

2013 Regional Council Process & Consolidated Funding Applications

Background:

Governor Andrew M. Cuomo established the Regional Economic Development Councils (REDC) to serve as a community driven, regional approach to economic development in New York State. Each Council is made up to 30 members representing business, academia, labor, community and not-for-profit organizations, and government leaders throughout the region.

In 2011, each of the ten Regional Councils were tasked with developing a five-year Strategic Plan that included a comprehensive vision for economic development for that region, regional strategies to achieve that vision, and specific priority projects that are significant, regionally supported and capable of stimulating economic investment. Empire State Development developed a competitive process in which Regional Council Strategic Plans containing recommendations for funding made by each Regional Council were reviewed by a Strategic Plan Review Committee consisting of two State Agency Commissioners and three economic development experts. Members of the 2011 Review Team included: Dall W. Forsythe, Senior Fellow at the Wagner School of Public Service at New York University and former Vice President for Finance and Operations at The Atlantic Philanthropies; Walter D. Broadnax, Professor of Public Administration at The Maxwell School of Syracuse University; Bruce J. Katz, Vice President at the Brookings Institution and founding Director of the Brookings Metropolitan Policy Program; Joan McDonald, Commissioner of the New York State Department of Transportation; and Cesar Perales, New York State Secretary of State. On December 8, 2011, the Governor and Legislative Leaders announced the winners of the first round of competition and one year later 97 percent of Round One projects were under contract and/or progressing.

In 2012, the Councils went a step further and produced Strategic Implementation Agendas that built upon the progress from the first round and further developed and refined their Regional five-year Strategic Plans by undertaking four primary activities: (1) Establishing a framework to advance the Regional Strategic Plan; (2) Implementing the strategies laid out in the Strategic Plan; (3) Creating a project pipeline; and (4) providing a progress report documenting the Council's success in implementing its Strategic Plan.

Building on the success from previous years, the 2013 Council competition was designed with a similar framework as 2012, but added several new activities for the Councils to focus on that would continue to help develop and advance the original Strategic Plans. In 2013, all ten of the Regional Councils competed against each other to be designated as a "Top Performer".

These actions were designed to encourage and accelerate implementation, performance, and collaboration within the regions. Priority Projects that aligned with each region's agenda were chosen by the Councils from the applications submitted to the Consolidated Funding Application portal. These projects were published in the regions' Implementation Agendas, which are publically available online at www.regionalcouncils.ny.gov. Projects were scored by both the State agencies and the Regional Councils. The agencies scored their projects based on a technical evaluation in adherence with existing funding program guidelines and awarded a project up to 80 points. The remaining 20 points were awarded by the Regional Councils, and were based off the following endorsement standards:

Statewide Endorsement Standards

Regional Council members will determine the degree to which the application implements the Regional Strategic Plan and assign the corresponding number of points as a score.

IMPLEMENTATION OF THE PLAN	DEGREE	POINTS
The proposal is identified as a Priority Project by the Regional Council.	Priority	20
The proposal is identified in the plan and has regional benefits.	Very Strong	15
The proposal advances the plan and has local benefits.	Strong	10
The proposal is consistent with the plan and has project level benefits.	Moderate	5
The proposal has limited relationship to the plan	Weak	0

2013 Competition:

To encourage the implementation of the REDC's Strategic Plans and to continue to motivate investment opportunities and job creation, in 2013 the Councils competed for up to \$150 million in capital grant funds and \$70 million in Excelsior Tax Credits for projects identified by the REDCs in their regions.

By incentivizing implementation of the strategic plans, Regional Councils, businesses, educational institutions, local governments, not-for-profit groups and other stakeholders are further motivated to work together to accomplish the regional vision for economic growth. Project funding was awarded in all ten Regional Councils through the 2013 CFA; however, the competition placed an emphasis on Regional Council performance and action to implement strategies.

The competition was focused on the following:

- 1) Implementation of regional strategic economic development strategic plans;
- 2) Performance in encouraging economic growth through job creation and investment; and
- 3) Identification of transformative projects that support interregional collaboration.

All ten regions competed against each other in 2013— five regions were identified as “top performers” and received \$25 million each in capital grants and the remaining regions competed for the balance of \$25 million. Each region was also eligible for up to \$10 million in Excelsior Tax Credits to help attract and grow businesses in the region.

The 2013 Strategic Implementation Assessment Team (SIAT) was led by Secretary of State Cesar Perales and included Office of General Services Commissioner Roann Destito, Tax and Finance Commissioner Thomas Mattox, Environmental Facilities Corporation CEO Matthew Driscoll, Commissioner and Civil Service President Jerry Boone, Chair of NYS Tourism Advisory Council Cristyne Nicholas, and President and CEO of the New York Power Authority Gil Quiniones with support from the Brookings Institution, represented by Bruce Katz and Amy Liu. The SIAT reviewed each region's Implementation Agendas based on established criteria and also traveled to each region to see the progress first hand. Each region's tour included a panel presentation that allowed for ample question and answer opportunities between the SIAT and Regional Council members. Each visit included a tour of projects funded in the 2011 and 2012 CFA which demonstrated that Regional Council's progress and accomplishments.

The SIAT provided an assessment of each plan and made recommendations to Kenneth Adams, President, CEO, & Commissioner of Empire State Development, of the five reports that most closely aligned with these criteria. President Adams accepted the recommendations of the Committee and, pursuant to his statutory powers as head of the Urban Development Corporation, awarded five regions with the top Implementation Agenda up to \$35 million in funding consisting of \$25 million in capital grants and \$10 million in Excelsior tax credits for Priority Projects identified in their Strategic Plans. The balance of the incentives was awarded to projects identified by the Councils as in alignment with their strategies and priorities.

In addition, project sponsors applied for over \$500 million in economic development resources available from 12 state agencies through the CFA. The CFA allows businesses and other entities to apply for multiple agency funding sources through a single, web-based application. Awards for CFA projects were made based on the endorsement of the local Regional Council and the technical program review of the agencies providing resources, subject to interagency team analysis and agency discretion.

In 2013, the CFA opened for applications on June 16 and closed on August 12. Prior to the opening of the application period, all details about CFA resources were made available online through the 2013 CFA Resources Available Document, the State Register and the New York State Contract Reporter. When the portal closed on August 12, agencies immediately began marking projects eligible or ineligible. All eligible projects were then made available to the Regional Councils for their scoring process and the agencies for their technical evaluation, with scores finalized by September 24. After the SIAT visits concluded, the interagency team met to review the projects and make final funding decisions.

The interagency team reviewed projects based on relevant factors, which included Regional Council Implementation Agendas and designation of Priority Projects, project alignment with regional goals and objectives, funding availability, and regional need. Priority Projects are those specifically enumerated in the Regional Council Reports, receiving a score of 20 from the Council, and identified as significant and potentially transformative, regionally supported, and capable of stimulating economic investment.

The interagency team once again consisted of professional staff from relevant agencies and authorities' with detailed knowledge of their respective CFA program funding. This group conducted an analytical assessment that provided the basis for project funding recommendations made by individual agencies for final approval by respective agency or authority head. As provided in the State budget, the Regional Council Grant funds were awarded by ESD at its discretion.

This process has resulted in funding of projects that aligned with a long term, regionally generated implementation agendas and are capable of stimulating economic growth and investment.

On December 11, 2013, Governor Cuomo announced that 824 projects had been awarded economic development resources totaling \$715.9 million through Round III of the Regional Economic Development Council initiative. Capital Region, Long Island, Southern Tier, North Country and Mohawk Valley were selected as “Top Performers” in adherence to the competition guidelines detailed above.

MEMORANDUM

To: Kenneth Adams, President and CEO of Empire State Development Corporation

From: Cesar Perales, Secretary of State
Jerry Boone, Commissioner and President, Civil Service Commission
RoAnn Destito, Commissioner, Office of General Services
Matthew Driscoll, President and CEO, Environmental Facilities Corporation
Thomas Mattox, Commissioner, Department of Taxation and Finance
Cristyne Nicholas, Chair, New York State Tourism Advisory Council
Gil Quiniones, President and CEO, New York Power Authority

Subject: Review of 2013 Regional Economic Development Councils' Progress

Date: December 6, 2013

Thank you for inviting us to serve on the Strategic Implementation Assessment Team for New York State's Regional Economic Development Councils. We are honored to be a part of this important initiative by Governor Cuomo that is transforming how New York coordinates and invests in economic development.

The strategic plans developed by the ten Regional Councils, adopted in 2011 and updated in 2012 and 2013, emphasize each region's unique assets, harness local expertise and empower communities to stimulate regional economic development and create jobs statewide. Through the Regional Councils -- comprised of local experts and stakeholders from business, academia, local government and non-governmental organizations -- and the support of state agencies, we are remaking the way the state invests in economic development and job creation.

Over the past seven weeks we have had the privilege and responsibility of assessing the progress of the Regional Councils, through their written progress reports, oral presentations, and regional tours. We are impressed and encouraged by the continuing results of the collaborative strategic plan implementation process.

In our review of the Council's progress, we became cognizant of several points common to the Councils:

First, regions are continuing to build upon their strengths, focusing on key industries as they seek new businesses and support incubators that can generate marketable ideas for the next generation of products.

Second, workforce development is a strong concern. Regions are devising ways to use academic institutions, internship programs, and on-the-job training to develop a workforce that meets the needs of current or incoming employers.

Third, regions have embraced the concept that no one should be left behind, and have thoughtfully developed Opportunity Agendas that will lay the groundwork for future inclusion in the workforce of people from the most disadvantaged of communities.

Fourth, projects funded by the State through the Consolidated Funding Application (CFA) are moving forward, thanks to the combined efforts of the sponsors, regional councils, and state agency staff. Where issues arise, all are working toward quick solutions.

Fifth, the Regional Councils added work groups to handle new initiatives, developed new partnerships, and formed stronger bonds with state agency resource team members. Each region also reflected on the assessment of their progress in 2012, addressing many of their weaknesses and building upon their strengths.

And perhaps most importantly – the REDC process is fostering a collaborative environment where people are not competing against each other for existing business, but are working together to attract new businesses and to strengthen existing economic engines.

2013 Competition

Our task this year was to evaluate all ten regions based on the competition and Council priorities as described in the 2013 REDC Guidebook. We examined three areas:

- Progress the Regional Councils made implementing their strategic plans, including how the regions addressed the following 2013 priorities:
 - Keeping the project pipeline flowing;
 - Selecting “Innovation Hot Spots” to facilitate tech transfer;
 - Developing an Opportunity Agenda to revitalize distressed communities and address barriers to entry into the workforce for people living in areas of concentrated poverty;
 - Assuring the workforce is being trained for today and tomorrow;
 - Engaging local government officials in reshaping the regional business climate;
 - Creating interregional collaborations around shared resources and opportunities;
 - Advancing regional Cleaner, Greener Communities sustainability plans; and
 - Measuring the performance of their plans.
- Performance in encouraging economic growth through job creation and investment; and
- Identification of transformative projects that support interregional collaboration.

Awards

The Strategic Implementation Assessment Team has identified five regions as “Top Performers” this year: the **Capital Region**, **Long Island**, the **Mohawk Valley**, the **North Country**, and the **Southern Tier**. This was a difficult task, as all ten regions continue to advance their strategies and build on their previous successes. The regions we are recommending, however, edged out the others and should receive up to \$25 million in capital funds and up to \$10 million in Excelsior Tax Credits.

The **Capital Region Economic Development Council** (CRREDC) is being recommended for a top award for the first time. The Council continues to strive for strong public participation in the planning and implementation process and markedly expanded input from stakeholders as a means of identifying projects that will best advance regional strategies. The CRREDC is building on existing partnerships to implement new strategies and goals in nanotechnology, agriculture marketing, and tourism, such as interregional efforts involving the Hudson Valley Region that will strengthen a multiregional food economy.

The **Long Island Regional Economic Development Council** (LIREDC) articulated a clear vision and rationale for its 2013-14 implementation efforts. The Council continued its deep commitment to engaging stakeholders and the public across the region in identifying areas of concern and gaining their support for implementation of the strategies. They have proposed a comprehensive approach to their Opportunity Agenda which focuses on Wyandanch. The LIREDC also demonstrated a commitment to capturing data during the implementation periods of past CFA funded projects to quantitatively measure each project’s progress and success.

The **Mohawk Valley Regional Economic Development Council** (MVREDC), another first time top performer, is building on a more focused set of strategies developed in 2012 through a strong community-driven process. In 2013, the MVREDC aligned strategies with action steps, sustainability goals, and performance metrics, as well as initiatives outside of the CFA process. The Council’s prime strategy, to grow private business, continues to be a focus with an increased emphasis on cross-regional collaboration. The Council provided clear evidence of investment and business partnerships that increased output and expanded job growth. Their approach balances the support for high tech post-secondary education opportunities to meet the needs of the expanding nanotechnology and cyber security sectors with support for strong primary and secondary education efforts to connect the next generation with jobs across all sectors.

The **North Country Regional Economic Development Council** (NCREDC) continues to bring the region together as one voice for economic development, identifying new strategies and projects to advance the region economically. As a geographically large region with a diverse population and varying need levels, the NCREDC is leveraging its

natural resources, human capital and geographic location to bolster the entire North Country economy. Professing to be strategy-driven, the NCREDC prominently featured in 2013 projects and actions to develop its workforce, expand tourism, move to more renewable energy sources, capitalize on biotechnology resources, market agriculture, and increase manufacturing. The Council sought out opportunities to collaborate with other regions, including its Canadian neighbors. Improvements to the Plattsburgh International Airport will further enhance cross-border activities.

The **Southern Tier Regional Economic Development Council (STREDC)** continued to leverage its Rural Initiative and Community Revitalization Program funds, and CFA projects to support job creation. The STREDC engages in a highly collaborative process, engaging key stakeholders in work groups that provide a source of knowledge, ideas, and project impetus to the Council. This process is helping to modernize healthcare and grow international tourism in the region. The Council reported on several interregional activities, and supports several interregional projects as 2013 priority projects, such as the Catskills Interpretive Center that would increase tourism in two other regions as well. Illustrative of both collaboration and inter-regionalism, the region's Innovation Hot Spot proposal involves Cornell University, SUNY Binghamton and Corning Incorporated as anchor nodes, and Alfred University's School of Ceramics (Western NYREDC) and the Geneva Experimental and Testing Station (Finger Lakes REDC) to better leverage existing assets and fill key gaps in services to increase the pace of commercialization and the success rate of startup companies.

Five regions should receive up to \$5 million in capital funding each, and up to \$10 million in Excelsior Tax Credits to help attract and grow business in their regions: **Central NY**, the **Finger Lakes**, **Mid-Hudson**, **New York City**, and **Western NY**.

The **Central New York Regional Economic Development Council (CNYREDC)** continues on its path toward claiming a world-class presence in the biomedical, climate control, and precision engineering fields. Through its project pipeline, the Council attracted priority projects that will help advance its five-year strategic plan. Seeking to include all sectors of the workforce, the CNYREDC supported programs such as Say Yes to Education and the Green Train, and developed an Opportunity Agenda that seeks to link low-income communities to employment opportunities. The imminent launch of Amory Square Ventures, a regional resident venture fund, will focus investments on seed and early-stage companies. Interregional projects in health care, land bank coordination, and coordination of statewide business improvement districts demonstrate the Council's ability to work across regions.

The **Finger Lakes Regional Economic Development Council (FLREDC)** continued to emphasize core concepts of revitalizing the Eastman Business Park; harnessing the scientific discoveries and expertise of the region's research universities and colleges; reinforcing the region's quality of life and job growth in strategic sectors; and supporting investment in workforce development efforts to maintain the region's asset of a skilled

workforce. The Council's Opportunity Agenda will help one of the region's disadvantaged neighborhoods, Rochester's El Camino neighborhood, join the pathway to success.

The **Mid-Hudson Regional Economic Development Council** (MHREDC) excelled in recruiting applicants for state funding for projects to meet regional objectives, prompting 386 CFAs. The Council has incorporated a unique requirement for MHREDC endorsement – the creation by applicants of internship programs. The Council remains committed in its strategy for investing in key industry clusters: biotech, biomedical and healthcare; advanced manufacturing; and information technology. The SUNY New Paltz 3D Printing initiative and the Taylor Biomass Energy project that will generate clean energy using a renewable and unlimited feedstock are two examples of how the region is embracing technology as a catalyst for new development.

In the wake of Superstorm Sandy, the **New York City Regional Economic Development Council** (NYCREDC) is focused on putting people back to work in good jobs through a five-borough strategy that encourages partnerships among government, business, labor, academia and civic organizations. Initiatives such as the Steiner Studio project, Green Manufacturing Building project and New Lab project in the Brooklyn Navy Yard; the Applied Sciences Initiative; and the Create@Harlem Green projects are positioned to drive economic development and garner positive transformative elements. This year, the Council placed particular attention on improvements to the area around the St. George's Ferry Terminal.

The **Western NY Regional Economic Development Council** (WNYREDC) is bringing projects, initiatives, actions and collaborations into operation which is resulting in targeted education, revitalization of cities and community centers and job growth in the strategic industry sectors which the region has been dependent upon and will be for the future. The Buffalo-Niagara Medical Campus and revitalization efforts in downtown Niagara Falls will help revitalize two urban centers. The Council remains committed to workforce development, taking creative approaches such as Say Yes to Education, NY Skills Broker, and the Buffalo Workforce Pilot Program. WNYREDC demonstrates a strong commitment to smart growth. The WNY Smart Growth Coordinating Council created a smart growth scorecard to drive decision-making on investments to ensure adherence to smart growth principles.

Conclusion

In each of the regions, the Assessment Team was impressed by the continued dedication of the Regional Council members and their selection of strategic and realistic projects. We share the sentiment we heard throughout the state, that the REDC process is a recipe for success, bringing together the key ingredients of economic development skills, academic advancement of new ideas and products, and development of a workforce across all age groups and socioeconomic strata. While we may only recommend five regions as top performers, we believe all of the regions are winners.

We extend our thanks and our congratulations to all members of the Regional Councils for the outstanding work they are doing strengthening New York's economy.

Southern Tier Regional Economic Development Council

Assessment of the 2013 Progress Report

Part One: Implementation of the Strategic Plan

A. Keep the pipeline flowing.

The report lists two broad sets of activities used by the Southern Tier Regional Economic Development Council (STREDC) to identify projects: (1) direct member involvement with municipalities to create an environment that will support enhanced economic development; and (2) Consolidated Funding Application (CFA) assistance including four workshops and numerous work group meetings. STREDC members and Empire State Development staff met one-on-one with municipal leaders to discuss the feasibility of projects and identify potential funding paths. They also sponsored four CFA workshops.

B. Select “Innovation Hot Spots” to facilitate tech transfer.

The STREDC supports a consortium approach to the Innovation Hot Spot program, in the belief that a coherent and coordinated approach would be greater than the sum of its parts. The Southern Tier Innovation Hot Spot would involve Binghamton University, the Ceramics Corridor Innovation Center, Cornell University, and Corning Inc. The consortium will bring together the resources of incubators and entrepreneurial support structures in a coherent fashion, and would extend existing services across the region to fill gaps in service. Cornell would have the lead and would provide entrepreneurial support and mentoring from their downtown Ithaca incubator. Binghamton University would establish 8-10 faculty-led research streams and involve 250 students per year.

C. Develop an Opportunity Agenda to revitalize distressed communities and address barriers to entry into the workforce for people living in areas of concentrated poverty.

The report recognizes that poverty and other forms of economic distress occur in many parts of the region. As a primarily rural region, some of its economic distress may be less visible than in more densely populated regions. The causes of economic distress are many and complex, necessitating a multi-faceted policy approach.

The Opportunity Agenda strategies offer a mix of approaches to identify those in need and provide them with the tools they need to be active participants in the regional economy. There was a substantial use of data on economic distress (poverty, unemployment, income, public assistance) and employment (growing occupations in the regions) to drive strategies. The report notes the need to provide soft skills to potential workers and to address possible barriers to work through car share and child care programs. Six projects are proposed for various locations that address a variety of strategies, including work readiness, worker certification, and child care.

Target areas include those with the highest concentration of poverty demonstrated by low household incomes, high rates of poverty and unemployment, and a high percentage of residents receiving public assistance. Target areas include communities with census tracts representing concentrated distressed areas, as well as rural areas with a population of 5,000 – 25,000 that exhibit chronically distressed conditions. The target geographic areas are census tracts in Binghamton, Corning, Elmira, Endicott, Hornell, Johnson City, and Norwich.

The STREDC proposes to:

- Promote the Southern Tier Rural Initiative Fund as an opportunity for agricultural business owners to expand their business and seek out impoverished persons as potential employees;
- Promote the Community Revitalization Fund as an opportunity to renovate buildings to support business development in areas of high concentration of poverty;
- Encourage Community Development and Main Street funding applications to revitalize downtowns and neighborhoods, particularly in areas representing high concentrations of poverty, creating employment opportunities in distressed areas;
- Engage in one-on-one meetings with organizations in the region that have the capacity to implement employment readiness activities and encourage them to align programs with Opportunity Agenda strategic priorities; and
- Host municipal leaders' summits in early 2014.

D. Assure the workforce is being trained for today and tomorrow.

The STREDC Business Development Work Group includes representatives from:

- Alliance for Manufacturing and Technology (AM&T)
- Broome Community College Workforce Development Council
- Corning Community College's Office of Workforce Development and Community Education
- Tompkins–Cortland Community College
- SUNY Delhi

Efforts are underway to create jobs and develop a high quality workforce that meets the demand of key job growth areas (food manufacturing, healthcare, energy, manufacturing and assembly, and travel and tourism). Several proposed 2013 projects would support workforce development, such as:

- An Elmira College project that would expand space for new healthcare programs for RN's and nurse practitioners.
- A NYS ARC application that would rehabilitate job training space for persons with disabilities.
- Farm to Bistro, which would provide workforce development both in sustainable agriculture and culinary arts.
- A Binghamton University project to provide new laboratory space to accommodate research for STEM students.

E. Engage local government officials in reshaping the regional business climate.

The STREDC is taking a broad approach to addressing the economic climate with the cooperation of local officials, encouraging improved sewer facilities, reduction of local government costs through shared services, and revised zoning. Several members of the STREDC have been actively working with municipalities to improve the economic environment. Examples given:

- Project Seneca – a project to remove two wastewater treatment facilities in Watkins Glen and Montour Falls and replace them with a single facility.
- Route 28 Partnership Program– met with town and village officials to explore increase in shared services among communities along Route 28 in Delaware County.

The STREDC also encouraged local government participation in work groups, CFA workshops, and one-on-one technical assistance.

The STREDC identified four potential local government efficiency projects to help change the business climate through reduced local government costs, unified zoning and building departments, and intermunicipal cooperation.

The STREDC will be collaborating with the NYS Department of State, Southern Tier East Regional Planning Development Board and Southern Tier Central Regional Planning and Development Board to sponsor region-wide local government programs to “bring the REDC to local government” and identify options for direct assistance, exchange information about how communities can remove barriers and improve business climates in their communities, and share best practices through case studies of successful projects that have been supported by the STREDC.

F. Advance regional Cleaner, Greener Communities sustainability plans.

The Cleaner Greener Work Group took leadership in helping to implement the region’s sustainability plan, which contains 18 goals and 65 actions with the potential to reduce regional greenhouse gas emissions by over 32% within 20 years. Potential projects were evaluated and several were recommended for support:

- Project Seneca – construction of a regional bio-solid composting facility and a regional septage receiving station/anaerobic digester complex.
- Energize Ithaca – private-public-academic partnership to replace inefficient energy infrastructure in downtown Ithaca with an innovative and highly efficient district energy system.
- Southern Tier Bulk Wood Pellet Infrastructure Boost Program – a project that will result in the increased use of wood pellets, displacing the use of oil and propane.
- Taylor Garbage C&D Recycling Facility Project – a state-of-the-art construction and demolition recycling facility to divert solid waste while creating a renewable fuel source.

G. Identify new or current strategies on which to focus implementation efforts.

Three original strategies were amended to reflect an updated evaluation of challenges and opportunities, with a focus on energy efficiency, environmental quality, and tourism:

- *NY's Leader in Energy Efficiency and Renewable Energy Technology* was amended to add rapid product development and to support the Cleaner, Greener Sustainability Plan.
- *Technology Development and Transfer. . . A Job Generator*, addresses the objectives of the industry-university partnership (EDANY).
- *Transportation Industry...Building Next Generation Technology and Advanced Manufacturing* was amended to also focus on sector innovation, growth, and commercialization.

A common theme in many of the new strategic action items is energy efficiency and environmental quality. Tourism is also more prominent. A noteworthy tourism project is a new motor coach entrance at the Corning Museum of Glass, to support increasing visitation by international tourists. The project counters the traditional tendency of international tourists to ignore all attractions between NYC and Niagara Falls. Other tourism proposals are potential hotel projects in Corning, Hammondsport, and Margaretville.

H. Build upon strengths and address weaknesses identified in the 2012 assessment.

The 2012 assessment noted weaknesses - leveraging from non-state sources and providing source of leveraging dollars. The STREDC did a better job in 2013 addressing leveraging from non-state sources, increasing leveraging of top priority projects from 16 of 24 (66%) projects showing leveraging in 2012 to 23 of 29 (79%) projects in 2013. A continuing weakness is the lack of detail on sources of leveraged dollars.

Strengths identified in the 2012 assessment included providing implementation steps and timelines, nurturing projects to support job creation, and enhancing the performance measures. In 2013 the STREDC built upon these strengths. The Implementation Agenda provides considerably more detail on projects in several areas, including tourism, higher education, and manufacturing. Noteworthy is the identification of local government shared services projects in Chemung, Delaware, and Schuyler counties.

The STREDC continues to support job creation; reported impacts for Round 2 include retention of 1,450 jobs, creation of 1,495 jobs, and leveraging of \$308 million. Performance measures in the 2013 report are expanded from 2012 and are now accompanied by narrative.

I. Leverage outside resources and additional non-state support for projects.

Most proposed projects have some private sources of funds to complement State sources. Twenty-three of 29 (79%) 2013 priority projects leverage private funding. 2013 priority projects include partnerships

with local employers The Hilliard Corporation and Dyco Electronics, Inc., as well as Broome Community College.

Several priority projects funded in 2012, created leverageable resources, including:

- Environmental Technologies Heavy Duty Diesel Trucking Facility, Corning Inc.
- Southern Tier Region Rural Initiative Fund
- Finger Lakes Food Hub
- Cornell Food Processing Development Laboratory
- Sunrise Family Expansion
- Southern Tier Community Revitalization Program
- The MARK Project
- Team World, Inc.

J. Engage the public and other stakeholders

The report provides a list of public participation activities in 2013, including media releases, project development work, presentations and public forums, and Cornell Cooperative Extension outreach. Lists of work group members are provided in an appendix, but no detail on their participation is included.

Part Two: Performance in encouraging economic growth through job creation and investment

Actual and estimated performance based on job creation, investment, and relevant performance metrics.

Job creation continues to be a positive for the Southern Tier. The STREDC reports 1,495 jobs created in Round 2, an increase of over 100 jobs from Round 1. Also reported for Round 2 are retention of 1,450 jobs and leveraging of \$308 million.

The progress report lists 25 projects, of which 20 are in progress at various stages and 5 are complete. Perhaps the most impressive example is the 110,000 square foot expansion of the Corning Incorporated Heavy Duty diesel facility in Erwin. This Round 2 priority project is leveraging \$216 million in private investment, with 250 new jobs and 500 retained jobs. The project will help Corning meet new diesel regulations in China and Europe.

Also noteworthy is support for yogurt producer Sunrise Farms and other food producers by the Cornell Food Processing Laboratory and the dramatic energy efficiency gains at the Bates-Troy commercial laundry, one of the largest in the Northeast.

Timelines for proposed projects typically project completion dates in 2014 or 2015, with a few continuing into 2016.

The STREDC reports that the region is in the final phase of a major broadband expansion to rural areas in Delaware County, and continues to prioritize expansion of a 250-mile open access dark fiber backbone developed in Chemung, Schuyler and Steuben counties, with extension into Tioga and Broome counties. The backbone will support business expansion and the proposed Southern Tier Innovation Hot Spot.

The report lists a total of 24 performance measures across its 5 strategies. The metrics are appropriate, measurable, and within the region's ability to influence. For each strategy the report provides a substantial case study or summary data on jobs created and retained, investment leveraged, and other factors.

The report proposes three performance measures specifically for the Opportunity Agenda: number of programs and initiatives implemented that support training and employment; number of persons successfully completing training programs; and, number of projects and investment made in revitalization of distressed urban and rural areas. Longer-term, the STREDC should consider measures that are more evaluative (e.g., effectiveness of the support programs and employment history of those trained).

Part Three: Identification of transformative projects that support interregional collaboration

Create interregional collaborations and transformative projects around shared resources and opportunities.

The STREDC reports several substantial interregional activities, although the extent of their involvement is unclear for most:

- Extension of broadband in the Southern Tier and Mohawk Valley.
- Finger Lakes wine tourism promotion initiative involving both the Finger Lakes and Southern Tier regions.
- Cornell University research-grade greenhouse (statewide).
- Cornell food processing and development lab (statewide).

The report also notes the STREDC has supplied other regions with information and support in replicating the Southern Tier Region Economic Development Corporation, which provides low-interest loans and grants to support community level initiatives.

For Round 3 of the CFA, the STREDC proposes:

- Additional funding to complete the extension of broadband in the Southern Tier and Mohawk Valley.
- A regional incubator plan involving Alfred University (Western NY Region) and the Geneva Experimental Testing Station (Finger Lakes Region).

- A Farm to Bistro project would impact both the Southern Tier and Central NY regions.
- Energy project at SUNY Delhi would benefit the Mid-Hudson Region.
- A Catskills Interpretive Center would increase tourism in the Southern Tier, as well as the Mid-Hudson and Capital regions.

Application Number	Regions	Agency	Program Name	Project Name	Business Name	Agency Score	REDC Score	Total Score	Agency Recommended Funding	Awarded/Not-Awarded
29799	Southern Tier	Arts	Arts APCE	Upgrade Theatrical Equipment	Chenango County Council of the Arts	66	5	71	\$10,785.00	Awarded
31489	Southern Tier	Arts	Arts CHPG	Rock of Ages Sand of Time audience development arts project	Paleontological Research Institution	26	5	31	\$0.00	Not-Awarded
29801	Southern Tier	Arts	Arts DFPCP	Digital Converstion Project	Art Mission Ltd	62	10	72	\$52,904.00	Awarded
31807	Southern Tier	DEC	DEC EPGP	Chemung County Sewer Districts Wastewater Nutrient Removal Study	Chemung County Sewer Districts	80	20	100	\$ 50,000.00	Awarded
30720	Southern Tier	DEC	DEC EPGP	Village of Endicott Wastewater Engineering Study	Village of Endicott	80	20	100	\$ 30,000.00	Awarded
30700	Southern Tier	DEC	DEC EPGP	Village of Bath Wastewater Engineering Study	Village of Bath	80	20	100	\$ 30,000.00	Awarded
29833	Southern Tier	DEC	DEC EPGP	Village of Owego Wastewater Facilities Upgrade Engineering Study	Village of Owego	80	20	100	\$ 30,000.00	Awarded
29651	Southern Tier	DEC	DEC EPGP	Village of Greene Wastewater Treatment Facility Evaluations and Improvements Study	Village of Greene	80	20	100	\$ 30,000.00	Awarded
27911	Southern Tier	DEC	DEC EPGP	Town of Erwin Wastewater Treatment Engineering Study for Nutrient Removal	Town of Erwin	80	20	100	\$ 30,000.00	Awarded
27616	Southern Tier	DEC	DEC EPGP	Town of Wayne Wastewater Engineering Study	Town of Wayne	80	20	100	\$ 22,500.00	Awarded
29579	Southern Tier	DEC	DEC EPGP	City of Hornell Wastewater Engineering Study	City of Hornell	68	20	88	\$ 30,000.00	Awarded
32643	Southern Tier	DEC	DEC EPGP	Town of Union Sewer Corridor Study	Town of Union	60	20	80	\$ 50,000.00	Awarded
32049	Southern Tier	DEC	DEC EPGP	Village of New Berlin Sanitary Sewer System Engineering Study	Village of New Berlin	54	20	74	\$ 20,000.00	Awarded
29777	Southern Tier	DEC	DEC EPGP	Village of Trumasburg Wastewater Treament Plant Engineering Study	Village of Trumansburg	50	20	70	\$ 19,840.00	Awarded
32186	Southern Tier	DEC	DEC EPGP	Town of Chemung Wastewater Planning Study	Town of Chemung	42	20	62	\$ 30,000.00	Awarded
32577	Southern Tier	DEC	DEC EPGP	Town of Southport Wastewater Engineering Study	Town of Southport	32	20	52	\$ 30,000.00	Awarded
30921	Southern Tier	DEC	DEC EPGP	Village of Walton Wastewater Engineering Study	Village of Walton	29	20	49	\$ 30,000.00	Awarded
30076	Southern Tier	DEC	DEC EPGP	Town of Corning Wastewater Engineering Study for the East Corning Area	Town of Corning	27	20	47	\$ 30,000.00	Awarded

Application Number	Regions	Agency	Program Name	Project Name	Business Name	Agency Score	REDC Score	Total Score	Agency Recommended Funding	Awarded/Not-Awarded
32342	Southern Tier	DEC	DEC EPGP	Town of Chenango Wastewater Treatment Plant Engineering Study	Town of Chenango	23	20	43	\$ 30,000.00	Awarded
27811	Southern Tier	DOL	DOL EET	Lean and Advanced Manufacturing Training	Amphenol Corporation	60.19	20	80.19	\$79,900.00	Awarded
31962	Southern Tier	DOL	DOL EET	Supervisory Skills Training	Steuben County Chapter NYSARC, Inc. dba The Arc of Steuben	56.28	20	76.28	\$8,100.00	Awarded
32668	Southern Tier	DOL	DOL EET	Lean Manufacturing Training	Doron Precision Systems, Inc.	46.86	20	66.86	\$41,000.00	Awarded
31254	Southern Tier	DOL	DOL EET	Lean Manufacturing Training	Kennedy Valve Company	46.47	20	66.47	\$100,000.00	Awarded
31375	Southern Tier	DOL	DOL EET	Quality Management and Lean Manufacturing Training	DYCO Electronics, Inc.	45.63	20	65.63	\$27,590.00	Awarded
31445	Southern Tier	DOL	DOL EET	ISO 9001 Quality Management Systems and Software Training	Engineering Manufacturing Technologies, LLC	42.37	20	62.37	\$84,625.00	Awarded
32008	Southern Tier	DOL	DOL EET	Luitpold Pharmaceuticals	Fortitude Industries, Inc. dba Advanced Transit Manufacturing	37.67	20	57.67	\$0.00	Not-Awarded
31127	Southern Tier	DOL	DOL EET	2013 Training	Rynone Corporation	36.5	20	56.5	\$0.00	Not-Awarded
32479	Southern Tier	DOL	DOL EET	Continuous Lean	The Hilliard Corporation	36.27	20	56.27	\$0.00	Not-Awarded
32434	Southern Tier	DOL	DOL EET	Workforce Training	Elmira Stamping & Mfg. Corp.	35.77	20	55.77	\$0.00	Not-Awarded
29397	Southern Tier	DOL	DOL EET	FY14 Transonic Systems Workforce Development	Transonic Systems Inc.	35.39	20	55.39	\$0.00	Not-Awarded
30253	Southern Tier	DOL	DOL EET	ERG CFA Initiative	Endicott Research Group, Inc.	35.34	20	55.34	\$0.00	Not-Awarded
26788	Southern Tier	DOL	DOL EET	RHC Training	The Raymond Hadley Corp.	33.5	20	53.5	\$0.00	Not-Awarded
30905	Southern Tier	DOL	DOL EET	ISO 9001 Training	Buckingham Manufacturing Co., Inc.	28.97	20	48.97	\$0.00	Not-Awarded
31082	Southern Tier	DOL	DOL EET	Skills Training	Essen Computer Technologies Inc. dba Micro Solutions	27.06	20	47.06	\$0.00	Not-Awarded
28393	Southern Tier	DOL	DOL UWT	Nursing Assistant Training	Broome-Tioga Board of Cooperative Educational Services	49.12	20	69.12	\$40,800.00	Awarded
27828	Southern Tier	DOL	DOL UWT	Office Operations and Software Training	Broome County Urban League, Inc.	41.75	20	61.75	\$100,000.00	Awarded
28452	Southern Tier	DOS	DOS CSBG	ESTP	Pro Action of Stuben and Yates Inc	69.82	20	89.82	\$ 90,397.00	Awarded
27828	Southern Tier	DOS	DOS CSBG	Binghamton Opportunity Center	Broome County Urban League	57.7	20	77.7	\$0.00	Not-Awarded
31059	Southern Tier	DOS	DOS CSBG	The Roots Wings Job Skills Development Program	Catholic Charities of Chenango County	57.94	10	67.94	\$0.00	Not-Awarded
29052	Southern Tier	DOS	DOS CSBG	Finger Lakes ReUse Expansion Initiative	Finger Lakes ReUse	54.3	10	64.3	\$0.00	Not-Awarded
30238	Southern Tier	DOS	DOS LGE	Consolidated Government Operations Plan	Town of Horseheads	34.8125	20	54.81	\$ 28,000.00	Awarded

Application Number	Regions	Agency	Program Name	Project Name	Business Name	Agency Score	REDC Score	Total Score	Agency Recommended Funding	Awarded/Not-Awarded
31050	Southern Tier	DOS	DOS LWRP	Relocation of NYS DOT Maintenance Facility Feasibility Study	Tompkins County	72	20	92	\$56,000.00	Awarded
30926	Southern Tier	DOS	DOS LWRP	Main Street Improvements	Village of Sidney	71.2	20	91.2	\$655,000.00	Awarded
28242	Southern Tier	DOS	DOS LWRP	Planning for Watkins Glen Middle Marina	Village of Watkins Glen	70.4	20	90.4	\$106,750.00	Awarded
28579	Southern Tier	DOS	DOS LWRP	Front Street Improvements	Village of Deposit	66.4	20	86.4	\$122,307.00	Awarded
31901	Southern Tier	DOS	DOS LWRP	Flood Risk and Reduction Report	April Legett, Afton Flood Mitigation Committee Chair	66.4	5	71.4	\$0.00	Not-Awarded
31443	Southern Tier	DOS	DOS LWRP	Former Taylor Wine Complex - Structural Assessment and Restoration Plans	Town of Urbana	60.8	10	70.8	\$0.00	Not-Awarded
31904	Southern Tier	DOS	DOS LWRP	Town and Village of Bainbridge Comprehensive Plan Update	Bainbridge Development Corporation, Inc.	62.4	5	67.4	\$0.00	Not-Awarded
32062	Southern Tier	DOS	DOS LWRP	General Clinton Park Flood Risk Assessment and Flood Hazard Reduction Study	Town of Bainbridge	62.4	5	67.4	\$0.00	Not-Awarded
31136	Southern Tier	EFC	EFC GIGP	Green Stormwater Infrastructure	Broome County	62	20	82	\$1,008,090.00	Awarded
29541	Southern Tier	EFC	EFC GIGP	Green Infrastructure Retrofit	Taughannock Falls Park	55	20	75	\$320,000.00	Awarded
30962	Southern Tier	EFC	EFC GIGP	Center of Excellence Storm Water Reuse	Binghamton University	50	20	70	\$0.00	Not-Awarded
30545	Southern Tier	EFC	EFC GIGP	Storm Water Management	OUR LADY OF LOURDES	46	20	66	\$0.00	Not-Awarded
27209	Southern Tier	ESD	ESD Grants	Farm to Bistro	Tompkins Cortland Community College Foundation	76	20	96	\$1,000,000.00	Awarded
29703	Southern Tier	ESD	ESD Grants	CMoG International Motorcoach Entrance	Corning Museum of Glass	76	20	96	\$1,500,000.00	Awarded
28949	Southern Tier	ESD	ESD Grants	Ithaca Incubator	Cornell University	75	20	95	\$1,000,000.00	Awarded
31133	Southern Tier	ESD	ESD Grants	Greater Binghamton Airport Utility Improvements	Broome County	75	20	95	\$1,250,000.00	Awarded
31280	Southern Tier	ESD	ESD Grants	Community Revitalization Fund	Southern Tier Regional Economic Development Corporation	75	20	95	\$1,250,000.00	Awarded
31282	Southern Tier	ESD	ESD Grants	Rural Initiative Fund	Southern Tier Regional Economic Development Corporation	75	20	95	\$1,000,000.00	Awarded
31868	Southern Tier	ESD	ESD Grants	Capital and Web Job Growth projects	Dyco Electronics, Inc	75	20	95	\$30,500.00	Awarded
30469	Southern Tier	ESD	ESD Grants	MTC Wireless Broadband Initiative	Heart of the Catskills Communications Inc	74	20	94	\$320,500.00	Awarded
27148	Southern Tier	ESD	ESD Grants	The INN at Corning	Fitzpatrick Holdings, Inc.	71	20	91	\$2,000,000.00	Awarded
29804	Southern Tier	ESD	ESD Grants	subsurface water disposal and irrigation	Research Foundation of SUNY at Delhi	71	20	91	\$720,000.00	Awarded
29577	Southern Tier	ESD	ESD Grants	Green Lumber	Delaware County Industrial Development Agency	70	20	90	\$850,000.00	Awarded

Application Number	Regions	Agency	Program Name	Project Name	Business Name	Agency Score	REDC Score	Total Score	Agency Recommended Funding	Awarded/Not-Awarded
31106	Southern Tier	ESD	ESD Grants	Exponentially Increasing Science Technology Engineering Mathematics STEM Research for Economic Development	The Research Foundation for SUNY, Binghamton University	70	20	90	\$750,000.00	Awarded
31830	Southern Tier	ESD	ESD Grants	Pleater	The Hilliard Corporation	70	20	90	\$145,000.00	Awarded
25740	Southern Tier	ESD	ESD Grants	Elmira College Health Sciences Facility	Elmira College	67	20	87	\$2,250,000.00	Awarded
28323	Southern Tier	ESD	ESD Grants	STN Extension	Southern Tier Network, Inc.	67	20	87	\$5,000,000.00	Awarded
29069	Southern Tier	ESD	ESD Grants	Watkins Glen Middle School	SEPP Inc.	66	20	86	\$900,000.00	Awarded
28619	Southern Tier	ESD	ESD Grants	Chenango County Child Care Center	Family Enrichment Network	65	20	85	\$100,000.00	Awarded
29584	Southern Tier	ESD	ESD Grants	Wissahickon	County of Delaware Industrial Development Agency	65	20	85	\$205,000.00	Awarded
30357	Southern Tier	ESD	ESD Grants	Project Hport 2	C. Cameron Dunlap III	65	20	85	\$725,000.00	Awarded
30360	Southern Tier	ESD	ESD Grants	First Arena Improvements	First Excelsior Group, LLC	65	20	85	\$1,500,000.00	Awarded
32417	Southern Tier	ESD	ESD Grants	Margaretville Best Western Hotel	Margaretville Lodging LLC	65	20	85	\$1,300,000.00	Awarded
26681	Southern Tier	ESD	ESD Grants	Growing Spaces Improving Lives	New York State Association for Retarded Children, Inc. Broome-Tioga Chapter	60	20	80	\$304,000.00	Awarded
27584	Southern Tier	ESD	ESD Grants	Facilities Improvements Stabilizing a Landmark and Preparing a Tourist Attraction	Roberson Memorial, Inc.	59	20	79	\$500,000.00	Awarded
32178	Southern Tier	ESD	ESD Grants	Chenango Place	Chenango Place, LLC	57	20	77	\$250,000.00	Awarded
28191	Southern Tier	ESD	ESD Grants	Clemens Tourism Destination Initiative	Chemung County Performing Arts, Inc.	50	20	70	\$150,000.00	Awarded
29546	Southern Tier	ESD	ESD Grants	Tri County Regional Conference Center	L Enterprises, LLC	49	20	69	\$0.00	Not-Awarded
28512	Southern Tier	ESD	ESD Grants	S3IP Capital Investment 2013	The Research Foundation for the State University of New York; Binghamton University	52	15	67	\$0.00	Not-Awarded
32129	Southern Tier	ESD	ESD Grants	BUCK EQUIP	Buckingham Mfg. Co. Inc	47	15	62	\$0.00	Not-Awarded
32395	Southern Tier	ESD	ESD Grants	Southern Tier Reshoring Project Initiative	Huron Real Estate Associates, LLC	47	15	62	\$0.00	Not-Awarded
31279	Southern Tier	ESD	ESD Grants	Shovel Ready Sites	Southern Tier Regional Economic Development Corporation	45	15	60	\$0.00	Not-Awarded
26542	Southern Tier	ESD	ESD Grants	Carnegie Library	Broome Community College	40	20	60	\$0.00	Not-Awarded
28156	Southern Tier	ESD	ESD Grants	Cayuga St infrastructure	City of Ithaca	45	10	55	\$0.00	Not-Awarded
29085	Southern Tier	ESD	ESD Grants	eHub	Student Agencies Foundation, Inc.	45	10	55	\$0.00	Not-Awarded
27174	Southern Tier	ESD	ESD Grants	Education Project Center	Wings of Eagles Discovery Center	41	10	51	\$0.00	Not-Awarded

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29808	Southern Tier	ESD	ESD Grants	Goodwill Theatre Firehouse FINAL Stabilization	Goodwill Theatre Inc.	40	10	50	\$0.00	Not-Awarded
30248	Southern Tier	ESD	ESD Grants	Phase II Grocery Store Project	Binghamton Local Development Corporation	34	15	49	\$0.00	Not-Awarded
31242	Southern Tier	ESD	ESD Grants	Tioga View at Erwin Park	Town of Erwin	38	10	48	\$0.00	Not-Awarded
28635	Southern Tier	ESD	ESD Grants	Municipal Energy Efficiency Improvement Project	Delaware County Department of Watershed Affairs	42	5	47	\$0.00	Not-Awarded
32449	Southern Tier	ESD	ESD Grants	Wastewater Bar Screen Project	Village of Sidney	38	5	43	\$0.00	Not-Awarded
30688	Southern Tier	ESD	ESD Grants	A and W All American Food Restaurant	PNK Restaurant Corp.	33	10	43	\$0.00	Not-Awarded
32403	Southern Tier	ESD	ESD Grants	SCSC Indoor Athletic Facility	Soaring Capital Soccer Club, Inc	28	15	43	\$0.00	Not-Awarded
30077	Southern Tier	ESD	ESD Grants	Southern Tier Regional Farmers Market Construction	Chemung County Agricultural Society	32	10	42	\$0.00	Not-Awarded
31077	Southern Tier	ESD	ESD Grants	Yogurt Plant	The Indian Milk & Honey Corporation	30	10	40	\$0.00	Not-Awarded
32199	Southern Tier	ESD	ESD Grants	Advanced Microelectronics Manufacturing	Endicott Interconnect Technologies, Inc.	0	5	5	\$0.00	Not-Awarded
28946	Southern Tier	ESD	ESD HSSP	Southern Tier Innovation Hot Spot	Cornell University	73	20	93	\$250,000.00	Awarded
32688	Southern Tier	ESD	ESD MNY	I Love Fresh Air Phase II	Tioga County Tourism	70	20	90	\$100,000.00	Awarded
32599	Southern Tier	ESD	ESD MNY	Southern Tier Outdoor Show Marketing Program	Steuben County Conference and Visitors Bureau	51	20	71	\$44,217.00	Awarded
27209	Southern Tier	ESD	ESD MNY	Farm to Bistro	Tompkins Cortland Community College Foundation	47	20	67	\$1,300,800.00	Awarded
28191	Southern Tier	ESD	ESD MNY	Clemens Tourism Destination Initiative	Chemung County Performing Arts Inc DBA Clemens Center	45	20	65	\$89,415.00	Awarded
27199	Southern Tier	ESD	ESD MNY	Hobart Revitalization	Western Catskills Community Revitalization Council Inc	42	20	62	\$180,000.00	Awarded
27584	Southern Tier	ESD	ESD MNY	Facilities Improvements Stabilizing a Landmark and Preparing a Tourist Attraction	Roberson Museum and Science Center	41	20	61	\$0.00	Not-Awarded
32532	Southern Tier	ESD	ESD MNY	Tourism Assistance Portals	Steuben County Conference and Visitors Bureau	37	20	57	\$0.00	Not-Awarded
27684	Southern Tier	ESD	ESD MNY	Sciencenter Expansion and Renovation	Sciencenter	41	15	56	\$0.00	Not-Awarded
26275	Southern Tier	ESD	ESD MNY	New Stage	Monitag Inc	34	10	44	\$0.00	Not-Awarded
30484	Southern Tier	ESD	ESD MNY	Anniversary Campaign to Increase Tourism	Paleontological Research Institution	32	10	42	\$0.00	Not-Awarded
26542	Southern Tier	ESD	ESD MNY	Carnegie Library	Delta	0	20	20	\$0.00	Not-Awarded
29052	Southern Tier	ESD	ESD OA	Finger Lakes ReUse Expansion Initiative	Finger Lakes ReUse	43	10	53	\$100,000.00	Awarded

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30225	Southern Tier	ESD	ESD SPFS	Tioga County IDA Mixed Use Site Design	Tioga County Industrial Development Agency	70	20	90	\$50,000.00	Awarded
31725	Southern Tier	ESD	ESD SPFS	Interchange on 1786 Tioga Downs	Tioga County Department of Public Works	65	20	85	\$25,000.00	Awarded
28385	Southern Tier	ESD	ESD SPFS	BID District	Village Of Sidney	62	20	82	\$25,000.00	Awarded
32023	Southern Tier	ESD	ESD SPFS	Regional Energy Roadmap	Southern Tier East Regional Planning Development Board	46	10	56	\$0.00	Not-Awarded
31050	Southern Tier	ESD	ESD SPFS	Feasibility Study for NYS DOT Facility Relocation and City of Ithaca Waterfront Redevelopment	Tompkins County	34	20	54	\$0.00	Not-Awarded
28842	Southern Tier	ESD	ESD SPFS	A Financial Analysis of Clean Energy Project Alternatives Identified in the County Energy Plan	Delaware County Department of Watershed Affairs	42	10	52	\$0.00	Not-Awarded
30248	Southern Tier	ESD	ESD SPFS	Phase II Grocery Store Project	Binghamton Local Development Corpotation	34	15	49	\$0.00	Not-Awarded
31443	Southern Tier	ESD	ESD SPFS	Finger Lakes Boating Museum	Town of Urbana	36	10	46	\$0.00	Not-Awarded
26890	Southern Tier	HCR	HCR CDBGPF	Chenango County IRA Revitalization Program	Chenango County	63	15	78	\$266,416.00	Awarded
29582	Southern Tier	HCR	HCR CDBGPF	Hornell Water Pollution Control Plant Improvements	City of Hornell	44	20	64	\$600,000.00	Awarded
28579	Southern Tier	HCR	HCR CDBGPI	Village of Deposit Front Street Streetscape Improvements	Village of Deposit, Broome/Delaware Counties, New York	44	20	64	\$486,000.00	Awarded
28244	Southern Tier	HCR	HCR NYMS	Montour Falls Main Street	Community Progress, Inc.	49	20	69	\$180,500.00	Awarded
27199	Southern Tier	HCR	HCR NYMS	Hobart Revitalization NYMS	Western Catskills Community Revitalization Council, Inc.	43	20	63	\$200,000.00	Awarded
27841	Southern Tier	HCR	HCR NYMS	Village of Bath NYMS	Village of Bath	42	20	62	\$0.00	Not-Awarded
29407	Southern Tier	HCR	HCR NYMS	Waverly Downtown Revitalization Program	Tioga County	41	20	61	\$0.00	Not-Awarded
28750	Southern Tier	HCR	HCR NYMS	Village of Windsor Main Street Revitalization	Broome County	39	20	59	\$0.00	Not-Awarded
29586	Southern Tier	HCR	HCR NYMS	2013 Gaffer District Renovation	Corning Intown District Management Association	30	20	50	\$0.00	Not-Awarded
29570	Southern Tier	HCR	HCR NYMS	Hornell Building Renovation Project	City of Hornell	25	20	45	\$0.00	Not-Awarded
32039	Southern Tier	HCR	HCR NYMS	City of Norwich Main Street 2013	Norwich Business Improvement District Management Association Inc.	24	20	44	\$0.00	Not-Awarded
26359	Southern Tier	HCR	HCR NYMS	Union District Main Street Program	Village of Endicott	24	20	44	\$0.00	Not-Awarded
30563	Southern Tier	HCR	HCR NYMS	Houndstooth Bakery and Boutique	Village of Sidney	4	20	24	\$0.00	Not-Awarded

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29476	Southern Tier	HCR	HCR NYMS	Village of Sidney Main Street	Village Of Sidney	4	20	24	\$0.00	Not-Awarded
31047	Southern Tier	HCR	HCR NYMSTA	Village of Oxford NYMS Technical Assistance Project	Village of Oxford New York	33	20	53	\$9,500.00	Awarded
28808	Southern Tier	HCR	HCR RARP	Norwich Anchor Property Revitalization Project	Development Chenango Corporation	55	20	75	\$150,000.00	Awarded
30663	Southern Tier	HCR	HCR RARP	150 Broad Street Waverly Revitalization	Tioga County Local Development Corporation	38	20	58	\$137,547.00	Awarded
29555	Southern Tier	HCR	HCR RARP	Village of Walton Revitalization	Delaware County Local Development Corporation	37	20	57	\$150,000.00	Awarded
26329	Southern Tier	HCR	HCR RARP	Bridge Street Offices	Steuben Churchpeople Against Poverty Inc.,d/b/a Arbor Housing and Development	37	20	57	\$150,000.00	Awarded
30775	Southern Tier	HCR	HCR RARP	ERPF Center Building Renovation Project	The Catskill Center for Conservation and Development, Inc.	36	20	56	\$0.00	Not-Awarded
28382	Southern Tier	HCR	HCR RARP	GVRP Mountainview Apartments	Steuben Churchpeople Against Poverty, Inc.	36	20	56	\$0.00	Not-Awarded
28113	Southern Tier	HCR	HCR RARP	GVRP Hornellsville Apartments	Steuben Churchpeople Against Poverty, Inc.	36	20	56	\$0.00	Not-Awarded
27199	Southern Tier	HCR	HCR RARP	Hobart Revitalization RARP	Western Catskills Community Revitalization Council, Inc.	36	20	56	\$0.00	Not-Awarded
29069	Southern Tier	HCR	HCR RARP	Watkins Glen Middle School	SEPP Inc.	22	20	42	\$0.00	Not-Awarded
31422	Southern Tier	HCR	HCR UI	W Water Street Row Houses Phase II	Community Progress, Inc.	26	20	46	\$0.00	Not-Awarded
27584	Southern Tier	HCR	HCR UI	Facilities Improvements Stabilizing a Landmark and Preparing a Tourist Attraction	Roberson Memorial, Inc.	20	20	40	\$0.00	Not-Awarded
31774	Southern Tier	NYSERDA	NYSERDA CGC2	Ithaca Zoning Reform	Better Cities Towns	66.57	15	81.57	\$175,000.00	Awarded
28019	Southern Tier	NYSERDA	NYSERDA CGC2	Comprehensive Plan Update	Southern Tier Central Regional Planning and Development Board	66	5	71	\$239,776.00	Awarded
30783	Southern Tier	NYSERDA	NYSERDA CGC2	Town of Southport Zoning Update	Hunt Engineers Architects Land Surveyors	60.71	5	65.71	\$60,000.00	Awarded
30040	Southern Tier	NYSERDA	NYSERDA CGC2	Energy Rating and Disclosure Ordinance Project	Town of Ithaca	54.57	10	64.57	\$70,000.00	Awarded
28581	Southern Tier	NYSERDA	NYSERDA CGC2	Comprehensive Plan	Village of Deposit New York	56	5	61	\$50,000.00	Awarded
30635	Southern Tier	NYSERDA	NYSERDA CGC3	Southern Tier Bulk Wood Pellet Infrastructure Boost Program	Cornell Cooperative Extension of Tompkins County	59.33	20	79.33	\$1,304,538.00	Awarded
31635	Southern Tier	NYSERDA	NYSERDA CGC3	Bike/Ped Path In Binghamton	NYS Department of Transportation	56.36	15	71.36	\$0.00	Not-Awarded
32214	Southern Tier	NYSERDA	NYSERDA CGC3	District Chp In Ithaca	ASI Energy	49.78	20	69.78	\$0.00	Not-Awarded
29206	Southern Tier	NYSERDA	NYSERDA CGC3	C&D Recycling Facility In Southern Tier	Tioga County	49.44	20	69.44	\$0.00	Not-Awarded
29987	Southern Tier	NYSERDA	NYSERDA CGC3	Landfill Cng In Chemung County	American Natural Gas	51.44	15	66.44	\$0.00	Not-Awarded

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32613	Southern Tier	NYSERDA	NYSERDA CGC3	Composting And Digester In Watkins Glen	Village of Watkins Glen	46.11	20	66.11	\$0.00	Not-Awarded
31675	Southern Tier	NYSERDA	NYSERDA CGC3	Streetscaping On Cornell Campus	Cornell University	48	10	58	\$0.00	Not-Awarded
30386	Southern Tier	NYSERDA	NYSERDA CGC3	Living Building In Ithaca	Ben Rosenblum Studio	51.78	5	56.78	\$0.00	Not-Awarded
29060	Southern Tier	ONCS	ONCS Amer	The Southern Tier Opportunity Corps (STOC) AmeriCorps Program	The Institute for Human Services, Inc.	80	20	100	\$184,052.00	Awarded
32653	Southern Tier	OTDA	OTDA SNAP	CEO SNAP Opportunities Program	Center for Employment Opportunities	61.33	20	81.33	\$30,000.00	Awarded
27802	Southern Tier	Parks	Parks HASA D	VNV Municipal Building	Village of Newark Valley	46.25	20	66.25	\$0.00	Not-Awarded
27584	Southern Tier	Parks	Parks HASA D	Facilities Improvements Stabilizing a Landmark and Preparing a Tourist Attraction	Roberson Museum and Science Center	42	20	62	\$0.00	Not-Awarded
31470	Southern Tier	Parks	Parks HASA D	The Endicott History and Heritage Center	Old Village of Union Historical Society	36.75	20	56.75	\$0.00	Not-Awarded
30938	Southern Tier	Parks	Parks HASA D	Amphitheater Fire Pit	Waterman Conservation Education Center	40.75	15	55.75	\$0.00	Not-Awarded
29808	Southern Tier	Parks	Parks HASA D	Goodwill Theatre Firehouse FINAL Stabilization	Goodwill Theatre Inc	44.75	10	54.75	\$0.00	Not-Awarded
32544	Southern Tier	Parks	Parks HASA D	Castle Creek Civic Building	Town of Chenango	26.25	15	41.25	\$0.00	Not-Awarded
32515	Southern Tier	Parks	Parks HASA D	Schoolhouse Museum Repairs	Town of Chenango	28.75	10	38.75	\$0.00	Not-Awarded
26359	Southern Tier	Parks	Parks HASA P	Union District Main Street Program	Village of Endicott	46.25	20	66.25	\$20,000.00	Awarded
26758	Southern Tier	Parks	Parks HPA A/D	SSIRP	Save the Sherburne Inn Restoration Project Inc	43.5	20	63.5	\$500,000.00	Awarded
27802	Southern Tier	Parks	Parks HPA D	VNV Municipal Building	Village of Newark Valley	51.5	20	71.5	\$195,000.00	Awarded
27650	Southern Tier	Parks	Parks HPA D	Near Westside Historic District Preservation Project	Near Westside Neighborhood Assoc Inc	48.5	15	63.5	\$0.00	Not-Awarded
29315	Southern Tier	Parks	Parks HPA D	Walton Theatre Building Preservation	Walton Theatre Preservation Association Inc	29	20	49	\$0.00	Not-Awarded
27584	Southern Tier	Parks	Parks HPA D	Facilities Improvements Stabilizing a Landmark and Preparing a Tourist Attraction	Roberson Museum and Science Center	28	20	48	\$0.00	Not-Awarded
28099	Southern Tier	Parks	Parks PA D	Canfield Park Project	City of Corning	50.5	20	70.5	\$114,302.00	Awarded
30382	Southern Tier	Parks	Parks PA D	Cass Park Rink Renovation	City of Ithaca	48.5	15	63.5	\$0.00	Not-Awarded
27570	Southern Tier	Parks	Parks PA D	Mark Twain Golf Course Capital Improvements Phase 1	City of Elmira	43.5	10	53.5	\$0.00	Not-Awarded
32359	Southern Tier	Parks	Parks PA D	Town of Southport Goodwin Park Trail	Town of Southport	38	10	48	\$0.00	Not-Awarded
29781	Southern Tier	Parks	Parks PA D	Stewart Park Large Pavilion	City of Ithaca	34	10	44	\$0.00	Not-Awarded
32544	Southern Tier	Parks	Parks PA D	Castle Creek Civic Building	Town of Chenango	10.5	15	25.5	\$0.00	Not-Awarded
29147	Southern Tier	Parks	Parks PA D	Raised Beds in Flood Area	Village of Sidney	8	15	23	\$0.00	Not-Awarded

Application Number	Regions	Agency	Program Name	Project Name	Business Name	Agency Score	REDC Score	Total Score	Agency Recommended Funding	Awarded/Not-Awarded
32062	Southern Tier	Parks	Parks PA P	Flood Study	Town of Bainbridge	15.5	5	20.5	\$0.00	Not-Awarded

Program Legend

Agency	Program Abbreviation	Program Name
Arts	Arts APCE	Artistic Program Capital Equipment
Arts	Arts CHPG	Arts, Culture & Heritage Project Grant
Arts	Arts DFPCP	Digital Film Projector Conversion Program
Arts	Arts IWDP	Arts Intern Workforce Development Program
Canals	Canalway Grants	NYS Canalway Grant Program
DEC	DEC EPGP	New York State DEC/EFC Wastewater Infrastructure Engineering Planning Grant
DOL	DOL EET	Existing Employee Training Program
DOL	DOL NHT	New Hire (On-the-Job) Training
DOL	DOL UWT	Unemployed Worker Training
DOS	DOS CSBG	Community Services Block Grant
DOS	DOS LGE	Local Government Efficiency Program
DOS	DOS LWRP	Local Waterfront Revitalization Program
EFC	EFC GIGP	Green Innovation Grant Program
ESD	ESD EIPCAP	ESD - Environmental Investment Program - Capital
ESD	ESD EIPRDD	ESD - Environmental Investment Program - Research Development and Demonstration (RD&D)
ESD	ESD EIPTA	ESD - Environmental Investment Program - Technical Assistance (TA)
ESD	ESD EJP	ESD - Excelsior Jobs Program
ESD	ESD Grants	Empire State Development Grant Funds
ESD	ESD HSSP	ESD - New York State Business Incubator and Innovation Hot Spot Support Program
ESD	ESD IDBC	ESD - Industrial Development Bond Cap
ESD	ESD MNY	ESD - Market New York
ESD	ESD OA	ESD - ESD Technical Assistance and Training Grants/Opportunity Agenda Projects
ESD	ESD SPFS	ESD - ESD - Strategic Planning and Feasibility Studies
HCR	HCR CDBGED	HCR - HCR - Community Development Block Grant (CDBG) Economic Development
HCR	HCR CDBGPF	HCR - HCR - Community Development Block Grant (CDBG) - Public Facilities
HCR	HCR CDBGPI	HCR - HCR - Community Development Block Grant (CDBG) - Public Infrastructure
HCR	HCR CDBGSBA	HCR - HCR - Community Development Block Grant (CDBG) - Small Business Assistance
HCR	HCR NYMS	HCR - HCR - New York Main Street (NYMS)
HCR	HCR NYMSTA	HCR - HCR - New York Main Street Technical Assistance (NYMS-TA)
HCR	HCR RARP	HCR - HCR - Rural Area Revitalization Projects (RARP)
HCR	HCR UI	HCR - HCR - Urban Initiatives (UI)
HESC	HESC CACG	HESC - College Access Challenge Grant
NYSERDA	NYSERDA CGC2	Cleaner Greener Communities (CGC), Phase II Implementation Grants, Category 2: Comprehensive Planning
NYSERDA	NYSERDA CGC3	Cleaner Greener Communities (CGC), Phase II Implementation Grants, Category 3: Sustainability Projects
ONCS	ONCS Amer	New York State AmeriCorps Program
OTDA	OTDA SNAP	SNAP Opportunities
Parks	Parks HASA A	Parks - Heritage Areas System Acquisition (Acquisition)
Parks	Parks HASA D	Parks - Heritage Areas System Acquisition (Development)
Parks	Parks HASA P	Parks - Heritage Areas System Acquisition (Planning)
Parks	Parks HPA A	Parks - Historic Property Acquisition (Acquisition)
Parks	Parks HPA A/D	Parks - Historic Property Acquisition (Acquisition/Development)
Parks	Parks HPA D	Parks - Historic Property Acquisition (Development)
Parks	Parks HPA P	Parks - Historic Property Acquisition (Planning)
Parks	Parks PA A	Parks - Park Acquisition (Acquisition)
Parks	Parks PA D	Parks - Park Acquisition (Development)
Parks	Parks PA P	Parks - Park Acquisition (Planning)
Parks	Parks RTP D	Parks - Recreational Trails Program Diverse
Parks	Parks RTP M	Parks - Recreational Trails Program Motorized
Parks	Parks RTP NM	Parks - Recreational Trails Program Non-Motorized
Parks	Parks RTP NMD	Parks - Recreational Trails Program Non-Motorized Diverse