DOS	DOS LGE	Trenton Fire District Consolidation	Town of Trenton	47.67	15	62.67	\$0.00	Not-Awarded
39893	Mohawk Valley	DOS	DOS LGE	Cobleskill DPW Garage Consolidation Implementation	Town of Cobleskill	38.13	20	58.13	\$0.00	Not-Awarded
39130	Mohawk Valley	DOS	DOS LGE	Weights and Measures Equipment Improvement Plan	Otsego County	39.6	10	49.6	\$0.00	Not-Awarded
41135	Mohawk Valley	DOS	DOS LGE	Shared Technology Services	Digital Towpath Cooperative	27.13	20	47.13	\$0.00	Not-Awarded
41334	Mohawk Valley	DOS	DOS LGE	R2G: An Urban Planning Studio Model	City of Utica	27.13	10	37.13	\$0.00	Not-Awarded
39194	Mohawk Valley	DOS	DOS LGE	Richmondville Shared Town and Village Offices Project	Town of Richmondville	22	10	32	\$0.00	Not-Awarded
41195	Mohawk Valley	DOS	DOS LWRP	Fulmer Creek Greenplain Resiliency Project	Town of German Flatts	68.8	20	88.8	\$200,000.00	Awarded
41325	Mohawk Valley	DOS	DOS LWRP	Utica Harbor Marina Bulkhead and Infrastructure Improvements	City of Utica	68	20	88	\$750,000.00	Awarded
40623	Mohawk Valley	DOS	DOS LWRP	Schoharie Creek MultiUse Trail	Schoharie County	68	20	88	\$149,876.00	Awarded
39744	Mohawk Valley	DOS	DOS LWRP	Mohawk Valley Gateway Overlook	City of Amsterdam	68	20	88	\$325,000.00	Awarded
41451	Mohawk Valley	DOS	DOS LWRP	Local Waterfront Revitalization Program	Village of Middleburgh	67.2	20	87.2	\$20,000.00	Awarded
40338	Mohawk Valley	DOS	DOS LWRP	Phase II Implementation Mohawk River Watershed Management Plan	Schenectady County	69.6	15	84.6	\$332,480.00	Awarded
42546	Mohawk Valley	DOS	DOS LWRP	Bicentennial and Beyond	City of Rome	68.8	15	83.8	\$75,000.00	Awarded

CFA#	Region	Agency	Program Name	Project Title	Business Name	Agency Score	REDC Score	Total Score	Award	Awarded/ Not-Awarded
42194	Mohawk Valley	DOS	DOS LWRP	Soldiers Sailors Memorial Park Improvement Project	Village of St. Johnsville	58.4	20	78.4	\$0.00	Not-Awarded
41035	Mohawk Valley	DOS	DOS LWRP	Regreening Utica's First Neighborhood	City of Utica	68	10	78	\$0.00	Not-Awarded
42938	Mohawk Valley	DOS	DOS LWRP	Sylvan Beach Bathhouse and Welcome Center	Village of Sylvan Beach	60	10	70	\$0.00	Not-Awarded
41195	Mohawk Valley	EFC	EFC GIGP	Leatherstocking Mobile Home Park Property Recovery Project	Town of German Flatts	61	20	81	\$466,000.00	Awarded
40847	Mohawk Valley	EFC	EFC GIGP	SUNY Oneonta Green Retrofit	State University of New York College at Oneonta	58	15	73	\$910,000.00	Awarded
38618	Mohawk Valley	EFC	EFC GIGP	The Green Century Project: An Adaptable Model to Sustainably Transform Utica's Urban Core	Cornell Cooperative Extension Association of Oneida County	51	10	61	\$0.00	Not-Awarded
42198	Mohawk Valley	EFC	EFC GIGP	Permeable Pavement Park Project	Village of Sharon Springs	27	20	47	\$0.00	Not-Awarded
41521	Mohawk Valley	EFC	EFC GIGP	Town of Oneonta West End Drainage Improvements	Town of Oneonta	27	10	37	\$0.00	Not-Awarded
38993	Mohawk Valley	ESD	ESD EJP	Facility Renovation	Trenton Technology Inc.	65	20	85	\$500,000.00	Awarded
41298	Mohawk Valley	ESD	ESD EJP	Production Expansion	The Fountainhead Group Inc.	64	20	84	\$300,000.00	Awarded
40213	Mohawk Valley	ESD	ESD Grants	UAS Test Range Instrumentation	Northeast UAS Airspace Integration Research Alliance, Inc.	70	20	90	\$2,000,000.00	Awarded
39256	Mohawk Valley	ESD	ESD Grants	Marcy NanoCenter - Time to Market Site Improvements Project	Mohawk Valley EDGE	70	20	90	\$1,000,000.00	Awarded
38993	Mohawk Valley	ESD	ESD Grants	Facility renovation	Trenton Technology Inc	70	20	90	\$1,000,000.00	Awarded
39400	Mohawk Valley	ESD	ESD Grants	Utica Landmarc Project	Primo Property Management, LLC	69	20	89	\$800,000.00	Awarded
41042	Mohawk Valley	ESD	ESD Grants	121 Hotel Street	The Nehemiah Fund LLC	68	20	88	\$200,000.00	Awarded
42738	Mohawk Valley	ESD	ESD Grants	Nano Cyber Security Center	Fort Schuyler Management Corporation	67	20	87	\$0.00	Not-Awarded
41325	Mohawk Valley	ESD	ESD Grants	Utica Harbor Marina Bulkhead and Infrastructure Improvements	City of Utica	66	20	86	\$0.00	Not-Awarded
42598	Mohawk Valley	ESD	ESD Grants	Dr William F Streck Community Clinic	Pathfinder Village, Inc.	64	20	84	\$0.00	Not-Awarded
41298	Mohawk Valley	ESD	ESD Grants	SOFT	The Fountainhead Group Inc	64	20	84	\$0.00	Not-Awarded
41064	Mohawk Valley	ESD	ESD Grants	Optimization of District Energy System	Griffiss Utility Services Corporation	64	20	84	\$0.00	Not-Awarded
38893	Mohawk Valley	ESD	ESD Grants	Business Expansion Project	R.L.E. Corp.	64	20	84	\$0.00	Not-Awarded
41048	Mohawk Valley	ESD	ESD Grants	Full Spectrum Exploitation Lab/Facility Expansion Servicing Regional Commercial UAS, Nano and Integrated Cyber- Communications-Safety Applications	ANDRO Computational Solutions, LLC	63	20	83	\$0.00	Not-Awarded
39226	Mohawk Valley	ESD	ESD Grants	Robotics and Automation Program	Edmeston Central School District	63	20	83	\$0.00	Not-Awarded
38811	Mohawk Valley	ESD	ESD Grants	SUNY Cobleskill Dairy Processing Center	SUNY Cobleskill	63	20	83	\$0.00	Not-Awarded
41578	Mohawk Valley	ESD	ESD Grants	TRYON TECHNOLOGY PARK INCUBATOR CENTER PROJECT PHASE II	Fulton County	62	20	82	\$0.00	Not-Awarded
41534	Mohawk Valley	ESD	ESD Grants	The Rose Building Project, a Multi-Use economic retail stimulus and housing project in Downtown Middleburgh	Marge for Middleburgh, LLC	62	20	82	\$0.00	Not-Awarded
40526	Mohawk Valley	ESD	ESD Grants	123 Hotel Street Development	123 Hotel Street LLC	61	20	81	\$0.00	Not-Awarded
41609	Mohawk Valley	ESD	ESD Grants	MVCC UAS Program	Mohawk Valley Community College	58	20	78	\$0.00	Not-Awarded
39592	Mohawk Valley	ESD	ESD Grants	Richmondville Broadband Initiative	The Middleburgh Telephone Company	58	20	78	\$0.00	Not-Awarded
42507	Mohawk Valley	ESD	ESD Grants	Schuyler Sewer	County of Herkimer	57	20	77	\$0.00	Not-Awarded
42656	Mohawk Valley	ESD	ESD Grants	Richfield Commerce Park	County of Otsego Industrial Development Agency	55	20	75	\$0.00	Not-Awarded
42213	Mohawk Valley	ESD	ESD Grants	Upper Susquehanna Regional Ag Center	County of Otsego Industrial Development Agency	55	20	75	\$0.00	Not-Awarded

CFA#	Region	Agency	Program Name	Project Title	Business Name	Agency Score	REDC Score	Total Score	Award	Awarded/ Not-Awarded
41562	Mohawk Valley	ESD	ESD Grants	Bellinger Brook Community Preservation Project	Village of Herkimer	55	20	75	\$0.00	Not-Awarded
40866	Mohawk Valley	ESD	ESD Grants	B240 Redevelopment Griffiss	Griffiss Local Development Corporation	55	20	75	\$0.00	Not-Awarded
40952	Mohawk Valley	ESD	ESD Grants	Assistive Technology Services	SUNY Institute of Technology	52	20	72	\$0.00	Not-Awarded
42863	Mohawk Valley	ESD	ESD Grants	Cobleskill Reservoir & Phase II Spillway Reconstruction Project	Village of Cobleskill	60	10	70	\$0.00	Not-Awarded
42770	Mohawk Valley	ESD	ESD Grants	Susquehanna Regional Center for Jobs	County of Otsego Industrial Development Agency	50	20	70	\$0.00	Not-Awarded
42470	Mohawk Valley	ESD	ESD Grants	Utica Memorial Auditorium Parking Lot Upgrades	Upper Mohawk Valley Memorial Auditorium Authority	60	10	70	\$0.00	Not-Awarded
41826	Mohawk Valley	ESD	ESD Grants	Griffiss Building 100 Modifications	Oneida County	55	15	70	\$0.00	Not-Awarded
41671	Mohawk Valley	ESD	ESD Grants	Rome Cable Building Complex 4 Demolition	Rome Industrial Development Corporation	55	15	70	\$0.00	Not-Awarded
41660	Mohawk Valley	ESD	ESD Grants	Regional Resource Recovery	City of Rome	55	15	70	\$0.00	Not-Awarded
41379	Mohawk Valley	ESD	ESD Grants	Stepping Stone	Upstate Cerebral Palsy, Inc	60	10	70	\$0.00	Not-Awarded
40473	Mohawk Valley	ESD		Consolidation and Relocation of County Office Buildings	Montgomery County	55	15	70	\$0.00	Not-Awarded
40181	Mohawk Valley	ESD	ESD Grants	Huntingtons Disease Neurological Disorder Center	Charles T. Sitrin Health Care Center, Inc.	60	10	70	\$0.00	Not-Awarded
42559	Mohawk Valley	ESD	ESD Grants	The Centeral New York Veterans Outreach Center	The Utica Center for Development INC.	46	0	46	\$0.00	Not-Awarded
41608	Mohawk Valley	ESD	ESD Grants	MVCC SMT Lab	Mohawk Valley Community College	41	5	46	\$0.00	Not-Awarded
40369	Mohawk Valley	ESD	ESD Grants	Utica College Intercollegiate Sports Fitness and Recreation Center	Utica College	41	5	46	\$0.00	Not-Awarded
38780	Mohawk Valley	ESD	ESD Grants	Mohawk Valley Irish Cultural Center Project	Irish Cultural and Historical Society of the Mohawk Valley	41	5	46	\$0.00	Not-Awarded
38753	Mohawk Valley	ESD	ESD Grants	117 Business Park Drive	111-117 Business Park Realty Corp.	41	5	46	\$0.00	Not-Awarded
43321	Mohawk Valley	ESD	ESD Grants	Griffiss International Airport Site Development	Oneida County	30	15	45	\$0.00	Not-Awarded
41637	Mohawk Valley	ESD	ESD Grants	Establish Brewery In Oneonta	Table Rock Inc.	40	5	45	\$0.00	Not-Awarded
39620	Mohawk Valley	ESD	ESD Grants	Infrastructure Renovations Project - Phase I Lark Street Reconstruction	Village of Cobleskill	40	5	45	\$0.00	Not-Awarded
38916	Mohawk Valley	ESD	ESD Grants	Capital Improvements Projects	Cobleskill Agricultural Society	33	10	43	\$0.00	Not-Awarded
39222	Mohawk Valley	ESD	ESD Grants	Griffiss Veterans Memorial Parkway Phase III Project	Griffiss Local Development Corp.	32	10	42	\$0.00	Not-Awarded
39736	Mohawk Valley	ESD	ESD Grants	Mohawk Valley Infrastructure Match Program	Mohawk Valley Economic Development District	35	5	40	\$0.00	Not-Awarded
40676	Mohawk Valley	ESD	ESD Grants	VH Biofuels	Eastbrook Asset Management LLC	27	0	27	\$0.00	Not-Awarded
40334	Mohawk Valley	ESD	ESD Grants	Recycling Hazardous and Nonhazardous materials	Recycling Resources Inc.	14	5	19	\$0.00	Not-Awarded
40861	Mohawk Valley	ESD	ESD HSSP	Mohawk Valley Innovation Hot Spot	Mohawk Valley Community College Foundation	88	20	108	\$250,000.00	Awarded
42964	Mohawk Valley	ESD	ESD MNY	Brew Central Marketing Campaign	Oneida County Tourism	69	20	89	\$500,000.00	Awarded
39012	Mohawk Valley	ESD	ESD MNY	Monet to Matisse	Munson-Williams-Proctor Arts Institute	64	20	84	\$20,000.00	Awarded
40116	Mohawk Valley	ESD	ESD MNY	Collection Digitization	National Baseball Hall of Fame and Museum	60.5	20	80.5	\$750,000.00	Awarded
42654	Mohawk Valley	ESD	ESD MNY	Utica Zoo Foundation Project	Utica Zoological Society	50	20	70	\$21,450.00	Awarded
40995	Mohawk Valley	ESD	ESD MNY	Glimmerglass Festival Tourism Facilities Renovations	The Glimmerglass Festival	48	20	68	\$0.00	Not-Awarded
42497	Mohawk Valley	ESD	ESD MNY	Mohawk Valley Path Through History Cycling Trails	Workforce Investment Board HerkimerOneidaMadison Counties	39.5	20	59.5	\$0.00	Not-Awarded
38672	Mohawk Valley	ESD	ESD MNY	Main Street Diefendorf Hall Cultural Heritage Center and Inn	Friends of Fort Plain Inc	34.5	20	54.5	\$0.00	Not-Awarded
41887	Mohawk Valley	ESD	ESD MNY	A Celebration of Our Agricultural Community	The Farmers Museum Inc	42.5	10	52.5	\$0.00	Not-Awarded
42938	Mohawk Valley	ESD	ESD MNY	Sylvan Beach Renovations	Village of Sylvan Beach	37.5	10	47.5	\$0.00	Not-Awarded

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42281	Mohawk Valley	ESD	ESD MNY	Market Mohawk Valley	Mohawk Valley Collective Inc	41.5	5	46.5	\$0.00	Not-Awarded
43122	Mohawk Valley	ESD	ESD MNY	Native American Art Culture Take Center Stage	New York State Historical Association	36.5	5	41.5	\$0.00	Not-Awarded
43264	Mohawk Valley	ESD	ESD MNY	Arena Expansion	Montgomery County Agricultural Society Inc	27	10	37	\$0.00	Not-Awarded
38825	Mohawk Valley	ESD	ESD MNY	OutdoorsNY	Fish US LLC	31	5	36	\$0.00	Not-Awarded
38916	Mohawk Valley	ESD	ESD MNY	Capital Improvements Projects	Cobleskill Agricultural Society	19	10	29	\$0.00	Not-Awarded
41529	Mohawk Valley	ESD	ESD MNY	Interskate 88 Addition	Interskate 88 Inc	23	5	28	\$0.00	Not-Awarded
38780	Mohawk Valley	ESD	ESD MNY	Mohawk Valley Irish Cultural Center Project	Irish Cultural and Historical Society of the Mohawk Valley Inc	21	5	26	\$0.00	Not-Awarded
42066	Mohawk Valley	ESD	ESD SPFS	Schoharie County Broadband Feasibility Study	Schoharie County	64	20	84	\$37,500.00	Awarded
42215	Mohawk Valley	ESD	ESD SPFS	Cooperstown Comprehensive Plan and Revitalization Strategy	County of Otsego County Industrial Development Agency	56	20	76	\$58,000.00	Awarded
40756	Mohawk Valley	ESD	ESD SPFS	Schoharie County Strategic Plan	Schoharie County	53	20	73	\$15,000.00	Awarded
43321	Mohawk Valley	ESD	ESD SPFS	Griffiss Site Development	Oneida County	30	15	45	\$0.00	Not-Awarded
40839	Mohawk Valley	ESD	ESD SPFS	DOWNCITY LIVING: Making Informed Investments in Utica, NY	City of Utica	35	10	45	\$0.00	Not-Awarded
40776	Mohawk Valley	ESD	ESD SPFS	850 Acres of Green Potential - Economic Benefit & Sustainability Plan For Utica's Parks	City of Utica	40	5	45	\$0.00	Not-Awarded
39804	Mohawk Valley	ESD	ESD SPFS	Town of Herkimer Water District Improvement Master Plan	Town of Herkimer	35	10	45	\$0.00	Not-Awarded
42480	Mohawk Valley	ESD	ESD SPFS	Otsego County Historic Buildings ReUse initiative	County of Otsego Industrial Development Agency	35	5	40	\$0.00	Not-Awarded
42216	Mohawk Valley	ESD	ESD SPFS	Otsego Broadband Coverage and Implementation Study	County of Otsego County Industrial Development Agency	39	0	39	\$0.00	Not-Awarded
40619	Mohawk Valley	HCR	HCR CDBGCP	Otsego County Rail Yards Development Plan	Otsego County	69	15	84	\$47,500.00	Awarded
39479	Mohawk Valley	HCR	HCR CDBGCP	City of Oneonta Market Street Entertainment District	City of Oneonta	70	5	75	\$19,000.00	Awarded
41767	Mohawk Valley	HCR	HCR CDBGCP	Town of Annsville Taberg Drinking Water System Engineering Report	Town of Annsville	58	15	73	\$21,850.00	Awarded
42955	Mohawk Valley	HCR	HCR CDBGCP	City of Oneonta Business Park Market and Feasibility Study	City of Oneonta	57	10	67	\$0.00	Not-Awarded
41534	Mohawk Valley	HCR	HCR CDBGED	Village of Middleburgh Assistance to Marge for Middleburgh, LLC	Village of Middleburgh	24	20	44	\$0.00	Not-Awarded
39476	Mohawk Valley	HCR	HCR CDBGME	City of Oneonta Microenterprise Assistance Program	City of Oneonta	52	20	72	\$200,000.00	Awarded
40615	Mohawk Valley	HCR	HCR CDBGME	Otsego County Agricultural Microenterprise Program	Otsego County	43	20	63	\$200,000.00	Awarded
40621	Mohawk Valley	HCR	HCR CDBGPF	Otsego County Springbrook Residential Facility Improvements	Otsego County	56	10	66	\$400,000.00	Awarded
39620	Mohawk Valley	HCR	HCR CDBGPF	Village of Cobleskill Lark Street Project	Village of Cobleskill	0	0	0	\$0.00	Not-Awarded
39513	Mohawk Valley	HCR	HCR CDBGPI	City of Amsterdam Phase 5 Sewer Improvements	City of Amsterdam	40	20	60	\$600,000.00	Awarded
40636	Mohawk Valley	HCR	HCR CDBGPI	Village of Fultonville Water System Improvements	Village of Fultonville	37	20	57	\$600,000.00	Awarded
41286	Mohawk Valley	HCR	HCR CDBGPI	Town of Oneonta Improvements to Southside Water System	Town of Oneonta	44	10	54	\$600,000.00	Awarded
41255	Mohawk Valley	HCR	HCR CDBGPI	Village of Richmondville Water Main Replacement	Village of Richmondville	33	20	53	\$535,000.00	Awarded
39620	Mohawk Valley	HCR	HCR CDBGPI	Village of Cobleskill Lark Street Project	Village of Cobleskill	41	5	46	\$500,000.00	Awarded

CFA#	Region	Agency	Program Name	Project Title	Business Name	Agency Score	REDC Score	Total Score	Award	Awarded/ Not-Awarded
38772	Mohawk Valley	HCR	HCR CDBGPI	Village of Herkimer Washington Street Reconstruction	Village of Herkimer	21	20	41	\$0.00	Not-Awarded
42863	Mohawk Valley	HCR	HCR CDBGPI	Village of Cobleskill Dow and Smith Reservoir Spillways	Village of Cobleskill	21	10	31	\$0.00	Not-Awarded
39605	Mohawk Valley	HCR	HCR CDBGPI	Village of Herkimer Hydraulic Canal Enclosure Project	Village of Herkimer	16	10	26	\$0.00	Not-Awarded
40138	Mohawk Valley	HCR	HCR NYMS	V. Oriskany Falls NYMS 2014	Village of Oriskany Falls	50	20	70	\$250,000.00	Awarded
42149	Mohawk Valley	HCR	HCR NYMS	CAP 21 - Thendara & Eagle Bay	Central Adirondack Partnership for the 21st Century	47	20	67	\$0.00	Not-Awarded
41037	Mohawk Valley	HCR	HCR NYMS	Baggs Square Regeneration Project	Baggs Square Association	36	20	56	\$0.00	Not-Awarded
41998	Mohawk Valley	HCR	HCR NYMSTA	Unadilla Downtown Planning Study	Village of Unadilla	57	20	77	\$20,000.00	Awarded
41854	Mohawk Valley	NYSERDA	NYSERDA CGC2	Marcy Smart Growth Update and Implementation	Town of Marcy	47.8	20	67.8	\$150,000.00	Awarded
40774	Mohawk Valley	NYSERDA	NYSERDA CGC2	CODE GREEN: City of Utica Zoning Update	City of Utica	51.4	10	61.4	\$168,750.00	Awarded
42196	Mohawk Valley	NYSERDA	NYSERDA CGC2	Village of Sharon Springs: Planning the Renaissance	Village of Sharon Springs	36.6	20	56.6	\$0.00	Not-Awarded
43521	Mohawk Valley	NYSERDA	NYSERDA CGC2	Old City Hall Redevelopment	YES Development	34	20	54	\$0.00	Not-Awarded
39848	Mohawk Valley	NYSERDA	NYSERDA CGC2	Green Biomass District Energy System for City of Utica	City of Utica	31.6	15	46.6	\$0.00	Not-Awarded
41526	Mohawk Valley	NYSERDA	NYSERDA CGC2	Otsego Biomass Project	Town of Hartwick	29.8	15	44.8	\$0.00	Not-Awarded
41171	Mohawk Valley	NYSERDA	NYSERDA CGC2	LinkGreen: Connecting and Energizing the Mohawk Valley	Mohawk Valley Economic Development District	32.8	10	42.8	\$0.00	Not-Awarded
38618	Mohawk Valley	NYSERDA	NYSERDA CGC3	Utica Green Century	Cornell Cooperative Extension Association of Oneida County	63.43	10	73.43	\$0.00	Not-Awarded
37964	Mohawk Valley	NYSERDA		Vincent's Pellet Hub	Vincents Heating and Fuel LLC	51.71	20	71.71	\$1,337,625.00	Awarded
41660	Mohawk Valley	NYSERDA	NYSERDA CGC3	Rome Regional Resource Recovery	City of Rome	41.86	15	56.86	\$0.00	Not-Awarded
40549	Mohawk Valley	NYSERDA	NYSERDA CGC3	Northville South Main Street Pedestrian Enhancements	Village of Northville	24.43	5	29.43	\$0.00	Not-Awarded
39812	Mohawk Valley	Parks	Parks HP D	Tabernacle Baptist Church Masonry and Tower Restoration Project	Tabernacle Baptist Church of Utica	53	10	63	\$373,590.00	Awarded
43531	Mohawk Valley	Parks	Parks HP D	City Hall Restoration	City of Amsterdam	46.5	15	61.5	\$224,625.00	Awarded
41028	Mohawk Valley	Parks	Parks HP D	Repair Exterior Facade Herkimer County 1834 Jail	Friends of Historic Herkimer County	41.5	15	56.5	\$0.00	Not-Awarded
39775	Mohawk Valley	Parks	Parks HP D	22 Main Street Rehabilitation and Accessibility Project	Village of Cooperstown	40	15	55	\$0.00	Not-Awarded
39885	Mohawk Valley	Parks	Parks HP D	Gloversville Library Rehab	Gloversville Public Library	43	10	53	\$0.00	Not-Awarded
39241	Mohawk Valley	Parks	Parks HP D	Granite Slab Repair	MunsonWilliamsProctor Arts Institute	27	20	47	\$0.00	Not-Awarded
41195	Mohawk Valley	Parks	Parks PKS D	Leatherstocking Mobile Home Park Recovery Project	Town of German Flatts	55.3	20	75.3	\$277,000.00	Awarded
39795	Mohawk Valley	Parks	Parks PKS D	Herkimer Municipal Pool Improvement Project	Village of Herkimer	58.25	15	73.25	\$412,500.00	Awarded
42943	Mohawk Valley	Parks	Parks PKS D	Forever Generations Nick Iorio Park Project	Village of Cobleskill	52.25	20	72.25	\$159,835.00	Awarded
38974	Mohawk Valley	Parks	Parks PKS D	Kemble Park Project	City of Utica	61.88	10	71.88	\$500,000.00	Awarded
42105	Mohawk Valley	Parks	Parks PKS D	Veterans Memorial Park Economic Improvement Project	City of Little Falls	50	20	70	\$484,000.00	Awarded
40397	Mohawk Valley	Parks	Parks PKS D	Village of Camden River Walk	Village of Camden	47.75	20	67.75	\$0.00	Not-Awarded
39341	Mohawk Valley	Parks	Parks PKS D	South Side Park	City of Amsterdam	47.75	20	67.75	\$0.00	Not-Awarded
40858	Mohawk Valley	Parks	Parks PKS D	Kuyahoora Valley Park Development Project	Town of Russia New York	54.25	10	64.25	\$0.00	Not-Awarded
39769	Mohawk Valley	Parks	Parks PKS D	Village of Fonda Canalside Park Improvements	Village of Fonda	38	20	58	\$0.00	Not-Awarded
39744	Mohawk Valley	Parks	Parks PKS D	Mohawk Valley Gateway Overlook	City of Amsterdam	37.25	20	57.25	\$0.00	Not-Awarded
42938	Mohawk Valley	Parks	Parks PKS D	Sylvan Beach Bathhouse and Welcome Center	Village of Sylvan Beach	46.6	10	56.6	\$0.00	Not-Awarded
40549	Mohawk Valley	Parks	Parks PKS D	South Main Street Pedestrian Enhancements	Village of Northville	47.1	5	52.1	\$0.00	Not-Awarded
39480	Mohawk Valley	Parks	Parks PKS D	Neawha Park Projects	City of Oneonta	33.8	15	48.8	\$0.00	Not-Awarded
42319	Mohawk Valley	Parks	Parks PKS D	Town of Floyd Park Improvement	Town of Floyd	36.63	10	46.63	\$0.00	Not-Awarded

# **Program Legend**

Agency	Program Abbreviation	Program Name
Arts	Arts APCE	Artistic Program Capital Equipment
Arts	Arts CHPG	Arts, Culture & Heritage Project Grant
Arts	Arts TIP	Technology Improvements Program
Arts	Arts WIP	Workforce Investment Program
Canals	Canals	Canalway Grant Program
DEC	DEC EPGP	Engineering Planning Grant Program
DOL	DOL EET	Existing Employee Training Program
DOL	DOL NHT	New Hire Training Program
DOL	DOL UWT	Unemployed Worker Training Program
DOS	DOS CSBG	Community Services Block Grant
DOS	DOS LGE	Local Government Efficiency Program
DOS	DOS LWRP	Local Waterfront Revitalization Program
EFC	EFC GIGP	Green Innovation Grant Program
ESD	ESD EJP	Exclesior Jobs Program
ESD	ESD Grants	Empire State Development Grant Program
ESD	ESD HSSP	New York State Innovation Hot Spot Support Program
ESD	ESD MNY	Market New York
ESD	ESD SPFS	Strategic Planning and Feasibility Studies
HCR	HCR CDBGCP	Community Development Block Grant - Community Planning
HCR	HCR CDBGED	Community Development Block Grant - Economic Development
HCR	HCR CDBGME	Community Development Block Grant - Microenterprise
HCR	HCR CDBGPF	Community Development Block Grant - Public Facilities
HCR	HCR CDBGPI	Community Development Block Grant - Public Infrastructure
HCR	HCR CDBGSBA	Community Development Block Grant - Small Business Assistance
HCR	HCR NYMS	New York Main Street
HCR	HCR NYMSTA	New York Main Street Technical Assistance
NYSERDA	NYSERDA CGC2	Cleaner Greener Communities - Category 2: Planning & Technical Assistance
NYSERDA	NYSERDA CGC3	Cleaner Greener Communities - Category 3: Sustainability Projects
Parks	Parks HAS D	Heritage Area Systems (Development)
Parks	Parks HAS P	Heritage Area Systems (Planning)
Parks	Parks HP A	Historic Property (Acquisition)
Parks	Parks HP D	Historic Property (Development)
Parks	Parks HP P	Historic Property (Planning)
Parks	Parks PKS A	Parks (Acquisition)
Parks	Parks PKS D	Parks (Development)
Parks	Parks PKS P	Parks (Planning)