

2012 Regional Council Process & Consolidated Funding Applications

Background:

The Regional Economic Development Councils are a community driven, regional approach to economic development in New York State. Each Council is made up of 20 to 30 business, academic and government leaders from throughout the Region. In 2012, the Senate and Assembly majority committees selected one member from each region to be a voting Regional Council member.

In 2011, each of the ten Regional Councils were tasked with developing a five-year strategic plan that included a comprehensive vision for economic development for that region, regional strategies to achieve that vision, and specific priority projects that are significant, regionally supported and capable of stimulating economic investment.¹ Empire State Development Corporation developed a competitive process in which Regional Council Strategic Plans containing recommendations for funding made by each Regional Council were reviewed by a Strategic Plan Review Committee consisting of two State Agency Commissioners and three economic development experts. Members of the 2011 Review Team included: Dall W. Forsythe, Senior Fellow at the Wagner School of Public Service at New York University and former Vice President for Finance and Operations at The Atlantic Philanthropies; Walter D. Broadnax, Professor of Public Administration at The Maxwell School of Syracuse University; Bruce J. Katz, Vice President at the Brookings Institution and founding Director of the Brookings Metropolitan Policy Program; Joan McDonald, Commissioner of the New York State Department of Transportation; and Cesar Perales, New York State Secretary of State. On December 8, 2011, the Governor and Legislative Leaders announced the winners of the first round of competition and one year later 97% of Round One projects were under contract and/or progressing.

In 2012, the Councils went a step further and produced Strategic Implementation Agendas that built upon the progress from the first round and further developed and refined their Regional Five Year Strategic Plans by undertaking four primary activities: Establishing a framework to advance the Regional Strategic Plan; Implementing the strategies laid out in the Strategic Plan; Creating a project pipeline; and providing a progress report documenting the success the Council has had in implementing its Strategic Plan. These actions were designed to encourage and accelerate implementation, performance, and collaboration within the regions. Priority projects that aligned with each region's agenda were chosen by the Councils from the applications submitted to the Consolidated Funding Application portal. These projects were published in the regions' Implementation Agendas, which are publically available online at www.regionalcouncils.ny.gov. Projects were scored by both the state agencies and the Regional Councils. The agencies scored their projects based on a technical evaluation in adherence with existing funding program guidelines and awarded a project up to 80 points. The remaining 20 points were awarded by the Regional Councils, and were based off the following endorsement standards:

¹ See Attachment A: Regional Council Guidebook: "A New State Government Approach to Economic Growth", page 4.

Statewide Endorsement Standards

Regional Council members will determine the degree to which the application implements the regional strategic plan and assign the corresponding number of points as a score.

IMPLEMENTATION OF THE PLAN	DEGREE	POINTS
The proposal is identified as a priority project by the Regional Council.	Priority	20
The proposal is identified in the plan and has regional benefits.	Very Strong	15
The proposal advances the plan and has local benefits.	Strong	10
The proposal is consistent with the plan and has project level benefits.	Moderate	5
The proposal has limited relationship to the plan	Weak	0

2012 Competition:

To incentivize the implementation process, the Regional Councils competed for \$220 million in ESD capital funds and tax credits based on their Implementation Agendas. In 2012, five awards of \$25 million were made. The competition was split into two parts:

- First, the four regions with the 2011 Best Plans competed for two awards of up to \$25 million each in capital funds. Awards were made based on the progress the Regional Councils have made implementing their strategies and evolution of their strategic plans as outlined in their submitted Implementation Agendas including any revisions or updates.
- Second, the remaining six regions competed for three awards of up to \$25 million each in capital funds. Awards were made based on the progress the Regional Councils have made implementing their strategies and evolution of their strategic plans as outlined in their submitted Implementation Agendas including any revisions or updates.

The balance of the \$25 million in capital funds was divided among the five remaining regions. Each region will also be eligible for up to \$10 million in Excelsior Tax Credits to help attract and grow business in the region.²

According to the enabling legislation as passed by the New York State legislature and signed into law by the Governor, “funding will be pursuant to a plan developed by the chief executive officer of the New York state Urban Development Corporation and based in part on a competitive selection process among the Regional Economic Development Councils and will support initiatives based on anticipated economic development benefits. Such moneys will be awarded by the New York State Urban Development Corporation at its discretion.”³

To reflect the changing nature of the competition, the 2012 Strategic Implementation Assessment Team was led by Secretary of State Cesar Peralas and included Office of General Services Commissioner Roann Destito, Tax and Finance Commissioner Thomas Mattox, Environmental Facilities Corporation CEO Matthew Driscoll and Agriculture and Markets Commissioner Darrel Aubertine with support from the Brookings Institution, vis a vis Bruce Katz and Amy Liu. The SIAT reviewed each region’s Implementation Agendas based on established criteria and also traveled to each Region to view and tour the progress first hand. Each tour included a panel presentation that allowed for ample question and answer opportunities between the SIAT and Regional Council members. Each of the ten Regional Council visits included a tour of projects funded in the 2011 CFA which demonstrated Regional Council progress and accomplishments.

The SIAT provided an assessment of each plan⁴ and made recommendations to Kenneth Adams, President & CEO, Empire State Development Corporation of the five reports that most closely aligned with these criteria.⁵ President Adams accepted the recommendations of the Committee and, pursuant to his statutory powers as head of the Urban Development Corporation, awarded five regions with the top Implementation Agenda up to \$40 million in funding consisting of \$25 million in capital grants and \$15 million in Excelsior tax credits for priority projects identified in their Strategic Plans. The balance of the incentives was awarded to projects identified by the Councils as in alignment with their strategies and priorities.

In addition, project sponsors applied for up to \$600 million in economic development resources available from ten state agencies through the Consolidated Funding Application (CFA). The CFA allows businesses and other entities to apply for multiple agency funding sources through a single, web-based application. Awards for CFA projects were made based on the endorsement of the local Regional Council⁶ and the technical program review of the agencies providing resources, subject to interagency team analysis and agency discretion.⁷

² See Attachment B: Regional Council Guidebook: “Implementing a New Operating Model for NYS Government,” page 16.

³ See Chapter 54 of the Laws of 2011, Capital Projects Budget, page 595.

⁴ See Attachment D: Regional Documents containing materials for each region, including the Strategic Plan, Review Committee Assessment and CFA Projects.

⁵ See Attachment C: Memo to Kenneth Adams from members of Strategic Plan Review Committee, December 5, 2011.

⁶ See Attachment A: Regional Council Guidebook: “A New State Government Approach to Economic Growth,” page 11.

⁷ See Attachment A: Regional Council Guidebook: “A New State Government Approach to Economic Growth,” page 11.

In 2012, the CFA opened for applications on May 3 and closed on July 14. Prior to that, all details about CFA resources were made available online via the 2012 CFA Resources Available Document, the State Register and the New York State Contract Reporter. When the portal closed on July 14, agencies immediately began marking projects eligible or ineligible. All eligible projects were then made available to the Regional Councils for their scoring process and the agencies for their technical evaluation. Scores had to be finalized by September 15. After the SIAT Tours concluded, the Inter-agency team met to review the projects and make final funding decisions.

The interagency team reviewed projects based on relevant factors including Regional Councils Implementation Agendas and designation of priority projects, project alignment with regional goals and objectives, funding availability and regional need.⁸ Priority projects are those specifically enumerated in the Regional Council Reports, receiving a score of 20 from the Regional Council, and identified as significant, regionally supported and capable of stimulating economic investment.

The interagency team once again consisted of professional staff from relevant agencies and authorities' with detailed knowledge of their respective CFA program funding. This group conducted an analytical assessment that provided the basis for project funding recommendations made by individual agencies for final approval by respective agency or authority head. As provided in the budget, the Regional Council capital fund was awarded by ESD in its discretion.

This process resulted in funding of projects that aligned with a long term, regionally generated implementation agendas and are capable of stimulating economic growth and investment.

On December 19, 2012, the Governor announced that 725 projects totaling \$738 million had been awarded through Round Two of the Regional Economic Development Council initiative. Best Plan awards were given to Central New York, Mid Hudson, Southern Tier, North Country and Finger Lakes in adherence to the competition guidelines detailed above.

⁸ See Attachment A: Regional Council Guidebook: "A New State Government Approach to Economic Growth," page 11.

SOUTHERN TIER REGIONAL ECONOMIC DEVELOPMENT COUNCIL

Assessment of the 2012 Progress Report

Part One: Strategic Plan Update

Building on the 2011 Strategic Plan

A. Addressing weaknesses identified in the 2011 assessments

The Southern Tier Regional Economic Development Council (STREDC) plan update clearly addressed 5 of the 7 weaknesses identified in the 2011 assessments. Progress was made on providing specific implementation steps and timelines, and in nurturing projects to support job creation. Additional performance measures for priority projects implemented in 2012 were identified, and the impacts were provided. For 2012 proposed priority projects, expected outcomes are listed.

The STREDC also addressed a weakness related to energy strategies by identifying three projects related to energy efficiency and renewable energy technology. In addition, 8 other projects are listed as requesting NYSERDA funding.

Weaknesses not clearly addressed related to: leveraging from non-state sources and source of leveraging dollars.

B. Building upon strengths identified in the 2011 assessment

The STREDC continues to be committed to its vision that capitalizes on its assets, is forward-looking and provides guidance and direction for its strategic action plan.” The strategies remain largely as presented in the 2011 strategic plan and continue to build on the region’s strengths.

Strategies

The 2011 strategic plan had five strategies – each with two or more action areas - to support growth in the Southern Tier:

Strategy 1: NY’s Leader in Energy Efficiency and Renewable Energy Technology

Strategy 2: Transportation Industry...The Next Generation Technology and Advanced Manufacturing

Strategy 3: Health Care 2020

Strategy 4: Revitalize the Rural Farm and Forest-Based Economy

Strategy 5: Economic Development Backbone

The STREDC did not add any new strategic objectives or alter any of its five strategies in 2012. However, the STREDC did add new action areas under three strategic objectives: Health Care 2020, Revitalize the Rural Farm and Forest-Based Economy, and Economic Development Backbone. There are no gaps between strategies identified as priorities and the actions included as priorities in 2012-13; all are clearly tied to a strategy (p. 8).

The 2012 progress report explains very clearly why projects, actions, and policies were identified as priorities. For example, the Southern Tier High Technology Incubator (p. 29) is discussed as supporting 4

of the 5 strategies: energy, transportation, health care, and economic development backbone. Where appropriate, projected job impacts are noted.

The 2012 progress report documents a more focused strategic approach around rural initiatives, infrastructure for shovel ready sites, and community revitalization; and it provides a much more extensive set of priority project proposals.

Implementation Agenda

The implementation agenda was effective at identifying specific actions to be taken to implement chosen strategies, deadlines, and responsible parties; although in some cases the extent of STREDC involvement is unclear.

Priority projects have a clear relationship to one or more strategies, and most projects use a combination of State and other funding. The STREDC plans to broaden its strategic scope in 2012-2013 by developing projects in all 5 strategic areas. This approach is consistent with the implementation agenda in the 2011 strategic plan.

Project readiness was a strong consideration in selection of priority projects proposed for funding in 2012; the project selection criteria awarded 20% of the total points to the criterion “demonstrates measurable impact in 5 years.”

The agenda does not include actions related to non-state funded projects or activities. All actions are associated with a funded CFA.

Part Two: Progress Made Implementing Strategies

Progress

A. Organizational progress

The STREDC appointed an Executive Committee and used numerous other work groups as a source of knowledge and ideas, including work groups on agriculture, energy, health care, infrastructure, technology development and transfer, tourism, downtown community revitalization, business development, data, and workforce development. The role of the work groups in influencing policies, identifying priority projects, and implementing strategies is not clear.

A major focus of the STREDC was development of a pipeline process for identifying projects and actions that help advance the strategies in the strategic plan. The STREDC and staff engaged in active outreach to municipalities, community organizations, economic development agencies, regional planning boards, colleges and universities, Cornell Cooperative Extension offices and the work groups. STREDC actively encouraged project sponsors to apply for funding through the 2012 CFA, and reviewed concept papers and presentations on prospective projects to make sure each had the ability to support and quickly advance the strategies. Priority Project Selection Criteria was used to select priority projects for endorsement.

The STREDC also established 3 initiatives in 2012, which were funded with ESD capital funds, developing a process and criteria for selecting projects for funding.

B. Examples of progress

STREDC reports that the 55 CFA projects from Round 1 created 1,385 jobs, retained 1,075 jobs, and leveraged additional investment of \$132.3 million. The STREDC implemented all projects and initiatives that received Capital Funding and Excelsior Tax Credits in Year 1. This included closing on all loans and grants issued through three new community funds.

The community funds were created to incorporate flood recovery from Hurricane Irene and Tropical Storm Lee into the broader regional strategy. By July of 2012 all three initiatives began funding local projects. By September of 2012 the funds had been fully utilized. For example, a farmer's market in Broome County should break ground shortly, in support of the rural economy; shovel-ready site development is being supported through freight rail improvements in Bath and from Corning to the Pennsylvania state line; and community revitalization is underway in both Roxbury and Watkins Glen. Two of the funds are loan programs, allowing proceeds to seed future projects.

The progress report lists impacts for all three of the initial focus areas.

- The Rural Initiative Fund received \$3.5 million in requests for funding which leveraged \$7.1 million in private investment, and will create an estimated 150 new jobs.
- The Shovel Ready infrastructure Fund awarded \$2.5 million which leveraged \$5.8 million in private investment, and created 50 construction jobs, with potential long-term impacts of 1,000 jobs and \$250 million in private investment.
- The Community Revitalization Fund received requests for \$5.3 million in funding and leveraged \$11.1 million in private investment, resulting in 14 building renovations and creation of 20 new housing units.

There is no evidence presented that the region demonstrated progress implementing strategies through non-state funded actions (e.g. local law changes, community groups adopted park, developer provided waterfront access).

Leveraging of Resources

For 2012-13, the STREDC proposed to use ESD capital funds to leverage \$460 million in non-State funds to support its priority projects. Sixteen of 24 projects had some degree of leverage, although the private funding sources were not well documented.

The STREDC formed several partnerships with non-State entities to provide technical assistance, staff expertise, or institutional resources, including: the Broome County Industrial Development Agency, Cornell Cooperative Extension, the Steuben County IDA, and the Wellsboro & Corning Railroad. Additional partnerships would be established in connection with proposed 2012 priority projects.

Leverageable assets were not identified in 2011 projects, however the two revolving loan programs (Rural Initiative and Community Revitalization) allow loan repayments to fund future projects.

Performance Measures

The STREDC uses performance measures to monitor and measure performance against objectives. The STREDC receives a performance report at each of its meetings, although it is unclear who develops the report. Measurable results are reported for each 2011 CFA project, as well as their impact.

Performance measures are used to track performance of projects funded under the three focus initiatives: Rural Initiatives, Shovel Ready sites infrastructure, and Community Revitalization. Applicants funded through the community funds are required by contracts to report performance measures and to meet MWBE goals.

Performance measures also form the criteria used by the STREDC as the basis for evaluation and final ranking of the 2012 Priority Projects. These measures are in four categories: supports sustainable economic development, demonstrates measurable impact in 5 years, promotes vibrant communities and overall quality of life, and creates distinctive niches and promotes regionalism.

Process

The progress report lists several public outreach activities undertaken in 2012 to ensure public participation, including STREDC meetings, a website, presentations and public forums, the project development process, Cornell Cooperative Extension outreach, and media releases. The work groups are also a means of involving the public.

Other than the involvement of over 200 people in the work groups, the report did not document the extent of these activities or the resulting degree of public participation.

Application Number	Regions	Agency	ProgramName	Project_Name	Business_Name	AgencyScore	REDCScore	TotalScore	AgencyRecommended Funding	Awarded
17070	Southern Tier	Ag_and_Markets	AgMkts ADP	Finger Lakes Food Hub	Challenge Industries, Inc.	63.33	20	83.33	\$150,000.00	Awarded
16838	Southern Tier	Ag_and_Markets	AgMkts ADP	Growing Upstate Food Hub	Madison County Agricultural Economic Development	53.33	20	73.33	\$0.00	Not-Awarded
17383	Southern Tier	Arts	Arts APG	Museum of the Earth 10th Anniversary Celebration	Paleontological Research Institution	47	5	52	\$65,725.00	Awarded
14880	MULTI: Capital District, Central New York, Finger Lakes, Mid-Hudson, Mohawk Valley, New York City, Southern Tier, Western New York	Arts	Arts APG	New York Canals Dance Tour 2013	NYS DanceForce	36	12.5	48.5	\$0.00	Not-Awarded
17982	MULTI: Capital District, Central New York, Finger Lakes, Long Island, Mid-Hudson, Mohawk Valley, New York City, North Country, Southern Tier, Western New York	Arts	Arts APG	CSC Live	CSC Repertory Ltd dba Classic Stage Company	0	15	15	\$0.00	Not-Awarded
19670	MULTI: Capital District, Central New York, Finger Lakes, Mohawk Valley, New York City, Southern Tier, Western New York	Arts	Arts APG	To Love Two Countries NYS Touring Exhibition and Irish Arts Network	Irish Arts Center	0	10	10	\$0.00	Not-Awarded
17695	MULTI: Finger Lakes, Southern Tier	Canals	Canals CGP	Lodge Building Renovation	Cayuga Nature Center	35.5	7.5	43	\$0.00	Not-Awarded
17329	MULTI: Finger Lakes, Southern Tier	Canals	Canals CGP	Museum of the Earth glacier exhibit	Paleontological Research Institution	40	0	40	\$0.00	Not-Awarded
19716	Southern Tier	DEC	DEC CWSRF	Town of Southport Wastewater Engineering Study	Town of Southport	68	10	78	\$30,000.00	Awarded
19027	Southern Tier	DEC	DEC CWSRF	Village of Watkins Glen Sanitary Sewer System Evaluation	Village of Watkins Glen	60	7.5	67.5	\$30,000.00	Awarded
17602	Southern Tier	DEC	DEC CWSRF	Town of Prattsburgh Wastewater Engineering Study	Town of Prattsburgh	52	12.5	64.5	\$30,000.00	Awarded
19376	Southern Tier	DEC	DEC CWSRF	Town of Conklin Sewer Engineering Study	Town of Conklin	54	10	64	\$30,000.00	Awarded
17779	Southern Tier	DEC	DEC CWSRF	Village of South Corning Wastewater Engineering Study	Village of South Corning	52	5	57	\$30,000.00	Awarded
17908	Southern Tier	DEC	DEC CWSRF	Village of Addison Wastewater Engineering Study	Village of Addison	52	2.5	54.5	\$30,000.00	Awarded
18235	Southern Tier	DEC	DEC CWSRF	Village of Greene Sewer Collection System Engineering Study	Village of Greene	37	5	42	\$30,000.00	Awarded
19906	Southern Tier	DOL	DOL EET	Operations and Leadership Training	Frito-Lay, Inc.	54.08	20	74.08	\$100,000.00	Awarded
19696	Southern Tier	DOL	DOL OJT	Operations and Quality Training	Huron Real Estate Associates, LLC	49.5	10	59.5	\$100,000.00	Awarded
19561	Southern Tier	DOL	DOL UWT	Certified Medical Occupations Training	Delaware Chenango Madison Otsego Board of Cooperative Educational Services	49.52	20	69.52	\$99,785.00	Awarded
19865	Southern Tier	DOL	DOL UWT	Certified Nurse Aide Training	Board of Cooperative Educational Services - Broome dba Broome BOCES	42.1	20	62.1	\$80,240.00	Awarded
17338	Southern Tier	DOL	DOL UWT	SAGE12	SAGE	41.38	10	51.38	\$0.00	Not-Awarded

Application Number	Regions	Agency	ProgramName	Project_Name	Business_Name	AgencyScore	REDCScore	TotalScore	AgencyRecommended Funding	Awarded
14621	Southern Tier	DOS	DOS LWR	Planning and Preliminary Engineering to Decommission and Consolidate Waste Water Treatment Plants	Schuyler County	61.6	20	81.6	\$150,000.00	Awarded
17769	Southern Tier	DOS	DOS LWR	Preparation of Final Engineering Designs and Construction of a Portion of the Black Diamond Trail	Tompkins County	66.4	12.5	78.9	\$0.00	Not-Awarded
17664	Southern Tier	DOS	DOS LWR	Preparation of a Railroad Culture and Heritage Plan	Delaware County	72.8	5	77.8	\$0.00	Not-Awarded
19755	Southern Tier	DOS	DOS LWR	Preparation of a LWRP for Delaware River Communities	Town of Hancock	68.8	7.5	76.3	\$0.00	Not-Awarded
19006	Southern Tier	DOS	DOS LWR	Route 434 Greenway Construction	Broome County	60.8	12.5	73.3	\$0.00	Not-Awarded
15224	Southern Tier	DOS	DOS LWR	Planning and Design of Entry Plaza, Parking and Landscaping for Ithaca Wharton Movie Studio Museum	City of Ithaca	63.2	7.5	70.7	\$0.00	Not-Awarded
17310	Southern Tier	DOS	DOS LWR	Preparation of Town of Urbana Local Waterfront Revitalization Program	Town of Urbana	63.6	0	63.6	\$0.00	Not-Awarded
15713	Southern Tier	DOS	DOS LWR	Preparation of Water-based Study for Tourism	Village of Sidney	30.4	5	35.4	\$0.00	Not-Awarded
17307	Southern Tier	EFC	EFC GIGP	2 Court Street	Vitaluna, LLC.	60	20	80	\$309,722.00	Awarded
18899	Southern Tier	EFC	EFC GIGP	GIGP Chapel Park	Town of Southport	40	12.5	52.5	\$0.00	Not-Awarded
19752	Southern Tier	EFC	EFC GIGP	Ryan Circle Green Infrastructure Project	Village of Horseheads	32	7.5	39.5	\$0.00	Not-Awarded
16328	Southern Tier	ESD	ESD EIPC	Organics Material Management	Tompkins County	59	8	67	\$200,000.00	Awarded
16466	Western New York	ESD	ESD EIPRDD	MULTI: Capital District, Central New York, Finger Lakes, Long Island, Mid-Hudson, Mohawk Valley, New York City, North Country, Southern Tier,	NDTurf	50	20	70	\$0.00	Not-Awarded
18806	Southern Tier	ESD	ESD EJP	Hybrid electric propulsion system	BAE Systems	70	20	90	\$1,600,000.00	Awarded
16368	Southern Tier	ESD	ESD EJP	Advanced Microelectronics Manufacturing	Endicott Interconnect Technologies Inc	65	20	85	\$366,470.00	Awarded
14404	Southern Tier	ESD	ESD EJP	Thornwood	Kionix Inc	48	20	68	\$0.00	Not-Awarded
14814	Southern Tier	ESD	ESD EJP	ExpansionNew Building	ADC USA Inc	36	5	41	\$0.00	Not-Awarded
18343	Southern Tier	ESD	ESD Grants	Environmental Technologies HeavyDuty Diesel	Corning Incorporated	78	20	98	\$3,000,000.00	Awarded
14550	Southern Tier	ESD	ESD Grants	Southern Tier High Technology Incubator	The Southern Tier High Technology Incubator Inc	71	20	91	\$7,000,000.00	Awarded
18655	Southern Tier	ESD	ESD Grants	Infrastructure Fund for Schovel Ready Sites 2	Southern Tier Regional Economic Development Corporation	70	20	90	\$1,500,000.00	Awarded
18658	Southern Tier	ESD	ESD Grants	Southern Tier Community Revitalization Project 2	Southern Tier Regional Economic Development Corporation	70	20	90	\$2,500,000.00	Awarded
18674	Southern Tier	ESD	ESD Grants	Telemedicine and Mobile Health Technology Fund Initiative	Southern Tier Regional Economic Development Corporation	70	20	90	\$2,500,000.00	Awarded
18806	Southern Tier	ESD	ESD Grants	Next Generation Energy Efficiency Technology Development for the Transportation Industry	BAE Systems	70	20	90	\$500,000.00	Awarded

Application Number	Regions	Agency	ProgramName	Project_Name	Business_Name	AgencyScore	REDCScore	TotalScore	AgencyRecommended Funding	Awarded
19606	Southern Tier	ESD	ESD Grants	Rural Initiative Venture Fund 2	Southern Tier Regional Economic Development Corporation	70	20	90	\$1,000,000.00	Awarded
15918	Southern Tier	ESD	ESD Grants	Bates Troy Sustainability	Bates Troy Inc	69	20	89	\$180,000.00	Awarded
16211	Southern Tier	ESD	ESD Grants	Cornell Greenhouse Southern Tier	Cornell University	69	20	89	\$350,000.00	Awarded
14621	Southern Tier	ESD	ESD Grants	Project Seneca	Schuyler County	67	20	87	\$150,000.00	Awarded
16221	Southern Tier	ESD	ESD Grants	Cornell FPDL Lab Southern Tier	Cornell University	67	20	87	\$500,000.00	Awarded
15447	Southern Tier	ESD	ESD Grants	BUCK	Buckingham Mfg Co Inc	65	20	85	\$50,000.00	Awarded
16368	Southern Tier	ESD	ESD Grants	Advanced Microelectronics Manufacturing	Endicott Interconnect Technologies Inc	65	20	85	\$250,000.00	Awarded
16993	Southern Tier	ESD	ESD Grants	Watkins Brewery	Watkins Brewery Holdings	65	20	85	\$600,000.00	Awarded
15625	Southern Tier	ESD	ESD Grants	Sunrise Family Farms Business Expansion	Sunrise Family Farms Inc.	63	20	83	\$275,000.00	Awarded
16576	Southern Tier	ESD	ESD Grants	Park Ave Neighborhood Plaza	Arbor Housing and Development	63	20	83	\$700,000.00	Awarded
17070	Southern Tier	ESD	ESD Grants	Finger Lakes Food Hub	Challenge Industries Inc.	63	20	83	\$300,000.00	Awarded
16969	Southern Tier	ESD	ESD Grants	Small Business Development Fund	MARK Project Inc.	62	20	82	\$250,000.00	Awarded
18946	Southern Tier	ESD	ESD Grants	Lansing Sewer Infracast	TCAD	62	20	82	\$2,537,000.00	Awarded
19114	Southern Tier	ESD	ESD Grants	Radiologic Technology Equipment Upgrade	Broome Community College	62	20	82	\$75,000.00	Awarded
15917	Southern Tier	ESD	ESD Grants	Commons	City of Ithaca	61	20	81	\$1,800,000.00	Awarded
17020	Southern Tier	ESD	ESD Grants	Castle	Walton Arms	60	20	80	\$194,000.00	Awarded
18953	Southern Tier	ESD	ESD Grants	Broome County Arena Renovation Emerson Complex Redevelopment Project	The Wladis Law Firm PC	67	12.5	79.5	\$500,000.00	Awarded
17198	Southern Tier	ESD	ESD Grants	Cornell Medical Technology Innovation Center	L Enterprises LLC	59	20	79	\$344,000.00	Awarded
19892	MULTI: New York City, Southern Tier	ESD	ESD Grants	Ithaca Electricians JATC Green Training Center	Weill Cornell Medical College	55	20	75	\$0.00	Not-Awarded
17120	Southern Tier	ESD	ESD Grants	McClintock12	Ithaca Electricians Joint Apprenticeship Training Committee	55	20	75	\$0.00	Not-Awarded
15901	Southern Tier	ESD	ESD Grants	Gold Seal Rehabilitation	The Krog Corporation	54	20	74	\$0.00	Not-Awarded
16637	Southern Tier	ESD	ESD Grants	Wayland Business Park Infrastructure	Gold Seal Enterprise LLC	54	20	74	\$0.00	Not-Awarded
14931	Southern Tier	ESD	ESD Grants	Chenango Village	Steuben County Industrial Development Agency	54	20	74	\$0.00	Not-Awarded
17476	Southern Tier	ESD	ESD Grants	Margaretville Best Western Hotel	One Wall Street Associates	53	20	73	\$0.00	Not-Awarded
18376	Southern Tier	ESD	ESD Grants	50 Front Street	Margaretville Lodging LLC	52	20	72	\$0.00	Not-Awarded
18898	Southern Tier	ESD	ESD Grants	Henkel	Alfred Weissman Real Estate LLC	52	20	72	\$0.00	Not-Awarded
13970	Southern Tier	ESD	ESD Grants	Elmira Regional City Center	East First Realty LLC	50	20	70	\$0.00	Not-Awarded
17686	Southern Tier	ESD	ESD Grants	Alpine Solar Start Up	Chemung County Industrial Development Agency	49	20	69	\$0.00	Not-Awarded
16831	Southern Tier	ESD	ESD Grants	Dan Bower	Alpine Roofing	58	10	68	\$0.00	Not-Awarded
19823	Southern Tier	ESD	ESD Grants	Biomass Production Facility	ShangriLa Harbour	48	20	68	\$0.00	Not-Awarded
18162	MULTI: Finger Lakes, Southern Tier, Western New York	ESD	ESD Grants	Ithaca Commons Mixed Use Development Project	Southern Tier Biomass	52	15	67	\$0.00	Not-Awarded
18259	Southern Tier	ESD	ESD Grants	ISEED	L Enterprises LLC	47	20	67	\$0.00	Not-Awarded
16957	Southern Tier	ESD	ESD Grants	Portageville Bridge	Binghamton University	51	15	66	\$0.00	Not-Awarded
15011	MULTI: Capital District, Central New York, Finger Lakes, Southern Tier, Western New York	ESD	ESD Grants	Southern Tier Efficient Transportation Initiative	Norfolk Southern Railway	49	15	64	\$0.00	Not-Awarded
19267	Southern Tier	ESD	ESD Grants	AdvnCement	The Standard Hydrogen Corporation	42	20	62	\$0.00	Not-Awarded
14480	Southern Tier	ESD	ESD Grants		TPF Enterprises	49	10	59	\$0.00	Not-Awarded

Application Number	Regions	Agency	ProgramName	Project_Name	Business_Name	AgencyScore	REDCScore	TotalScore	AgencyRecommended Funding	Awarded
14680	Southern Tier	ESD	ESD Grants	ReNew	NanoPowder Sales LLC	48	10	58	\$0.00	Not-Awarded
15224	Southern Tier	ESD	ESD Grants	Wharton Movie Studio Museum	Ithaca Motion Picture Project	49	7.5	56.5	\$0.00	Not-Awarded
18247	MULTI: Southern Tier, Western New York	ESD	ESD Grants	Surface	NFTA	46	10	56	\$0.00	Not-Awarded
19661	Southern Tier	ESD	ESD Grants	Rental Car Service Facility	Greater Binghamton Airport	48	7.5	55.5	\$0.00	Not-Awarded
17525	Southern Tier	ESD	ESD Grants	Erwin Park Innovation Complex Infrastructure Project	Town of Erwin	46	7.5	53.5	\$0.00	Not-Awarded
19696	Southern Tier	ESD	ESD Grants	Southern Tier Reshoring Project	Huron Real Estate Associates LLC	42	10	52	\$0.00	Not-Awarded
18853	MULTI: Capital District, Long Island, Mid-Hudson, New York City, Southern Tier	ESD	ESD Grants	Solartech Renewables LLC	Solartech Renewables	36	15	51	\$0.00	Not-Awarded
17582	Southern Tier	ESD	ESD Grants	PRI Orphanage Building Renovation	Paleontological Research Institution	46	5	51	\$0.00	Not-Awarded
17337	Southern Tier	ESD	ESD Grants	ACHIEVE	Tioga Learning Properties LLC	44	5	49	\$0.00	Not-Awarded
17336	Southern Tier	ESD	ESD Grants	BOCES	Tioga Learning Properties LLC	41	7.5	48.5	\$0.00	Not-Awarded
17793	Southern Tier	ESD	ESD Grants	Hannah Enterprises	Hannah Enterprises LLC	43	5	48	\$0.00	Not-Awarded
17347	Southern Tier	ESD	ESD Grants	ATRI Painted Post Expansion	Alfred Technology Resources Inc	33	12.5	45.5	\$0.00	Not-Awarded
18093	Southern Tier	ESD	ESD Grants	ACHIEVE Renovation Project	BroomeTioga Chapter NYSARClnc dba ACHIEVE	40	5	45	\$0.00	Not-Awarded
19109	Southern Tier	ESD	ESD Grants	17CS	17th Century Suds	30	15	45	\$0.00	Not-Awarded
17695	MULTI: Finger Lakes, Southern Tier	ESD	ESD Grants	Lodge Building Renovation	Cayuga Nature Center	36	7.5	43.5	\$0.00	Not-Awarded
14814	Southern Tier	ESD	ESD Grants	ExpansionNew Building	ADC USA Inc	36	5	41	\$0.00	Not-Awarded
16668	Southern Tier	ESD	ESD Grants	Cookie Company Start Up	Village of Sidney	34	2.5	36.5	\$0.00	Not-Awarded
15660	Southern Tier	ESD	ESD Grants	Sues Cake Ice Cream Start Up	Village of Sidney	31	5	36	\$0.00	Not-Awarded
15659	Southern Tier	ESD	ESD Grants	J M Trophy Mug Decorating Start Up	Village of Sidney	20	5	25	\$0.00	Not-Awarded
16614	Southern Tier	ESD	ESD Grants	Main Street Antiques Start Up	Village of Sidney	11	5	16	\$0.00	Not-Awarded
18116	Southern Tier	ESD	ESD Grants	Renees Photographs	Renees Photographs	10	0	10	\$0.00	Not-Awarded
18481	Southern Tier	ESD	ESD RTMGI	Finger Lakes Region The Great Outdoors	Tioga County Local Development Corporation	53	20	73	\$110,000.00	Awarded
19248	Southern Tier	ESD	ESD RTMGI	Finger Lakes Wine Country	Finger Lakes Wine Country Tourism Marketing Association	51	20	71	\$125,000.00	Awarded
19200	MULTI: Capital District, Central New York, Finger Lakes, Long Island, Mid-Hudson, Mohawk Valley, New York City, North Country, Southern Tier, Western New York	ESD	ESD RTMGI	KnowledgeNYorg	The Commission on Independent Colleges and Universities	21	15	36	\$0.00	Not-Awarded
16362	Southern Tier	ESD	ESD RTMGI	Tourism Promotion	Catskill Development Foundation Inc	20	7.5	27.5	\$0.00	Not-Awarded
17664	Southern Tier	ESD	ESD RTMGI	Railroad Culture and Heritage Plan	Delaware County Planning Board	5	5	10	\$0.00	Not-Awarded
18893	Southern Tier	HCR	HCR CDBG PI	West Danby Water District Improvements Project	Town of Danby	52	15	67	\$404,800.00	Awarded
16845	Southern Tier	HCR	HCR CDBG PI	Prattsburgh Water District Improvements Project	Town of Prattsburgh	55	7.5	62.5	\$600,000.00	Awarded
15480	Southern Tier	HCR	HCR CDBG PI	Water System Improvement Program	Village of Wayland	33	20	53	\$565,000.00	Awarded
16978	Southern Tier	HCR	HCR CDBG PI	Cohocton CDBG Waterline	LaBella Associates	36	7.5	43.5	\$0.00	Not-Awarded
16849	Southern Tier	HCR	HCR CDBG PI	Village of Hammondsport	Stuart I Brown Associates	31	2.5	33.5	\$0.00	Not-Awarded
17308	Southern Tier	HCR	HCR CDBGED	Alpine Roofing and Solar	Village of Sidney	29	12.5	41.5	\$0.00	Not-Awarded

Application Number	Regions	Agency	ProgramName	Project_Name	Business_Name	AgencyScore	REDCScore	TotalScore	AgencyRecommended Funding	Awarded
15978	Southern Tier	HCR	HCR NYMS	New Berlin Main Street Program	Development Chenango Corporation	60	20	80	\$250,000.00	Awarded
16001	Southern Tier	HCR	HCR NYMS	Binghamton Revitalization Program	Binghamton Local Development Corporation	59	20	79	\$250,000.00	Awarded
19026	Southern Tier	HCR	HCR NYMS	Downtown Ithaca Alliance	Downtown Ithaca Alliance	53	20	73	\$250,000.00	Awarded
18236	Southern Tier	HCR	HCR NYMS	Market Street Revitalization Program	Corning's Gaffer District	52	20	72	\$250,000.00	Awarded
18062	Southern Tier	HCR	HCR NYMS	Nichols Downtown Revitalization Program	Tioga County	47	20	67	\$250,000.00	Awarded
19307	Southern Tier	HCR	HCR NYMS	Main Street Grant	Village of Bath	45	20	65	\$0.00	Not-Awarded
17105	Southern Tier	HCR	HCR NYMS	Burdett Main St Building Improvements	Schuyler County Partnership for Economic Development	33	20	53	\$0.00	Not-Awarded
17102	Southern Tier	HCR	HCR NYMS	Odessa Main St Building Improvements	Schuyler County Partnership for Economic Development	30	20	50	\$0.00	Not-Awarded
18687	Southern Tier	HCR	HCR NYMS	Main Street Program	City of Hornell	24	20	44	\$0.00	Not-Awarded
18517	Southern Tier	HCR	HCR NYMS	2012 Norwich Downtown Revitalization Program	City of Norwich	20	20	40	\$0.00	Not-Awarded
17073	Southern Tier	HCR	HCR RARP	Fleischmanns Theater Restoration	MARK Project, Inc.	60	20	80	\$200,000.00	Awarded
17110	Southern Tier	HCR	HCR RARP	Watkins Glen Franklin Street Building Improvements	Schuyler County Partnership for Economic Development	38	20	58	\$150,000.00	Awarded
17072	Southern Tier	HCR	HCR RARP	Kirkside Retirement Home	MARK Project Inc	36	20	56	\$200,000.00	Awarded
17957	Southern Tier	HCR	HCR RARP	Firehouse Adaptive Reuse Project	Goodwill Theatre, Inc.	35	20	55	\$200,000.00	Awarded
17105	Southern Tier	HCR	HCR RARP	Burdett Main Street Building Improvement Project	Schuyler County Partnership for Economic Development	26	20	46	\$50,000.00	Awarded
17102	Southern Tier	HCR	HCR RARP	Odessa Main Street Building Improvements	Schuyler County Partnership for Economic Development	25	20	45	\$50,000.00	Awarded
14954	Southern Tier	HCR	HCR RARP	State Street Revitalization	Chenango County ARC	24	20	44	\$0.00	Not-Awarded
15541	Southern Tier	HCR	HCR RARP	Mountainview Apartments	Arbor Housing and Development	24	20	44	\$0.00	Not-Awarded
15609	Southern Tier	HCR	HCR RARP	New York Rural Preservation Circle Drive II	Snow Belt Housing Company Inc	24	20	44	\$0.00	Not-Awarded
15612	Southern Tier	HCR	HCR RARP	New York Rural Preservation Read Memorial	Snow Belt Housing Company Inc	24	20	44	\$0.00	Not-Awarded
15855	Southern Tier	HCR	HCR RARP	Southview Apartments	Arbor Housing and Development	24	20	44	\$0.00	Not-Awarded
16378	Southern Tier	HCR	HCR UI	West Water Street Row House Improvements	Southern Tier Economic Growth	64	20	84	\$200,000.00	Awarded
15124	Southern Tier	HCR	HCR UI	355 Davis Street Restoration	Near Westside Neighborhood Assoc., Inc.	36	20	56	\$110,365.00	Awarded
17727	Southern Tier	NYSERDA	NYSERDA REDGHG	Compressed Natural Gas Tractors	Willow Run Foods Inc.	63.75	20	83.75	\$1,000,000.00	Awarded
19935	Southern Tier	NYSERDA	NYSERDA REDGHG	Greater Binghamton Airport Terminal Geothermal System	Broome County	61.42	20	81.42	\$350,000.00	Awarded
18806	Southern Tier	NYSERDA	NYSERDA REDGHG	Next Generation Energy Efficiency Technology Development for the Transportation Industry	BAE Systems	52.75	20	72.75	\$0.00	Not-Awarded
17052	Southern Tier	NYSERDA	NYSERDA REDGHG	Nordic Pyrolysis Oil Plant	Nordic BioEnergy	52.08	20	72.08	\$0.00	Not-Awarded

Application Number	Regions	Agency	ProgramName	Project_Name	Business_Name	AgencyScore	REDCScore	TotalScore	AgencyRecommended Funding	Awarded
19574	Southern Tier	NYSERDA	NYSERDA REDGHG	Energize Ithaca Project	Downtown Ithaca Alliance	50.75	20	70.75	\$0.00	Not-Awarded
18266	Southern Tier	NYSERDA	NYSERDA REDGHG	Erfp Center Geothermal Project	The Catskill Center for Conservation and Development	52.59	15	67.59	\$0.00	Not-Awarded
19267	Southern Tier	NYSERDA	NYSERDA REDGHG	Southern Tier Efficient Transportation Initiative	The Standard Hydrogen Corporation	47.09	20	67.09	\$0.00	Not-Awarded
18355	Southern Tier	NYSERDA	NYSERDA REDGHG	Cayuga Medical Center Biomass	OBrien Gere	45.58	20	65.58	\$0.00	Not-Awarded
15918	Southern Tier	NYSERDA	NYSERDA REDGHG	Bates Troy Sustainability	Bates Troy Inc	42.59	20	62.59	\$0.00	Not-Awarded
14621	Southern Tier	NYSERDA	NYSERDA REDGHG	Project Seneca	Schuyler County	39.25	20	59.25	\$0.00	Not-Awarded
16957	Southern Tier	NYSERDA	NYSERDA REDGHG	ISEED	Binghamton University	38.42	15	53.42	\$0.00	Not-Awarded
18162	MULTI: Finger Lakes, Southern Tier, Western New York	NYSERDA	NYSERDA REDGHG	Biomass Production Facility	Southern Tier Biomass	29.08	15	44.08	\$0.00	Not-Awarded
19379	Southern Tier	NYSERDA	NYSERDA REDGHG	SHWEndicott	ETM Solar Works	16.92	10	26.92	\$0.00	Not-Awarded
19372	Southern Tier	NYSERDA	NYSERDA REDGHG	PVEndicott	ETM Solar Works	18.25	7.5	25.75	\$0.00	Not-Awarded
15579	Southern Tier	Parks	Parks HASA	Roberson Museum Restoration Planning	Roberson Museum and Science Center	56.5	20	76.5	\$24,000.00	Awarded
17957	Southern Tier	Parks	Parks HASA	Re-use Design for the Willow Street Firehouse	Goodwill Theatre Inc.	53	20	73	\$325,000.00	Awarded
16664	Southern Tier	Parks	Parks HASA	Castle Creek Civic Building	Town of Chenango	30.5	10	40.5	\$0.00	Not-Awarded
18472	Southern Tier	Parks	Parks HASA	Schoolhouse Museum	Town of Chenango	27.5	13	40.5	\$0.00	Not-Awarded
16000	Southern Tier	Parks	Parks HPA	Cascadilla Boathouse Second Floor Restoration and Code Upgrades	City of Ithaca	55.5	20	75.5	\$75,290.00	Awarded
15090	Southern Tier	Parks	Parks HPA	Southworth House and Barn Stabilization	Dryden Town Historical Society	52.5	20	72.5	\$0.00	Not-Awarded
15993	Southern Tier	Parks	Parks HPA	The Ritz Exterior Restoration	Near Westside Neighborhood Assoc Inc	45	20	65	\$0.00	Not-Awarded
16961	Southern Tier	Parks	Parks HPA	Roxbury Depot Restoration and Adaptive Re-use	MARK Project Inc	44	20	64	\$0.00	Not-Awarded
15579	Southern Tier	Parks	Parks HPA	Roberson Museum Restoration Planning	Roberson Museum and Science Center	42	20	62	\$0.00	Not-Awarded
14017	Southern Tier	Parks	Parks HPA	Planning for Restoration of the Gerard Building	Chemung County Historical Society	26.5	20	46.5	\$0.00	Not-Awarded
19627	Southern Tier	Parks	Parks PA	Lackawanna Trail Extension	Stuart I Brown	52.5	10	62.5	\$150,000.00	Awarded
16664	Southern Tier	Parks	Parks PA	Castle Creek Civic Building	Town of Chenango	42.5	12.5	55	\$0.00	Not-Awarded
16271	Southern Tier	Parks	Parks PA	City of IthacaCass Park Rink Improvements	City of Ithaca Youth Bureau	49	5	54	\$0.00	Not-Awarded
17309	Southern Tier	Parks	Parks PA	Curtiss Park Development Project	Town of Urbana	50.5	0	50.5	\$0.00	Not-Awarded
18899	Southern Tier	Parks	Parks PA	GIGP Chapel Park	Town of Southport	32	13	45	\$0.00	Not-Awarded

Application Number	Regions	Agency	ProgramName	Project_Name	Business_Name	AgencyScore	REDCScore	TotalScore	AgencyRecommended Funding	Awarded
18237	Southern Tier	Parks	Parks PA	Weiler Park Tennis Court Resurfacing Project	City of Norwich	38.5	2.5	41	\$0.00	Not-Awarded
17108	Southern Tier	Parks	Parks PA	Burdett Cranberry Creek Park Development	Village of Burdett	35.5	0	35.5	\$0.00	Not-Awarded
17109	Southern Tier	Parks	Parks PA	Odessa Catlin Mills Creek Park Planning	Village of Odessa	35.5	0	35.5	\$0.00	Not-Awarded
19234	Southern Tier	Parks	Parks PA	Canfield Park Restoration Project	City of Corning	18.5	13	31.5	\$0.00	Not-Awarded
15717	Southern Tier	Parks	Parks PA	Elevated Garden Start Up	Village of Sidney	22	5	27	\$0.00	Not-Awarded
17227	Southern Tier	Parks	Parks PA	Park Station Campground and Recreation Center Improvement Project	County of Chemung	21.5	5	26.5	\$0.00	Not-Awarded
15224	Southern Tier	Parks	Parks PA	Wharton Movie Studio Museum	Ithaca Motion Picture Project	12	7.5	19.5	\$0.00	Not-Awarded