

## **2017 Regional Council Process & Consolidated Funding Applications**

### Background:

The Regional Economic Development Councils (REDCs) are a community driven, regional approach to economic development in New York State. Each Council consists of over 20 business, academic and government leaders from throughout the Region.

In 2011, each of the ten Regional Councils were tasked with developing a five-year strategic plan that included a comprehensive vision for economic development for that region, regional strategies to achieve that vision, and specific priority projects that are significant, regionally supported and capable of stimulating economic investment. Empire State Development Corporation developed a competitive process in which Regional Council Strategic Plans containing recommendations for funding made by each Regional Council were reviewed by a Strategic Plan Review Committee consisting of two State Agency Commissioners and three economic development experts. Members of the 2011 Review Team included: Dall W. Forsythe, Senior Fellow at the Wagner School of Public Service at New York University and former Vice President for Finance and Operations at The Atlantic Philanthropies; Walter D. Broadnax, Professor of Public Administration at The Maxwell School of Syracuse University; Bruce J. Katz, Vice President at the Brookings Institution and founding Director of the Brookings Metropolitan Policy Program; Joan McDonald, Commissioner of the New York State Department of Transportation; and Cesar Perales, New York State Secretary of State. On December 8, 2011, the Governor and Legislative Leaders announced the winners of the first round of competition.

In 2012, the Councils went a step further and produced Strategic Implementation Agendas that built upon the progress from the first round and further developed and refined their Regional Five Year Strategic Plans by undertaking four primary activities: Establishing a framework to advance the Regional Strategic Plan; Implementing the strategies laid out in the Strategic Plan; Creating a project pipeline; and providing a progress report documenting the success the Council has had in implementing its Strategic Plan.

In 2013, all ten of the Regional Councils competed against each other to be designated as a "Top Performer". The 2013 Council competition was designed with a similar framework as 2012 but added several new activities for the council to focus on that would continue to help develop and advance the original strategic plans. Regional Councils were asked to develop an Opportunity Agenda, identify Innovation Hot Spots, and advance regional Cleaner, Greener Community Sustainability Plans.

In 2014, the regional councils developed strategies for several new initiatives including a Global NY Plan to identify import/export and foreign direct investment (FDI) opportunities. Councils also created a Veteran's Work Group to address the unemployment of returning veterans in New York. The REDC's were asked to identify projects as part of NY Rising to assist in the rebuilding of areas devastated by natural disasters. The Councils also provided an update on their Opportunity Agenda, workforce development challenges, and their project pipeline.

In 2015, the Regional Economic Cluster Program was launched to ensure continued focus on the importance of industry cluster growth in statewide economic development efforts through partnerships between business, academia, and venture capital. All the regional councils focused on initiatives that will drive implementation of their regional strategic plans, and to continue support for various State initiatives. Regional Council priorities include: development of strategies and projects that focus on the growth of regional economic clusters; advancement of plans and projects that strengthen the Global NY agenda; implementing additional strategies in their strategic plan; maintaining a pipeline of projects; training the workforce for today and tomorrow; and measuring the performance and progress of the strategic plan and CFA projects.

In 2016, all REDC's participated in the \$100 million Downtown Revitalization Initiative (DRI) which will invest \$10 million in one community in each region to develop a vision, articulate a plan, and identify projects and other implementation steps. Each Regional Council solicited interest in the program from communities in the region and considered how those communities met the criteria put forth from the State. The Council nominated one Downtown in each Region to receive the award.

In 2017, all REDC's once again participated in another round of the \$100 million Downtown Revitalization Initiative (DRI). In addition, the regions focused on closing the skills gap by working with industry leaders and education providers to develop workforce development strategies. The Councils also identified projects for the state's Life Science cluster and continued to implement their strategies through the project pipeline.

These actions were designed to encourage and accelerate implementation, performance, and collaboration within the regions. Priority projects that aligned with each region's plan were chosen by the Councils from the applications submitted to the Consolidated Funding Application portal. These projects were published in the regions' Progress Report, which are publically available online at [www.regionalcouncils.ny.gov](http://www.regionalcouncils.ny.gov). Projects were scored by both the state agencies and the Regional Councils. The agencies scored their projects based on a technical evaluation in adherence with existing funding program guidelines and awarded a project up to 80%. The remaining 20% is awarded by the Regional Councils, and were based off the following endorsement standards:

**Statewide Endorsement Standards**

Regional Council members will determine the degree to which the application implements the regional strategic plan and assign the corresponding number of points as a score.

IMPLEMENTATION OF THE PLAN	DEGREE	POINTS
The proposal is identified as a priority project by the Regional Council.	Priority	20
The proposal is identified in the plan and has regional benefits.	Very Strong	15

The proposal advances the plan and has local benefits.	Strong	10
The proposal is consistent with the plan and has project level benefits.	Moderate	5
The proposal has limited relationship to the plan	Weak	0

Since the inception of the REDCs in 2011, members have been required to sign a Code of Conduct, Conflict of Interest documents, and have undergone training from legal counsel. Both documents are updated annually and new members are required to complete these documents upon appointment. Members with conflicts of interest must recuse themselves from reviewing or discussing identified projects.

**2017 Competition:**

To encourage the implementation of the Regional Economic Development Councils' strategic plans and to continue to motivate investment opportunities and job creation, the Regional Councils competed in 2017 for up to \$150 million in capital funds and \$75 million in Excelsior Tax Credits for projects identified by the Regional Councils in their regions.

As part of this year's competition, all the regional councils focused on initiatives that will drive implementation of their regional strategic plans, and to continue support for various State initiatives. Regional Council priorities include: supporting the Downtown Revitalization Plan and beginning to identify projects to implement it; implementing strategies through the project pipeline; closing the skills gap; identifying projects for the State's life science cluster; and measuring the performance and progress of the strategic plan and CFA projects.

By incentivizing implementation of the strategic plans, Regional Councils, businesses, educational institutions, local governments, not-for-profit groups and other stakeholders are further motivated to work together to accomplish the regional vision. While project funding was awarded in all ten Regional Councils through the 2017 CFA, the competition placed an emphasis on Regional Council performance and action to implement strategies.

The competition was focused on the following:

- 1) *Implementation of regional strategic economic development plans;*
- 2) *Performance in encouraging economic growth through job creation and investment;*
- 3) *Support for the Downtown Revitalization Initiative.*
- 4) *Identifying projects for the State's Life Science cluster*
- 5) *Working with industry and education leaders to close the skills gap*

In 2017, the Regional Councils competed against each other to be designated as a "Top Performer".

The 2017 Strategic Implementation Assessment Team (SIAT) was led by Secretary of State Rosanna Rosado and included Office of General Services Commissioner RoAnn Destito, Department of Transportation Commissioner Matthew Driscoll, Environmental Facilities Corporation President and CEO Sabrina Ty, Department of Labor Commissioner Roberta Reardon, Homes and Community Renewal Commissioner Ruth Ann Visnaskus, Agriculture and Markets Commissioner Richard Ball, and Canals' Director Brian Stratton. The SIAT also included members appointed by the Legislature including Hon. Donna Lupardo, State Assembly District 123, Hon. Helene Weinstein, State Assembly District 41, Hon. James Seward, State Senate District 51 and Hon. Kenneth LaValle, State Senate District 1. The SIAT reviewed each region's Progress Report based on established criteria. In 2017, the SIAT traveled to each region and received a summary of the REDCs' 2017 activities and priorities, which included PowerPoint presentations, videos, and tours of project sites. The SIAT was also given time to ask questions of the REDC's about their progress reports and regional priority projects.

The SIAT provided an assessment of each plan and made recommendations to Howard Zemsky, President & CEO, Empire State Development Corporation of the five reports that most closely aligned with the criteria outlined in the guidebook. President Zemsky accepted the recommendations of the Committee and, pursuant to his statutory powers as head of the Urban Development Corporation, awarded five regions with the Top Performer up to \$35 million in funding consisting of \$20 million in capital grants and up to \$15 million in Excelsior tax credits for priority projects identified in their Strategic Plans. The balance of the incentives was awarded to projects identified by the Councils as in alignment with their strategies and priorities.

In addition, project sponsors applied for over \$575 million in economic development resources available from twelve state agencies through the Consolidated Funding Application (CFA). The CFA allows businesses and other entities to apply for multiple agency funding sources through a single, web-based application. Awards for CFA projects were made based on the endorsement of the local Regional Council and the technical program review of the agencies providing resources, subject to interagency review team analysis and agency discretion.

The 2017 REDC initiative was launched on April 24 and the CFA opened for applications on May 1 and closed on July 28. All details about CFA resources were made available online via the 2017 CFA Resources Available Document, the New York State Register and the Grants Gateway. When the portal closed on July 28, agencies immediately began marking project eligibility. All eligible projects were then made available to the Regional Councils for their scoring process and the agencies for their technical evaluation. On October 2, the REDCs submitted their final 2017 progress reports to the State. After the SIAT presentations concluded in November, the Inter-agency team met to review the projects and make final funding decisions.

The interagency team reviewed projects based on relevant factors including Regional Councils Implementation Agendas and designation of priority projects, project alignment with regional goals and objectives, funding availability and regional need. Priority projects are those specifically enumerated in the Regional Council Reports, receiving a score of 20 from the

Regional Council, and identified as significant, regionally supported and capable of stimulating economic investment.

The interagency team once again consisted of professional staff from relevant agencies and authorities' with detailed knowledge of their respective CFA program funding. This group conducted an analytical assessment that provided the basis for project funding recommendations made by individual agencies for final approval by respective agency or authority head. As provided in the budget, the Regional Council Grant funds were awarded by ESD in its discretion.

This process resulted in funding of projects that aligned with a long term, regionally generated implementation agendas and are capable of stimulating economic growth and investment.

On Wednesday, December 13, 2017, the Governor announced that 1,041 projects totaling \$755.6 million had been awarded through Round Seven of the Regional Economic Development Council initiative. Top Performer awards were given to the Mid-Hudson, Capital Region, Mohawk Valley, Central New York and Long Island regions in adherence to the competition guidelines detailed above.

## MEMORANDUM

**To:** Howard Zemsky, President and CEO of the Empire State Development Corporation

**From:** Rossana Rosado, Secretary of State  
Richard Ball, Commissioner, Department of Agriculture & Markets  
RoAnn Destito, Commissioner, Office of General Services  
Matthew Driscoll, Commissioner, Department of Transportation  
Roberta Reardon, Commissioner, Department of Labor  
Brian Stratton, Director of Canals, NYS Canal Corporation  
RuthAnne Visnauskas, Commissioner, Homes and Community Renewal  
Sabrina Ty, President and CEO of the Environmental Facilities Corporation  
Assemblywoman Donna Lupardo, 123<sup>rd</sup> District  
Senator James Seward, 51<sup>st</sup> District  
Senator Kenneth LaValle, 1<sup>st</sup> District  
Assemblywoman Helene Weinstein, 41<sup>st</sup> District

**Subject:** Review of Progress for the 2017 Regional Economic Development Council Initiative

**Date:** December 12, 2017

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We are honored to serve as the Strategic Implementation Assessment Team (SIAT) for Governor Cuomo's Regional Economic Development Council (REDC). In the past seven years, the REDC initiative has made serious progress in job creation and the growth of new industries across New York State. For a second year, the REDC initiative has been enhanced by a \$100 million Downtown Revitalization Initiative.

In 2011, each Regional Council was charged with developing a five-year Strategic Economic Development Plan that emphasized their region's unique assets and provided strategies to harness local resources, both human and capital, to stimulate regional economic development, and create jobs statewide. The Strategic Economic Development Plans continue today with annual updates to address emerging state and regional challenges and opportunities. Each region has a unique set of strategies to achieve greater economic prosperity. Each Regional Council was also asked to develop strategies and identify projects related to key regional industry clusters; the State life sciences cluster; downtown revitalization; workforce development and shrinking the skills gap through collaboration with industry and educational institutions; help people in distressed Opportunity Areas to overcome barriers to entry into the workforce; promote veterans' participation in the workforce; regional Hot Spots; and local government engagement. In many of the regions, strategies developed in response to the Upstate Revitalization Initiative continue to be embraced; and in Western NY REDC strategies are complemented by the Buffalo Billion Plan.

The REDC program shifted the state's economic development approach from top-down mandates to a community-driven planning process involving state government, businesses, and academia to stimulate regional economic development and create jobs. With this shift, the State asked the REDCs to use their unique understanding of their regional economies to implement important State priorities.

In 2017, the Downtown Revitalization Initiative again supported a community planning process where each community develops the key ingredients needed for successful downtown revitalization. Each Regional Council solicited interest in the program and chose from among the many applicants the community it considered most ripe for development into vibrant communities where tomorrow's workforce will want to live, work and raise families. The \$100 million Downtown Revitalization Initiative (DRI) is investing \$10 million in one community in each region to develop a vision, articulate a plan, and identify projects and other implementation steps.

In October and November, we had the privilege and responsibility of assessing the progress of the Regional Councils, through their written annual reports, oral presentations, and regional site visits. The Regional Councils presented their progress, talked about plans to implement their economic development strategies, and reported on the status of the DRI plans. We are impressed and encouraged by the continuing results of the collaborative strategic plan implementation process.

### **2017 Annual REDC Competition**

Our task was to evaluate all ten regions based on the competition and Regional Council priorities as described in the 2017 REDC Guidebook. We focused on the following areas:

- Support for downtown revitalization
- Workforce development and identification of strategies to shrink the skills gap
- Implementation of regional strategic economic development plans and strategies through the project pipeline
- Continued advancement of state priorities and identification of projects that implement related strategies, including:
  - The Regional Economic Cluster Plans
  - The State Life Sciences Cluster
  - The regional Opportunity Agenda
  - Regional hot spots
  - Veterans' participation in the workforce
- Measuring the performance and progress of the strategic plan and consolidated funding application (CFA) projects

### **Awards**

All ten regions competed for up to \$150 million in capital funds and \$75 million in Excelsior Tax Credits for projects identified by the Regional Councils as priorities in their regions. In addition, each region was awarded \$10 million to plan and implement projects identified through the Downtown Revitalization Initiative, and projects from all ten regions submitted through the CFA were eligible for over \$575 million in other state agency funds.

The Strategic Implementation Assessment Team has identified five regions that merit the designation as Top Performers and awards of up to \$20 million each in ESD Capital Grants. As it is every year, it was a difficult challenge to evaluate the variety of approaches to very different challenges and opportunities, and the progress which was made by the regions in implementing their strategic plans. However, after careful consideration we selected as Top Performers the following regions: **Capital Region, Central New York, Long Island, Mid-Hudson, and Mohawk Valley.**

- The **Capital Region Regional Economic Development Council (CRREDC)** continues support for past strategies, and introduces a new strategy focused on *Gaming/Software*; where colleges and universities contribute to an ecosystem that supports development of games that may also serve therapeutic purposes by countering cognitive decline or adding a gaming component to respiratory therapy. The region's *Talent* strategy seeks a cradle-to-career approach to workforce development that connects job-seekers and employers with higher education institutions. Many of the projects supported for funding by the CRREDC address workforce development, including the proposed Global Foundries Manufacturing Tech Education Center that will be built in partnership with SUNY Albany, Clarkson University, and the Northeast Advanced Technology Education Center. The spirit of collaboration can also be found in the region's support for its priority cluster, research and development to commercialization, known as its *Lift-Off* strategy. For example, healthcare providers, health maintenance organizations, and insurance carriers will develop a shared database to assist with population health data analytics. Royalties, primarily associated with the region's intellectual property, were responsible for \$500 million in exports in 2016. Investments that promote smart growth and drive job creation in urban areas are part of the region's *Metro* strategy that addresses concentrated urban poverty in support of the Opportunity Agenda. DRI investment in the Hudson Bridge District will drive the continued success of existing private and public ventures; emphasize job growth and the attractiveness of the area; and set the stage for economic and civic expansion in Hudson.
- The **Central New York Regional Economic Development Council (CNYREDC)** continued to follow regional strategies from its winning Upstate Revitalization Initiative plan. The CNYREDC developed a Talent Task Force that included private, public, and nonprofit representatives to identify jobs in demand and establish strategies to address demand. The life sciences cluster is a significant portion of the region's economy, and several new initiatives would address biological threats, medical device innovation, and personalized medicine. The Unmanned Aerial Systems training corridor between Griffiss International Airport and Syracuse is advancing in a cluster category that blends life sciences and advanced manufacturing technology into a Data to Decisions (D2D) cluster. The D2D target cluster saw average wages increase 14 percent since 2010. The agriculture and agribusiness cluster also turned around, and is on the fifth year of growth in establishments (25 percent) with many more projects supported for funding this year. Investing in key growth drivers includes support for projects like a commercial food processing, packaging, and distribution center in Cayuga County. Like the state's Opportunity Agenda, CNYREDC is supporting projects to develop enablers of prosperity, such as conversion of underutilized properties in three distressed neighborhoods of Syracuse, or supporting veteran training and services. The City of Cortland,

a magnet for tourism, was selected as the DRI community. Cortland envisions new space for community activities and additional housing to accommodate an expanding residential and tourism population.

- The **Long Island Regional Economic Development Council (LIREDC)** is focusing workforce development efforts on preparing students for the jobs in key biotech sectors like pharmaceuticals and high-demand STEM fields like engineering. It is backing a proposal by the Center for Science, Teaching, and Learning, a not-for-profit based in Rockville Center, to create nine community STEM centers in high need communities. Support for STEM and biotech projects at many regional institutions continues to strengthen the region's framework for its identified regional economic cluster, biotechnology, which overlaps with the State focus on life sciences. For example, LIREDC is recommending projects such as the Computational Genomics Center at Feinstein Institute for Medical Research; expansion of the chemistry laboratory at Hofstra; a structural biology shared screening facility at Cold Spring Harbor Laboratory; expansion of a life science and technology lab at Adelphi University; and a research and technology innovation bioengineering laboratory at New York Institute of Technology. Creating diverse, transit-linked neighborhoods where people can live, work and play remains a priority as downtowns are crucial to economic vitality. Walkable, exciting places with affordable apartments appeal to Millennials and will help retain baby-boomers. The LIREDC continues its support for Wyandanch Rising and downtown projects in the Village of Hempstead and Riverhead. The region's 2017 DRI community of Hicksville, a transit-rich and diverse community, will plan how to capitalize on improvements to the Long Island Rail Road and provide safer space for downtown pedestrians.
- The **Mid-Hudson Regional Economic Development Council (MHREDC)** continued its momentum, refining its economic development strategies to best leverage the region's key assets: its proximity to New York City; highly educated workforce; diverse urban centers; and scenic beauty. The region's strategies of WORK and PLAY are supported by its focus on priority projects in the cluster representing the food and beverage manufacturing supply chain, such as the proposed food exchange in Kingston that will be a food hall, food manufacturing facility, and grocery with an emphasis on locally sourced food. The State Life Sciences cluster fits neatly into the region's emphasis on biomedical and healthcare, shown by its continued support for core institutions; willingness to partner with other regions to create a research corridor; and support for biotechnology business incubator at NY Medical College. Stakeholders in the region are working together to identify ways to close the skills gaps identified. Commitment to downtown revitalization, part of its LIVE strategy, is evident in MHREDC funding recommendations, including support for a project in its round one DRI community of Middletown. Chosen by the MHREDC as the DRI community, additional investments in the Kingston Stockade Business District will continue the area's economic revitalization and create new opportunities for the surrounding neighborhoods, towns and counties.
- The **Mohawk Valley Regional Economic Development Council (MVREDC)** identified placemaking and tourism as an important component to creating a strong and desirable

workforce. This was complemented by workforce development in high-paying, in-demand STEM sectors, with a mechatronics/robotics BOCES program sponsored by manufacturers and the Otsego County Chamber of Commerce as an example. The MVREDC continued to advance the cyber and internet technology cluster, leveraging both the Marcy Nanocenter and the region's designation as an FAA Test Site to create a strong concentration of cyber and unmanned aerial systems (UAS) research and development companies. The MVREDC is continuing its strategy of supporting agribusiness, and the craft food and beverage industry is growing through small and mid-sized breweries, farms and other producers. Rome, the DRI community, has been making targeted investments to provide an ecosystem necessary to attract and retain young professionals and their families, and will further that transformation with DRI funding. The region continues to open doors for refugees, with support for the One World Welcome & Opportunity Center for the Mohawk Valley Resource Center for Refugees. The Center will work to support community engagement, workforce development for refugees, and ultimately develop inclusiveness in the region. A proposed re-purposing of the Herkimer County nursing home will target a challenged population, people with chronic addiction issues seeking recovery, by providing a space for rehabilitation and life skills training.

Five regions should receive awards of up to \$10 million each in ESD Capital Grants to help attract and grow business in their regions. The following regions are designated as Regional Awardees: **Finger Lakes, New York City, North Country, Southern Tier, and Western New York.**

- The **Finger Lakes Regional Economic Development Council's** (FLREDC) momentum is being fueled by a region united around a plan to grow jobs, increase regional wealth, drive private sector investment, and reduce poverty. The FLREDC requires that all projects identify a link to workforce development, including projects supporting the Opportunity Agenda and veterans. For example, Foodlink links together agriculture, food processing, the Opportunity Agenda, and the DRI in Batavia, to foster a creative class of workers who will spur continued job growth. The region may use its Upstate Revitalization Initiative (URI) award to create a "Workforce Development Fund" to address job skills that would specifically support the clusters of optics, photonics and imaging (OPI); agriculture and food processing; and next generation manufacturing. Monroe Community College (MCC) led an analysis of the "middle skills gap," which investigates the set of workers who have more than a high school degree, but do not have a four-year degree. By effectively understanding these areas, the region has successfully created jobs in tooling and machining and optics technicians. It has made significant progress in achieving many of its original overarching goals, including expanding the Eastman Business Park; leveraging the region's colleges and universities for direct employment and preparing workers for the new economy; and expanding and linking agricultural producers and food producers and exporters. The agriculture and food processing cluster has had significant growth since 2010, with total wages increasing by 40 percent, average wages by 17 percent, and the number of jobs by 20 percent (nearly 2,000 jobs).
- The **New York City Regional Economic Development Council** (NYCREDC) continues to focus on its "Opportunity Zones," 15 areas of New York City which have been historically distressed but are primed for investment and growth. It concentrates investment in these areas to ensure an inclusive approach to community revitalization and job creation. The South Bronx

is one of the opportunity areas, and includes the Bronx Civic Center, which was selected as the DRI community. The region is hoping to extend the already booming economic activity to lower-income residents of the community. Employment in New York City is at an all-time high, and competition for jobs is fierce, especially for jobs requiring less formal education. At the same time, high current and future demand exists for skilled applicants in the financial, technology, life sciences and healthcare sectors. The region is taking a sector-based approach to engaging businesses, educational institutions, and nonprofits to help direct state funding to job training and educational programs in high growth sectors. For example, the nonprofit Per Scholas in the South Bronx is partnering with the Fortune 500 company Cognizant to provide no-cost technology skills training and career development resources to create a talent pool for the company. NYREDC now counts the life sciences sector as its regional economic cluster, and has a plan for advancing the cluster that involved other New York regions. The NY Genome Center research consortium will leverage investments in basic research and incubator facilities in a sector primed for growth.

- The **North Country Regional Economic Development Council** (NCREDC) introduced a new strategy this year to attract international sporting events to stimulate private investment and job growth and spark the refurbishment of sports and hospitality facilities. A new Lodging Task Force is creating a model for lodging development that can be replicated to drive sustainable private sector investment. Several projects recommended for funding would improve tourism accommodations. Not only is tourism an important industry for the North Country, it also serves to provide entry level employment and training in soft skills, a need identified most frequently by employers in a regional skills-gap survey. This fall, Clinton Community College offered classes through a new Institute for Advanced Manufacturing, which provides flexible funding to support manufacturing in the transportation equipment and aerospace sector. NCREDC considers TE&A its key industry cluster. Average wages in that sector are nearly 66 percent higher than the total average for the region, and employment has grown by 67% since 2016, with more jobs to come as Norsk Titanium continues its expansion. Employment increased by double digits in the information technology sector (35 percent) and back office sector (24 percent). The region's Opportunity Agenda to support employment of hard-to-place workers is focused this year on projects in St. Lawrence County. Located in Jefferson County, the City of Watertown was selected as the DRI community for 2017. It will use DRI funds to strengthen its position as the primary hub of commerce and culture for Jefferson and neighboring counties.
- The **Southern Tier Regional Economic Development Council** (STREDC) has been consistent in its support for its regional strategies: Build the Greater Binghamton Innovation Ecosystem; Invest in the Advanced Manufacturing Industry; Transform the Food and Agriculture Industry; and Promote the Southern Tier's Innovative Culture. State funds are being used to support mixed-use and mixed-income developments in the region's three "iDistricts" to attract and retain college graduates and skilled workers. A recommended priority project will continue development of the Health Sciences Campus in Johnson City which will house the SUNY Binghamton School of Pharmacy and Pharmaceutical Sciences. Support for downtown revitalization extends beyond those core cities in include places like Watkins Glen,

the region's DRI community. The region has been highly effective at supporting projects that either create or retain new jobs, such as using URI funds to support a major expansion of Alstom for train manufacturing which will create nearly 200 jobs and retain an existing workforce of 1,000. Several projects support growth in the food and agriculture industry, such as industrial hemp, and the number of food processing firms increased from 2016 to 2017. There is extensive cooperation between the public, non-profit, and private sectors to address hard-to-place workers. For example, the Broome County Calice Advanced Manufacturing Center links together social services, academia, industry, and workforce development systems to provide relevant workforce training and upskilling to both traditional and hard-to-place workers.

- The **Western NY Regional Economic Development Council** (WNYREDC) continues its focus on creating job opportunities and building the quality of life that will attract young adults to settle in the region. It is using the smart growth strategy of placemaking to focus investment near existing infrastructure and connecting people with jobs. Nearly all recommended priority projects relate to downtown revitalization, and several projects would help implement the region's first DRI Plan in Jamestown. Support for projects in Olean, the 2017 DRI community, would add to the assets being leveraged in its downtown. Many projects support workforce development, such as phase II of the Gerald Place Community Center to provide space for community needs and training related to health care research and education. WNYREDC demonstrated understanding of the labor market and how to provide training for the middle skills market. WNYREDC works with many different colleges and trade skill schools, such as the Buffalo Center for Art and Technology, the Finishing Trade Institute of Western and Central New York, and the Southern Tier Business Center at Alfred University. The region's key economic cluster is the materials and machinery advanced manufacturing cluster. This year, Alfred University dedicated the Center for High-Temperature Materials Characterization, which is helping to increase the development and analysis of materials crucial to renewable energy systems; and Jamestown Community College opened and expanded Manufacturing Technology Institute.

## **Conclusion**

The Strategic Implementation Assessment Team was impressed by the continued dedication of Regional Council members, work group volunteers, and staff of the Regional Empire State Development offices who serve the Regional Councils. We continue to believe that the continuation of this bottom-up process is taking New York down a path of great success, driven by the informed perspective of REDC members, spurred on by the advancement of new ideas and products by business leaders and educational institutions, and fueled by the development of a workforce across all age groups and socioeconomic strata.

While we may only recommend five regions as top performers, we believe all the regions are winners and extend our thanks and our congratulations to all Region Council members for the outstanding work they are doing to strengthen New York's economy.

# Capital Region REDC

## 2017 Annual Report Assessment

### I. Performance

#### a. Impact on job creation and retention

##### Strengths

- From 2011 – 2016, all CFA projects created 3,781 jobs and retained 3,296 jobs. In 2016, CFA projects retained 596 jobs and created 101 jobs.
- Unemployment rates from decreased by 3.1 percent from 2011 – 2016.
- From 2011 – 2015, the region experienced the lowest unemployment rate of all state regions for veterans, a rate of 6 percent for veterans aged 18 to 64 years.
- Total annual wages increased 19.1 percent from 2011 – 2016, just under the state increase of 19.3 percent. Average annual wages increased 12.6 percent, exceeding the state increase of ten percent.
- From 2011 – 2016, the research and development to commercialization cluster experienced a five percent increase in average annual employment. This cluster closely resembles the state's life sciences priority; employment in the life sciences cluster increased twenty-five percent between 2011 and 2016.

##### Weaknesses

- The region experienced a 0.4 percent decrease in the number of public sector jobs; however, this percent change was less than the state level decrease of 1.6 percent.

#### b. Business growth and leverage of private sector investments

##### Strengths

- From 2011 – 2016, the ratio of total project cost to total ESD Capital Fund awards for all priority projects was 8.69:1; and the ratio of total project cost to all CFA projects was 4.9: 1.
- As of June 2017, the region had the fourth largest number of certified minority and women-owned enterprises (596 MWBEs) and service-disabled, veteran-owned businesses (34 SDVOBs) in the state.
- Helping drive employment were the region's growing number of employer establishments, which increased by 4.9 percent between 2011 and 2016.
- From 2015 – 2016, the following industries experienced growth in exports: agriculture (\$37 million), educational and medical services (\$34 million), royalties (\$28 million), computer and electronic products (\$15 million) and insurance services (\$10 million).
- Royalties, primarily associated with the region's intellectual property, were responsible for \$500 million in exports in 2016. This reflects the importance of the region's priority cluster: research and development to commercialization.
- The region's generation of utility patents increased by 54.4 percent from 2011 to 2015, which is more than double the state's 21.5 percent rate of growth for utility patents; this also supports the regional cluster.

### Weaknesses

- The value of machinery manufacturing and financial services exports dropped steeply by \$75 million and \$52 million, respectively.
- Between 2011 and 2016 the number of private establishments grew by 4.0 percent, as compared to 8.7 percent statewide.

## **II. Projects**

### **a. Solicitation and development of projects that advance the regional economic cluster**

#### Regional Cluster

- The regional cluster is research and development to commercialization, which is closely aligned with the region's Lift-Off strategy that concentrates on expanding research, development and commercialization in the following industries: cleantech, life sciences and gaming/software.

#### Strengths

- Four of eighteen proposed priority projects were reported as supporting the regional cluster.

#### Weaknesses

- None noted.

### **b. Identification of projects to advance State and regional priorities**

#### Strengths

- The CRREDC supported nearly fifty projects that would advance the Opportunity Agenda.
- The Next-Tech strategy, associated with the state's life sciences priority, calls for a coordinated approach for the creation of high-tech, data-driven models that improve patient care and reduce costs through the development and deployment of technology. The CRREDC supported funding of the Innovate 518 Innovation Hot Spot that focuses on R&D and technology transfer.
- The CRREDC Metro strategy supports investments that promote smart growth and drive job creation in urban areas, aligning with the state's focus on downtown revitalization. Improvements in Albany will link the downtown business improvement district with the warehouse district, attracting new businesses and creating jobs.
- The region places a strong emphasis on veterans, which is a major state focus. In partnership with Albany County and the non-profit homeless veterans' organization, the Soldier On project would renovate the former Ann Lee Nursing Home and add new housing adjacent to the home to create a full-service homeless veterans' campus that includes education and training services.

#### Weaknesses

- None noted.

### **c. Advancement of projects to meet workforce development needs**

#### Strengths

- The Region's Talent strategy focuses on growing the pipeline from the K-12 to advanced degrees. An expansion at Hudson Valley Community College will create laboratory and classroom space for the Advanced Manufacturing Technology (AMT) program, doubling enrollment.

- The CRREDC identified numerous worker-training projects to advance the skilled workforce. For example, the Capital Region BOCES proposes expanding its Career and Technical Education (CTE) welding and HVAC programs. These programs train both secondary students and adults, as well as veterans and those with disabilities, low income and no high school diploma or equivalency in the skills they need to enter well-paying jobs.
- The CRREDC supports an application by Global Foundries to help with construction costs to build its Manufacturing Technology Education Center in partnership with the State University of New York, Clarkson University and the Northeast Advanced Technology Education Center. The center would help train workers, educate students from K-12 to adult learners (including veterans) and allow suppliers to test new technologies.
- The Urban Grow Center in Rensselaer County would consist of a two-acre campus of indoor and outdoor public space; an incubator kitchen; an improved food hub; a bank of greenhouses that would support local food production; and an adult job readiness program.

Weaknesses

- None noted.

**d. Identification of projects in the region that would revitalize downtowns**

Strengths

- Over fifty proposed projects were listed as having a downtown revitalization component.
- The Schenectady Innovation Project would renovate 66,000 square feet of water-damaged, deteriorated space in downtown Schenectady to create a center for food and beverage production and development, as well as SUNY/Schenectady County Community College labs and training facilities for culinary education.
- The Starbuck Island Development Project would reclaim an abandoned and contaminated industrial site and would create a livable community along the Green Island waterfront.
- The Troy Innovation District project would revitalize existing building stock to accommodate demand for interconnected wired buildings able to meet technology companies' space needs.
- The River House Project in Hudson would renovate and upgrade a historically significant abandoned schoolhouse building to create workspace for creative professionals, with a special focus on the film industry. It is projected to bring 100 new professionals to the region. The City of Hudson downtown was selected as the round two DRI community.
- One project was identified related to the downtown region's 2016 DRI winner, Glens Falls. It would extend a multi-use trail and footbridge separating Pruyn Island from the downtown.

Weaknesses

- None noted.

**III. Progress**

**a. Implementation of strategies in the 5-year regional strategic economic development plan and plan updates**

Strengths

- The region has developed numerous training and educational opportunities as part of its Talent strategy. GE Power donated a mobile science and technology trailer to the Schenectady City School District called the Brilliant Career Lab, which houses advanced manufacturing equipment with the goal of provoking students' interests in technology careers.

- The CRREDC has created many placemaking spaces under its Metro strategy. The Universal Preservation Hall (2015 CFA) project in Saratoga Springs enabled a nonprofit group to partner with Proctor’s to restore a 146-year-old former downtown church into two multi-use performance spaces, administrative offices and an elevator for increased accessibility. Restoration work began in June 2017 and the hall is scheduled to open in Fall 2018.
- The region has adopted a cohesive approach to work with regional healthcare providers, HMOs and insurance carriers to develop a shared database to assist with population health data analytics. NewCo is in its early stages, and the region is looking for a long-term location. CRREDC is leveraging the Center for Economic Growth (CEG) and its Bioconnex network to work synergistically with various components of the region’s life sciences sector.
- Examples of the advancement of gateway strategies include a new roll-on and roll-off barge slip at the Port of Albany; an enhanced its warehouse and distribution ecosystem; and easing by American Medical Depot of 62,500 sf of warehouse space at Rotterdam Corporate Park.
- The Sustainable Aqua Farm (SAF) is addressing the CRAFT strategy by connecting New York and New England consumers with organic lettuce hydroponically grown in renovated greenhouses, bolstering the region’s agriculture, food, and tourism ecosystem in rural areas.

#### Weaknesses

- None noted.

### **b. Execution of strategies that address emerging state and regional challenges and opportunities**

#### Strengths

- In Troy and Albany, poverty reduction programs funded by the Governor’s Empire State Poverty Reduction Initiative have been implemented, which advances the region’s Opportunity Agenda. These include workshops on a variety of issues, including access to quality food, workforce development training and barrier remediation. In Rensselaer, the Boys and Girls Club is using CFA funds to repair its building to provide 2,000 children with a safer after-school environment.
- The Capital Region’s gaming/software industry supports both entertainment and the life sciences sector. In FY2016 SUNY Poly startups 1<sup>st</sup> Playable Productions and My Music Machines developed games that counter cognitive decline, make microscopy fun for middle schoolers, and add a gaming component to respiratory therapy.
- Major life sciences-related CFA projects were completed in the past year: the expansion of lab space at UAlbany’s Biotechnology Training Center, the development of UAlbany’s RNA Institute, and the Albany College of Pharmacy and Health Sciences’ renovation of laboratory space and installation of a donated Nuclear Molecular Resonance Spectrometer. Additionally, RPI launched its Center for Health Empowerment by Analytics, Learning, and Semantics, which will utilize IBM Watson’s cognitive computing capabilities to help patients from developing chronic conditions.
- The region is developing strong relationships with foreign investors, such as GlobalFoundries and SABIC, to enhance Global NY and its tradeable sectors. The EB-5 Regional Center, established with CFA funding, has developed partnerships with foreign investors and initiated development projects. Partners have continued to utilize cross-regional industry attraction campaigns under the NY Loves Technology banners led by regional economic development organizations and National Grid.
- Innovate 518, the Capital Region Innovation Hot Spot, is jointly led by UAlbany, RPI and CEG. In just two years, the program and newly launched website have become the starting point for innovation-oriented startups to progress towards commercialization. SUNY Adirondack’s “Armed to Farm” training program uses the network to help veterans manage their own farms.

### Weaknesses

- The region does not discuss how it will address its population growth of 0.4 percent or outmigration issues. Outmigration is important to consider in relation to the region's desire for a skilled workforce and abundance of college and university students.

## **c. Success in job training and engagement of hard-to-place workers**

### Strengths

- The region strongly focuses on returning veterans to the workforce, supporting programs that broker connections between veterans and employers interested in hiring veterans as part of their recruitment mission. One-Stop Career Centers continue to provide prioritized job search and workforce development services through the Disabled Veteran Outreach Program and Local Employment Veteran's Resource program, as well as support transition assistance programs.
- The region has improved in reaching small businesses and MWBEs. The Community Loan Fund provides a no-cost training program and microenterprise loan funds to small businesses owned by women, minorities and veterans in Albany, Schenectady and Rensselaer Counties to cover up-front capital costs to grow their businesses.
- The CRREDC continued developing a plan to minimize the growing gap in wages and employment opportunities between highly-skilled and middle- to low-skilled workers. Efforts included sending surveys to employers and brainstormed opportunities to provide credit-bearing and credit-free coursework. Schenectady County Community College (SCCC) has been engaged in several projects that target skills gaps. In REDC Round 6, SCCC was funded in partnership with Albany Can Code, a local non-profit focused on providing software coding classes to non-traditional students specifically from under-resourced backgrounds
- SCCC also continues to manage the Upstate Partnership for Healthcare Pathways in conjunction with SUNY Adirondack, Fulton Montgomery Community College and the Schenectady and Albany Community Action agencies, which provides healthcare skills training to individuals who are at the 200 percent federal poverty line or eligible for Temporary Assistance to Needy Families (TANF).
- The Tech Valley Center of Gravity used CFA 2015 funding for a ThinkQubator that provides immersive science programs to middle school-aged children in underserved Capital Region communities.

### Weaknesses

- While the region has identified a way to ensure a skilled and talented pipeline of workers for the advanced manufacturing industry, including those in the medical device manufacturing industry; no such plans have been developed for other sectors (healthcare, biotechnology/life sciences, research and development, and logistics and transportation).

## **d. Advancement and completion of prior round Priority Projects**

### Strengths

- 61 percent of all priority projects (70 of 115) are completed or on schedule.
- 80 percent of all CFA projects (478 of 596) are completed or on schedule.
- 7.6 percent of all CFA projects have been declined or withdrawn.

### Weaknesses

- None noted.

## IV. Planning

### a. Quality of the Annual Report

#### Strengths

- The report is well designed and has attractive graphics/imagery. The project placement maps and tables indicating regional and state strategies are especially helpful.

#### Weaknesses

- None noted.

### b. Ability to take the next steps in growing the regional economy

#### Strengths

- The Capital Region has higher concentrations of doctorate (1.7%), master (11.1%) and associate degrees (11.8%) than the state average. This is advantageous because the research and development to commercialization cluster heavily relies on workers with advanced degrees.
- The number of STEM degrees being awarded by both two-year and four-year colleges is increasing.
- From 2011 – 2015, the amount of university R&D space increased by 32.9 percent. Close to half of the space was dedicated to academic engineering R&D, giving the Albany-Schenectady-Troy metro area the seventh greatest amount of this type of R&D space in the nation.

#### Weaknesses

- Although the region indicates large increases in the number of individuals receiving STEM degrees, there is little mention of efforts taken to retain graduates in the area as part of the region's workforce.

### c. Coordination with institutions of higher learning to address regional workforce development needs

#### Strengths

- The region utilizes a plethora of R&D assets from regional colleges and universities.
- Albany Medical College's Biomedical Accelerator and Commercialization Center (BACC) engages stakeholders to stimulate health care innovation. The new BACC Academy hosted its first Biomedical Innovation Business Plan competition where five biotech startup companies were awarded \$25,000.

#### Weaknesses

- None noted.

### d. Collaboration with local governments in advancing opportunities for improved efficiency

#### Strengths

- The region provided information about applicants for local government efficiency grants.
- Local government officials attended the thirteen CFA workshops and seminars that were hosted region wide. In addition, the regional ESD office provided a CFA information session for a Local

Government Council (LGC), which consists of elected local officials from Capital Region towns, cities and villages.

Weaknesses

- None noted.

**e. Development of regional metrics to monitor performance**

Strengths

- The region includes all required general economic and quality of life indicators in its proposal.
- The CRREDC’s key regional indicators highlight its research and development to commercialization cluster, including educational attainment, number of STEM degrees awarded at two and four-year institutions, and utility patent generation.

Weaknesses

- The report would be improved with the addition of indicators related to additional regional strategies.

## V. Participation

**a. Engagement of the community, public and other stakeholders in the Regional Council process**

Strengths

- The CRREDC has seven workgroups that are wide-ranging and inclusive, with members from all eight counties participating.
- The Center for Economic Growth is forming working groups that will include CRREDC membership. They will develop action-oriented strategies to advance Capital 20.20 and the region’s strategic industries.
- The region hosted thirteen workshops and seminars region wide with ESD staff to encourage community participation in the project pipeline process. These workshops helped drive 140 one-on-one follow-up meetings and seventeen proposed project site tours.
- The region also sent out blast informational emails, which reached 27,715 individuals, and welcomed information requests, which reached sixty-eight individuals.

Weaknesses

- None noted.

**b. Regional unity and support of the Annual Report**

Strengths

- None noted.

Weaknesses

- No proposed priority projects were in Washington or Greene Counties, and little is mentioned about developing rural areas. However, the region did express the need for obtaining more flexible funding for smaller communities.

CFA #	Region	Agency	Program	Project Name	Business Name	Agency Score	REDC Score	Total Score	Award	Awarded / Not Awarded	Recusal(s)
74857	Capital District	Ag & Markets	Ag & Mkts GCAP	Administration of New York State Grown Certified Program for the Capital Region	Hudson Mohawk Resource Conservation Development Council	73.00	20	93.00	\$500,000	Awarded	Todd Erling
74983	Capital District	Arts	Arts ACFIP	Seating and Hearing Loop Accessibility Project	Arts Center and Theatre of Schenectady, Inc.	67.00	20	87.00	\$500,000	Awarded	Bob Blackman, Philip Morris, Joe Dragone, Dave Buicko
71304	Capital District	Arts	Arts ACFIP	LUMBERYARD Facility Improvement	Lumberyard Contemporary Performing Arts	68.00	10	78.00	\$0	Not-Awarded	
75067	Capital District	Arts	Arts ACFIP	Accessible Atrium and Box Office	Universal Preservation Hall	34.00	20	54.00	\$0	Not-Awarded	Philip Morris, Andrew Meader, Andrew Meader, Dave Buicko, Dennis Brobston
73486	Capital District	Arts	Arts ACFIP	Yaddo 2017	The Corporation of Yaddo	32.00	20	52.00	\$0	Not-Awarded	Dennis Brobston
75478	Capital District	Arts	Arts ACFIP	Palace Theatre Renovation and Revitalization Project	Palace Performing Arts Center Inc	30.00	20	50.00	\$0	Not-Awarded	Jeff Stark, Philip Morris
71840	Capital District	Arts	Arts ACFIP	theREP at Livingston Square	Capital Repertory Company	28.00	15	43.00	\$0	Not-Awarded	Bob Blackman, Philip Morris, Linda MacFarlane, Dave Buicko
74942	Capital District	Arts	Arts ACFIP	Hubbard Hall Restoration Renovation and Advancement	Hubbard Hall Projects, Inc.	31.00	10	41.00	\$0	Not-Awarded	Linda MacFarlane
75581	Capital District	Arts	Arts ACIP	River Sings New York Music Festival	Albany Symphony, Inc.	70.00	20	90.00	\$75,000	Awarded	Linda MacFarlane, Lauren Payne
75079	Capital District	Arts	Arts ACIP	A celebration of the 200th anniversary of Thomas Cole's arrival in America	Thomas Cole Historic House	64.00	20	84.00	\$52,000	Awarded	
74043	Capital District	Arts	Arts ACIP	Spirit of the Suffragettes	Media Alliance Inc	56.00	10	66.00	\$0	Not-Awarded	Linda MacFarlane
75034	Capital District	Arts	Arts ACIP	Albany and Troy: A Partnership for Public Art	Arts Center of the Capital Region	45.00	20	65.00	\$0	Not-Awarded	Linda MacFarlane
75655	Capital District	Arts	Arts ACIP	Lock by Lock: Portraits of the Champlain Canal	Lakes to Locks Passage	50.00	15	65.00	\$0	Not-Awarded	Linda MacFarlane, Dennis Brobston
75556	Capital District	Arts	Arts WIP	Administrative Workforce Expansion	Basilica Arts Inc.	60.00	20	80.00	\$45,000	Awarded	Mike Tucker
77249	Capital District	Arts	Arts WIP	Artistic Workforce Expansion	Albany Barn Inc.	55.00	20	75.00	\$32,250	Awarded	
77056	Capital District	Arts	Arts WIP	Administrative Workforce Expansion	Hudson River Music Hall Productions, Inc.	54.00	20	74.00	\$48,750	Awarded	Linda MacFarlane
76329	Capital District	Arts	Arts WIP	Administrative Workforce Expansion	Museum Association of New York	64.00	10	74.00	\$29,550	Awarded	
73455	Capital District	Arts	Arts WIP	Administrative Workforce Expansion	Shaker Museum Library	60.00	10	70.00	\$49,400	Awarded	Mike Tucker

CFA #	Region	Agency	Program	Project Name	Business Name	Agency Score	REDC Score	Total Score	Award	Awarded / Not Awarded	Recusal(s)
74958	Capital District	Arts	Arts WRP	Workforce Training and Development Expansion	Hubbard Hall Projects, Inc.	63.00	20	83.00	\$63,200	Awarded	Linda MacFarlane
75519	Capital District	Arts	Arts WRP	Workforce Training and Development Expansion	Hudson Opera House	61.00	15	76.00	\$45,000	Awarded	Mike Tucker
73657	Capital District	Arts	Arts WRP	Workforce Training and Development Expansion	New York Folklore Society	61.00	10	71.00	\$75,000	Awarded	Linda MacFarlane
73297	Capital District	Canals	Canals	Matton Shipyard Preservation Adaptive Reuse Initiative	Erie Canalway Heritage Fund Inc.	77.00	20	97.00	\$150,000	Awarded	
76596	Capital District	Canals	Canals	Champlain Canal Regional Gateway Exhibits	Town of Saratoga	64.00	15	79.00	\$0	Not-Awarded	Dennis Brobston
75160	Capital District	Canals	Canals	South End Trail Connector Low Line Linear Park	City of Albany	64.00	15	79.00	\$0	Not-Awarded	
76333	Capital District	Canals	Canals	Town of Colonie Town Park Enhancements	Town Of Colonie	46.00	10	56.00	\$0	Not-Awarded	
75284	Capital District	Canals	Canals	Champlain Canalway Trail Improvements	Washington County	28.00	20	48.00	\$0	Not-Awarded	Todd Erling
77134	Capital District	DEC	DEC CSC C	Greenhouse Gas Inventory and Vulnerability Assessment	City of Schenectady	54.00	5	59.00	\$28,000	Awarded	Philip Morris
76290	Capital District	DEC	DEC CSC C	Natural Resource Inventory	City of Saratoga Springs	38.00	15	53.00	\$15,000	Awarded	Dennis Brobston
72848	Capital District	DEC	DEC CSC I	Construction of Remsen Street Sidewalks - Ontario Street to Howard Street	City of Cohoes	61.75	20	81.75	\$464,100	Awarded	
76985	Capital District	DEC	DEC CSC I	Construction of NYS Route 146 Sidewalk Extension	Town of Clifton Park	38.50	10	48.50	\$220,000	Awarded	Dennis Brobston
74829	Capital District	DEC	DEC EPG	Wastewater Treatment Plant Effluent Disinfection Study	Village of Hoosick Falls	75.00	20	95.00	\$30,000	Awarded	
77003	Capital District	DEC	DEC EPG	Columbia Street Green Infrastructure Phase II	City of Cohoes	75.00	15	90.00	\$72,000	Awarded	
73019	Capital District	DEC	DEC EPG	Wastewater Sanitary Sewer Study	City of Watervliet	52.00	15	67.00	\$30,000	Awarded	
75205	Capital District	DEC	DEC EPG	Engineers Report for the Caldwell Sewer District	Town of Lake George	42.00	15	57.00	\$100,000	Awarded	
76197	Capital District	DEC	DEC EPG	Infiltration and Inflow Reduction Study	Village of Menands	42.00	15	57.00	\$30,000	Awarded	
75199	Capital District	DEC	DEC EPG	Inflow and Infiltration Study	Village of Scotia	42.00	15	57.00	\$30,000	Awarded	
72695	Capital District	DEC	DEC EPG	Glen Lake Sewer Feasibility Study	Town of Queensbury	21.00	20	41.00	\$0	Not-Awarded	
74272	Capital District	DEC	DEC WQIP AHR	Warren County Route 11 Aquatic Connectivity Improvement Project	Warren County Soil and Water Conservation District	43.00	10	53.00	\$0	Not-Awarded	
74455	Capital District	DEC	DEC WQIP MS4	Schodack Outfall Mapping	Town of Schodack	40.00	10	50.00	\$18,675	Awarded	

CFA #	Region	Agency	Program	Project Name	Business Name	Agency Score	REDC Score	Total Score	Award	Awarded / Not Awarded	Recusal(s)
75961	Capital District	DEC	DEC WQIP NPS	Stormwater Abatement Project	Warren County Soil and Water Conservation District	80.00	10	90.00	\$114,398	Awarded	
72904	Capital District	DEC	DEC WQIP NPS	Lake View Circle Stormwater Projects	Town of Lake George	78.00	10	88.00	\$125,000	Awarded	
76669	Capital District	DEC	DEC WQIP NPS	Lake George Regional Road Salt Delcing Reduction Project	Village of Lake George	60.00	15	75.00	\$200,000	Awarded	
76750	Capital District	DEC	DEC WQIP NPS	Rockhurst Road Stormwater Management with the PaveDrain System	Town of Queensbury	33.00	5	38.00	\$0	Not-Awarded	
76763	Capital District	DEC	DEC WQIP Salt	Salt Storage Project	Town of Guilderland	27.00	5	32.00	\$0	Not-Awarded	
74454	Capital District	DEC	DEC WQIP SWP	Rotterdam Land Acquisition for Source Water Protection	Town of Rotterdam	70.00	20	90.00	\$48,750	Awarded	
74912	Capital District	DEC	DEC WQIP SWP	Rensselaer County Land Protection Program	Rensselaer Land Trust	64.00	15	79.00	\$1,500,000	Awarded	
76817	Capital District	DEC	DEC WQIP WWT CSO/SSO	City of Albany, Floatables Control Facilities	Albany Water Board	62.00	20	82.00	\$5,000,000	Awarded	
75786	Capital District	DEC	DEC WQIP WWT GEN	Wastewater Treatment Facility Upgrade	Village of Lake George	58.00	20	78.00	\$2,500,000	Awarded	
72615	Capital District	DEC	DEC WQIP WWT GEN	Sewer Sliplining	Town of Lake George	60.00	15	75.00	\$120,000	Awarded	
74220	Capital District	DEC	DEC WQIP WWT GEN	Washington County Sewer Overflow Abatement	Washington County Sewer District 2	52.00	20	72.00	\$977,000	Awarded	
74570	Capital District	DEC	DEC WQIP WWT GEN	Washington County Fort Edward 1A Stormwater Separation	Washington County Sewer District 2	52.00	20	72.00	\$0	Not-Awarded	
72390	Capital District	DOL	DOL EET	Enhanced Manufacturing Skills Training	Finch Paper, LLC	38.90	20	58.90	\$0	Not-Awarded	
73605	Capital District	DOL	DOL EET	Project Management Skills Training	Autotask Corporation	36.40	15	51.40	\$0	Not-Awarded	
76210	Capital District	DOL	DOL UWT	Capital District WERCforce Computer Call Center Training	Capital District Women's Employment Resource Center, Inc.	59.50	20	79.50	\$18,000	Awarded	Lauren Payne
75306	Capital District	DOL	DOL UWT	Advanced Healthcare Skills Training	Schenectady Community Action Program, Inc.	58.20	20	78.20	\$99,806	Awarded	
76336	Capital District	DOL	DOL UWT	ACAP Career Services	Albany County Opportunity, Inc.	57.20	20	77.20	\$99,025	Awarded	
76201	Capital District	DOL	DOL UWT	Certified Nursing Assistants for The Eddy	Northeast Health Foundation, Inc.	56.00	20	76.00	\$100,000	Awarded	
75239	Capital District	DOL	DOL UWT	Capital Region Software Talent Training	Schenectady County Community College	54.20	20	74.20	\$37,800	Awarded	
76725	Capital District	DOL	DOL UWT	CTE Health Careers program	Capital Region BOCES	52.80	20	72.80	\$99,700	Awarded	Joe Dragone
65541	Capital District	DOL	DOL UWT	Transportation Training Program	Columbia Greene Community College	49.90	20	69.90	\$50,330	Awarded	Mike Tucker

CFA #	Region	Agency	Program	Project Name	Business Name	Agency Score	REDC Score	Total Score	Award	Awarded / Not Awarded	Recusal(s)
76397	Capital District	DOL	DOL UWT	Central Processing Technician	St. Peter's Hospital Foundation, Inc.	42.00	20	62.00	\$98,700	Awarded	
72986	Capital District	DOL	DOL UWT	Mechatronics Training for Adults	Rotterdam Mohonasen Central School District	39.00	20	59.00	\$0	Not-Awarded	Joe Dragone
73394	Capital District	DOS	DOS LGE	Capital Region Shared Student Transportation Project II	Capital Region BOCES	63.33	20	83.33	\$169,557	Awarded	Joe Dragone
75802	Capital District	DOS	DOS LGE	Green Island/Watervliet Water Interconnect	Village of Green Island	52.67	15	67.67	\$274,000	Awarded	
72825	Capital District	DOS	DOS LGE P	DPW and Motorpool Consolidation Study	Town of Lake George	56.00	10	66.00	\$25,000	Awarded	
75516	Capital District	DOS	DOS LWRP	Pruyn's Island Connection to Downtown Glens Falls	City of Glens Falls	74.00	20	94.00	\$363,750	Awarded	
75284	Capital District	DOS	DOS LWRP	Champlain Canalway Trail Improvements	Washington County	70.00	20	90.00	\$430,000	Awarded	Todd Erling
76021	Capital District	DOS	DOS LWRP	Local Waterfront Revitalization Program Amendment	City of Albany	73.00	15	88.00	\$85,000	Awarded	
74503	Capital District	DOS	DOS LWRP	Lake George Invasive Species Eradication	Warren County	72.00	15	87.00	\$600,000	Awarded	
75389	Capital District	DOS	DOS LWRP	Local Waterfront Revitalization Program	Village of Catskill	77.00	10	87.00	\$85,000	Awarded	
74870	Capital District	DOS	DOS LWRP	Battenkill Waterfront Park Master Plan	Town of Greenwich	71.00	15	86.00	\$32,000	Awarded	
75237	Capital District	DOS	DOS LWRP	Mohawk Harbor Dockage Design & Multi-Use Path Construction	City of Schenectady	66.00	20	86.00	\$503,251	Awarded	Dave Buicko
76563	Capital District	DOS	DOS LWRP	Congress Street Bridge at River Street Feasibility Study	City of Troy	70.00	15	85.00	\$0	Not-Awarded	
73876	Capital District	DOS	DOS LWRP	Gooseberry Creek Corridor Revitalization Strategy Implementation	Village of Tannersville	75.00	10	85.00	\$0	Not-Awarded	
73856	Capital District	DOS	DOS LWRP	Lake George Village Streetscape Improvements	Village of Lake George	69.00	15	84.00	\$0	Not-Awarded	
75664	Capital District	DOS	DOS LWRP	Champlain Canal Regional Gateway Visitors Center	Town of Saratoga	72.00	10	82.00	\$0	Not-Awarded	Dennis Brobston
74893	Capital District	DOS	DOS LWRP	Scotia Glenville Mohawk Hudson Bike Hike Trail Reconstruction	Town of Glenville	72.00	10	82.00	\$0	Not-Awarded	
73225	Capital District	DOS	DOS LWRP	Troy Parks and Recreation Master Plan	City of Troy	67.00	15	82.00	\$0	Not-Awarded	
75899	Capital District	DOS	DOS LWRP	Rogers Memorial Park Phase 5 Improvements	Town of Bolton	61.00	20	81.00	\$0	Not-Awarded	
74464	Capital District	DOS	DOS LWRP	Village of Coxsackie Waterfront Revitalization Strategy	Village of Coxsackie	71.00	10	81.00	\$0	Not-Awarded	

CFA #	Region	Agency	Program	Project Name	Business Name	Agency Score	REDC Score	Total Score	Award	Awarded / Not Awarded	Recusal(s)
72408	Capital District	DOS	DOS LWRP	First Wilderness Plan Implementation 2017	Warren County	65.00	15	80.00	\$0	Not-Awarded	
72682	Capital District	DOS	DOS LWRP	Van Schaick Island Dock and Kayak Launch	City of Cohoes	59.50	20	79.50	\$0	Not-Awarded	
74374	Capital District	DOS	DOS LWRP	Hudson River Park Plan and Trail Improvements	Town of Moreau	69.00	10	79.00	\$0	Not-Awarded	Dennis Brobston
74325	Capital District	DOS	DOS LWRP	Lake George South Basin Watershed Implementation	Town of Lake George	69.00	10	79.00	\$0	Not-Awarded	
75181	Capital District	DOS	DOS LWRP	Local Waterfront Revitalization Strategy	Village of Menands	69.00	10	79.00	\$0	Not-Awarded	
73373	Capital District	DOS	DOS LWRP	Grace Park Design and Construction	Village of Hudson Falls	49.50	15	64.50	\$0	Not-Awarded	
76744	Capital District	DOS	DOS LWRP	Multimodal Circulation Plan, Streetscape and Demolition Implementation	City of Hudson	25.00	15	40.00	\$0	Not-Awarded	Mike Tucker
76333	Capital District	DOS	DOS LWRP	Colonie Town Park Enhancements	Town of Colonie	28.00	10	38.00	\$0	Not-Awarded	
73362	Capital District	EFC	EFC GIGP	Canal Square Park	City of Cohoes	48.00	20	68.00	\$415,000	Awarded	
74144	Capital District	EFC	EFC GIGP	The Urban Grow Center	Capital Roots	30.00	20	50.00	\$0	Not-Awarded	Linda MacFarlane, Todd Erling
63587	Capital District	EFC	EFC GIGP	Permeable Parking Area and Green Infrastructure Practices To Treat and Reduce Stormwater Runoff	Cornell Cooperative Extension of Columbia and Greene Counties	29.00	20	49.00	\$0	Not-Awarded	Todd Erling
75724	Capital District	EFC	EFC GIGP	Hudson Falls Paris Park	Village of Hudson Falls	32.00	15	47.00	\$0	Not-Awarded	
75622	Capital District	EFC	EFC GIGP	Quackenderry Creek Wetland and Floodplain Improvements	City of Rensselaer	32.00	15	47.00	\$0	Not-Awarded	
76750	Capital District	EFC	EFC GIGP	Rockhurst Road Stormwater Management with the PaveDrain System	Town of Queensbury	26.00	5	31.00	\$0	Not-Awarded	
74874	Capital District	EFC	EFC GIGP	Village of Menands Village Offices Site Improvements	Village of Menands	18.00	10	28.00	\$0	Not-Awarded	
76728	Capital District	ESD	ESD Grants	Digital Fabrication Advanced Manufacturing	Digital Fabrication Workshop, Inc.	76	20	96	\$300,000	Awarded	Matt Nelson, Mike Tucker
73276	Capital District	ESD	ESD Grants	Plant Expansion	Flomatic Corporation	76	20	96	\$350,000	Awarded	
75342	Capital District	ESD	ESD Grants	Advanced Manufacturing Training Program	Hudson Valley Community College	75	20	95	\$2,900,000	Awarded	Joe Dragone, Drew Matonak
72449	Capital District	ESD	ESD Grants	PGS Millwork Expansion	PGS Millwork, Inc.	75	20	95	\$300,000	Awarded	Mike Tucker, Todd Erling
76784	Capital District	ESD	ESD Grants	Starbuck Island Development	South Island Apartments, LLC	74	20	94	\$1,790,000	Awarded	
71567	Capital District	ESD	ESD Grants	Craft NY Supply Chain	Z-HUB	72	20	92	\$300,000	Awarded	
75846	Capital District	ESD	ESD Grants	CTE Welding and HVAC Program	Albany-Schoharie-Schenectady-Saratoga Board of Cooperative Educational Services	72	20	92	\$130,000	Awarded	Joe Dragone

CFA #	Region	Agency	Program	Project Name	Business Name	Agency Score	REDC Score	Total Score	Award	Awarded / Not Awarded	Recusal(s)
77148	Capital District	ESD	ESD Grants	Maker Space at Hillside View	Albany Barn, Inc.	71	20	91	\$85,000	Awarded	
75420	Capital District	ESD	ESD Grants	River House Renovation	River House Project LLC	70	20	90	\$225,000	Awarded	Mike Tucker
77176	Capital District	ESD	ESD Grants	West Mountain Ski Area Improvements	West Mountain	70	20	90	\$400,000	Awarded	
75147	Capital District	ESD	ESD Grants	Broadway Streetscape Project	City of Albany	69	20	89	\$150,000	Awarded	
73926	Capital District	ESD	ESD Grants	OPs Microbrewery	Hudson Hollow Hops, Inc	69	20	89	\$90,000	Awarded	
75232	Capital District	ESD	ESD Grants	Schenectady Innovation Project	Re4orm Architecture	68	20	88	\$2,900,000	Awarded	Dave Buicko
76185	Capital District	ESD	ESD Grants	Soldier On Project	Albany County	68	20	88	\$100,000	Awarded	
74144	Capital District	ESD	ESD Grants	The Urban Grow Center	Capital Roots	68	20	88	\$700,000	Awarded	Linda MacFarlane, Todd Erling
75245	Capital District	ESD	ESD Grants	Troy Innovation District	The Quackenbush Building Inc.	73	15	88	\$4,000,000	Awarded	
72561	Capital District	ESD	ESD Grants	TechPark	Stored Technology Solutions Inc.	68	15	83	\$255,000	Awarded	Andrew Meader
74184	Capital District	ESD	ESD Grants	Basilica Hudson Gallery Building Renovation and Upgrade	Basilica Arts Inc.	67	15	82	\$75,000	Awarded	Mike Tucker
75505	Capital District	ESD	ESD Grants	Coarc Ecycle	Coarc Columbia County Chapter of NYSARC, Inc.	67	15	82	\$230,000	Awarded	Mike Tucker
77159	Capital District	ESD	ESD Grants	Manufacturing Technology Education Center	The Pike Companies, Ltd.	67	15	82	\$1,700,000	Awarded	Andrew Matonak, Joe Dragone, Mike Tucker, Dennis Brobston
75478	Capital District	ESD	ESD Grants	Palace Theatre Renovation and Revitalization Project	Palace Performing Arts Center Inc	62	20	82	\$2,500,000	Awarded	Jeff Stark, Philip Morris
76646	Capital District	ESD	ESD Grants	723 Warren St Restoration	723 Warren St. LLC	66	15	81	\$120,000	Awarded	Matt Nelson
76944	Capital District	ESD	ESD Grants	Hedley Training Facility	The Sabre Companies LLC	71	10	81	\$400,000	Awarded	
76738	Capital District	ESD	ESD Grants	CEG TechMed Ventures RD Prototyping Center	Center for Economic Growth	65	15	80	\$0	Not Awarded	David Buicko, Lauren Payne, Lauren Payne, Mike Hickey, Omar Usmani
75175	Capital District	ESD	ESD Grants	Starlite Theater Redevelopment	Starlite Associates, LLC	55	20	75	\$0	Not Awarded	Dave Buicko
75037	Capital District	ESD	ESD Grants	Schuyler Building Renovation	University at Albany, SUNY	53	20	73	\$0	Not Awarded	Jeff Stark, Omar Usmani
75724	Capital District	ESD	ESD Grants	Hudson Falls Paris Park	Village of Hudson Falls	57	15	72	\$0	Not Awarded	
76017	Capital District	ESD	ESD Grants	Defending Small Businesses from Cyber Threats through University Industry Collaboration	UAlbany	56	15	71	\$0	Not Awarded	Omar Usmani
74963	Capital District	ESD	ESD Grants	CMOST Relocation	Children's Museum of Science and Technology	59	10	69	\$0	Not Awarded	Bob Blackman, Dennis Brobston
75998	Capital District	ESD	ESD Grants	Sustainable Agriculture Business Expansion Phase II	Sustainable Aqua Farms (SAFE Holding Co. LLC)	54	15	69	\$0	Not Awarded	Mike Tucker
75959	Capital District	ESD	ESD Grants	2 Center	Unihog	53	10	63	\$0	Not Awarded	
71777	Capital District	ESD	ESD Grants	City Station North	CityStation North, LLC	53	10	63	\$0	Not Awarded	
76971	Capital District	ESD	ESD Grants	Definitive Bone	Definitive Bone LLC	40	10	50	\$0	Not Awarded	
76423	Capital District	ESD	ESD Grants	EBA Theater Rehabilitaiton	351 Hudson Avenue, LLC	40	10	50	\$0	Not Awarded	

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75812	Capital District	ESD	ESD Grants	AlbanyColonie Water Distribution System Interconnection	Albany Water Board	39	10	49	\$0	Not Awarded	
74446	Capital District	ESD	ESD Grants	Columbia County Sewer District #1 Wastewater Treatment Facility	Columbia County	39	10	49	\$0	Not Awarded	Matt Nelson, Mike Tucker, Todd Erling
74605	Capital District	ESD	ESD Grants	Ambulatory Care in Northern Saratoga County	Glens Falls Hospital	36	10	46	\$0	Not Awarded	Bill Hart, Dennis Brobston
75906	Capital District	ESD	ESD Grants	Scaroon Manor Revitalization	Adirondack Shakespeare Company, Ltd.	36	10	46	\$0	Not Awarded	
74136	Capital District	ESD	ESD Grants	River Street Center Chatham	River Street Center Chatham LLC	35	10	45	\$0	Not Awarded	Mike Tucker
77149	Capital District	ESD	ESD Grants	Capital District Gondola	Capital Gondola LLC	32	10	42	\$0	Not Awarded	
73361	Capital District	ESD	ESD Grants	Adirondack Aquatic Center	Adirondack Aquatic Center Inc	30	10	40	\$0	Not Awarded	Dennis Brobston
72982	Capital District	ESD	ESD Grants	CGR Townhouse Development Project	Catskill Golf Resort	25	10	35	\$0	Not Awarded	
76005	Capital District	ESD	ESD Grants	Albany County Nursing Home Tower	County of Albany	24	10	34	\$0	Not Awarded	Jeff Stark, Bob Blackman
75986	Capital District	ESD	ESD Grants	Dome	AJDP Holdings LLC	24	10	34	\$0	Not Awarded	
76205	Capital District	ESD	ESD Grants	The GymRat CHALLENGE....Bringing tomorrow's NCAA, NBA, and WNBA stars to New York State's Capital District	GymRat Basketball, LLC	24	5	29	\$0	Not Awarded	
75835	Capital District	ESD	ESD Grants	The Center for Student Diversity, Empowerment and Inclusive Leadership	The College of Saint Rose	23	5	28	\$0	Not Awarded	
76047	Capital District	ESD	ESD Grants	164 Columbia	Turnpike Redevelopment, LLC	18	0	18	\$0	Not Awarded	
71662	Capital District	ESD	ESD HSSP	Innovate 518 - Capital Region Innovation Hot Spot	University at Albany	58.00	20	78.00	\$1,250,000	Awarded	Omar Usmani
73043	Capital District	ESD	ESD MNY	New York State Craft Brewers Conference	New York State Brewers Association	74.00	20	94.00	\$53,796	Awarded	
74540	Capital District	ESD	ESD MNY	Erie Canalway 200 Marketing Campaign	Erie Canalway Heritage Fund, Inc.	68.33	20	88.33	\$124,575	Awarded	
74963	Capital District	ESD	ESD MNY	The Children's Museum of Science and Technology Relocation Project	Children's Museum of Science and Technology	62.00	10	72.00	\$200,000	Awarded	Bob Blackman, Dennis Brobston
73809	Capital District	ESD	ESD MNY	Skywalk Marketing Campaign & Special Event	Thomas Cole Historic House	50.33	20	70.33	\$225,000	Awarded	
73323	Capital District	ESD	ESD MNY	Adventure Travel Trade Association 2019 ELEVATE Conference	Lake George Convention Visitors Bureau & Chamber of Commerce	49.67	20	69.67	\$166,410	Awarded	
75423	Capital District	ESD	ESD MNY	Destination Basilica Hudson	Basilica Arts, Inc.	51.33	15	66.33	\$0	Not-Awarded	Mike Tucker
75581	Capital District	ESD	ESD MNY	2018 Music Festival	Albany Symphony Orchestra, Inc.	44.00	20	64.00	\$0	Not-Awarded	Linda MacFarlane, Lauren Payne
73818	Capital District	ESD	ESD MNY	Exploring The Hudson Valley	New York Bicycling Coalition, Inc.	45.33	15	60.33	\$0	Not-Awarded	
76267	Capital District	ESD	ESD MNY	Saratoga Automobile Auction	Saratoga Auto Museum	44.33	10	54.33	\$0	Not-Awarded	Dennis Brobston

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75858	Capital District	ESD	ESD MNY	Troy Cultural Alliance 2017 Project	Troy Cultural Alliance	42.33	10	52.33	\$0	Not-Awarded	Lauren Payne
74666	Capital District	ESD	ESD MNY	Fan Festivals Event Series	Capital Region Local Organizing Committee Sports Foundation of the Capital Region	40.67	10	50.67	\$0	Not-Awarded	
74123	Capital District	ESD	ESD MNY	Capital Craft Beverage Trail Event Series	The Capital Craft Beverage Trail Association Inc.	34.67	15	49.67	\$0	Not-Awarded	
75331	Capital District	ESD	ESD MNY	LUMBERYARD Project	LUMBERYARD Contemporary Performing Arts	39.33	10	49.33	\$0	Not-Awarded	
76789	Capital District	ESD	ESD MNY	Hudson Holidays Promotion	BBGG Advertising and Public Relations	44.00	5	49.00	\$0	Not-Awarded	
76490	Capital District	ESD	ESD MNY	imby.com	Imby, Inc.	37.67	10	47.67	\$0	Not-Awarded	Matt Nelson, Mike Tucker
76958	Capital District	ESD	ESD MNY	Greene County Ski Train	Ski Areas of New York, Inc.	37.33	10	47.33	\$0	Not-Awarded	
76967	Capital District	ESD	ESD MNY	NY Cheeses Cheesemakers Marketing Program	Argyle Cheese Factory LLC	42.00	5	47.00	\$0	Not-Awarded	Todd Erling
76205	Capital District	ESD	ESD MNY	The GymRat Sporting Event	GymRat Basketball, LLC	41.67	5	46.67	\$0	Not-Awarded	
69675	Capital District	ESD	ESD MNY	Event Based Marketing Program	The Association For The Development of Dramatic Arts Inc.	30.00	5	35.00	\$0	Not-Awarded	
72982	Capital District	ESD	ESD MNY	Green County Townhouse Development Project	Catskill Golf Resort, LLC	25.00	10	35.00	\$0	Not-Awarded	
76423	Capital District	ESD	ESD MNY	EBA Theater Rehabilitation	Sequence Development	23.00	10	33.00	\$0	Not-Awarded	
76378	Capital District	ESD	ESD SPFS	Warren County Opportunity Zone	EDC Warren County	68	20	88	\$25,000	Awarded	
75685	Capital District	ESD	ESD SPFS	Glenville Greenway Strategic Development Plan	Town of Glenville	68	10	78	\$50,000	Awarded	
72501	Capital District	ESD	ESD SPFS	Greater Capital Region Food System Assessment	Center for Economic Growth	55	20	75	\$0	Not Awarded	Bob Blackman, Dave Buicko, Lauren Payne, Todd Erling, Mike Hickey, Omar Usmani
75823	Capital District	ESD	ESD SPFS	Strategic Economic Development Plan for City of Hudson	Hudson Development Corp	53	15	68	\$0	Not Awarded	Mike Tucker
76661	Capital District	ESD	ESD SPFS	Clinton Avenue Gateway Development Feasibility	Capitalize Albany Corporation	50	15	65	\$0	Not Awarded	Linda MacFarlane
72848	Capital District	HCR	HCR CDBG PF	City of Cohoes Sidewalk Improvements	City of Cohoes	62.00	20	82.00	\$300,000	Awarded	
71844	Capital District	HCR	HCR CDBG PF	City of Watervliet Community Center Improvements	City of Watervliet	65.00	15	80.00	\$300,000	Awarded	
73367	Capital District	HCR	HCR CDBG PF	Town of Stillwater Water District 1 Infrastructure Improvements	Town of Stillwater	36.00	15	51.00	\$0	Not-Awarded	Dennis Brobston
76494	Capital District	HCR	HCR CDBG PF	Town of Granville Water Main Installation	Town of Granville	31.00	15	46.00	\$0	Not-Awarded	

CFA #	Region	Agency	Program	Project Name	Business Name	Agency Score	REDC Score	Total Score	Award	Awarded / Not Awarded	Recusal(s)
71910	Capital District	HCR	HCR CDBG PI	City of Mechanicville Storm Drainage System	City of Mechanicville	57.00	15	72.00	\$1,000,000	Awarded	Dennis Brobston
74240	Capital District	HCR	HCR CDBG PI	Village of Hoosick Falls Storm Drainage System Improvements	Village of Hoosick Falls	55.00	15	70.00	\$900,000	Awarded	
72398	Capital District	HCR	HCR CDBG PI	Village of Corinth Force Main and Sewer Improvements	Village of Corinth	49.00	15	64.00	\$1,000,000	Awarded	Dennis Brobston
73371	Capital District	HCR	HCR CDBG PI	Village of Hudson Falls Water Infrastructure Improvements	Village of Hudson Falls	42.00	15	57.00	\$750,000	Awarded	
73376	Capital District	HCR	HCR CDBG PI	Village of South Glens Falls Water Infrastructure Replacement	Village of South Glens Falls	35.00	15	50.00	\$0	Not-Awarded	Dennis Brobston
73248	Capital District	HCR	HCR CDBG PI	Village of Granville Waste Water Treatment Facility Improvements	Village of Granville	33.00	15	48.00	\$0	Not-Awarded	
72065	Capital District	HCR	HCR CDBGCP	City of Watervliet Housing Needs Assessment Study	City of Watervliet	52.00	20	72.00	\$40,000	Awarded	
76643	Capital District	HCR	HCR CDBGCP	Warren County Building Study	Warren County	56.00	15	71.00	\$43,237	Awarded	
72676	Capital District	HCR	HCR CDBGCP	Town of Queensbury Affordable Housing Strategy	Town of Queensbury	45.00	20	65.00	\$47,500	Awarded	
75935	Capital District	HCR	HCR CDBGCP	Washington County Community Needs Assessment Plan	Washington County	45.00	20	65.00	\$48,500	Awarded	Todd Erling
73375	Capital District	HCR	HCR CDBGCP	Village of Whitehall Needs Assessment/Economic Development Strategic Plan	Village of Whitehall	47.00	10	57.00	\$0	Not-Awarded	
73374	Capital District	HCR	HCR CDBGCP	Village of Scotia Needs Assessment/Downtown Revitalization Plan	Village of Scotia	41.00	15	56.00	\$0	Not-Awarded	
72476	Capital District	HCR	HCR CDBGCP	City of Watervliet Park Community Plan	City of Watervliet	37.00	10	47.00	\$0	Not-Awarded	
72683	Capital District	HCR	HCR CDBGCP	City of Cohoes Asset Management Plan	City of Cohoes	39.00	5	44.00	\$0	Not-Awarded	
71928	Capital District	HCR	HCR CDBGME	Greene County Microenterprise Program	Greene County	47.00	20	67.00	\$0	Not-Awarded	
74699	Capital District	HCR	HCR NYMS	Catskill Main Street Renovations	Catskill Mountain Housing Development Corporation	60.00	15	75.00	\$300,000	Awarded	Matt Nelson
76551	Capital District	HCR	HCR NYMS	Hudson Falls Courthouse Renovation	Village of Hudson Falls	52.00	15	67.00	\$500,000	Awarded	
76038	Capital District	HCR	HCR NYMS	Wood Block Building Stabilization Project	Civic and Cultural Restoration Corporation	52.00	15	67.00	\$150,000	Awarded	
73848	Capital District	HCR	HCR NYMS	Cohoes Music Hall	City of Cohoes	40.00	20	60.00	\$0	Not-Awarded	
72933	Capital District	HCR	HCR NYMS	Village of Cambridge NYMS 2017	HomeFront Development Corporation	40.00	15	55.00	\$0	Not-Awarded	Matt Nelson

CFA #	Region	Agency	Program	Project Name	Business Name	Agency Score	REDC Score	Total Score	Award	Awarded / Not Awarded	Recusal(s)
75518	Capital District	HCR	HCR NYMS	Warrensburg NY Main Street 2017	Town of Warrensburg	34.00	20	54.00	\$0	Not-Awarded	
74724	Capital District	Parks	OPRHP HAS D	Burden Iron Works Museum Restoration and Rehabilitation	Hudson Mohawk Industrial Gateway	60.50	20	80.50	\$500,000	Awarded	
74894	Capital District	Parks	OPRHP HAS D	Hart-Cluett/Carr Restoration	Rensselaer County Historical Society	48.00	20	68.00	\$361,620	Awarded	
73275	Capital District	Parks	OPRHP HAS D	Troy Urban Trail Project	City of Troy	48.00	15	63.00	\$0	Not-Awarded	
72152	Capital District	Parks	OPRHP HAS D	44 Central Avenue Restoration	Preservation League of New York State	47.50	15	62.50	\$0	Not-Awarded	
72036	Capital District	Parks	OPRHP HAS D	Rice House Restoration	Albany Institute of History Art	51.50	10	61.50	\$0	Not-Awarded	Linda MacFarlane
72320	Capital District	Parks	OPRHP HAS D	WCA Main Entrance Restoration	The Woman's Club of Albany, Inc.	56.00	5	61.00	\$0	Not-Awarded	
75866	Capital District	Parks	OPRHP HAS D	Tricentennial Park Redesign	Downtown Albany Business Improvement District	44.50	15	59.50	\$0	Not-Awarded	
73247	Capital District	Parks	OPRHP HAS D	Soldiers and Sailors Monument Restoration	City of Troy	44.00	15	59.00	\$0	Not-Awarded	
74721	Capital District	Parks	OPRHP HAS D	Pruyn House Restoration	Town of Colonie	45.00	10	55.00	\$0	Not-Awarded	
76114	Capital District	Parks	OPRHP HAS D	Ten Broeck Mansion Historic Restoration	Albany County Historical Association	40.50	10	50.50	\$0	Not-Awarded	
73297	Capital District	Parks	OPRHP HP D	Matton Shipyard Preservation Adaptive Reuse Initiative	Erie Canalway Heritage Fund, Inc.	54.50	20	74.50	\$223,400	Awarded	
75779	Capital District	Parks	OPRHP HP D	Olanas Historic Farm Landscape Restoration	The Olana Partnership, Inc.	51.50	20	71.50	\$420,000	Awarded	Mike Tucker
74724	Capital District	Parks	OPRHP HP D	Burden Iron Works Museum Restoration and Rehabilitation	Hudson Mohawk Industrial Gateway	51.00	20	71.00	\$0	Not-Awarded	
72152	Capital District	Parks	OPRHP HP D	44 Central Avenue Restoration	Preservation League of New York State	51.00	15	66.00	\$0	Not-Awarded	
73668	Capital District	Parks	OPRHP HP D	Tracy Memorial Village Hall Restoration	Village of Chatham	52.00	10	62.00	\$0	Not-Awarded	Mike Tucker
73247	Capital District	Parks	OPRHP HP D	Soldiers and Sailors Monument Restoration	City of Troy	43.00	15	58.00	\$0	Not-Awarded	
72036	Capital District	Parks	OPRHP HP D	Rice House Restoration	Albany Institute of History Art	46.00	10	56.00	\$0	Not-Awarded	Linda MacFarlane
72320	Capital District	Parks	OPRHP HP D	WCA Main Entrance Restoration	The Woman's Club of Albany, Inc.	50.00	5	55.00	\$0	Not-Awarded	
73521	Capital District	Parks	OPRHP HP D	River Street Façade Historic Window Restoration	Arts Center of the Capital Region	37.50	15	52.50	\$0	Not-Awarded	Linda MacFarlane
76114	Capital District	Parks	OPRHP HP D	Ten Broeck Mansion Historic Restoration	Albany County Historical Association	39.50	10	49.50	\$0	Not-Awarded	
75091	Capital District	Parks	OPRHP HP D	Bethesda Episcopal Church Restoration	Bethesda Episcopal Church	39.00	10	49.00	\$0	Not-Awarded	Dennis Brobston
75996	Capital District	Parks	OPRHP HP D	The Willows Restoration Project	Upper Hudson Northern Catskill Natural Resources Trust	37.00	10	47.00	\$0	Not-Awarded	
74721	Capital District	Parks	OPRHP HP D	Pruyn House Restoration	Town of Colonie	36.50	10	46.50	\$0	Not-Awarded	
72412	Capital District	Parks	OPRHP HP P	31 Rapp Road Planning Project	Rapp Road Historical Association	45.00	15	60.00	\$0	Not-Awarded	

CFA #	Region	Agency	Program	Project Name	Business Name	Agency Score	REDC Score	Total Score	Award	Awarded / Not Awarded	Recusal(s)
72788	Capital District	Parks	OPRHP PKS A	Yonder Hill Acquisition Park Project	Town of Lake George	49.00	15	64.00	\$0	Not-Awarded	
73442	Capital District	Parks	OPRHP PKS A	Alfred Z. Solomon Grassland Bird Viewing Area Phase II	Friends of the Washington County Grasslands IBA, Inc.	44.50	15	59.50	\$0	Not-Awarded	
77266	Capital District	Parks	OPRHP PKS A	Big Boy Construction Tract at Fort Ann	Civil War Preservation Trust	32.50	15	47.50	\$0	Not-Awarded	
73362	Capital District	Parks	OPRHP PKS D	Canal Square Park Expansion Project	City of Cohoes	51.50	20	71.50	\$500,000	Awarded	
72386	Capital District	Parks	OPRHP PKS D	Jerry Burrell Park Improvement	City of Schenectady	50.50	20	70.50	\$205,417	Awarded	
72387	Capital District	Parks	OPRHP PKS D	miSci-Vale Park Education Conservancy Trail Project	Schenectady Museum Association	48.75	20	68.75	\$415,120	Awarded	Philip Morris, Joe Dragone
74767	Capital District	Parks	OPRHP PKS D	Saratoga Spa State Park Tennis Court Renovations	Friends of Saratoga Spa State Park Inc.	57.50	10	67.50	\$75,000	Awarded	Dennis Brobston
72405	Capital District	Parks	OPRHP PKS D	Town of Knox Park Improvement Project	Town of Knox	49.81	15	64.81	\$0	Not-Awarded	
73275	Capital District	Parks	OPRHP PKS D	Troy Urban Trail Project	City of Troy	49.40	15	64.40	\$0	Not-Awarded	
75866	Capital District	Parks	OPRHP PKS D	Tricentennial Park Redesign	Downtown Albany Business Improvement District	46.50	15	61.50	\$0	Not-Awarded	
76660	Capital District	Parks	OPRHP PKS D	Promenade Hill Park Enterance Improvement Project	City of Hudson	45.00	15	60.00	\$0	Not-Awarded	
74945	Capital District	Parks	OPRHP PKS D	Sand Lake Park Improvement Project	Town of Sand Lake	48.50	10	58.50	\$0	Not-Awarded	
75965	Capital District	Parks	OPRHP PKS D	Rensselaer Lake Dam Improvements	Albany Water Board	42.00	15	57.00	\$0	Not-Awarded	
75029	Capital District	Parks	OPRHP PKS D	Helderberg-Hudson Trailhead Improvements Project	Village of Voorheesville	45.50	10	55.50	\$0	Not-Awarded	
73970	Capital District	Parks	OPRHP PKS D	Vanderbilt Town Park Pavilion Project	Town of Greenville	45.00	10	55.00	\$0	Not-Awarded	
77191	Capital District	Parks	OPRHP PKS D	Lawson Lake Infrastructure Improvement Project	Albany County	35.74	10	45.74	\$0	Not-Awarded	
74756	Capital District	Parks	OPRHP PKS P	Brunswick Family Community Center Park Project	Town of Brunswick	42.25	10	52.25	\$0	Not-Awarded	
76333	Capital District	Parks	OPRHP PKS P	Colonie Town Park Enhancements	Town of Colonie	15.00	10	25.00	\$0	Not-Awarded	
75377	Capital District	Parks	OPRHP RTP D	Trail Grooming Equipment Purchase	Saratoga County Association of Snowmobile Clubs, Inc.	36.00	15	51.00	\$0	Not-Awarded	Dennis Brobston
76079	Capital District	Parks	OPRHP RTP D	Trail Grooming Equipment Purchase	Frontier Sno-Riders Inc.	33.50	15	48.50	\$0	Not-Awarded	
73391	Capital District	Parks	OPRHP RTP NMD	Hand Hollow Trail Improvements	Columbia Land Conservancy	33.50	20	53.50	\$51,776	Awarded	Mike Tucker
72419	Capital District	Parks	OPRHP RTP NMD	Pilot Knob Trail Reconstruction Project	Lake George Land Conservancy	33.50	20	53.50	\$40,000	Awarded	Mike Tucker
75160	Capital District	Parks	OPRHP RTP NMD	South End Connector Lowline Project	City of Albany	38.00	15	53.00	\$200,000	Awarded	

<b>CFA #</b>	<b>Region</b>	<b>Agency</b>	<b>Program</b>	<b>Project Name</b>	<b>Business Name</b>	<b>Agency Score</b>	<b>REDC Score</b>	<b>Total Score</b>	<b>Award</b>	<b>Awarded / Not Awarded</b>	<b>Recusal(s)</b>
77126	Capital District	Parks	OPRHP RTP NMD	Albany County Rail Trail	Albany County	36.00	15	51.00	\$0	Not-Awarded	

# 2017 Program Legend

Agency	Abbreviation	Program Name
Ag & Mkts	Ag & Mkts GCAP	NYS Grown & Certified Agricultural Producers' Grant Program
Arts	Arts ACFIP	NYSCA/ESD Arts & Cultural Facilities Improvement Program
Arts	Arts ACIP	Arts and Cultural Impact Programming
Arts	Arts WIP	Workforce Investment Program
Arts	Arts WRP	Workforce Readiness Program
Canals	Canals	Canalway Grant Program
DEC	DEC CSC C	Climate Smart Communities Program - Certification
DEC	DEC CSC I	Climate Smart Communities Program - Implementation
DEC	DEC EPG	Engineering Planning Grant
DEC	DEC WQIP AHR	Water Quality Improvement Project - Aquatic Habitat Restoration
DEC	DEC WQIP MS4	Water Quality Improvement Project - Municipal Separate Storm Sewer Systems
DEC	DEC WQIP NPS	Water Quality Improvement Program - Non-agricultural Nonpoint Source Abatement and Control
DEC	DEC WQIP NPS OGL-NBS	Water Quality Improvement Program - Oceans and Great Lakes, Nature Based Shoreline Projects
DEC	DEC WQIP Salt	Water Quality Improvement Program - Salt
DEC	DEC WQIP SWP	Water Quality Improvement Program - Land Acquisition for Source Water Protection
DEC	DEC WQIP WWT CSO/SSO	Water Quality Improvement Program - Combined Sewer Overflow/Sanitary Sewer Overflow
DEC	DEC WQIP WWT DIS	Water Quality Improvement Program - Wastewater Treatment Improvement Disinfection
DEC	DEC WQIP WWT GEN	Water Quality Improvement Program - General Wastewater Improvements
DEC	DEC WQIP WWT IOS	Water Quality Improvement Program - Inadequate On-Site Septic Systems
DEC	DEC WQIP WWT TMDL	Water Quality Improvement Program - Total Maximum Daily Load
DOL	DOL EET	Existing Employee Training
DOL	DOL UWT	Unemployed Worker Training
DOS	DOS LGE	Local Government Efficiency Program
DOS	DOS LGE P	Local Government Efficiency Program - Planning
DOS	DOS LWRP	Local Waterfront Revitalization Program
EFC	EFC GIGP	Green Innovation Grant Program
ESD	ESD Grants	Empire State Development Grant Program
ESD	ESD HSSP	Innovation Hot Spot Support Program
ESD	ESD MNY	Market New York
ESD	ESD SPFS	Strategic Planning and Feasibility Studies
HCR	HCR CDBGCP	Community Development Block Grant - Community Planning
HCR	HCR CDBGME	Community Development Block Grant - Microenterprise
HCR	HCR CDBG PF	Community Development Block Grant - Public Facilities
HCR	HCR CDBG PI	Community Development Block Grant - Public Infrastructure
HCR	HCR NYMS	New York Main Street
OPRHP	OPRHP HAS A	Heritage Area Systems (Acquisition)
OPRHP	OPRHP HAS D	Heritage Area Systems (Development)
OPRHP	OPRHP HAS P	Heritage Area Systems (Planning)
OPRHP	OPRHP HP A	Historic Property (Acquisition)
OPRHP	OPRHP HP D	Historic Property (Development)
OPRHP	OPRHP HP P	Historic Property (Planning)
OPRHP	OPRHP PKS A	Parks (Acquisition)
OPRHP	OPRHP PKS D	Parks (Development)
OPRHP	OPRHP PKS P	Parks (Planning)
OPRHP	OPRHP RTP D	Parks - Recreational Trails Program Diverse
OPRHP	OPRHP RTP M	Parks - Recreational Trails Program Motorized
OPRHP	OPRHP RTP MD	Parks - Recreational Trails Program Motorized Diverse
OPRHP	OPRHP RTP NM	Parks - Recreational Trails Program Non-Motorized
OPRHP	OPRHP RTP NMD	Parks - Recreational Trails Program Non-Motorized Diverse