

CAPITAL REGION CREATES

CAPITAL REGION ECONOMIC
DEVELOPMENT COUNCIL
2018 PROGRESS REPORT

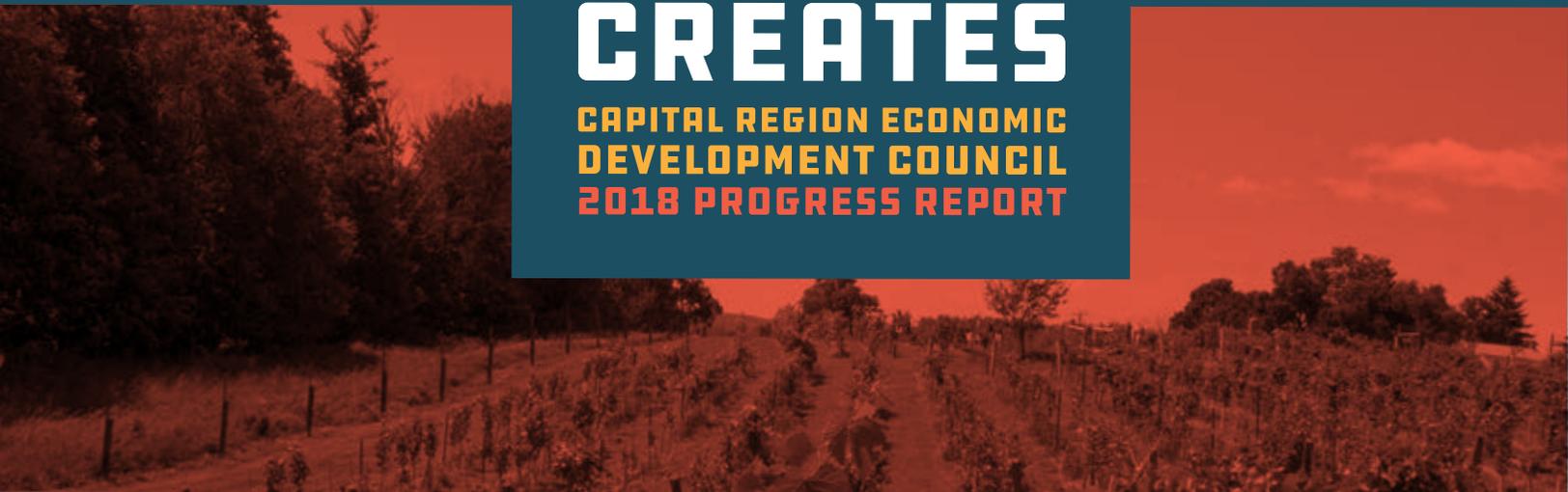


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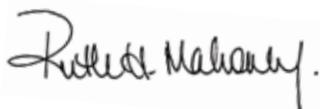
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A MESSAGE FROM THE CAPITAL REGION REGIONAL CO-CHAIRS

The Capital Region is alive with creativity. Companies are developing cutting-edge products for emerging markets. Universities are partnering with the business community to incubate startups and commercialize the area's R&D. We're building the workforce with innovative solutions that fill skills gaps. Cultural organizations are bolstering the region's creative economy. We're creating places where people want to live, work and play.

The Capital Region Economic Development Council (CREDC) is seeing the fruits of eight years of hard work. Working with our partners to grow our diverse economy and communities has made the region a better place. We are fostering innovation and entrepreneurship. Community revitalization and job growth are creating opportunities for all.

As the CREDC's newly installed co-chairs, we see great potential for this year's proposed projects to build on past success and help drive New York's economic growth. They include mixed-use development in underserved metro and rural areas, sustainable infrastructure, cultural institution enhancements, and proposals that create accessible jobs to enhance Placemaking. Proposed Workforce programs will target in-demand sectors, address regional skills gaps, build the STEM pipeline and support access to living wage jobs for all. Applicants from legacy and advanced manufacturers in Tradeable Sectors have proposed machinery, facility and process improvements that will enhance their global competitiveness. Research organizations and institutions have requested funds to expand services that support Innovation by helping R&D companies commercialize new ideas and products.



RUTH H. MAHONEY

Market President & Regional Retail Leader,
KeyBank - Capital Region

The CREDC's 2018 Progress Report reflects significant progress on the five core strategies of Capital 20.20, our five-year plan — Next-Tech, Gateway, Lift-Off, Talent, Metro — as well as the two additional strategies the CREDC has developed in recent years for the Creative Economy and a Video Game Hub. Healthcare and clean technology companies are manufacturing Next-Tech products and securing new patents. We're growing our reputation as a Gateway with port improvements and modernization. Our Lift-Off strategy is supporting NY CRAFT agricultural, food and tourism businesses; our creative economy; rural entrepreneurs; and R&D innovators. We're investing in manufacturing, distilleries and incubators, as well as helping early-stage technology startups with risk mitigation and product prototype development. Investments in innovative skill development programs are building the workforce of today and tomorrow to ensure we have the Talent to support a healthy, growing economy. The Council's commitment to our Metro areas includes re-developing old industrial sites, supporting small businesses, and building the region's public transportation infrastructure. Governor Cuomo's Downtown Revitalization Initiative (DRI) is augmenting our goal to improve urban areas throughout the region.

The CREDC's success stems from the hard work, dedication and vision of the Council's members and workgroups, and our community partners from the beautiful bucolic areas and vibrant metro zones of our eight counties. We are grateful for their past contributions and continued passion for our work on Gov. Cuomo's visionary Regional Economic Development Council (REDC) initiative. We are proud to present this report on our progress and new proposals that show how the Capital Region Creates.



HAVIDÁN RODRÍGUEZ

President, University at Albany



EXECUTIVE SUMMARY

The Capital Region has become a more attractive place for business investment and talent attraction. Projects undertaken by the Capital Region Economic Development Council (CREDC) and our partners are making state and regional priorities economic realities. This coming year, we will build on that success.

We received several new “best places” rankings this year. Albany was designated as the 6th Best City for Recent College Graduates by Livability and Saratoga Springs was selected as the 19th Best Small City in America by A LOT Travel. Placemaking continues, with significant progress on prior-year projects, including the Albany Skyway, which improves downtown’s connection to the Hudson River waterfront, and the Hudson River Skywalk in Greenport, which will have a pedestrian-friendly roundabout and direct access to the Olana Historic Site. Around Troy, work on the Starbuck Island Development project is transforming a contaminated oil storage and distribution terminal site to a mixed-use, sustainable waterfront community. New project proposals for 2018 will enhance destinations and improve our built environment in key places throughout the region, including Lake George, Saratoga Springs, the Adirondacks and Catskills, and our riverfronts.

The CREDC and its partners continue to market and invest in industries in Tradeable Sectors, focusing on semiconductor assets and those related to autonomous operations, health applications, smart cities, advanced electronics, offshore wind and the life sciences cluster. The region’s extensive waterfront access and port facilities provide a mechanism for manufacturing, agricultural and energy industries to ship products. In 2018, construction continued at the Port of Albany to

enhance its standing as a regional gateway. This year, the Port of Coeymans has requested funds to create an intermodal distribution center. These projects also advance the CREDC’s regional Gateway goal to connect markets and businesses.

In 2017, the Capital Region’s labor force rose to its highest level since 2013, and private sector employment was at its highest level in more than 18 years. We’re creating and retaining jobs. Capital Region community colleges, universities and businesses continue to implement programs to improve and expand the region’s Workforce, particularly in Tradeable Sectors. Our partners are training both skilled and unskilled workers with the new expertise manufacturers need to compete. Hudson Valley Community College (HVCC) broke ground in April on the Center for Advanced Manufacturing Skills. A new Center for Economic Growth (CEG) Manufacturing Intermediary Apprenticeship Program will help manufacturers fill higher skilled positions and replace retiring workers. Schenectady County Community College (SCCC) is developing an apprenticeship program that builds on its wide ranging healthcare and manufacturing training opportunities. Proposed 2018 CREDC projects will help grow the workforce in healthcare, the creative economy, customer service, food service and clerical positions.

The region's focus on Innovation expanded the Capital Region's R&D to Commercialization cluster in 2018 — owing to the success of the Biomedical Acceleration and Commercialization Center (BACC), growth in the region's video game development hub and an increase in startups. The Capital Region regional hot spot, Innovate 518, provided services to more than 400 startups through its partner network. Prior year CREDC funding is helping the University at Albany build a calibration laboratory for meteorological instruments and a test site for advanced technology. UAlbany's NYS Mesonet xCITE Laboratory project also is moving forward. This state-of-the-art visualization and computational platform will enhance emergency management and meteorologists' understanding of severe weather. Proposed 2018 Council projects will support the Video Gaming Hub and create an Artificial Intelligence Solutions Center of Excellence.

Projects and programs that support the region's Opportunity Agenda continue to gain momentum. A 2018 grant from KeyBank Business Boost & Build is helping the CEG, in collaboration with the Community Loan Fund of the Capital Region and Excelsior Growth Fund, grow the Capital Region's minority- and women-owned business enterprises and disadvantaged small businesses. Regional training programs at HVCC and SCCC are targeting participants from low- to moderate-income households and offering wraparound support services. A 2018 proposed project from the Urban Grow Center (UGC) in a Troy Priority Opportunity Zone will enable UGC to build on their 2017 award and complete a model urban block that has sustainable technologies and net-zero greenhouses.

We're expanding our focus on veterans' participation in the workforce. CEG's Talent Connect staff regularly attend Fort Drum's quarterly Soldier for Life – Transition Assistance Program (SFL TAP) Career and Education fairs. In 2018, CEG convened a veterans committee to make plans for a physical location where veterans and their families can find careers, education, training, and access to community resources. This center would also be a centralized resource for businesses and educational institutions that want to attract, hire, educate and support veterans.

The Capital Region is spurring growth in its Life Sciences Cluster by integrating the region's R&D power with its manufacturing assets. In June 2018, the New York State Department of Health Wadsworth Center Laboratory and Regeneron Pharmaceuticals announced a public-private research collaboration to develop improved diagnostics, prophylactics and therapeutics for diagnosing and treating Lyme and other tick-

borne diseases. New York State Life Sciences Initiative funding will support the project. Praxis Biotechnology, a startup that emerged from the BACC Academy, is developing medication to address pain associated with rosacea, using Albany Medical College's research. In November 2017, Praxis won FuzeHub's statewide Commercialization Competition. New proposed projects for 2018 in the Life Sciences Cluster include a manufacturing expansion at Etain, a woman business enterprise (WBE) medical marijuana business, and a request that will allow PiSA BioPharm to secure leased space at Rotterdam Corporate Park for a proposed manufacturing facility.

Gov. Cuomo's Downtown Revitalization Initiative (DRI) augments our Metro regional goal. Glens Falls, our first DRI winner, is advancing projects in its Arts, Wellness and Entertainment (AWE) District. This walkable neighborhood is experiencing employment and population growth. The city is building on this growth by rehabilitating vacant buildings for residential and retail use, enhancing access to arts and cultural organizations, and improving pedestrian and cycling connections. Hudson, our year two DRI awardee, chose the BRIDGE (Build-Renew-Invent-Develop-Grow-Empower) District as its target area. Its DRI vision includes mixed-use projects with affordable and market-rate housing and transportation-oriented design, as well as job incubation and workforce development to build year-round local employment. Hudson also is re-imagining its Hudson River waterfront for expanded public use.

This year's proposed projects support state and regional priorities. Enhancements to cultural and recreational facilities will make communities more livable and support our creative economy. Infrastructure improvements, manufacturing expansions and mixed-use projects will grow businesses and create jobs. Proposed projects will provide needed investments in Opportunity Zones. Workforce-targeted initiatives will grow the STEM pipeline and teach the skills needed for in-demand occupations. Startup and incubator support proposals will help bring new ideas to market. All of the CREDC's 2018 projects were developed through extensive community outreach to business owners, entrepreneurs, local governments, non-profits and community members.

The Capital Region is moving forward — growing our diverse regional economy and improving our communities by using our best assets — collaboration and creativity.

STATE OF THE REGION



GENERAL ECONOMIC INDICATORS

The strength of the Capital Region economy continues to attract national attention.

In 2018, the Albany-Schenectady-Troy metropolitan statistical area (MSA) ranked 39th on U.S. News and World Report's list of "Best Places to Live," largely due to its job market. Even better, the metro area ranked 30th on Business Insider's list of "Best Places to Live." Cities within the region also stand out for their economic performance. Schenectady was recognized by The Brookings Institution as an "Emerging Older Industrial City" and the Hudson micropolitan statistical area (μ SA) ranked 58th in POLICOM's "Economic Strength Rankings" for μ SAs, as compared to 299th in 2011.

At a 4.4 percent annual rate in 2017, the Capital Region had the lowest unemployment rate among the state's 10 economic development regions, equaled only by Long Island. The region's labor force rose to its highest level since 2013, and private sector employment was at its highest level in more than 18 years. On a year-over-year basis, employment within the region's R&D to Commercialization, Advanced Manufacturing, Food and Agriculture and Life Sciences clusters each experienced employment growth.



JOBS

CATEGORY	CLASS	REGIONAL PERFORMANCE			2016-2017 % CHANGE		2011-2017 % CHANGE	
		2011	2016	2017	REGION	NYS	REGION	NYS
EMPLOYMENT	Public	113,148	112,732	113,105	0.3%	0.2%	0.0%	-1.3%
	Private	380,100	408,853	413,561	1.2%	1.5%	8.8%	12.1%
	Region	493,248	521,585	526,666	1.0%	1.3%	6.8%	9.8%
ESTABLISHMENTS	Public	1,087	1,386	1,396	0.7%	0.5%	28.4%	43.0%
	Private	27,573	28,308	28,605	1.0%	1.8%	3.7%	9.1%
	Region	28,660	29,694	30,001	1.0%	1.8%	4.7%	9.6%
TOTAL WAGES	Public	\$5,835,635,507	\$6,420,192,885	\$6,644,172,888	3.5%	2.9%	13.9%	12.5%
	Private	\$16,516,643,353	\$20,199,827,603	\$20,850,972,604	3.2%	5.5%	26.2%	27.7%
	Region	\$22,352,278,860	\$26,620,020,488	\$27,495,145,492	3.3%	5.2%	23.0%	25.4%
AVERAGE WAGES	Public	\$51,575	\$56,951	\$58,743	3.1%	2.7%	13.9%	14.0%
	Private	\$43,453	\$49,406	\$50,418	2.0%	4.0%	16.0%	13.9%
	Region	\$45,317	\$51,037	\$52,206	2.3%	3.8%	15.2%	14.2%
UNEMPLOYED	Region	40,400	23,000	24,100	4.8%	-2.8%	-40.3%	-42.3%
UNEMPLOYMENT RATE	Region	7.3%	4.2%	4.4%	0.2%	0.1%	-2.9%	-3.6%

EMPLOYMENT BY AGE GROUP

AGE GROUP	CAPITAL REGION			NYS			% CHANGE			
	2012	2015	2016	2012	2015	2016	2015-2016		2012-2016	
							CAPITAL REGION	NYS	CAPITAL REGION	NYS
TOTAL	59.2%	60.6%	61.0%	57.6%	59.0%	59.3%	0.5%	0.4%	2.9%	2.9%
16 TO 24 YEARS	48.2%	54.1%	53.5%	41.6%	44.4%	45.5%	-1.1%	2.5%	10.9%	9.4%
25 TO 44 YEARS	78.2%	81.0%	81.6%	75.6%	77.9%	78.2%	0.8%	0.4%	4.4%	3.3%
45 TO 54 YEARS	80.8%	78.6%	80.0%	75.3%	76.3%	77.2%	1.9%	1.2%	-0.9%	2.5%
55 TO 64 YEARS	62.8%	64.0%	65.5%	61.2%	63.1%	63.0%	2.4%	-0.1%	4.3%	3.0%
65 YEARS AND OVER	14.6%	17.7%	18.2%	16.2%	17.4%	17.7%	2.9%	2.1%	24.5%	9.7%

Prepared by NYS Department of Labor, Division of Research and Statistics. Source: US Census Bureau (B23001) One-year estimates.

VETERANS UNEMPLOYMENT RATE (18-64 YEARS)

REGION	RATE			% CHANGE	
	2012	2015	2016	2015-2016	2012-2017
CAPITAL REGION	5.6%	1.9%	8.3%	6.4%	2.7%
NYS	8.8%	5.3%	5.8%	0.5%	-3.0%

LABOR FORCE PARTICIPATION RATE

REGION	TOTAL RATE, 16 YEARS AND UP					RATE FOR 25 TO 64 YEAR OLDS W/O HIGH SCHOOL DEGREE				
	RATE			% CHANGE		RATE			% CHANGE	
	2011	2015	2016	2015-2016	2011-2016	2011	2015	2016	2015-2016	2011-2016
CAPITAL REGION	64.4%	63.8%	64.1%	0.3%	-0.3%	54.7%	51.5%	56.4%	4.8%	1.7%
NYS	63.5%	63.1%	63.0%	-0.1%	-0.4%	61.2%	59.8%	59.5%	-0.3%	-1.7%

Prepared by NYS Department of Labor, Division of Research and Statistics. Source: US Census Bureau, ACS (B23001, B23006). One-year estimates.

CAPITAL REGION CLUSTERS

CLUSTER	JOBS										2017 AVG. EARNINGS PER JOB
	2011		2016		2017		% CHANGE				
	#	% OF TOTAL NON-FARM	#	% OF TOTAL NON-FARM	#	% OF TOTAL NON-FARM	CAPITAL REGION		NYS		
						2016 - 2017	2011 - 2017	2016 - 2017	2011 - 2017		
LIFE SCIENCES ¹	3,750	0.8%	4,838	0.9%	5,723	1.1%	18.3%	52.6%	-1.3%	-8.1%	\$101,454
ADVANCED MANUFACTURING ²	14,614	3.0%	18,040	3.5%	18,509	3.5%	2.6%	26.7%	-1.3%	-8.1%	\$94,930
FOOD AND AGRICULTURE ⁴	2,624	0.5%	3,075	0.6%	3,160	0.6%	2.8%	20.4%	3.3%	19.3%	\$46,704
GOVERNMENT	113,149	22.9%	112,732	21.6%	113,105	21.5%	0.3%	-0.0%	0.2%	-1.3%	\$58,743

Sources: NYS DOL and EMSI. ¹ NAICS 325411, 325412, 325413, 325416, 334510, 334517, 339112, 339113, 339114, 339115, 339116, 621511, 621512, 541714. Excludes NAICS 541713 (research and development in nanotechnology) and NAICS 541715 (physical, engineering and biological research) to avoid distortions of life sciences cluster through the inclusion of the region's sizeable semiconductor researcher population, which also falls under these industries. ² 3241, 3251, 3252, 3253, 3254, 3259, 3271, 3279, 3311, 3313, 3315, 3331, 3332, 3333, 3336, 3339, 3341, 3342, 3343, 3344, 3345, 3346, 3351, 3352, 3353, 3359, 3361, 3362. ³ 111000, 112000, 114111, 114112, 114119, 115112, 115113, 115114, 115115, 115116, 115210, 311211, 311212, 311213, 311221, 311224, 311225, 311230, 311313, 311314, 311340, 311351, 311352, 311411, 311412, 311421, 311422, 311423, 311511, 311512, 311513, 311514, 311520, 311611, 311612, 311613, 311615, 311710.

GROSS REGIONAL PRODUCT

REGION	GRP			% CHANGE	
	2011	2015	2016	2015-2016	2011-2016
CAPITAL REGION	\$55,808,312,607	\$65,872,580,604	\$67,187,314,495	2.0%	18.0%

Source: EMSI

EXPORTS

REGION	EXPORTS			% CHANGE	
	2011	2015	2016	2016-2017	2011-2017
CAPITAL REGION	\$5,481,325,025	\$6,001,888,950	\$5,977,802,429	-0.4%	9.1%

Source: Brookings Institution.





QUALITY OF LIFE INDICATORS

The Capital Region's quality of life remains one of its greatest assets.

With a 0.3 percent year-over-year population gain, the Capital Region was the state's second fastest-growing region. In 2017, three of New York's top 10 fastest-growing cities (Y/Y) were in the Capital Region (Saratoga Springs, Glens Falls and Schenectady). The region also housed the state's second and third fastest-growing towns (Stillwater and Ballston respectively)

and fastest-growing village (Round Lake). Gains from international migration continued to drive the Capital Region's growth in 2017, and losses from domestic outmigration slowed. Saratoga County had the state's largest annual domestic migrant inflow while Warren County had the fifth largest and Greene County had the sixth. Although the Capital Region's growth of green card issuances (excluding Greene County) is outpacing the state, the region has experienced declines in naturalizations and refugee settlements.

In 2016, the Capital Region had the state's third lowest poverty rate and second lowest uninsured rate. Local high schools also enhance the region's quality of life. Graduation rates have steadily increased since 2011, and the region now has the fourth highest graduation rate in the state.

CAPITAL REGION NET MIGRATION

2017 POPULATION = 1,088,994

CUMULATIVE ESTIMATES OF THE COMPONENTS OF POPULATION CHANGE, APRIL 1, 2010 TO JULY 1, 2017							
TOTAL POPULATION CHANGE		VITAL EVENTS			NET MIGRATION		
#	%	NATURAL INCREASE	BIRTHS	DEATHS	TOTAL	INT'L	DOMESTIC
9,793	0.9%	7,346	79,270	71,924	3,012	19,690	-16,678

ANNUAL ESTIMATES OF THE COMPONENTS OF POPULATION CHANGE, JULY 1, 2016 TO JULY 1, 2017							
TOTAL POPULATION CHANGE		VITAL EVENTS			NET MIGRATION		
#	%	NATURAL INCREASE	BIRTHS	DEATHS	TOTAL	INT'L	DOMESTIC
2,795	0.3%	435	10,622	10,187	2,384	2,901	-517

Source: U.S. Census Bureau ACS, Estimates of the Components of Resident Population Change (PEPTCOMP, PEPANNRES).

IMMIGRATION

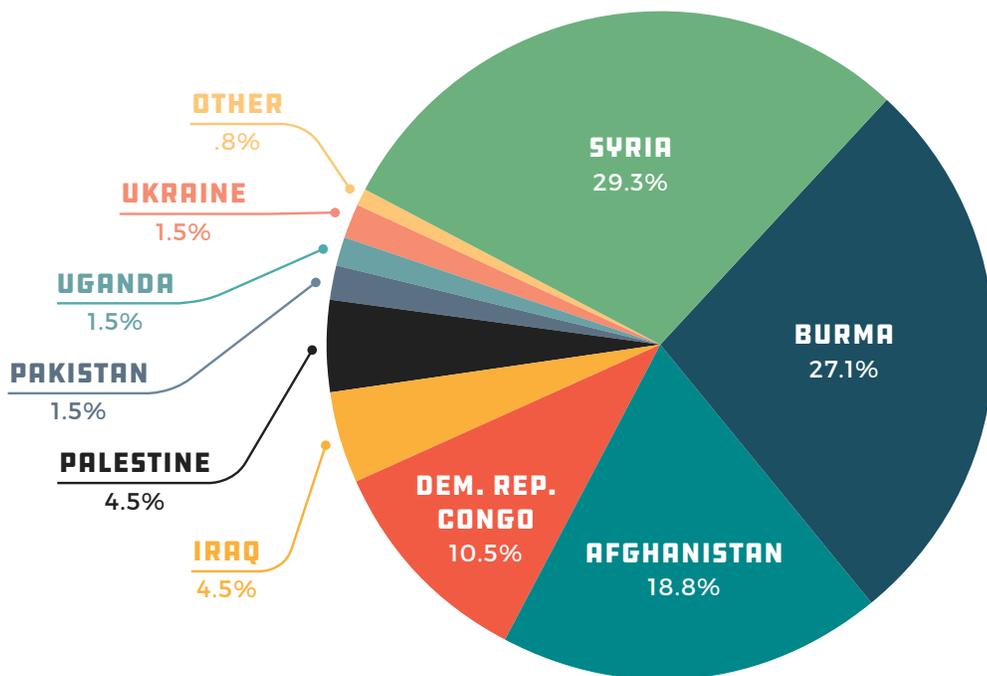
NATURALIZATIONS*					
REGION	FY2011	FY2015	FY2016	FY2015-FY2016 % CHANGE	2011-2016 % CHANGE
CAPITAL REGION	1,306	1,406	1,353	-3.8%	3.6%
NYS	76,603	90,368	93,376	3.3%	21.9%

GREEN CARDS ISSUED*					
REGION	FY2011	FY2015	FY2016	FY2015-FY2016 % CHANGE	2011-2016 % CHANGE
CAPITAL REGION	2,179	2,162	2,715	25.6%	24.6%
NYS	148,426	130,010	159,878	23.0%	7.7%

REFUGEES PLACED					
REGION	2011	2016	2017	2016-2017 % CHANGE	2011-2017 % CHANGE
CAPITAL REGION	237	574	133	-76.8%	-43.9%
NYS	3421	5830	1903	-67.4%	-44.4%

Sources: U.S. Department of Homeland Security and Worldwide Refugee Admissions Processing System. *Capital Region data excludes Greene County.

REFUGEES PLACED IN THE CAPITAL REGION, 2017



MIGRATION FOR THE POPULATION (AGED 1 YEAR AND OVER)

CATEGORY	CAPITAL REGION			% CHANGE	
	2012	2015	2016	2015-2016	2012-2016
POPULATION (AGED 1-YEAR AND OVER)	1,069,794	1,075,414	1,071,356	-0.4%	0.1%
STAYED IN SAME REGION (FROM PREVIOUS YEAR)	1,027,435	1,033,772	1,024,065	-0.9%	-0.3%
% STAYED IN SAME REGION (FROM PREVIOUS YEAR)	96.0%	96.1%	95.6%	-0.5%	-0.5%
LEFT NEW YORK STATE	16,152	22,112	25,899	17.1%	60.3%
% LEFT NEW YORK STATE	1.5%	2.1%	2.4%	0.4%	0.9%

Prepared by NYS Department of Labor, Division of Research and Statistics. Source: ACS Public Use Micro Sample (PUMS). Note: Migration estimates based on ACS question "Where did this person live 1 year ago?". * New York State estimate includes individuals who moved between labor market regions, but remained in New York State.

POVERTY RATE

RANGE	CAPITAL REGION			NEW YORK			2014-2015 CHANGE		2011-2015 CHANGE	
	2011	2014	2015	2011	2014	2015	CAPITAL REGION	NEW YORK	CAPITAL REGION	NEW YORK
TOTAL POPULATION	11.2%	11.6%	11.5%	15.1%	15.6%	15.7%	-10.0%	10.0%	30.0%	60.0%
UNDER 18 YEARS	15.7%	16.5%	16.2%	21.2%	22.1%	22.2%	-30.0%	10.0%	50.0%	100.0%
18 TO 64 YEARS	10.8%	11.2%	11.2%	13.7%	14.3%	14.4%	0.0%	10.0%	40.0%	70.0%
65 YEARS AND OVER	6.7%	6.8%	6.8%	11.3%	11.4%	11.5%	0.0%	10.0%	10.0%	20.0%

Source: U.S. Census Bureau ACS, Poverty Status in the Past 12 Months (S1701). Five-year estimates.

UNINSURED RATE (ALL AGES)

REGION	RATE			% CHANGE	
	2012	2015	2016	2015-2016	2012-2016
CAPITAL REGION	8.0%	6.2%	5.6%	-0.7%	-2.5%
NYS	11.3%	9.7%	8.6%	-1.1%	-2.7%

Source: U.S. Census Bureau ACS, (S2701). Five-year estimates.

DIRECT VISITOR SPENDING (\$000S)

AREA	2011	2015	2016	% CHANGE	
				2015-2016	2011-2016
CAPITAL REGION	\$2,338,565	\$2,680,425	\$2,712,289	1.2%	16.0%
NYS TOTAL	\$53,910,138	\$63,076,313	\$64,135,789	1.7%	19.0%

* Spending data from Tourism Economics annual economic impact reports: The Economic Impact of Tourism in New York.

GRADUATION RATES*

COUNTY / REGION	GRADE RATE			% CHANGE	
	2011	2016	2017	2016-2017	2011-2017
CAPITAL REGION	81.0%	84.8%	85.2%	0.4%	4.3%
NYS	76.8%	81.7%	82.1%	0.4%	5.3%

* Rates for four-year cohorts. Source: The New York State Education Department (NYSED) Graduation Rate Database

REGIONAL COMMUTE FOR CIVILIAN EMPLOYED

CATEGORY	CAPITAL REGION		
	2012	2015	2016
MEAN TRAVEL TIME TO WORK (IN MINUTES)*	23	23.1	23.6
MEDIAN TRAVEL TIME TO WORK (IN MINUTES)*	20	20.0	20.0

* Excludes those who are employed but not at work and people who work at home. Prepared by NYS Department of Labor, Division of Research and Statistics. Source: ACS Public Use Micro Sample (PUMS).





KEY REGIONAL INDICATORS

The Capital Region's skilled labor force and R&D infrastructure are other major assets for economic development. Both performed strongly in 2018. WalletHub named the Albany-Schenectady-Troy MSA the nation's 34th "Best Place for STEM Professionals". For a second consecutive year, Forbes recognized it as the nation's seventh most thriving manufacturing metro.

The region's ranks of adults with a bachelor's degree or higher continued to rise, reaching 33.5 percent in 2016, compared to 31.6 percent in 2011. In 2017, the

region's traditional colleges and universities awarded 10.3 percent more STEM degrees over the year. During the same period, the region's two distance-learning institutions awarded 7.3 percent more STEM degrees.

In 2016, Capital Region colleges and universities increased their R&D spending over the year by 5.4 percent, and their R&D personnel grew by 8.5 percent. Local firms, nonprofits and institutions also secured \$54.6 million in National Institutes of Health (NIH) funding, up annually by 1.1 percent to a four-year high.

CAPITAL REGION EDUCATIONAL ATTAINMENT *

DEGREE ATTAINED	2011	2015	2016	2015-2016	2011-2016
ASSOCIATE'S DEGREE	11.3%	11.8%	11.8%	0.0%	0.6%
BACHER'S DEGREE OR HIGHER	31.6%	32.9%	33.5%	0.6%	1.9%
BACHELOR'S DEGREE	17.3%	18.1%	18.5%	0.4%	1.2%
MASTER'S DEGREE	10.4%	11.1%	11.2%	0.1%	0.7%
PROFESSIONAL SCHOOL DEGREE	2.2%	2.1%	2.1%	0.0%	-0.1%
DOCTORATE DEGREE	1.6%	1.7%	1.7%	0.0%	0.1%

*Adults 25 Years and Older. Source: US Census Bureau ACS, (B15003). Five-year estimates.

CAPITAL REGION STEM DEGREES AWARDED¹

DEGREE/INSTITUTION TYPE	DEGREES AWARDED ²			% CHANGE	
	2011	2016	2017	2016-2017	2011-2017
STEM DEGREES	3,729	4,261	4,678	9.8%	25.4%
Traditional Institution Total	3,055	3,440	3,796	10.3%	24.3%
Distance-Learning Institution Total³	674	821	882	7.4%	30.9%
STEM-RELATED HEALTH DEGREES	3,655	3,585	3,533	-1.5%	-3.3%
Traditional Institution Total	1,593	1,951	1,937	-0.7%	21.6%
Distance-Learning Institution Total³	2,062	1,634	1,596	-2.3%	-22.6%

Source: IPEDS. ¹STEM fields include: Computer and Information Sciences, Engineering, Engineering Technologies and Engineering-related Fields, Biological and Biomedical Sciences, Physical Sciences, Science Technologies/Technicians, Mechanics and Repair Technologies/Technicians and Mathematics and Statistics. ²Degrees include associate, bachelor, master and doctor. ³Distance learning institutions include Excelsior College and SUNY Empire College.

CAPITAL REGION UNIVERSITY R&D

GEOGRAPHIC AREA	2011	2015	2016	% CHANGE	
				2015-2016	2011-2016
CAPITAL REGION (\$000S)	\$511,684	\$533,767	\$562,427	5.4%	9.9%
R&D PERSONNEL	3,211	3,651	3,962	8.5%	23.4%

Source: NCSES (fiscal year data)

NIH AWARDS

GEOGRAPHIC AREA	\$			% CHANGE	
	2011	2016	2017	2016-2017	2011-2017
CAPITAL REGION	\$53,814,786	\$54,011,848	\$54,631,875	1.1%	1.5%
NEW YORK STATE	\$2,041,382,093	\$2,205,949,608	\$2,386,044,645	8.2%	16.9%

Source: NIH RePORT (fiscal year data)



PAST PRIORITY PROJECTS

PROGRESS MADE SINCE 2011

Since 2011, ESD grant funds and the Excelsior Jobs Program have supported 178 priority projects, with 62.9 percent of them being completed or on schedule as of July 2018. Through the seven REDC rounds, \$109.4 million in ESD capital funds have been awarded for priority projects, leveraging \$816.2 million in private investment. That means every dollar ESD capital funds leveraged \$8.70 in priority project investment.

PRIORITY PROJECT STATUS

STATUS UPDATE ROUND 1 TOTAL AWARDS

2011 - ROUND 1 PAST PRIORITY PROJECTS

CFA #	PROJECT NAME	TOTAL PROJECT COST	TOTAL AWARDS	TOTAL ESD CAPITAL FUNDS	LEVERAGE
2406	TVC Albany	\$0	\$0	\$0	n/a
2720	Creative Stage Lighting	\$0	\$0	\$0	n/a
4575	Windham Mountain Partners	\$3,500,000	\$1,500,000	\$1,500,000	43%
4642	Etransmedia Technology Capital Loan	\$0	\$0	\$0	n/a
6161	Ecovative Design EIP	\$1,457,103	\$250,000	\$250,000	17%
7144	The United Group of Companies	\$42,315,676	\$2,500,000	\$2,500,000	6%
7489	Warren County EDC	\$500,000	\$250,000	\$250,000	50%
8333	University at Albany - RNA Institute	\$9,335,328	\$2,036,000	\$2,036,000	22%
8349	University at Albany - Biotechnology Training Center	\$1,570,000	\$1,000,000	\$1,000,000	64%
8470	Albany Medical College - NYCAP Research Alliance	\$5,032,093	\$950,000	\$950,000	19%
8575	Center for Economic Growth	\$138,889	\$125,000	\$125,000	90%
11 TOTAL PROJECTS	Total	\$63,849,089	\$8,611,000	\$8,611,000	13%

COMPLETE

ON SCHEDULE

IN PROGRESS

CONCERNS

DELAYED

TERMINATED

STATUS UPDATE ROUND 2 TOTAL AWARDS

2012 - ROUND 2 PAST PRIORITY PROJECTS

CFR #	PROJECT NAME	TOTAL PROJECT COST	TOTAL AWARDS	TOTAL ESD CAPITAL FUNDS	LEVERAGE
16369	Ames Goldsmith	\$1,848,850	\$465,000	\$465,000	25%
16897	Albany Medical Center UCDP	\$30,026,800	\$500,000	\$500,000	2%
17407	Hudson Avenue Parking Structure	\$27,500,000	\$500,000	\$500,000	2%
17499	Davidson Brothers	\$0	\$0	\$0	n/a
17620	AMI Diagnostic Imaging Agent	\$0	\$0	\$0	n/a
17649	Albany Medical College	\$2,500,000	\$500,000	\$500,000	20%
17759	Smart Cities Technology Innovation Center	\$10,000,000	\$2,625,000	\$2,625,000	26%
18592	GreenRenewable	\$1,090,000	\$250,000	\$250,000	23%
18790	Albany Waterfront	\$3,750,000	\$750,000	\$750,000	20%
18935	Rotterdam Multi-Modal Center	\$0	\$0	\$0	n/a
19382	WWARC Community Kitchen	\$0	\$0	\$0	n/a
19617	GLV USA	\$0	\$0	\$0	n/a
19647	Transfinder	\$0	\$0	\$0	n/a
19712	Albany College of Pharmacy	\$1,076,775	\$150,000	\$150,000	14%
19811	RPI Research Facility	\$0	\$0	\$0	n/a
25101	Smart Cities Technology Innovation Center UCDP	\$10,000,000	\$1,375,000	\$1,375,000	14%
32235	Urban Grow Center	\$2,375,315	\$250,000	\$250,000	11%
17 TOTAL AWARDS	Total	\$90,167,740	\$7,365,000	\$7,365,000	8%

COMPLETE

ON SCHEDULE

IN PROGRESS

STATUS UPDATE ROUND 3 TOTAL AWARDS

2013 - ROUND 3 PAST PRIORITY PROJECTS

CFR #	PROJECT NAME	TOTAL PROJECT COST	TOTAL AWARDS	TOTAL ESD CAPITAL FUNDS	LEVERAGE
17994	Tech Valley High School STEM Connect	\$9,000,000	\$1,500,000	\$1,500,000	17%
26518	Infrastructure	\$4,060,000	\$550,000	\$550,000	14%
27043	Menands Farmers Market	\$0	\$0	\$0	n/a
27538	Wellington Row	\$10,260,000	\$950,000	\$950,000	9%
28251	Glens Falls Mixed Use	\$35,975,532	\$2,500,000	\$2,500,000	7%
28815	St. Peter's Health Partners Troy	\$9,214,388	\$1,250,000	\$1,250,000	14%
28865	Mohawk Harbor	\$90,065,000	\$5,000,000	\$5,000,000	6%
29043	Berkshire Mountain Club	\$0	\$0	\$0	n/a
29302	Commercial Services Printing	\$1,583,167	\$317,000	\$317,000	20%
29761	Downtown Albany	\$34,500,000	\$1,200,000	\$1,200,000	3%
30224	WWAARC	\$0	\$0	\$0	n/a
30713	HVA Local Food Distribution Hub Network	\$0	\$0	\$0	n/a
30762	City Station North	\$0	\$0	\$0	n/a
30861	Kindl Workforce Development Building	\$850,000	\$150,000	\$150,000	18%
31502	Dockside Lofts	\$0	\$0	\$0	n/a
31508	Challenger Learning Center	\$1,056,787	\$250,000	\$250,000	24%
31691	DO-IT Center	\$17,500,000	\$3,500,000	\$3,500,000	20%
31758	Park South Redevelopment	\$41,726,196	\$2,500,000	\$2,500,000	6%
31836	Glens Falls Labels	\$608,500	\$75,000	\$75,000	12%
32083	Glens Falls Civic Center	\$750,000	\$675,000	\$675,000	90%
32235	Urban Grow Center	\$2,031,815	\$314,956	\$250,000	12%
32306	ATTAIN	\$416,677	\$475,000	\$375,000	90%
32469	Tech Valley Center of Gravity	\$2,750,000	\$550,000	\$550,000	20%
23 TOTAL AWARDS	Total	\$262,348,062	\$21,756,956	\$21,592,000	8%

CONCERNS

DELAYED

TERMINATED

STATUS UPDATE ROUND 4 TOTAL AWARDS

2014 - ROUND 4 PAST PRIORITY PROJECTS

CFR #	PROJECT NAME	TOTAL PROJECT COST	TOTAL AWARDS	TOTAL ESD CAPITAL FUNDS	LEVERAGE
40499	Premier Personal Products Corporation	\$0	\$0	\$0	n/a
40813	Field Goods LLC	\$696,346	\$100,000	\$100,000	14%
40887	Finch Paper Holdings LLC	\$5,079,024	\$1,000,000	\$1,000,000	20%
42081	Morcon, Inc.	\$5,225,000	\$300,000	\$300,000	6%
42397	Monument Square LLC				n/a
43081	Albany Medical College	\$2,500,000	\$500,000	\$500,000	20%
43230	The Research Foundation for the State University of New York	\$4,500,000	\$900,000	\$900,000	20%
43233	Trinity Alliance of the Capital Region, Inc.	\$4,150,000	\$400,000	\$400,000	10%
43286	Eagle Street Corporation	\$6,890,000	\$900,000	\$900,000	13%
43361	Schenectady Metroplex Development Authority	\$19,777,000	\$1,200,000	\$1,200,000	6%
10 TOTAL AWARDS	Total	\$48,817,370	\$5,300,000	\$5,300,000	11%

COMPLETE

ON SCHEDULE

IN PROGRESS

STATUS UPDATE ROUND 5 TOTAL AWARDS

2015 - ROUND 5 PAST PRIORITY PROJECTS

CFR #	PROJECT NAME	TOTAL PROJECT COST	TOTAL AWARDS	TOTAL ESD CAPITAL FUNDS	LEVERAGE
50941	Hudson Valley Creamery	\$3,894,000	\$500,000	\$500,000	13%
51730	American Dance Institute	\$3,950,000	\$500,000	\$500,000	13%
52073	Nine Pin Ciderworks	\$511,000	\$100,000	\$100,000	20%
52140	Adeline Graham Center	\$2,147,194	\$330,000	\$330,000	15%
52461	AMT Training Facility	\$0	\$0	\$0	n/a
53006	Capital District Transportation Authority	\$3,500,000	\$650,000	\$650,000	19%
53191	Greenwich Anchor	\$1,500,000	\$300,000	\$300,000	20%
53284	Coeymans Recycling Center	\$0	\$0	\$0	n/a
53344	New York Arboretum	\$0	\$0	\$0	n/a
53874	Hudson Opera House	\$9,500,000	\$1,300,000	\$1,300,000	14%
53911	American Acoustic TV Series	\$0	\$0	\$0	n/a
54092	444 River Lofts	\$1,800,000	\$300,000	\$300,000	17%
54799	Universal Preservation Hall	\$4,169,250	\$800,000	\$800,000	19%
54968	Albany Water Board	\$9,500,000	\$1,900,000	\$1,900,000	20%
55126	The Good Market	\$0	\$0	\$0	n/a
55554	Green Infrastructure Redevelopment	\$5,165,000	\$1,000,000	\$1,000,000	19%
55576	The Wick Hotel	\$7,800,000	\$1,500,000	\$1,500,000	19%
55621	Capital Repertory Theatre	\$4,826,571	\$950,000	\$950,000	20%
55741	Saint-Gobain Business	\$0	\$0	\$0	n/a
55888	Underground Railroad History Project of the Capital Region	\$279,600	\$70,000	\$70,000	25%
56000	NYCAP Research Alliance Investment Fund	\$11,000,000	\$2,250,000	\$2,250,000	20%
56312	Center for Advanced Technology	\$1,500,000	\$300,000	\$300,000	20%
56345	Port of Albany Big Lift	\$8,000,000	\$4,000,000	\$4,000,000	50%
56754	Troy Innovation Garage	\$1,000,000	\$100,000	\$100,000	10%
56806	Tower on the Hudson				n/a
57082	Community Loan Fund Infusion	\$5,608,000	\$700,000	\$700,000	12%
57393	NYS Mesonet XCITE Laboratory	\$2,272,407	\$454,481	\$454,481	20%
57456	Rensselaer Clean Energy	\$14,100,000	\$1,600,000	\$1,600,000	11%
57889	STEAM Garden	\$1,786,692	\$350,000	\$350,000	20%
29 TOTAL AWARDS	Total	\$103,809,714	\$19,954,481	\$19,954,481	19%

CONCERNS

DELAYED

TERMINATED

STATUS UPDATE ROUND 6 TOTAL AWARDS

2016 - ROUND 6 PAST PRIORITY PROJECTS

CFR #	PROJECT NAME	TOTAL PROJECT COST	TOTAL AWARDS	TOTAL ESD CAPITAL FUNDS	LEVERAGE
49005	Polyset Expansion	\$1,250,000	\$250,000	\$250,000	20%
64040	Adirondack Craft Beverage Campus	\$1,552,000	\$325,000	\$325,000	21%
64598	Sustainable Agriculture Business Expansion	\$31,000,000	\$3,000,000	\$3,000,000	10%
65124	Brown's Brewery Sustainable Development	\$3,497,900	\$450,000	\$450,000	13%
65254	The Mill	\$11,625,000	\$2,325,000	\$2,325,000	20%
65275	Original Sin Expansion	\$1,349,000	\$200,000	\$200,000	15%
65464	ADI Lumberyard Waterfront	\$1,994,133	\$300,000	\$300,000	15%
65554	PI Advanced Manufacturing Center	\$3,910,142	\$750,000	\$750,000	19%
65941	American Theater	\$3,647,450	\$600,000	\$600,000	16%
66142	Women's Wellness Center	\$1,551,500	\$250,000	\$250,000	non-es
66228	HVCC Building Systems Technology Programs	\$120,000	\$75,000	\$24,000	20%
66307	LASNNY Legal Services Center	\$1,500,000	\$300,000	\$300,000	20%
66508	AMC MS/ME Basement Rehabilitation	\$0	\$0	\$0	n/a
66812	STRIDE SHARE Center	\$0	\$0	\$0	#DIV/0!
67050	Hawthorne Valley Farm Expansion	\$2,201,100	\$600,000	\$440,220	20%
67104	Finch Paper Residuals Project	\$5,000,000	\$1,000,000	\$1,000,000	20%
67389	Common Roots Brewing Expansion	\$500,000	\$100,000	\$100,000	20%
67663	Port of Albany Expansion	\$29,300,000	\$5,000,000	\$5,000,000	17%
67820	155 River Street	\$5,643,600	\$1,000,000	\$1,000,000	18%
67829	Town of East Greenbush	\$10,863,600	\$2,000,000	\$2,000,000	18%
67911	The Park Theater	\$3,000,000	\$600,000	\$600,000	20%
68182	Rensselaer Bioscience Development Upgrades	\$20,000,000	\$4,000,000	\$4,000,000	20%
68267	Times Union Garage Egress	\$848,000	\$200,000	\$162,000	19%
68346	Nipper Apartments	\$13,000,000	\$500,000	\$500,000	4%
68532	JW Danforth Expansion	\$4,000,000	\$575,000	\$575,000	14%
25 TOTAL AWARDS	Total	\$157,353,425	\$24,400,000	\$24,151,220	15%

COMPLETE

ON SCHEDULE

IN PROGRESS

STATUS UPDATE ROUND 7 TOTAL AWARDS

2017 - ROUND 7 PAST PRIORITY PROJECTS

CFR #	PROJECT NAME	TOTAL PROJECT COST	TOTAL AWARDS	TOTAL ESD CAPITAL FUNDS	LEVERAGE
71567	Craft NY Supply Chain	\$1,500,000	\$300,000	\$300,000	20%
72449	PGS Millwork Expansion				n/a
72561	Tech Park Expansion	\$3,000,000	\$255,000	\$255,000	9%
73276	Flomatic Plant Expansion	\$3,300,000	\$350,000	\$350,000	11%
73926	Ops Microbrewery Expansion	\$600,000	\$90,000	\$90,000	15%
74144	The Urban Grow Center Expansion	\$3,711,597	\$700,000	\$700,000	19%
74184	Basilica Hudson Gallery Building Renovation/Upgrade	\$375,859	\$75,000	\$75,000	20%
75147	Broadway Streetscape	\$750,000	\$150,000	\$150,000	20%
75232	Schenectady Innovation	\$15,000,000	\$2,900,000	\$2,900,000	19%
75245	Troy Innovation District	\$21,675,000	\$4,000,000	\$4,000,000	18%
75342	Advanced Manufacturing Training	\$14,500,000	\$2,900,000	\$2,900,000	20%
75420	River House Renovation	\$1,126,143	\$225,000	\$225,000	20%
75478	Palace Theatre Restoration	\$29,000,000	\$2,500,000	\$2,500,000	9%
75505	Coarc Ecycle Capital				n/a
75846	CTE Welding and HVAC Program	\$650,000	\$130,000	\$130,000	20%
76185	Soldier-On	\$900,000	\$100,000	\$100,000	11%
76646	723 Warren Street Restoration	\$604,400	\$120,000	\$120,000	20%
76728	Digital Fabrication Advanced Mfg	\$2,500,000	\$300,000	\$300,000	12%
76784	Starbuck Island Development	\$8,950,884	\$1,790,000	\$1,790,000	20%
76944	Hedley Training Facility	\$3,000,000	\$400,000	\$400,000	13%
77148	Maker Space at Hillside View	\$645,000	\$85,000	\$85,000	13%
77159	Manufacturing Technology Education Center (MTEC)	\$30,193,750	\$1,700,000	\$1,700,000	6%
77176	West Mountain Expansion/Upgrades	\$2,000,000	\$400,000	\$400,000	20%
23 TOTAL AWARDS	Total	\$143,982,633	\$19,470,000	\$19,470,000	14%

CONCERNS

DELAYED

TERMINATED

MAPPED STATUS OF THE CAPITAL REGION

ROUND 1

	CFR #	PROJECT NAME
1	2406	TVC Albany
2	2720	Creative Stage Lighting
3	4575	Windham Mountain Partners
4	4642	Etransmedia Technology Capital Loan
5	6161	Ecovative Design EIP
6	7144	The United Group of Companies
7	7489	Warren County EDC
8	8333	University at Albany - RNA Institute
9	8349	University at Albany - Biotechnology Training Center
10	8470	Albany Medical College - NYCAP Research Alliance
11	8575	Center for Economic Growth

ROUND 2

	CFR #	PROJECT NAME
12	16369	Ames Goldsmith
13	16897	Albany Medical Center UCDP
14	17407	Hudson Avenue Parking Structure
15	17499	Davidson Brothers
16	17620	AMI Diagnostic Imaging Agent
17	17649	Albany Medical College
18	17759	Smart Cities Technology Innovation Center
19	18592	GreenRenewable
20	18790	Albany Waterfront
21	18935	Rotterdam Multi-Modal Center
22	19382	WWARC Community Kitchen
23	19617	GLV USA
24	19647	Transfinder
25	19712	Albany College of Pharmacy
26	19811	RPI Research Facility
27	25101	Smart Cities Technology Innovation Center UCDP
28	32235	Urban Grow Center

ROUND 3

	CFR #	PROJECT NAME
29	17994	Tech Valley High School STEM Connect
30	26518	Infrastructure
31	27043	Menands Farmers Market
32	27538	Wellington Row
33	28251	Glens Falls Mixed Use
34	28815	St. Peter's Health Partners Troy
35	28865	Mohawk Harbor
36	29043	Berkshire Mountain Club
37	29302	Commercial Services Printing
38	29761	Downtown Albany
39	30224	WWAARC
40	30713	HVA Local Food Distribution Hub Network
41	30762	City Station North
42	30861	Kindl Workforce Development Building
43	31502	Dockside Lofts
44	31508	Challenger Learning Center
45	31691	DO-IT Center
46	31758	Park South Redevelopment
47	31836	Glens Falls Labels
48	32083	Glens Falls Civic Center
49	32235	Urban Grow Center
50	32306	ATTAIN
51	32469	Tech Valley Center of Gravity

ROUND 4

	CFA #	PROJECT NAME
52	40499	Premier Personal Products Corporation
53	40813	Field Goods LLC
54	40887	Finch Paper Holdings LLC
55	42081	Morcon, Inc.
56	42397	Monument Square LLC
57	43081	Albany Medical College
58	43230	The Research Foundation for the State University of New York
59	43233	Trinity Alliance of the Capital Region, Inc.
60	43286	Eagle Street Corporation
61	43361	Schenectady Metroplex Development Authority

ROUND 5

	CFA #	PROJECT NAME
62	50941	Hudson Valley Creamery
63	51730	American Dance Institute
64	52073	Nine Pin Ciderworks
65	52140	Adeline Graham Center
66	52461	AMT Training Facility
67	53006	Capital District Transportation Authority
68	53191	Greenwich Anchor
69	53284	Coeymans Recycling Center
70	53344	New York Arboretum
71	53874	Hudson Opera House
72	53911	American Acoustic TV Series
73	54092	444 River Lofts
74	54799	Universal Preservation Hall
75	54968	Albany Water Board
76	55126	The Good Market
77	55554	Green Infrastructure Redevelopment
78	55576	The Wick Hotel
79	55621	Capital Repertory Theatre
80	55741	Saint-Gobain Business
81	55888	Underground Railroad History Project of the Capital Region
82	56000	NYCAP Research Alliance Investment Fund
83	56312	Center for Advanced Technology
84	56345	Port of Albany Big Lift
85	56754	Troy Innovation Garage
86	56806	Tower on the Hudson
87	57082	Community Loan Fund Infusion
88	57393	NYS Mesonet XCITE Laboratory
89	57456	Rensselaer Clean Energy
90	57889	STEAM Garden

COMPLETE

ON SCHEDULE

IN PROGRESS

CONCERNS

DELAYED

TERMINATED

ROUND 6

	CFR #	PROJECT NAME
91	49005	Polyset Expansion
92	64040	Adirondack Craft Beverage Campus
93	64598	Sustainable Agriculture Business Expansion
94	65124	Brown's Brewery Sustainable Development
95	65254	The Mill
96	65275	Original Sin Expansion
97	65464	ADI Lumberyard Waterfront
98	65554	PI Advanced Manufacturing Center
99	65941	American Theater
100	66142	Women's Wellness Center
101	66228	HVCC Building Systems Technology Programs
102	66307	LASNNY Legal Services Center
103	66508	AMC MS/ME Basement Rehabilitation
104	66812	STRIDE SHARE Center
105	67050	Hawthorne Valley Farm Expansion
106	67104	Finch Paper Residuals Project
107	67389	Common Roots Brewing Expansion
108	67663	Port of Albany Expansion
109	67820	155 River Street
110	67829	Town of East Greenbush
111	67911	The Park Theater
112	68182	Rensselaer Bioscience Development Upgrades
113	68267	Times Union Garage Egress
114	68346	Nipper Apartments
115	68532	JW Danforth Expansion

ROUND 7

	CFR #	PROJECT NAME
116	71567	Craft NY Supply Chain
117	72449	PCS Millwork Expansion
118	72561	Tech Park Expansion
119	73276	Flomatic Plant Expansion
120	73926	Ops Microbrewery Expansion
121	74144	The Urban Grow Center Expansion
122	74184	Basilica Hudson Gallery Building Renovation/ Upgrade
123	75147	Broadway Streetscape
124	75232	Schenectady Innovation
125	75245	Troy Innovation District
126	75342	Advanced Manufacturing Training
127	75420	River House Renovation
128	75478	Palace Theatre Restoration
129	75505	Coarc Ecycle Capital
130	75846	CTE Welding and HVAC Program
131	76185	Soldier-On
132	76646	723 Warren Street Restoration
133	76728	Digital Fabrication Advanced Mfg
134	76784	Starbuck Island Development
135	76944	Hedley Training Facility
136	77148	Maker Space at Hillside View
137	77159	Manufacturing Technology Education Center (MTEC)
138	77176	West Mountain Expansion/Upgrades

COMPLETE

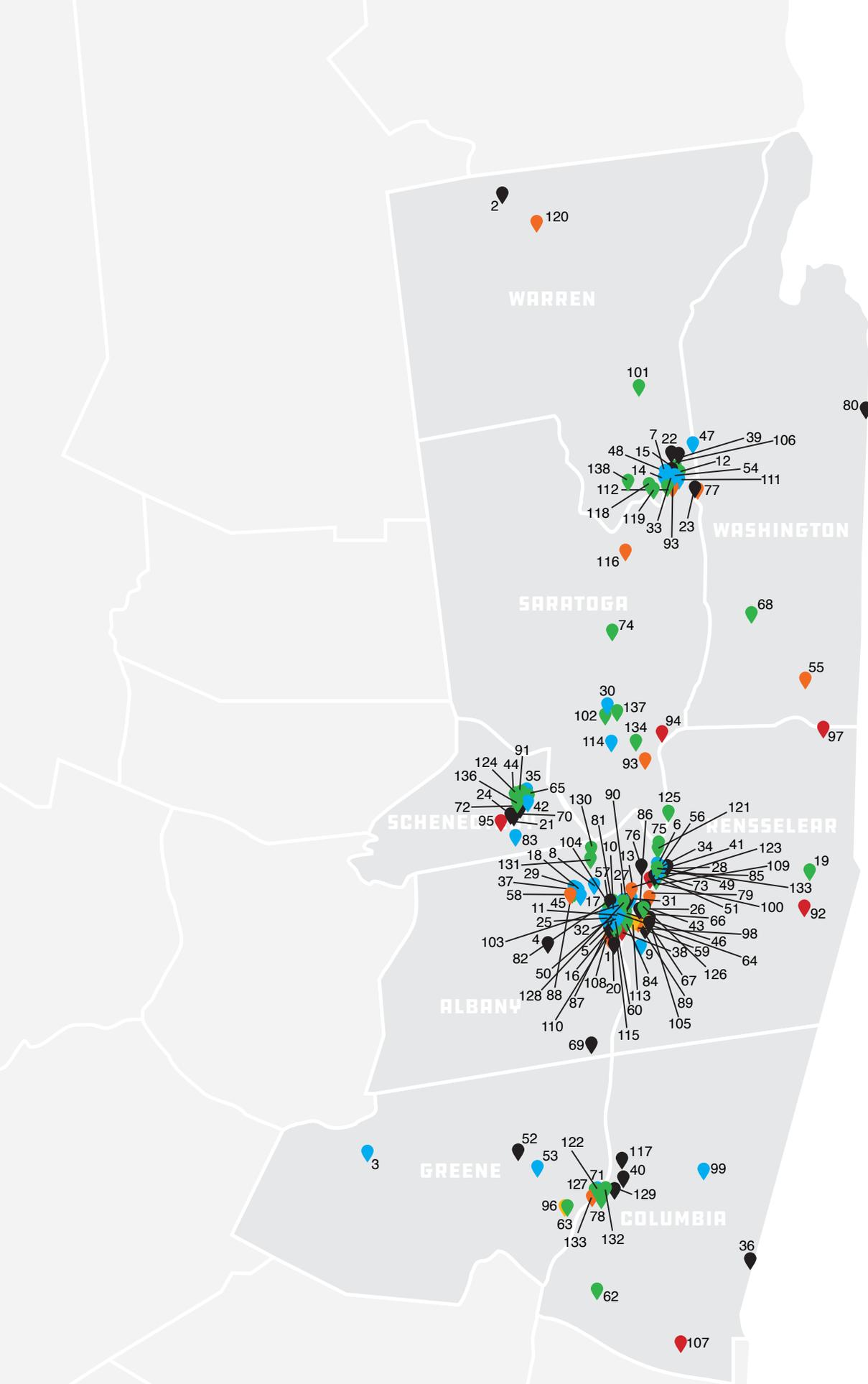
ON SCHEDULE

IN PROGRESS

CONCERNS

DELAYED

TERMINATED



AGGREGATED STATUS OF ALL PAST PRIORITY PROJECTS

	ROUND 1	ROUND 2	ROUND 3	ROUND 4	ROUND 5	ROUND 6	ROUND 7	EXCELSIOR	TOTAL	% OF PROJECT
	8	8	15	3	6	4	0	1	45	25.1%
	0	1	0	4	12	7	17	26	67	37.4%
	0	0	0	0	1	1	0	1	3	1.7%
	0	1	1	1	0	6	0	1	10	5.6%
	0	0	1	0	2	4	4	3	14	7.8%
	3	7	6	2	8	3	2	9	40	22.3%
TOTAL	11	17	23	10	29	25	23	41	179	100.0%

COMPLETE

ON SCHEDULE

IN PROGRESS

CONCERNS

DELAYED

TERMINATED

LEVERAGE OF STATE INVESTMENT IN ALL PAST PRIORITY PROJECTS

ROUND	TOTAL AWARDS	TOTAL PROJECT COST	TOTAL AWARDS	TOTAL ESD CAPITAL FUNDS	LEVERAGE
ROUND 1	11	\$63,849,089	\$8,611,000	\$8,611,000	13%
ROUND 2	17	\$95,394,622	\$7,565,000	\$7,365,000	8%
ROUND 3	23	\$263,699,789	\$21,956,956	\$21,792,000	8%
ROUND 4	10	\$52,070,370	\$5,500,000	\$5,500,000	11%
ROUND 5	29	\$118,140,126	\$21,813,000	\$21,750,000	18%
ROUND 6	25	\$144,353,425	\$23,900,000	\$23,651,220	13%
ROUND 7	23	\$143,982,633	\$19,470,000	\$19,470,000	14%
TOTALS	138	\$881,490,054	\$108,815,956	\$108,139,220	12%

PROGRESS MADE IN PAST YEAR

Over the past year, 13 priority projects (excluding Excelsior) were completed. For briefs on select priority projects for which significant progress was made in the past year, see the following:



CFR #	CFR NAME	PAGE
26518	Infrastructure	39
28865	Mohawk Harbor	39
43230	The Research Foundation for the State University of New York	55
52140	The ADDY	53
54799	Universal Preservation Hall	33
55576	The Wick Hotel	40
57393	NYS Mesonet XCITE Laboratory	55
57889	STEAM Garden	41
67911	The Park Theater	33
68532	JW Danforth Expansion	40
75342	Center for Advanced Manufacturing Skills	51
76784	Starbuck Island Development	33





STATUS OF ALL PROJECTS AWARDED CFA FUNDING





PROGRESS MADE SINCE 2011

As of July 2018, 83 percent of all 705 CFA projects were completed or on schedule. In sum, \$277.7 million in CFA awards supported \$1.3 billion in total investments, meaning every \$1 from a CFA award leveraged \$4.70 in investment. Since 2011, these investments created 5,729 jobs.



PROGRESS MADE IN PAST YEAR

Over the past year, 75 CFA projects were completed. For briefs on select non-priority CFA project progress made in the past year, see: Albany Skyway and Hudson River Skywalk, both on page 32.

ALL CFA PROJECT STATUS

	ROUND 1	ROUND 2	ROUND 3	ROUND 4	ROUND 5	ROUND 6	ROUND 7	TOTAL	% OF PROJECT
Blue	71	59	72	48	36	26	0	312	44%
Green	11	12	19	25	56	60	87	270	38%
Yellow	1	0	1	2	1	4	0	9	1%
Red	0	1	1	1	0	6	0	9	1%
Orange	0	2	1	2	6	16	17	44	6%
Black	10	13	13	8	10	5	3	62	9%
TOTAL	93	87	107	86	109	117	107	706	100%

LEVERAGE OF STATE INVESTMENT IN ALL CFA PROJECTS

	ROUND 1	ROUND 2	ROUND 3	ROUND 4	ROUND 5	ROUND 6	ROUND 7	GRAND TOTAL
TOTAL PROJECTS	83	74	94	78	99	112	104	644
TOTAL PROJECT COST	\$188,104,553	\$165,356,893	\$304,732,679	\$75,407,648	\$149,921,452	\$220,022,990	\$209,664,802	\$1,313,211,017
TOTAL CFA AWARD	\$54,330,475	\$34,043,168	\$38,421,845	\$16,980,550	\$43,917,700	\$43,277,604	\$46,756,547	\$277,727,889
RATIO	1:3.5	1:4.9	1:7.9	1:4.4	1:3.4	1:5.1	1:4.5	1:4.7

**Calculations and project count excludes cancelled, terminated or declined awards.

JOB CREATION ALL AGENCIES

	JOBS CREATED	JOBS RETAINED AT RISK	JOBS PROJECTED	CONSTRUCTION JOBS	INDIRECT JOBS
ROUND 1	660	406	645	112	526
ROUND 2	750	674	316	2,741	1,003
ROUND 3	1,776	1,642	658	2,428	2,070
ROUND 4	229	701	217	237	832
ROUND 5	464	194	457	1,335	554
ROUND 6	259	628	419	1,153	964
ROUND 7	132	45	132	1,589	1,413
OPEN ENROLLMENT	1,459	3,572	1,459	516	6,637
TOTAL CFA	5,729	7,862	4,303	10,111	13,999

**Calculations exclude projects that were cancelled, terminated or where the award was declined.



**IMPLEMENTATION
OF 2018
STATE
PRIORITIES**

The Capital Region’s list of “best places” rankings continued to grow with the following examples:



6th Best City for Recent College Graduates: **Albany** (Livability)



Best Place to Go in 2018: **Lake George** (Money)



19th Best Small City in America: **Saratoga Springs** (ALOT Travel)



30th Best Place to Live: **Albany-Schenectady-Troy Metro Area** (Business Insider)



39th Best Place to Live: **Albany-Schenectady-Troy Metro Area** (U.S. News and World Report)



42nd Most Attractive City to Young People: **Albany** (CEO World Magazine)

PROGRESS

- **Albany Skyway (64023):** This project received Round VI funding for a planning and feasibility study for the conversion of an I-787 exit ramp into a pedestrian walkway, bike route and linear park spanning from Quay Street to Clinton Avenue. Last March, the project’s applicant, Capitalize Albany, received additional funding from New York State Department of Transportation to complete preliminary designs and manage initial construction. Work on this project, which will improve downtown’s connection to the Hudson River waterfront, is scheduled to begin fall 2019.
- **Hudson River SkyWalk (55766, 67341, 73809):** This project will create a scenic pedestrian trail that crosses the Hudson River and connects two historic sites linked to the Hudson River School of Painting. The project’s second phase, which is scheduled for completion in fall 2018, will replace and rehabilitate a sidewalk along the Rip Van Winkle Bridge and furnish it with three lookout points. The third phase will be substantially completed in fall 2018. It involves conversion of the intersection of Route 9C and Route 23 in Greenport into a pedestrian-friendly roundabout, providing tourists who walk across the

bridge with direct access to Olana State Historic Site. The project received funding in Round V for planning and design, in Round VI for construction and in Round VII to create and market the Skywalk Region.

- **Wick Hotel (55576):** See page 40.
- **Glens Falls Garage/Mixed Use Capital (17407 and 28251):** As the first phase of a larger anticipated public-private revitalization, the City of Glens Falls put \$500,000 in Round II funds toward the construction of a publicly-owned \$7 million-plus parking garage. In addition, \$2.5 million in Round III funds supported the four-story, 52,500-square-foot, 504-space parking garage development, with the goal of leveraging additional private sector investment in the construction of a nearby mixed-use building that would create new commercial/retail space and residential housing. The parking garage was completed in fall 2016, and the mixed-use building was completed in June 2017. The commercial portion of the mixed-use building is 81 percent leased and additional leases are under negotiation. Residential occupancy for the 80 market-rate apartments is at 91 percent.

- **Park Theater (67911):** The renovation of the historic theater in downtown Glens Falls into a 220-seat performing arts venue and restaurant was supported with \$600,000 in Round VI funds. The theater's grand opening was April 2018.
- **Starbuck Island Development Project (76784):** Work on this project started in summer 2018. Supported with \$1.8 million in Round VII funds, it will revitalize a contaminated oil storage and distribution terminal site into a sustainable waterfront community and link Green Island with downtown Troy. The development will feature apartment complexes, restaurants, an amphitheater, a marina and a promenade.
- **Universal Preservation Hall (54799, 52217):** Renovation of this 147-year-old church into a state-of-the-art performance venue started spring 2018. The theater, a subsidiary of Proctors in Schenectady, is expected to open in 2019. It received \$800,000 in Round V.
- **444 River Lofts (54092):** The \$19 million redevelopment of this vacant downtown Troy building into a 74-unit apartment complex overlooking the Hudson River started in October 2017. At the recommendation of the CREDC, Empire State Development provided a \$300,000 grant to support the renovation of the River Street building.

TRADEABLE SECTORS

ACTIVITIES

- **CEG International Industry Attraction:** The Center for Economic Growth (CEG) represented the local semiconductor industry at Silicon Europa in Munich, Germany, November 2017, marketing the Capital Region's tech clusters and to encourage international trade. CEG also represented regional manufacturers in the automobile supply chain at the Select USA Canadian International Auto Show in Ontario, Canada, in February 2017; Albany seaports at the International Offshore Wind Partnering Forum in Princeton, New Jersey, in April 2017; and the life sciences cluster at Bio International in Boston, Massachusetts in June 2017. In November 2018, CEG will represent the video game development cluster at the Montreal International Game Summit.
- **Business Connection Forum:** In October 2017, CEG, with the support of North American partners and Silicon Europe, organized a three-day Business Connection Forum in Albany. This international conference spotlighted not only the Capital Region's semiconductor assets but also those related to autonomous operations, health applications, smart cities and advanced electronics. The event attracted more than 100 people and culminated in a Memorandum of Understanding (MOU) in which CEG and Silicon Europe agreed to, among other things, "facilitate collaboration and partnerships with businesses, academic institutions, government and workforce development partners to build a skilled workforce pipeline for industries." Another Silicon Europe Business Connection Forum will be held November 2018 in Munich, in conjunction with Silicon Europa.
- **Expotech:** Since last October, CEG has assisted two Capital Region manufacturers to develop proactive and strategic approaches to international markets through the Expotech program.
- **Foreign-Trade Zone 121:** The Capital District Regional Planning Commission (CDRPC), as the grantee for the Capital Foreign-Trade Zone (FTZ) #121, continues to increase regional awareness of the program and the opportunities it affords to area businesses engaged in trade. In 2018, the CDRPC updated its informational materials to focus on the program's regional nature and the key benefits for users. Staff continues to assist FTZ users, respond to questions and provide outreach to businesses with identified trade challenges associated with product import. The Capital Region FTZ was recognized at the National Association of Foreign-Trade Zones (NAFTZ) Spring Seminar with its NAFTZ Success Story Award. Members of the NAFTZ selected the Capital Region FTZ for recognition based on the annual economic impact analysis CDRPC performs each year.

- **Offshore Wind:** CEG, through the International Offshore Wind Partnering Forum, is positioning the Port of Albany and Port of Coeymans to become staging, fabrication, logistics sites for the offshore wind (OSW) industry. The U.S. Bureau of Ocean Energy Management has approved 13 OSW leases already, with several more pending off New York, New Jersey and Massachusetts. Further driving the demand for OSW is New York’s stated goal of 2.4GW to be developed in 400MW masses. The New York State Energy Research Development Authority (NYSERDA) in December 2017 issued an OSW master plan that assessed 54 distinct waterfront sites along the New York Harbor and Hudson River and 11 distinct areas with multiple small sites along the Long Island Coast. Twelve waterfront areas and five distinct areas were singled out for “potential to be used or developed into facilities capable of supporting OSW projects.” They included the Port of Albany and Port of Coeymans, both of which could be used to manufacture OSW components. In 2018, CEG had multiple meetings with international OSW suppliers.

PROGRESS

- **Communications Test Design, Inc.:** CTDI is a full-service engineering, repair and logistics company serving major wireline and wireless telecom carriers, cable service providers and major original equipment manufacturers (OEMs). To meet the growing needs of one of its largest customers, CTDI will expand into a new 100,000 square-foot building adjacent to its existing facility in Glenville. Supported by \$400,000 in tax credits under the Excelsior Jobs Program, CTDI will create 37 jobs and retain 302 jobs.
- **Hawthorne Valley Association (67050):** This Ghent, Columbia County nonprofit received \$600,000 in Round VI funds to support a \$2.2 million expansion. The project involved the acquisition and renovation of a 22,000-square-foot building in Hudson, site improvements, and the purchase/installation of specialized production and food packaging equipment. It resulted in the creation of a new food processing, packaging and storage facility. The project was completed in February 2018. The company has increased sauerkraut production by over 400 percent and significantly reduced packaging time. For example, the fill time for its yogurt beverages has gone from three hours per batch to 45 minutes. In addition, the company has created four new jobs.

REGIONAL STRATEGIES THAT ADDRESS STATE PRIORITIES



WORKFORCE (STATE PRIORITY)

CAPITAL 20.20
TALENT STRATEGY

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TRADEABLE SECTORS (STATE PRIORITY)

CAPITAL 20.20
GATEWAY STRATEGY

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INNOVATION (STATE PRIORITY)

REGIONAL CLUSTER PLAN
R&D TO COMMERCIALIZATION

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NEW REGIONAL STRATEGY
CREATIVE ECONOMY

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NEW REGIONAL STRATEGY
VIDEO GAME HUB

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REGIONAL HOT SPOT
INNOVATE 518

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LIFE SCIENCES CLUSTER

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CAPITAL 20.20
LIFT-OFF STRATEGY

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NEEDED ACTIONS AND NEW STRATEGIES

YEAR OF TRANSITION

2018 was a year of transition for the CREDC. The Council welcomed two new co-chairs: Ruth Mahoney, Key Bank's market president and regional retail leader, and Havidan Rodriquez, president of the University at Albany.

Under the leadership of the new co-chairs, the Council completed a reconstitution of its workgroups and committees to align regional strategies and statewide priorities. The goal of this initiative was to set up the workgroups to maximize community participation region wide and to provide the groundwork for new strategies. The committees comprised of CREDC members will focus on the active day-to-day activities of the Council, including implementation of the strategic plan.



WORKGROUP	REGIONAL STRATEGY /STATEWIDE PRIORITIES	NEEDED ACTIONS
ARTS, CULTURE AND ENTERTAINMENT WORKGROUP	Lift Off, Metro, Talent. Placemaking, Downtowns.	Increase workgroup participation; support of the Alliance for the Creative Economy strategy.
PUBLIC ENGAGEMENT WORKGROUP	Public Outreach and Communication. Local Government Engagement, Opportunity Agenda.	Increase workgroup participation; facilitate all external communications; hold at least four outreach meetings regionally.
BUSINESS DEVELOPMENT WORKGROUP	Lift Off, Next Tech, Regional Cluster. Tradeable Sectors, Global.	Facilitate a forum for the region's economic development organizations to work cooperatively.
INFRASTRUCTURE AND TRANSPORTATION WORKGROUP	Infrastructure, Gateway. Local Government Engagement, Global, Placemaking, Opportunity Agenda.	Identify significant infrastructure issues effecting economic and community development; develop strategy to enhance public transit.
PLACEMAKING WORKGROUP	Metro, Bringing Cities to Life, Lift Off. Placemaking, Downtowns, Opportunity Agenda.	Develop new strategies to enhance development in and around DRI zones; identify flexible funding sources for smaller community redevelopment projects.
WORKFORCE DEVELOPMENT WORKGROUP	Talent, Workforce and Education. Workforce Development Veteran's, Opportunity Agenda.	Support the governor's workforce development initiative; facilitate the region's community colleges C5 workforce initiative.
TECHNOLOGY AND INNOVATION WORKGROUP	Regional Cluster Plan, Lift Off, Next Tech. Tradeable Sectors, Life Sciences Cluster, Regional Hot Spot	Provide assistance to the development of the Wadsworth lab's commercialization initiative; continue working with the region's video game industry to grow the cluster.
SIAT PREP WORKGROUP	Preparation of Progress Report, Video and Presentation.	Develop a world-class Progress Report, video and presentation.
PROJECT DEVELOPMENT COMMITTEE	Implementation of Progress through the Project Pipeline	Monitor all existing CFA awards; develop new projects for next CFA round
SPECIAL INITIATIVE COMMITTEE	Special Initiatives; URI, DRI, Opportunity Zones, Bond Cap.	Support URI and DRI projects; identify new URI projects; work to reallocate existing CFA funds
EXECUTIVE COMMITTEE	Oversight and Implementation of Regional Strategy	Develop new protocols to guide the CREDC in carrying out its mission.



IMPLEMENTATION OF STATEWIDE REGIONAL PRIORITIES





IMPLEMENTATION OF STATEWIDE REGIONAL PRIORITIES

In 2018, CREDC has advanced statewide regional priorities through greater collaboration on initiatives undertaken by the Capital Region’s community colleges and the Center for Economic Growth. These partners are especially resourceful in the areas of workforce development, veterans’ participation in the workforce and the life sciences cluster.

IMPLEMENT STRATEGIES THROUGH THE PROJECT PIPELINE

- **Mohawk Harbor (28865, 65054):** Utilizing \$4 million in Restore NY and \$5 million in Round III funds, this project transformed the 57-acre former American Locomotive Company industrial site along the Mohawk River in Schenectady into an economically vibrant, mixed-use waterfront community. The Rivers Casino opened there in February 2017. With the exception of 2 Rush Street, leasing at Mohawk Harbor started December 2017 and as of June 2018 included the following tenants: Erie Boulevard Donuts, Chemung Canal Trust Company, Ellis Medicine, Beekman 1802, Druthers Brewing Company, One18 Hospitality Group, Shady Harbor Holding and Kayak Hut. Together, these tenants employ more than 175 workers.

Capital 20.20 Connection: This project advances Capital 20.20’s Metro and Lift-Off strategies by creating a waterfront destination near downtown Schenectady with local food manufacturing tenants.



- **Infrastructure Technologies (26518):** Infrastructure purchased property at the Synergy Technology Park in Clifton Park and constructed a 70,000-square-foot facility to consolidate three computer technology design, development and operational service facilities. The \$10 million project enabled Infrastructure to retain 277 jobs and create 86 new jobs over three years. ESD awarded a \$550,000 capital grant towards reimbursement for a portion of furniture, fixtures and equipment expenses. In a separate \$8 million project, Infrastructure will establish an Advanced Technology Center at a leased 42,000-square-foot building in Menands. Using Excelsior Jobs Program tax credits, Infrastructure is creating 471 net new jobs and retaining 384 jobs in Menands.

Capital 20.20 Connection: This project advances Capital 20.20’s Lift-Off strategy by bolstering Infrastructure’s innovative capabilities.



- **Wick Hotel (55576):** This \$7.8 million project transformed a circa-1860 soap candle manufacturing plant in Hudson. Financing included \$1.5 million in Round V funds. The Wick is a 55-room boutique hotel, restaurant and bar that opened May 2018. It is projected to create 11 jobs.

Capital 20.20 Connection: This project advances Capital 20.20's Metro and Lift-Off strategies by enhancing downtown Hudson's attractiveness to tourists.



- **John W. Danforth Company (68532):** For this regionally significant project, J.W. Danforth received \$575,000 in Round VI to support a \$4.2 million investment to expand an existing warehouse facility in Clifton Park and create additional office space for the company's mechanical contracting and sheet metal/piping fabrication operations in New York State. The mechanical contractor committed to retaining 133 jobs and creating 100 jobs. It has already exceeded its job creation target, creating 108 new jobs.

Capital 20.20 Connection: This project advances the Gateway strategy by enhancing the Capital Region's warehouse and distribution ecosystem.



- **SUNY Adirondack Culinary Center:** SUNY Adirondack's 8,000-square-foot downtown Culinary Center on Hudson Avenue will open for this fall semester. It features a 100-seat restaurant called Seasoned, a teaching kitchen, two classrooms and a bake shop. Glens Falls' Downtown Revitalization Initiative provided \$600,000 for this \$1,300,000 project.

Capital 20.20 Connection: The SUNY Adirondack Culinary Center advances the Metro, Lift-Off and Talent strategies by opening in the downtown, attracting tourists to Glens Falls and enhancing the region's skilled talent pipeline.



ACTIVITIES

Innovate 518: This Capital Region regional hot spot provided services to more than 400 startup businesses through the affiliated partner network, helping them generate sound business models and customer development practices.

- In the first round of Hot Spot funding, Innovate 518 certified eight startups that accounted for 20 jobs and \$1,000,000 in investment.
- Innovate 518 and its partners have attracted investment capital from outside the region. They also connect and convene entrepreneurs and innovators in downtown areas.
- Innovate 518 brought in more than \$600,000 in capital funding for three companies, coached and prepped companies that won more than \$700,000 at pitch events and is currently in negotiations for more than \$1.1 million in private investments.
- Commercialization of pre-revenue companies accounted for more than \$900,000 in sales.
- More than 45 companies have submitted a contact form on Innovate 518's website (www.innovate518.com) since its inception in May 2017. These companies have been linked to resources throughout the Capital Region.

PROGRESS

- **Corporation in a Box:** Albany Law School and the University at Albany developed an online tutorial for early-stage technology start-ups called "Corporation in a Box." The tutorial resides on the Innovate 518 website and provides an interactive experience to ensure businesses incorporate at the optimal level for their specific business and personal needs. Additional tools and resources to assist companies in applying for SBIR/STTR applications through the Small Business Administration also were developed as part of future phases of the collaboration.

- **STEAM Garden Business Incubator (57889):**

In June 2018, ground was broken for this Innovate 518 affiliate at the former St. Patrick's Catholic School on Central Avenue in Albany. With a focus on science, technology, engineering, art and design and math education, the STEAM Garden will blend educational, laboratory and business space for entrepreneurs, creative technology professionals and college students. It is a collaboration of HVCC, UAlbany and Albany Law School that received \$350,000 in Round V and \$500,000 from the New York Main Street program.

- **Prototyping Center and The Manufacturing Incubator:**

Affiliated partner, the Center of Gravity (TVCOG) in Troy, launched its new Prototyping Center and The Manufacturing Incubator. The incubator provides coaching and risk mitigation for startups seeking industry expertise to bring their physical product to market and create a revenue-generating business. The Prototyping Center provides on-site prototype development and manufacturing technology and equipment, including a 10x5' CNC Gantry Router, a Stratasys f270 professional 3D printer (provided by the Center for Economic Growth), a 2x4' vacuum former, a 130W 3x5' laser cutter, SOLIDWORKS and Fusion360, with lean workstations and production and storage space.

- **JumpStart:** See page 42.

- **Biomedical Accelerator and Commercialization Center (BACC):** See page 56.

OPPORTUNITY AGENDA

PROGRESS

- **JumpStart:** The Center for Economic Growth (CEG), in collaboration with the Community Loan Fund of the Capital Region (CLFCR) and Excelsior Growth Fund (EGF), in May 2018 received \$110,000 from KeyBank Business Boost & Build to collaboratively grow the Capital Region's minority- and women-owned business enterprises (MWBEs) and disadvantaged small businesses. Under the program, powered by JumpStart, CEG will hold and sponsor events and workshops for these targeted businesses and provide technical assistance to them. In addition to educational opportunities, startups and new businesses can receive microloans from CLFCR of up to \$50,000. Larger loans of up to \$500,000 can be obtained from EGF, which also will offer its Financial Management Training Series and other programming to the targeted businesses.
- **Manufacturing Pathways:** Under a \$250,000 KeyBank Foundation grant awarded in June 2018, CEG will assist Hudson Valley Community College (HVCC) and Schenectady County Community College (SCCC) to ensure at least 25 percent of the students enrolled in their Manufacturing Technology Pathways and Certified Production Technician training programs (**see page 51**) are from low- to moderate-income households. These programs prepare workers for entry-level and for higher skill positions, respectively.
- **Uncle Sam Garage (53006):** The Capital District Transportation Authority received \$650,000 in Round V to improve mobility for distressed communities and allow them greater access to employment, education and social service opportunities in Downtown Troy and areas along routes that will service the transit center. The transit center and its associated routes serve 20 of 24 Opportunity Zones established by the CREDC. In addition, the transit center will facilitate the expansion of existing rapid transit lines throughout the Capital Region.
- **Health Care Apprenticeship Program:** The Empire State Apprenticeship Tax Credit (ESATC) provides incentives to employers who become registered sponsors of apprenticeships outside of the construction trades. (**see page 51**) The tax credit is available to employers, or groups of employers, who are New York State-qualified sponsors of apprenticeships. Enhanced incentives are available to employers who employ disadvantaged youth in apprenticeship programs or engage the support of mentors. SCCC also is looking to braid funding streams with the Health Profession Opportunity Grant (HPOG), which targets low-income individuals and families receiving Temporary Assistance for Needy Families (TANF).
- **SUNY Adirondack Culinary Center:** See page 40.
- **Capital Region BOCES Career and Technical School (75846):** This project includes two programs of study currently offered by Capital Region BOCES through its Career and Technical School (CTE) in Albany: welding and metal fabrication; and heating, ventilation, air-conditioning and refrigeration (HVAC/R). In Round VII, Capital Region BOCES received \$130,000 for the purchase of updated equipment to enable welding and HVAC/R students to train in state-of-the-art facilities that mirror what they'll find in the workplace. By September 2018, Capital Region BOCES was in the final stages of procurement for this equipment and preparing to place an order for it. Students should be able to work on the equipment in 2019.
- **MWBEs:** In July 2018, the Capital Region had 475 New York State-certified women business enterprises (WBEs) and 169 state-certified minority business enterprises (MBEs). These 644 state-certified MWBEs represent an 8 percent increase over July 2017's total.

VETERANS' PARTICIPATION IN THE WORKFORCE

- **CEG Talent Connect:** Continuing a practice started in 2017, the Center for Economic Growth (CEG) is sending its Talent Connect staff to Fort Drum's quarterly Soldier for Life – Transition Assistance Program (SFL TAP) Career and Education Fairs. Since last October, Talent Connect staff represented Capital Region employers and educational institutions at three SFL TAP fairs, which are routinely attended by hundreds of soldiers who are completing their service at Fort Drum and interested in civilian employment or G.I. Bill benefit-related educational opportunities. While at the military base in May 2018, Talent Connect staff also made a presentation on opportunities in the Capital Region for participants in Onward to Opportunity, which provides career training to service members and their spouses. In April 2018, Talent Connect represented Capital Region employers at a veterans' job fair at the Albany Stratton VA Medical Center. A Talent Connect employee also became a member of the Albany Stratton VA's Employer Council, which is dedicated to advancing the employment of veterans in the region.
- **Albany County Soldier On (76185):** After receiving \$500,000 in Round VII funds, Albany County is conducting an engineering assessment for the conversion of the 91,320-square-foot former Ann Lee Nursing Home into a Soldier On facility for homeless veterans.
- **SDVOBs:** The number of New York State-certified service-disabled, veteran-owned businesses in the Capital Region grew to 46 as of July 2018, up 12 (or 35 percent) from a year earlier.
- **CEG Veterans Committee:** In December 2017, CEG informally launched an initiative focused on bringing veterans and transitioning service members and their families to the Capital Region for higher education and/or career opportunities. In 2018, CEG convened a veterans committee to plan the creation of a physical location for veterans and their families to find any service (careers, education, training and community resources) they might need in the region. This center also will serve as a programming provider and centralized information resource for businesses and educational institutions interested in attracting, hiring, educating and supporting veterans. CEG's veterans committee includes about 20 individuals representing the military, academia and business. It is chaired by Michael Swezey, a retired brigadier general with the New York National Guard and a vice president and senior financial advisor for Merrill Lynch. The committee's vice chair is Joshua Toas, a former Army Reserve captain and vice president of compliance and chief compliance officer at the SUNY Research Foundation.
- **VETCON:** This conference series dedicated to the education and professional growth of veterans took place Nov. 28 and 29, 2017, in Colonie. Designed to assist veterans in overcoming some of the challenges they face as they transition from service into civilian life, VETCON 2017 featured speakers of national acclaim serving as mentors during seminar sessions. There were more than 300 attendees at the conference, including veteran entrepreneurs.

LIFE SCIENCES CLUSTER

PERFORMANCE OVERVIEW

- **2017 Jobs:** See page 6.

PROGRESS

- **Regeneron Pharmaceuticals:** In June 2018, the New York State Department of Health Wadsworth Center Laboratory and Regeneron Pharmaceuticals announced a public-private research collaboration to develop improved diagnostics, prophylactics and therapeutics for diagnosing and treating Lyme disease and other tick-borne diseases. Regeneron will spend \$48 million on the initiative, with the state reimbursing the biopharma company up to \$24 million through the New York State Life Sciences Initiative. Wadsworth also will receive \$6 million through the Life Sciences Initiative.

Additionally, Regeneron will invest \$800 million and create 1,500 jobs for a second production facility in Rensselaer County. The expansion project is being supported by \$140 in state incentives.

- **BioSoil Farm:** This worm casting product producer established itself at the Glenville Business and Industrial Park in January 2017. Within 18 months BioSoil Farms was identified by market research firms as a “key player” in the global soil conditioner market.
- **Taconic:** In February 2018, this provider of research models celebrated the relocation of its corporate headquarters from Germantown to the Cancer Research Center building at the University at Albany Health Sciences Campus in East Greenbush. Taconic moved its management team to the Health Sciences Campus, which also houses the firm’s scientific services business and operations functions.
- **Vital Vio:** Since September 2017, when Empire State Development made a \$1 million investment in Vital Vio from the New York State Innovation Venture Fund, this North Greenbush firm has vastly expanded its market reach. It has executed partnership agreements that will result in the installation of the company’s VioSafe white light disinfection technology in emergency response vehicles, food trucks, Duke University athletics facilities and Acuity Brands lighting solutions. The startup also recently debuted the Elluni, a germ-killing cabinet light for the residential market.

- **Praxis Biotechnology:** This startup emerged out of BACC Academy and is developing medication, based on research conducted at Albany Medical College, to relieve pain associated with the skin disorder rosacea. In November 2017, Praxis won FuzeHub’s statewide Commercialization Competition. It was the only Capital Region startup to be awarded funds at the competition, serving as an example of translational medicine at Albany Med.
- **Symbiotica:** In April 2018, this Schenectady biopharma startup received its first NIH grant: \$150,000 for the development of a natural product antifungal, selvamycin, targeting drug-resistant fungal infections. Symbiotica’s principal investigator for the NIH grant, Paul Ambrose, also is the president of the Institute for Clinical Pharmacodynamics in Schenectady. In 2016, the institute received a patent for a “method for shortening anti-infective therapy duration in subjects with infection.”
- **Pulmokine:** This East Greenbush pulmonary drug research firm received two patents for non-selective kinase inhibitors in November 2017 and for spray-dry formulations in March 2018. Since 2011, Pulmokine has received \$6.5 million in NIH funding.
- **ILUM Health Solutions Wadsworth Healthcare Network:** Supported by a \$31.9 million capital grant, this wholly-owned subsidiary of Merck & Co. will invest \$116 million for the construction and fit out of 53,000 square feet of office space and 30,000 square feet of lab space, as well as the creation of 265 new jobs over five years. ILUM will develop a surveillance network that connects healthcare institutions to the New York State Department of Health’s Wadsworth Center. The company will optimize the ILUM platform and combine with New York State Health Information Exchange (HIE) to warn clinicians about pathogens circulating locally, identify in-network patients with resistant infections, and support the management of antimicrobial resistance infections in hospitals. ILUM will work on developing a statewide, real-time hospital early detection safety network and patient registry for bacterial and viral pathogens, including informatics infrastructure, rapid pathogen testing and next-generation surveillance.

ACTIVITIES

- **NIH Grants:** In fiscal 2017, the Capital Region received \$54.6 million in National Institutes of Health (NIH) grants, up 1.1 percent from the previous year. Of that amount, \$11.9 million went to domestic for-profit firms. For at least the last five years, among the state's 10 regions, only the New York City region's domestic for-profit firms have received more in NIH grants (dollar value) than the Capital Region.
- **CEG Industry Attraction:** Since October 2017, the Center for Economic Growth (CEG) has raised the profile of the Capital Region's life sciences cluster by promoting it at the following industry trade conferences:
 - ◆ **New York BIO Annual Conference,**
New York, New York
 - ◆ **BIO International Convention,**
Boston, Massachusetts
 - ◆ **MD&M East,**
New York, New York
 - ◆ **The MedTech Conference,**
Philadelphia, Pennsylvania
- **Bioconnex/Regional Life Sciences Cluster Study:** CEG in 2017 contracted with a consulting firm for an analysis of the Capital Region's life sciences cluster and development of an action plan for: improving the commercialization of local life sciences innovations, strengthening the region's life sciences ecosystem and recruiting contract research organizations (CROs) to the region. CEG is taking steps to achieve the following objectives identified in the study:
 - ◆ Establish dedicated staff and operating/marketing budget for Bioconnex.
 - ◆ Create a web portal to include catalog of faculty/researcher interests/projects; core facilities and labs available; list of all companies in the sector.
- **Biomedical Accelerator and Commercialization Center (BACC):** In addition to fostering the growth of local biotech startups (see page 56), BACC staff and Albany Medical College researchers attended the 2018 BIO International Convention in Boston. They participated in more than 30 partnering meetings with a dozen Fortune 100 companies and several mid-market life science organizations. Many of these meetings generated follow-up conversations for future collaborations and potential licensing opportunities. The BACC, along with Empire State Development (ESD), also is exploring life science partnership opportunities with the U.S. Department of Defense and the service academies. Early discussions are underway to explore the possibility of the BACC's staff to support and mentor West Point's own application as an eventual certified New York State incubator. Initial discussions with ESD are exploring a similar program to clinical immersion for the DoD. The proposed partnership between West Point and Albany Medical College would focus on developing a unique clinical immersion program for cadets studying biomedical engineering or pre-medicine during their senior winter semester.
- **Talent Pipeline:** In 2017, Capital Region colleges and universities awarded 637 biological and biomedical degrees, up 2.1 percent from the previous year but down 4.1 percent from 2011.
- **University R&D:** In 2017, Capital Region colleges and universities spent \$105 million on life sciences R&D, down 1.6 percent from the previous year. Compared to 2011, university life sciences R&D expenditures were down 30 percent.
- **Wadsworth Center Consolidation:** In May 2017, a state-commissioned study recommended a \$750 centralized Wadsworth Center be established on New Scotland Avenue near Albany Medical Center. The goal of this development is "to facilitate commercial and academic partnerships, and further accelerate the life sciences industry cluster growth and economic development potential of the Capital Region." The project could attract 1,200 life sciences jobs and generate up to \$2.3 billion in economic activity.

DOWNTOWNS

Glens Falls DRI: Glens Falls was the Capital Region winner of the first round of the Downtown Revitalization Initiative (DRI) in 2016. The final DRI Plan was approved and announced in May 2017. Implementation is underway. Below is a summary of the progress on key projects, as of July 19, 2018.

◆ **South Street Year-Round Market and Parking Structure**

- Update: In spring 2018, the city acquired two vacant, deteriorating buildings at 49 South St. and 51-57 South St. for the site of a new year round "Market." The buildings' demolition is expected to begin in late fall 2018.

◆ **SUNY Adirondack Culinary**

- Update: **See page 40.**

◆ **Streetscape & Infrastructure on Park, School, Elm & Exchange**

- Update: The city is awaiting receipt of its contract with the New York State Department of State (DOS), including proposed MWBE and SDVOB goals.

◆ **South Street Corner/Mixed-Use Development**

- Update: A selection process for request for qualifications (RFQ) for the revitalization of 46 South St. and 36 Elm St. is scheduled for late 2018.

◆ **Art District & Public Arts Trail**

- Update: The city and Housing Trust Fund Corporation (HTFC) have reached a final grant agreement for its Art District and Public Art Trail. A request for proposals (RFP) has been developed for MWBEs and SDVOBs.

◆ **High Speed Broadband Downtown**

- Update: ESD contract approval will enable the city to prepare an RFQ for consultants

◆ **Fund for Downtown Revitalization**

- Update: The Glens Falls Local Development Corporation (LDC) and Housing Trust Fund Corporation (HTFC) have entered into an agreement for project administration. The Greater Glens Falls LDC has completed its review and will forward recommendations to Housing Community Renewal (HCR) for:

- A. Providing declining loan balance funding for six nonprofit organizations (with a 25 percent matching fund).
- B. Providing declining loan balance funding for the four business façade Improvement projects. Loans are capped at \$25,000 and require a 50/50 match.

◆ **Downtown Park at South Street/School Street with Green Infrastructure**

- Update: The city is finishing a purchase agreement, to be followed by city acquisition and demolition of a building at 17 School St. Demolition will begin fall 2018.

Hudson DRI: Following the August 2017 announcement that Hudson was the Capital Region's \$10 million winner of DRI Round II, three public meetings and six Local Planning Committee (LPC) meetings were held between October 2017 and March 2018. The following 13 projects were selected for funding:

- ◆ **Implement Complete Streets Improvements (\$3,982,550)**
- ◆ **Renovate Promenade Hill Park and Provide ADA Access (\$1,100,000)**
- ◆ **Improve the Safety and Aesthetics of Cross Street and the Second Street Stairs (\$250,000)**
- ◆ **Establish the North Bay Regeneration Project for Environmental Education (\$400,000)**
- ◆ **Establish a Community Food Hub to Support Small Startup Businesses (\$700,000)**
- ◆ **Stabilize the Dunn Warehouse for Future Re-Use (\$1,000,000)**
- ◆ **Winterize Basilica Hudson and Create a High-Visibility Public Greenspace (\$250,000)**

- ◆ **Redevelop the KAZ Site as Mixed-Use Transit-Oriented Development (\$487,160)**
- ◆ **Provide Workforce Development Infrastructure at River House (\$250,000)**
- ◆ **Repurpose Historic Fishing Village as a City Park (\$150,290)**
- ◆ **Construct Mixed-Use and Mixed-Income Housing on State Street (\$800,000)**
- ◆ **Provide Minority, Women and Veteran Owned Business Support (\$100,000)**
- ◆ **Fit out Commercial Kitchen and Retail Space to Provide Workforce Training (\$230,000)**

Capital 20.20 Metro Strategy: See page 52.

Other Downtown Projects

- ◆ **The Wick Hotel:** See page 40.
- ◆ **SUNY Adirondack Culinary Center:** See page 40.
- ◆ **Park Theater:** See page 33.
- ◆ **Universal Preservation Hall:** See page 33.



**IMPLEMENTATION
OF KEY
REGIONAL
PRIORITIES**



MEASURING THE PERFORMANCE AND PROGRESS OF THE STRATEGIC PLAN

The CREDC advanced each of the five regional strategies identified in its five-year economic development plan, Capital 20.20. This year, the CREDC made greater use of recaptured Upstate Revitalization Initiative funds to advance major projects.



1. NEXT-TECH:

Progress in advancing clean technologies

- **PBC Tech:** In January 2018, this North Greenbush startup, formerly known as Paper Battery Company, announced a manufacturing partnership agreement with KLA-Tencor in anticipation of the commercial launch of its PowerWRAPPER ultrathin supercapacitor. PBC Tech also received a U.S. patent related to the architecture of the PowerWRAPPER product line.
- **Specialty Quality Packaging (SQP):** This food industry paper and packaging product manufacturer is expanding its operations at the Glenville Business and Technology Park. A leading producer of materials that can be recycled and composted, such as bio-degradable food trays, SQP will double its Glenville footprint by acquiring a vacant 120,000-square-foot building next to its existing facility. SQP will create 45 jobs using \$900,000 in performance-based Excelsior Jobs Program tax credits. The Schenectady County Metroplex Development Authority also is supporting this project.
- **Plug Power:** This Latham manufacturer in August announced plans to develop a 38,400-square-foot, \$2.8 million manufacturing facility in Clifton Park to increase its production of hydrogen fuel cells for electric vehicles. Supported by \$640,000 in Excelsior Jobs Program tax credits, Plug Power will create 97 new jobs.

Progress in advancing health tech

- **ILUM Health Solutions Wadsworth Healthcare Network:** See page 44.



2. GATEWAY:

Progress in port and airport expansion and modernization

- **Port of Albany Expansion:** In 2018, construction continued at Port of Albany to enhance its standing as a regional gateway, as envisioned in Capital 20.20. Work included the completion of a climate-controlled 45,000-square-foot warehouse for heavy equipment such as turbines and generators, and a new roll-on and roll-off barge slip. Both projects were scheduled to be completed at the end of September.
- **Albany International Airport Modernization:** The 56-year old airport will undergo a \$42.1 million renovation, supported by \$2.1 million in Upstate Airport Economic Development and Revitalization Competition funding. The redesign of the airport, which last underwent a major renovation in 1998, will include a 1,000-unit, multi-level parking garage, parking access improvements and rehabilitation of the terminal and passenger amenities. The state's Infrastructure Renewal Plan will also fund a \$50 Million Northway-Albany Airport Connector to create a more direct route to the airport and ease traffic congestion.



3. LIFT-OFF:

Progress in promoting the Capital Region's entrepreneurs in R&D, agriculture, food and tourism (CRAFT) industries

- **BelGioioso Cheese:** This leading specialty cheese manufacturer will build a 100,000-square-foot facility in Glenville, where they will create 46 new jobs and retain 31 local employees. BelGioioso Cheese will use milk from New York dairy farms. To help secure this new investment for New York State, ESD has agreed to provide up to \$850,000 in performance-based Excelsior Jobs Program tax credits.
- **Sfoglino Pasta:** This organic food producer in February 2018 moved into a 40,000-square-foot space in the Greene Business and Technology Park, where it uses state-certified grain to make pasta. A \$400,000 New York State Economic Development Authority grant reassigned from the Mid-Hudson region is supporting Sfoglino's expansion.
- **Craft beverage producers**
 - ♦ **Drumlin Field Ventures:** Drumlin will establish a \$6.3 million field-to-glass brandy distillery and tasting room on 182-acres of property in Claverack. The distiller, which plans to create 25 jobs, will receive up to \$400,000 in performance-based Excelsior Jobs Program Tax Credits.

- ♦ **Albany Distilling:** In April 2018, this distiller completed the expansion of its downtown facility, which includes a tasting room and full bar featuring New York-made craft beverages. The \$1 million project was supported by a \$60,000 ESD capital grant and \$30,000 in Downtown Albany Retail Grant Program funds from Capitalize Albany Corporation and the City of Albany Capital Resource Corporation.
- ♦ **Druthers Brewing:** In June 2018, this craft brewer opened a restaurant and manufacturing facility at Mohawk Harbor (See page 39).

Progress in opening R&D commercialization pathways for entrepreneurs

- **Flomatic Corp.:** This valve manufacturer will add 18,560 square feet to its existing 49,430-square-foot Glens Falls building, allowing Flomatic to replace its epoxy coating line with a new system that will boost capacity by 50 percent, facilitate the processing of larger valves and speed up the production process. The expansion will also support a 10,000 gallon tank for classroom training and testing of finished products, as well as extra storage space for more inventory. Flomatic's enhanced production capabilities will drive the hiring of 15 employees.
- **Prototyping Center and The Manufacturing Incubator:** See page 41.
- **Corporation in a Box:** See page 41.
- **STEAM Garden Business Incubator:** See page 41.



4. TALENT:

Progress in expanding the skilled worker talent pipeline

- **Manufacturing Boot Camp:** Guided by the Center for Economic Growth's 2017 Advanced Manufacturing Pathways Project, Hudson Valley Community College (HVCC) in January 2018 launched its first Manufacturing Technology Pathways "boot camp." The short-term, stackable credential training program provides students with the skills that Capital Region manufacturers surveyed by CEG have said are crucial to entry-level employment. In May, HVCC ran a second boot camp, with the two cohorts graduating 23 students. HVCC's Manufacturing Technology Pathways will be continued through mid-2020 under a \$250,000 KeyBank Foundation grant awarded to CEG in June 2018. Funding will support five additional boot camps through mid-2020. A third boot camp began in August 2018.
- **Certified Production Technician Program:** Another initiative supported by the KeyBank Foundation grant is the newly launched Certified Production Technician (CPT) program at Schenectady County Community College (SCCC), in partnership with Capital Region BOCES and The Business Council of New York State. Unlike HVCC's boot camp for entry-level workers, the eight- to 12-week-long CPT certificate course will skill-up incumbent and unemployed workers with manufacturing experience in the following areas: safety; quality practices and measurement; manufacturing processes and production; maintenance awareness; and green production. Thirty students are expected to enroll in the three SCCC CPT courses funded under the grant.
- **Manufacturing Intermediary Apprenticeship Program:** CEG partnered with the Manufacturers Association of Central New York (MACNY) to create a Capital Region Manufacturing Intermediary Apprenticeship Program (MIAP). The program's goal is to help manufacturers fill the higher skilled positions from which many workers are retiring. CEG serves as an intermediary between participating manufacturers and the New York State Department of Labor (NYS DOL). CEG will manage apprenticeship records and reports and organize apprentices' outside coursework, at no cost to participating manufacturers. CEG is preparing its application for sponsorship to NYSDOL. To expedite the apprenticeship program, CEG began temporarily signing up local manufacturers' apprentices under MACNY's MIAP. After NYSDOL approves CEG's sponsorship, MACNY will transfer the local manufacturers' apprentices from its MIAP to the Capital Region MIAP.
- **Center for Advanced Manufacturing Skills (75342):** The Capital Region's manufacturing talent pipeline will be bolstered when Hudson Valley Community College (HVCC) completes its Gene F. Haas Center for Advanced Manufacturing Skills (CAMS). HVCC received \$2.9 million in Round VII funding for this 37,000 square foot building dedicated to providing hands-on training to machinists, toolmakers, industrial maintenance technicians and other skilled technical workers. Ground was broken for CAMs in April 2018. When completed, it will double capacity in the Advanced Manufacturing Technology Degree classes from 144 to 288 students.
- **Health Care Apprenticeship Program:** Schenectady County Community College (SCCC) is serving as the fiscal lead for a new health care apprenticeship initiative. The college will facilitate a partnership between NYSDOL and potential employer sponsors in healthcare. In addition, SCCC will help job seekers and students connect with employers offering registered apprenticeship and pre-apprenticeship opportunities.
- **Albany Can Code:** In December 2017, Albany Can Code received a \$75,000 grant from KeyBank to support the Troy software coder training organization's employer engagement program. This program connects employers with qualified candidates and helps local software companies with talent acquisition planning. The KeyBank funding also supported the expansion of Albany Can Code's course offerings at HVCC, including a 20-hour course on automated web testing that started April 2018. In Rounds VI (66241) and VII (75239), SCCC received funding for Albany Can Code software training programs.



- **SUNY Adirondack Workforce Facilities:** Since the fall of 2017, SUNY Adirondack has built two training facilities: a 26,000 square foot facility for NSTEM (nursing, science, technology, engineering and math) and the 13,000-square-foot Adirondack Regional Workforce Readiness Center. These projects received \$9.7 million in NYSUNY 2020 Challenge Grants.
- **The ADDY:** See page 53.
- **Employer Resource Network (ERN):** Since 2014, Schenectady Works, a division of the City Mission of Schenectady, has operated several ERNs in upstate. ERN “success coaches” work with entry-level and disadvantaged populations to reduce turnover costs, improve performance and create a better working culture. In 2017, ERN success coaches saw 2,527 Capital Region employees, up 56.2 percent from the previous year. Also in 2017, Schenectady Works partnered with Schenectady Community Action (SCAP) to launch the Mohawk Valley ERN. By the end of this year, Schenectady Works expects to serve more than 40 employers in the immediate Capital Region.
- **Capital Region Pathways in Technology Early College High School (P-TECH):** With locations at Watervliet Junior-Senior High School and the Center for Advanced Technology at Mohonasen High School, this four-to-six-year program (grades 9-14) opened in September. P-TECH focuses on engaging students in hands-on, project-based learning to be successful in careers in science, technology, engineering and math (STEM). The program is funded through a seven-year, \$3 million New York State P-TECH grant awarded to a consortium of 24 local school districts. Students who complete the program will earn both a high school diploma and associate’s degree, with the initial diploma pathways being for degrees in computer science, computer information systems and cybersecurity. Forty high schoolers are enrolled at the Capital Region P-TECH during its first year.

5. METRO:

Progress in advancing Catalyst Projects

- In September 2017, Empire State Development Corp. released a study assessing the development options for Albany’s Old Convention Center Site.
- In March 2018, the Town of Colonie received \$1 million in Restore NY funds to demolish the 32-acre Tobin’s First Prize site.
- Supported through the first Downtown Revitalization Initiative, several projects in downtown Glens Falls shifted from planning to construction (**see page 46**).

Progress in improving access to transportation

- **Uncle Sam Garage:** See page 42.



6. STRATEGIES ADDED TO CAPITAL 20.20:

Creative Economy: The CREDC added this strategy in Round VI to cultivate the region's creative economy, particularly those projects tied to the tech-based economy and arts sector.

- **Creative Economy Study:** Last winter, CEG and Upstate Alliance for the Creative Economy (ACE) held a dozen roundtables in six cities throughout the Capital Region to collect ideas from business and community leaders on how to best grow creative jobs and opportunities. Six of the roundtables were private meetings with 120 community and business leaders, and six were open to the general public, attracting 600 people. Under this initiative, CEG and ACE conducted a study of the creative economy's economic impacts on the Capital Region, which included:
 - ♦ **47,282 positions**
 - 49 percent wage and salaried employees
 - 51 percent self-employed or freelance
 - ♦ **\$1.4 billion in earnings**
- **Creative Economy Regional Branding:** Saratoga Springs marketing firm Fingerpaint will develop a regional creative branding initiative using input from the roundtables.
- **The ADDY (52140):** Round V funds supported a \$2.1 million renovation on Proctors Theater's third floor to create the Adeline Graham Theatrical Training and Innovation Center (The ADDY). Completed in December 2017, the ADDY provides new after school and weekend programs focused on theatre technology and performance skills. Three new full-time employees have been hired and it is anticipated that up to 10 adjunct faculty will be employed to supplement coursework for teens and adults seeking workforce skills or college degrees. Proctors also has entered into a rental agreement with Empire State Youth Orchestra for space at the ADDY, creating a new revenue source.
- **BelGioioso Cheese:** See page 50.
- **Sfogliani Pasta:** See page 50.
- **Craft beverage producers:** See page 50.
- **FORELAND Catskill:** The Village of Catskill received \$1 million in Restore New York funds to redevelop a former millinery and furniture warehouse into a commercial office building for artists and other members of the creative economy.
- **Capital Repertory Theatre:** The City of Albany received \$1.8 million in Restore New York funds to redevelop a vacant industrial building on North Pearl Street into a new permanent home for the REP.
- **Park Theater:** See page 33.
- **Universal Preservation Hall:** See page 33.

Video Game Hub: The CREDC added this strategy in Round VII to attract new game development studios to the region and develop new spaces for existing companies. The addition of this strategy followed Rensselaer Polytechnic Institute's 2016 designation as one of three Digital Gaming Hubs in New York.

▪ **CEG Game Development Cluster Study:**

CEG surveyed 14 local studios to prepare for their increased efforts to market the Capital Region's game development cluster. Key findings about the cluster included:

- ◆ **352 employees**
- ◆ **285 software developers**
- ◆ **8 studios with plans to hire over the next 12 months**
- ◆ **422+ games developed**
- ◆ **10 studios with founders from the region or having attended a local school**
- ◆ **9 studios that utilized the Innovate 518 startup support ecosystem**

- **CEG Gaming Industry Attraction:** Following the release of this study, CEG and RPI attended the Game Developers Conference in San Francisco to promote opportunities in the region's cluster. CEG also marketed the cluster last June at the Electronic Entertainment Expo (E3) in Los Angeles.

- **RPI Digital Gaming Hub:** RPI awarded 31 Game and Interactive Media Design bachelor's degrees in 2017. In 2018, RPI advanced to being Animation Career Review's ninth best game design school from 10th previous year. The RPI startup Dang! was a finalist at E3 in June. The studio also was an awards finalist earlier in March at the Independent Games Festival.
- **PUBG MadGlory:** This Saratoga Springs studio was acquired by PUBG Corp., the Seoul, South Korea studio behind PlayerUnknown's Battlegrounds. The Saratoga Springs studio will create online features for PlayerUnknown's Battlegrounds.
- **Vicarious Visions:** This Menands video game studio received \$2 million in tax credits under the Excelsior Jobs Program, enabling it to contract for design and fit up services for a 40,000+ square-foot space on the second floor of a newly constructed office building in Colonie. The project includes building fit-up, furniture, fixtures and equipment purchases, design costs and moving expenses to support the relocation to the new studio space. The company will retain 160 positions and create 115 jobs at the new location.
- **Jamboxx:** After 10 years in development, this startup's electronic, USB-powered, breath-controlled instrument, also called Jamboxx, became commercially available. The hands-free device is styled after a harmonica that plays digital MIDI, with Beach Boys drummer Jackie Bertone, who has multiple sclerosis, serving as its ambassador.

REGIONAL CLUSTER PLAN: R&D TO COMMERCIALIZATION

Expansion of the Capital Region's R&D to Commercialization cluster continued in 2018 at an accelerated pace, owing to the success of the Biomedical Accelerator and Commercialization Center, the growth of other Innovate 518-affiliated incubators, and the Center for Economic Growth's startup support services.

PERFORMANCE OVERVIEW

- **R&D to Commercialization Cluster**
 - ◆ **2017 Jobs: 21,930**
 - % change since 2016: 1%
 - % change since 2011: 6%
 - ◆ **2017 Average Earnings per Job: \$107,217**
- **NIH Awards (FY2017): \$54.6 million**
- **SBIR Awards (FY2017): \$10.6 million**
- **STTR Awards (FY2017): \$2.2 million**
- **R&D Services Exports (2017): \$635.3 million**
 - ◆ **Direct Export-Supported Jobs (2017): 888**
 - ◆ **Total Export-Supported Jobs (2017): 4,163**

PROGRESS

- **NYQuality for Weather Detection & NYS Mesonet XCITE Laboratory (43230, 57393):**

With Round IV funds, the University at Albany will build a comprehensive calibration laboratory for meteorological instruments and a test site for advance technology that will support 125 statewide weather stations. With Round V funds, UAlbany also will design and construct the New York State Mesonet xCITE Laboratory, a state-of-the-art visualization and computational platform that will enhance emergency management and meteorologists' understanding of severe weather conditions. Both projects have an anticipated completion date of December 2018.

- **Praxis Biotechnology:** See page 44.
- **Kitware:** Kitware is a technology company specializing in the development of software for computer vision, medical imaging, data analytics and high performance computing and visualization. This project involves construction of a 57,000-square-foot facility in Halfmoon. The company will invest \$10.4 million and create 41 new jobs by June 2022. The new jobs are supported by \$400,000 in tax credits under the Excelsior Jobs Program.
- **PBC Tech:** See page 49.
- **Kirsh Helmets:** This Schenectady startup and tenant of the Innovate 518-affiliated New York Biz Lab has doubled its staff over the past year. Full production of its CHM-1 half-shell helmets for motorcyclists will begin soon.
- **Inspect Point:** Only two years after its launch, this Troy startup and tenant of the Innovate 518-affiliated Troy Innovation Garage has established itself as a leader in the cloud-based fire inspection software market. It already has more than 100 customers, including Fortune 500 and Fortune 1500 members.
- **Our.News:** This Troy startup and tenant of the Innovate 518-affiliated Troy Innovation Garage partnered with the world-renowned pollster John Zogby last February to grow their crowdsourced news validation platform.
- **Life Sciences Cluster:** See page 44.
- **Video Game Hub:** See page 54.

ACTIVITIES

- **Center for Economic Growth:** Using its Manufacturing Extension Partnership funding, the Center for Economic Growth (CEG) continues to engage with companies that move university-developed technology to commercialization. Startups that CEG helped since October 2017 include:
 - ◊ Albany Distilling
 - ◊ BioSoil Farm
 - ◊ ecoLong
 - ◊ Free Form Fibers
 - ◊ Hawthorne Valley Ferments
 - ◊ iSimulate
 - ◊ Kirsh Helmets
 - ◊ Lithoz America
 - ◊ Mohawk Machine Works
 - ◊ MX3
 - ◊ PBC Tech (formerly Paper Battery Company)
 - ◊ SolidUV
 - ◊ Tech Valley Innovation Research
 - ◊ ThermoAura
 - ◊ Urban Mining Northeast
 - ◊ Vara
 - ◊ Vistex
 - ◊ Vital Vio

- **Biomedical Accelerator and Commercialization Center (BACC):** In 2017, the BACC at Albany Medical College grew its number of partner companies from five to nine, with the addition of **JamBoxx** (see page 54), **Biomed Innova** and two Albany Medical College researcher-owned affiliated companies, **Praxis Biotechnology** (see page 44) and **Preventide Life Sciences**.

- **Clinical Immersion Program:** In summer 2017, the BACC, in coordination with Albany Medical College, launched this internship program. It initially embedded Union College senior biomedical engineering students in various hospital departments, allowing them to identify innovation opportunities. The BACC and Union executed shared ownership and revenue sharing agreements for the intellectual property created through the program. In summer 2018, the Clinical Immersion Program expanded to include biomedical engineering students from Rensselaer Polytechnic Institute.

- **BACC Academy:** Although initially thought of as a biennial course, high demand for this biomed entrepreneurship boot camp prompted a second cohort in the fall of 2017. The BACC Academy is a collaboration with Siena College that culminates in a business competition with cash and in-kind-service awards. It has graduated 33 participants since its inception and served as a launch pad for **Praxis Technology** (see page 44).

- **Translational Research Partners Program:** In 2018-2019, the BACC will develop this publicly and privately funded program that provides two critical components in overcoming the “Valley of Death” in the entrepreneurship company life cycle: a minimal one-year stipend to young entrepreneurs/scientists/engineers who commit to pursuing their technology full time; and funds for prototype development and market research.

- **River House Project (75420):** This project, which received \$225,000 in Round VII funds, is transforming a historically-significant, formerly abandoned 19,500 square-foot schoolhouse building into a state-of-the-art professional workspace for creative professionals and enterprises, with a special focus on the film industry. The project’s first phase, which was completed in 2016 with \$1.8 million in private investment, included the building’s purchase and initial construction and renovation. The second phase, which was scheduled for completion in summer 2018, required \$1.2 million of new investment to complete the renovations.



2018 PROPOSED PRIORITY PROJECTS



ESD PROPOSED PRIORITY PROJECTS RELATING TO STATE PRIORITIES

			REGIONAL STRATEGIC GOALS					STATE PRIORITIES					
📍	Application Number	Applicant Project Name	Next-Tech	Lift Off	Talent	Gateway	Metro	Downtown Revitalization	Workforce Training	Regional Cluster Plan	Opportunity Agenda	Life Sciences	Veterans
1	72149	Hudson Valley Community College Offering Alternative Options in Nursing Education			✓				✓		✓	✓	✓
2	80402	University at Albany SUNY Schuyler Building Renovation		✓	✓		✓	✓	✓		✓		✓
3	81341	Palace Performing Arts Center Inc Palace Theatre Renovation and Revitalization Project		✓			✓		✓		✓		✓
4	81516	Catskill Golf Resort LLC The Greens Hotel Public Amenities Expansion		✓	✓				✓		✓		✓
5	81869	FrontStreet Mountain Development Ski Bowl Mountain Inn		✓	✓			✓	✓		✓		✓
6	81967	Capital Roots The Urban Grow Center			✓		✓	✓	✓		✓		✓
7	82089	Saratoga Performing Arts Center SPAC Improvement Project		✓			✓				✓		✓
8	82113	The Corporation of Yaddo Yaddo 2018		✓									
9	82530	Hudson Valley Fish Farm Inc Industrial Hemp Processing	✓	✓					✓	✓	✓		✓
10	82962	Village of Lake George Lake George Water Quality Protection WWTP					✓	✓					
11	83418	KDBF Ventures, LLC Etain Manufacturing Expansion	✓	✓	✓				✓		✓	✓	✓
12	83448	669 River Street LLC The Paint Factory Mixed-use Building		✓			✓	✓					✓
13	83462	Doty Machine Works Machine Purchase			✓				✓		✓		✓
14	83475	12th Street Ventures, LLC. AI Center of Excellence	✓	✓			✓	✓	✓	✓			
15	83656	EDC Warren County South Street Redevelopment					✓	✓					
16	83885	RASPP LLC Erie Trustco Revitalization					✓	✓					

ESD PROPOSED PRIORITY PROJECTS RELATING TO STATE PRIORITIES (CONTINUED) ▾

REGIONAL STRATEGIC GOALS

STATE PRIORITIES

📍	Application Number	Applicant Project Name	REGIONAL STRATEGIC GOALS						STATE PRIORITIES					
			Next-Tech	Lift Off	Talent	Gateway	Metro	Downtown Revitalization	Workforce Training	Regional Cluster Plan	Opportunity Agenda	Life Sciences	Veterans	
17	83888	PiSA BioPharm Inc PiSA BioPharm Manufacturing Pilot Plant	✓	✓	✓					✓		✓		
18	84081	Ben Weitsman of Albany, LLC Purchase of Mobile Shredder			✓						✓		✓	
19	84114	Kites Nest North Bay ReGeneration Project			✓		✓	✓			✓		✓	
20	84249	The Case Group LLC Advanced Manufacturing Product Development		✓	✓				✓		✓		✓	
21	84287	Finch Paper Maximize the Use of Locally Sourced NY Pulpwood		✓						✓			✓	
22	84812	Coeymans Recycling Center, LLC D/B/A Coeymans Industrial Park Barker Trestle Project			✓	✓			✓		✓		✓	

MARKET NY

81516	Catskill Golf Resort LLC The Greens Hotel Public Amenities Expansion		✓								✓		✓
82900	Albany Symphony Speak Up Festival		✓					✓					
81645	Capital Region LOC Inc Sports Foundation of the Capital Region Fan Festival 2019		✓				✓	✓					
83311	Metro Atlantic Athletic Conference Hudson Valley Esports Invitational and Expo		✓				✓	✓					
83712	South Front Street Holdings LLC RED BARN HUDSON						✓	✓			✓		✓
83815	Albany County Times Union Center improvements						✓	✓			✓		✓
84633	Saratoga Performing Arts Center Market NY Project		✓								✓		✓

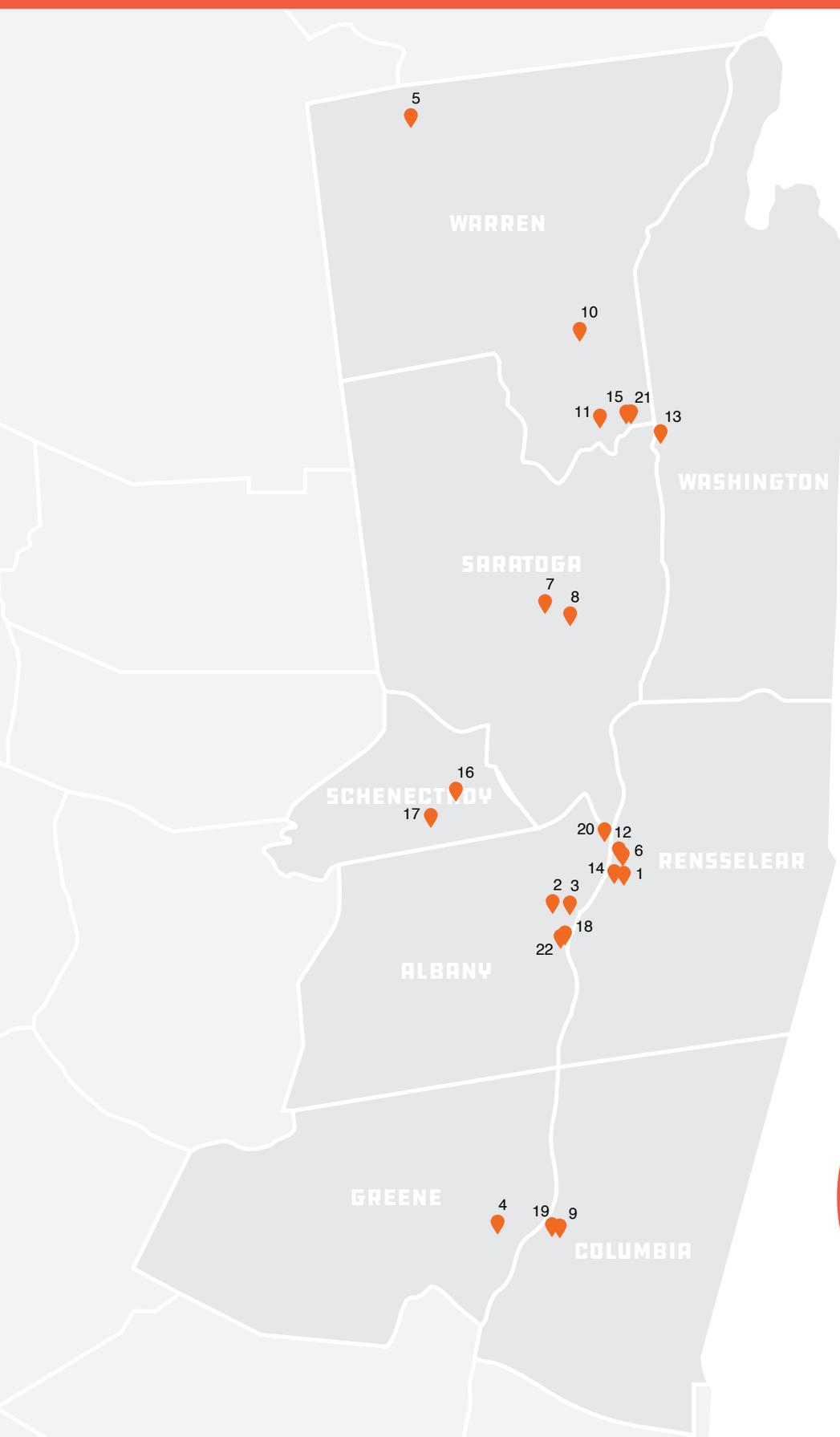
NEW YORK STATE INNOVATION HOT SPOT

81476	Biomedical Acceleration and Commercialization Center BACC NYS Incubator Recertification	✓		✓				✓	✓	✓	✓	✓	✓
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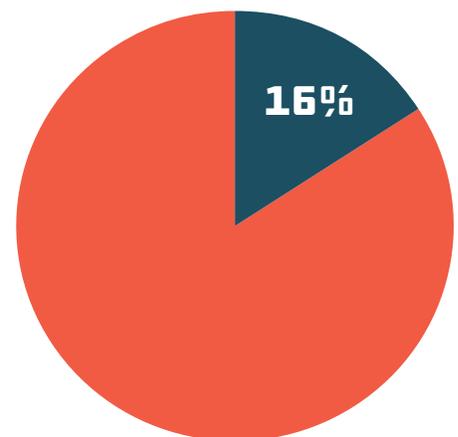
STRATEGIC PLANNING AND FEASIBILITY STUDIES

84762	City of Troy Troy Waterfront Farmers Market Public Market Hall		✓				✓	✓					
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PROPOSED PRIORITY PROJECTS MAP



ROUND 8 PRIORITY PROJECT INVESTMENT RATIO





Applicant:
**Hudson Valley
 Community College**
 Leverage: **20%**
 Jobs Created: **2**
 Construction Jobs: **9**



OFFERING ALTERNATIVE OPTIONS IN NURSING EDUCATION

Rensselaer County • Application #72149

USES OF FUNDS		SOURCES OF FUNDS			
	Total	ESD Grant	Other State*	Private Equity	Other***
Real Estate Acquisition	\$600,000	-	-	\$425,000	\$175,000
Construction / Renovation	\$692,500	\$255,000	\$368,250	\$69,250	-
Furniture/Fixtures/Equipment	\$493,250	\$126,134	\$161,366	\$80,750	\$125,000
Soft costs (i.e., architectural/engineering, etc.)	\$269,919	-	\$194,919	\$75,000	-
Total Project Cost	\$2,055,669	\$381,134	\$724,535	\$650,000	\$300,000
TOTAL PROJECT FUNDING SOURCES	\$2,055,669				

The proposed project will allow Hudson Valley Community College (HVCC) to renovate 2,750 square feet of space in the Fitzgibbons Health Technology Center on its main campus in Troy. HVCC will add an accelerated, 12-month nursing track (ANT) to its nursing program and accept 16 more students each year, representing a 16 percent annual increase to their annual enrollment of 100 students. There will be more graduates to meet the shortage of skilled healthcare workers in the Capital Region. This is an economic and community priority due to an aging population in need of care amidst a nursing shortage.

Building improvements will accommodate new and existing state-of-the-art systems, including expanded lab space, additional patient care rooms, a student study center, a medication administration room, simulation equipment, an Anatomage table, and a PC-equipped computer room. The renovations allow for maximum flexibility for students and faculty. Everyone learns differently, and this project will

offer students more options to apply and synthesize content. The project also will increase student retention by providing a venue for students to apply concepts in a simulated, real-life hospital setting.

HVCC provides tuition assistance; supportive, wraparound services for students with economic barriers; and free bus transportation that helps make education more accessible to all students. HVCC also is designated as military-friendly by GI Jobs. It is in the top 15 percent of colleges nationwide in giving credit for military training and experience, and it has a 94 percent job or transfer placement rate. Veterans with healthcare experience are given additional consideration when applying to this program.

PREVIOUS CFA AWARDS	CFA AWARD ROUND
Advanced Manufacturing Training Capital	7
HVCC Building Systems Technology Programs	6
AMT Training Facility Capital	5

Applicant:
**12th Street
 Ventures, LLC.**
 Leverage: **20%**
 Jobs Created: **73**
 Construction Jobs: **10**



AI CENTER OF EXCELLENCE

Rensselaer County • Application #83475

	USES OF FUNDS		SOURCES OF FUNDS			
		Total	ESD Grant	Other State*	Private Equity	Other***
Real Estate Acquisition		\$600,000			\$425,000	\$175,000
Construction / Renovation		\$692,500	\$255,000	\$368,250	\$69,250	
Furniture/Fixtures/Equipment		\$493,250	\$126,134	\$161,366	\$80,750	\$125,000
Soft costs (i.e., architectural/engineering, etc.)		\$269,919		\$194,919	\$75,000	
Total Project Cost		\$2,055,669	\$381,134	\$724,535	\$650,000	\$300,000
TOTAL PROJECT FUNDING SOURCES		\$2,055,669				
	*Specify NYS Agency/Program		Anticipated DRI			
	***Specify		Rensselaer County			

The proposed Artificial Intelligence Solutions Center of Excellence (AI CoE) will form the focal point of all things AI in the Northeast and foster development of an AI-technology hub that will complement other industry hubs, including video gaming, nanotechnology, and life sciences. The AI CoE – the first of its kind in the Northeast – will have a suite of spaces to host conferences and events; showcase AI capabilities; facilitate research, development, and knowledge transfer; support startups; and create solutions for customers in the field of artificial intelligence. It will occupy a 34,000-square-foot building in Troy's central business district. Project components include an Envisioning and Event Space; an AI laboratory; open and shared offices for AI firms, startups, and partners; and an AI Café and Expo. Project cost elements include acquisition, engineering and design, rehabilitation, and operating expenses.

AI technology is viewed as one of the most important and impactful emerging technologies – it will impact every aspect of every person's life in the near future. Companies are committing to integrate AI into every business function in their organizations. The Capital Region has rich, untapped resources that can be harnessed by the AI CoE to make it a leader in this space. AI also is emerging as one of the most sought-after skills in the job market. The AI CoE will build the local AI workforce. It will complement local universities by creating student internships and research opportunities and provide retraining opportunities for the unemployed and underemployed.

The AI CoE will target mid to large companies throughout the U.S., initially focusing on the life sciences, finance and tax, microchip development and energy sectors. Partners include Microsoft, IBM, Columbia University, Rensselaer Polytechnic Institute, deFacto Global and internationally recognized experts in the fields of technology and cybersecurity.



Applicant:
Doty Machine Works
 Leverage: **20%**
 Jobs Created: **5**
 Jobs Retained: **41**
 Total jobs: **46**
 Construction Jobs: **1**



COMPUTER NUMERIC CONTROL (CNC) MACHINE PURCHASE

Washington County • Application #83462

USES OF FUNDS		SOURCES OF FUNDS	
	Total	ESD Grant	Private Equity
Construction / Renovation	\$130,000	-	\$130,000
Machinery & Equipment Acquisition	\$1,893,000	\$400,000	\$1,493,000
Furniture/Fixtures	\$15,000	-	\$15,000
Soft costs (i.e., architectural/engineering, etc.)	\$12,000	-	\$12,000
Total Project Cost	\$2,050,000	\$400,000	\$1,650,000
TOTAL PROJECT FUNDING SOURCES	\$2,050,000		

Doty Machine Works' proposal to acquire two much-needed computer numeric control (CNC) machines will improve overall efficiencies and enhance productivity, making the company more competitive in the global market. Doty plans to purchase a Quickmill Eliminator R Rail Machining Center and a Doosan Puma 3100LY High Performance Y-Axis Turning Center.

Located just outside of the Village of Fort Edward in Washington County, Doty began in 1951 as one of the most versatile machine shops in the area. Since then it has grown its customer base within multiple industries. It is now a full-service job shop with an extensive list of CNC and conventional machining tools. Doty's growth in the advanced manufacturing sector is vital to the continued growth of a community that

has seen significant job losses when manufacturing businesses closed. The new machines will foster the development of a new machining hub as the company continues to expand its markets and provide subcontracting opportunities to other smaller fabrication and machine shops in the region.

Doty supports the local tax base and area residents by providing jobs with a substantial wages, good benefits and opportunities for new and existing employees to upgrade their skills. The company also hires adults who complete a machine tooling program sponsored by the Washington/Warren/Saratoga Workforce Development Board and Washington-Saratoga-Warren-Hamilton-Essex BOCES.

Applicant:
The Case Group, LLC
 Leverage: **20%**
 Jobs Created: **15**
 Jobs Retained: **43**
 Total Jobs: **58**



ADVANCED MANUFACTURING PRODUCT DEVELOPMENT

Albany County • Application #84249

USES OF FUNDS		SOURCES OF FUNDS		
	Total	ESD Grant	Private Equity	Bank Finance
Machinery & Equipment Acquisition	\$2,000,000	\$500,000	-	\$1,500,000
Soft costs (i.e., architectural/engineering, etc.)	\$500,000	-	\$500,000	-
Total Project Cost	\$2,500,000	\$500,000	\$500,000	\$1,500,000
TOTAL PROJECT FUNDING SOURCES	\$2,500,000			

This proposed investment in capital equipment will enable The Case Group of Green Island to create jobs for individuals with a range of skillsets and expand into new markets for custom-manufactured wood, metal and composite windows, doors and facades. The equipment will enable Case to add Traditional American Wood Windows and Doors and Glass Reinforced Plastic and Carbon Fiber “Frameless” sliding glass wall systems to its product line.

The new equipment enables commercialization of emerging technologies that introduce composites, carbon fiber materials and advanced manufacturing processes to the fenestration industry. Inclusion of Traditional American fenestration in the product line will greatly increase sales in the New England and

Mid-Atlantic regions. The “frameless” Glass Wall systems will bring manufacturing of this product type to the United States, enhancing Case’s market position throughout the U.S. and Caribbean.

Case’s location in Green Island abuts five low-income community (LIC) census tracts. This creates a need in the immediate area for unskilled jobs and jobs that provide a path from unskilled to skilled employment. Case provides workers with ongoing training and collaborates with Rensselaer Polytechnic Institute, the SUNY Program for Experiential and Entrepreneurship Development, and Hudson Valley Community College through its Advanced Manufacturing Technology Program.



Applicant:
University at Albany, SUNY
 Leverage: **19%**
 Jobs Created: **122**
 Construction Jobs: **236**



SCHUYLER BUILDING RENOVATION

Albany County • Application #80402

USES OF FUNDS		SOURCES OF FUNDS	
	Total	ESD Grant	Other State*
Construction / Renovation	\$22,500,000	\$5,000,000	\$21,000,000
Furniture/Fixtures	\$3,000,000	-	-
Soft costs (i.e., architectural/engineering, etc.)	\$500,000	-	-
Total Project Cost	\$26,000,000	\$5,000,000	\$21,000,000
TOTAL PROJECT FUNDING SOURCES	\$26,000,000		
*Specify NYS Agency/Program		SUNY Construction Fund	

The proposed project is an adaptive re-use of the Schuyler Building, which will house more than 1,200 students, faculty, and researchers at the University at Albany's College of Engineering and Applied Sciences (CEAS). It is a gut renovation of the vacant former Albany High School building located on the university's Downtown Campus. The building is a midtown Albany landmark, and CEAS will create new energy, investment, and spin-off development in this important but economically challenged commercial and residential corridor.

Training the next generation of engineers will fuel regional business development and research by providing a reliable supply of STEM trained workers. Demand for electrical engineers is rising faster in the Capital Region than any other part of New York State. CEAS programs support the region's growing life sciences, healthcare, information technology, and clean energy clusters. The college also partners with the Central Avenue Business Improvement District's STEAM Garden,

an innovative multi-use tech start-up and learning lab. CEAS students will use their skills to develop and commercialize ideas at the STEAM Garden, and the Schuyler Building's makerspace will be accessible to aspiring community innovators.

UAlbany is a leader in serving underrepresented and first-generation college students, and CEAS is the only public engineering program at a comprehensive university in the Capital Region. The proposed project enables UAlbany to provide an affordable path to advanced degrees in STEM fields, leading to well-paying careers for a larger and more diverse population. This project supports a key Capital Region Opportunity Agenda priority by pairing the build out of CEAS with the Excelsior Scholarship Program, thereby enabling commuter students to gain a world-class engineering education without the cost of room, board or tuition.

PREVIOUS CFA AWARDS	CFA AWARD ROUND
University at Albany - RNA Institute	1
University at Albany - Biotechnology Training Center	1
NYQuality for Weather Detection	4
NYS Mesonet XCITE Laboratory Capital	5
Rensselaer Bioscience Development Upgrades Capital	6

Applicant:
PiSA BioPharm Inc
 Leverage: **8%**
 Jobs Created: **50**
 Jobs Retained: **15**
 Total Jobs: **65**
 Construction Jobs: **73**



PISA BIOPHARM MANUFACTURING PILOT PLANT

Schenectady County • Application #83888

USES OF FUNDS	SOURCES OF FUNDS		
	Total	ESD Grant	Private Equity
Construction / Renovation	\$8,000,000	\$5,000,000	\$3,000,000
Machinery & Equipment Acquisition	\$44,300,000	-	\$44,300,000
Furniture/Fixtures	\$2,800,000	-	\$2,800,000
Soft costs (i.e., architectural/engineering, etc.)	\$1,250,000	-	\$1,250,000
Total Project Cost	\$56,350,000	\$5,000,000	\$51,350,000
TOTAL PROJECT FUNDING SOURCES	\$56,350,000		

PiSA BioPharm, a U.S.-based subsidiary of PiSA Farmaceutica, is exploring establishment of a major new manufacturing plant in the Capital Region. The proposed project involves PiSA BioPharm entering into a long-term lease for more than 137,000 square feet of manufacturing space at the Rotterdam Corporate Park. The proposed new facility would be equipped with specialized manufacturing lines to accommodate the state-of-the-art equipment required to produce and package sterile injectables and specialized biomedical supplies. This Schenectady County site possesses the secure manufacturing space and award-winning water quality that PiSA BioPharm requires.

The addition of a PiSA BioPharm pharmaceutical manufacturing to Rotterdam Corporate Park aligns with critical needs cited in the CREDC's 20.20 Strategic Plan – focused investment in the highly productive advanced manufacturing and biotechnology sectors that fosters development of the region's health-tech, life sciences cluster.

The company will provide new employment opportunities for area residents, including students from low-income families with limited resources. Rotterdam Corporate Park is on a public transportation line, and the Town of Rotterdam borders the City of Schenectady, a CREDC Opportunity Agenda area. PiSA BioPharm utilizes an internship program in collaboration with local colleges in Mexico. The company is currently exploring a similar partnership that aligns with Schenectady County Community College's Biological Technician A.A.S. program.



Applicant:
**Saratoga Performing
 Arts Center**
 Leverage: **20%**
 Jobs Created: **5**
 Jobs Retained: **56**
 Total jobs: **61**
 Construction Jobs: **75**



SPAC IMPROVEMENT PROJECT

Saratoga County • Applications #82089 and #82069

USES OF FUNDS		SOURCES OF FUNDS		
	Total	ESD Grant	Other State*	Other***
Construction / Renovation		\$1,103,105	\$1,500,000	\$2,736,288
Other project costs (specify; must be capital)*	\$176,134	-	-	\$176,134
Total Project Cost	\$176,134	\$1,103,105	\$1,500,000	\$2,912,422
TOTAL PROJECT FUNDING SOURCES	\$5,515,527			
*Specify NYS Agency/Program		Grant Request - Parks, Grant Request - NYSCA		
***Specify		Corporate Sponsorships		

The proposed project includes replacing the existing concession area and guest restroom facilities located at the center of the SPAC grounds, as well as an integrated marketing campaign. SPAC is the premier cultural center in upstate New York, serving as a magnet for thousands of visitors and cultural tourist to the region each year.

At times, the restrooms operate at only 60 percent of capacity because parts for the aged plumbing are difficult to obtain and access to the infrastructure is limited. The semi-permanent tented structures comprising the concession plaza cannot be secured and present a security risk. The area is also poorly designed, leading to negative patron experiences. The concession plaza as a whole presents a possible bottleneck that could impede egress should the venue ever need to be evacuated. Additionally, SPAC has a very limited amount of classroom and gathering space that is “weather protected.” This limits SPAC’s ability to expand children’s education, audience development, and community outreach programs.

The infrastructure improvements will lead to increased visitors to the park, increased employment as well as expanded education, audience development and community outreach programs that benefit diverse and underserved populations. Marketing funds will be used to create and execute an integrated marketing campaign (TV, digital, social, email, print, and outdoor) promoting Saratoga Springs as a world class cultural tourism destination. The campaign will target decision makers in New York City, suburban communities of the Hudson Valley, Connecticut and New Jersey, and Western Massachusetts. Creative executions will include programing of SPAC, Opera Saratoga, Caffè Lena, Skidmore College Institutes, and fine arts museum exhibits such as The National Museum of Dance and Skidmore’s The Frances Young Tang Teaching Museum and Art Gallery.

Applicant:
669 River Street LLC
 Leverage: **20%**
 Jobs Created: **50**
 Jobs Retained: **25**
 Construction Jobs: **150**



THE PAINT FACTORY MIXED-USE BUILDING

Rensselaer County • Application #83448

USES OF FUNDS		SOURCES OF FUNDS		
	Total	ESD Grant	Private Equity	Bank Finance
Construction / Renovation	\$2,805,000	561,000	561,000	1,683,000
Infrastructure / Site Work	\$495,000	99,000	99,000	297,000
Machinery & Equipment Acquisition	\$660,000	132,000	132,000	396,000
Soft costs (i.e., architectural/engineering, etc.)	\$660,000	132,000	132,000	396,000
Other project costs (specify; must be capital)*	\$165,000	33,000	33,000	99,000
Total Project Cost	\$4,785,000	\$957,000	\$957,000	\$2,871,000
TOTAL PROJECT FUNDING SOURCES	\$4,785,000			

The proposed project will transform a 44,344-square-foot historic building on the Hudson River in North Central Troy into a vibrant hub of mixed-use development. The project is in an Opportunity Zone in need of investment. The proposed incubator and manufacturing operations will re-energize the North Central Troy urban core and reconnect the Hudson River to the community.

A fully equipped business incubator office will foster new and existing businesses and job growth in an economically distressed neighborhood. The incubator's shared equipment and facilities will reduce operational costs for new enterprises and help grow a creative entrepreneur base within the community.

Manufacturing facilities and equipment for cold brew coffee manufacturing and bean roasting, as well as restaurant food preparation and production, will create employment opportunities. Businesses will provide on-the-job training and development. A new elevator and freight lift will facilitate manufacturing operations. The project's developer will implement energy efficient strategies for building envelope improvements and machinery. New lighting and electrical systems will be powered by a photovoltaic array. State assistance also will support three existing businesses and enable them to expand operations and employment in New York State. A major private investment in the building, infrastructure, equipment and shoreline stabilization will leverage public funding.



Applicant:
RASPP LLC
 Leverage: **20%**
 Jobs Created: **2**
 Construction Jobs: **70**



ERIE TRUSTCO REVITALIZATION

Schenectady County • Application #83885

USES OF FUNDS		SOURCES OF FUNDS		
	Total	ESD Grant	Private Equity	Bank Finance
Real Estate Acquisition	\$780,000	\$156,000	-	\$624,000
Construction / Renovation	\$1,028,000	\$205,500	\$112,514	\$709,986
Soft costs (i.e., architectural/engineering, etc.)	\$98,233	\$19,500	\$78,733	-
Other project costs (specify; must be capital)*		-	-	-
Total Project Cost	\$1,906,233	\$381,000	\$191,247	\$1,333,986
TOTAL PROJECT FUNDING SOURCES	\$1,906,233			

RASPP LLC/Hudson Partners Development is proposing redevelopment of the long-vacant, 70,000-square-foot former Trustco building at the corner of Erie Boulevard and State Street in downtown Schenectady. Project components include 26,000 square feet of commercial high-tech office space and 36 market-rate apartments. Improvements include installation of new building systems, removal of obsolete mechanicals and a major façade upgrade.

This blighted building in Schenectady's downtown core has been vacant for 17 years, contrasting starkly with significant public and private investment and revitalization occurring just blocks away. The structure is one of the largest, tallest commercial buildings in downtown. The proposed project is within walking distance of Proctors Theatre and key education and transportation hubs. Expected outcomes include the improvement of a blighted property, increasing the tax base, creating employment opportunities and further revitalization of downtown Schenectady and Erie Boulevard.

Applicant:
EDC Warren County
 Leverage: **20%**
 Construction Jobs: **35**



SOUTH STREET REDEVELOPMENT

Warren County • Application #83656

USES OF FUNDS		SOURCES OF FUNDS			
	Total	ESD Grant	Other State*	Private Equity	Other***
Real Estate Acquisition	\$500,000	-	-	\$500,000	-
Construction / Renovation	\$6,900,000	\$1,580,000	\$2,000,000	\$300,000	\$3,020,000
Soft costs (i.e., architectural/engineering, etc.)	\$500,000	-	-	-	\$500,000
Total Project Cost	\$7,900,000	\$1,580,000	\$2,000,000	\$800,000	\$3,520,000
TOTAL PROJECT FUNDING SOURCES	\$7,900,000				

*Specify NYS Agency/Program

DRI Funding

***Specify

Local - municipality will likely pursue bond financing

The proposed project is a multi-story parking structure with 450 to 475 parking spaces in the South/Elm Street area of Glens Falls, at the center of the city's Downtown Revitalization Initiative (DRI) Arts, Wellness and Entertainment (AWE) district. This walkable neighborhood has experienced recent growth in both employment and population. The parking structure will help build on this momentum.

The planned facility will provide much-needed parking for residential and commercial tenants, downtown businesses, shoppers and visitors in this key area of the city. It will be the infrastructure catalyst needed to retain existing businesses along South Street and serve as an anchor and enticement for new businesses to locate in two rehabilitated buildings: a 115,000-square-foot multipurpose, mixed-use building and a proposed new, year-round enclosed "Market." The project is a critical component of the Glens Falls DRI.

PREVIOUS CFA AWARDS

Warren County EDC Capital

CFA AWARD ROUND

1



Applicant:
Coeymans Recycling Center, LLC D/B/A Coeymans Industrial Park
 Leverage: **20%**
 Jobs Created: **80**
 Construction Jobs: **41**



BARKER TRESTLE REPAIR CENTER AND DISTRIBUTION PROJECT

Albany County • Application #84812

USES OF FUNDS		SOURCES OF FUNDS				
	Total	ESD Grant	Other State*	Federal**	Private Equity	Bank Finance
Construction / Renovation	\$1,580,596	\$1,580,596	-	-	-	-
Infrastructure / Site Work	\$3,265,687	-	-	-	-	\$3,265,687
Machinery & Equipment Acquisition	\$4,330,000	\$357,660	\$750,000	\$750,000	-	\$2,472,340
Soft costs (i.e., architectural/engineering, etc.)	\$515,000	-	-	-	\$515,000	-
Total Project Cost	\$9,691,283	\$1,938,256	\$750,000	\$750,000	\$515,000	\$5,738,027
TOTAL PROJECT FUNDING SOURCES	\$9,691,283					
*Specify NYS Agency/Program		Appropriations				
**Specify Federal Agency/Program		HUD				

The proposed project includes creating a state-of-the-art intermodal distribution center, barge repair facility and skilled labor training center at the privately owned Port of Coeymans on the Hudson River. The permitted project will enable construction of a permanent straddle crane trestle system. State assistance will fund rehabilitation and fit out of a facility that was formerly used for steel rebar production. In addition, the project sponsors will construct an inlet channel, which will require 2,000 cubic yards of dredging to create a uniform depth of 15 feet mean low water. This will allow barges to be brought into the facility for repairs and maintenance.

The project will enable development of only the second industrial marine repair center north of New York City and the only indoor facility in the state. The Port of Coeymans has become a foundation for the global distribution channels of the region's manufacturers. Located just 10 miles south of Albany, N.Y. and 100 miles north of New York City, it is a growing, privately owned, full-service, deep-water marine terminal with modern facilities, a skilled workforce and state-of-the-art technology and equipment. Accessible by ship, truck and rail, the 400-acre port is the premier bridge assembly, construction and disaster recovery hub of the Northeast. The port provides a variety of services to an international client base. With a 300-foot inlet channel and 3,500 feet of direct riverfront access, the port offers dock capability for ships up to 750 feet long.

Applicant:
**FrontStreet Mountain
 Development**
 Leverage: **20%**
 Jobs Created: **5**
 Construction Jobs: **5**



SKI BOWL MOUNTAIN

Warren County • Application #81869

USES OF FUNDS		SOURCES OF FUNDS		
	Total	ESD Grant	Private Equity	Bank Finance
Real Estate Acquisition		-	-	-
Construction / Renovation	\$3,700,000	\$820,000	\$2,060,000	\$820,000
Infrastructure / Site Work	\$200,000	-	\$200,000	-
Machinery & Equipment Acquisition		-	-	-
Furniture/Fixtures	\$200,000	-	\$200,000	-
Soft costs (i.e., architectural/engineering, etc.)		-	-	-
Other project costs (specify; must be capital)*		-	-	-
Total Project Cost	\$4,100,000	\$820,000	\$2,460,000	\$820,000
TOTAL PROJECT FUNDING SOURCES	\$4,100,000			

The proposed Ski Bowl Mountain Inn at Gore Mountain in the town of Johnsbury will be two blocks from the hamlet of North Creek’s business district. Johnsbury is in a designated Opportunity Zone. The slope-side inn will be a 40-room, traditional Adirondack style hotel with a main lodge and various guest cottages. Buildings will be set into the natural topography and connected by covered pathways. This phase of the project includes road and infrastructure work and construction of the main lodge, one 10-unit guest house and a warming hut.

Gore Mountain is a key northern Capital Region tourist asset and one of the area’s largest employers. This project links the historic Ski Bowl with Gore. It will help Gore to become a destination resort and enhance the ski area’s competitiveness with other major east coast ski areas.

The project will create hospitality employment at the inn, and the project’s multiplier effect will be significant, with the potential to grow jobs at Gore Mountain through increased visitation. In addition, it will foster economic development in North Creek when visitors to the mountain visit local businesses, restaurants and gift shops. The project meets a major New York State tourism development objective by bringing Gore’s visitors into North Creek.



Applicant:
Urban Grow Center
 Leverage: **20%**
 Jobs Created: **7**
 Jobs Retained: **29**
 Total Jobs: **36**
 Construction Jobs: **50**



CAPITAL ROOTS

Rensselaer County • Application #81967

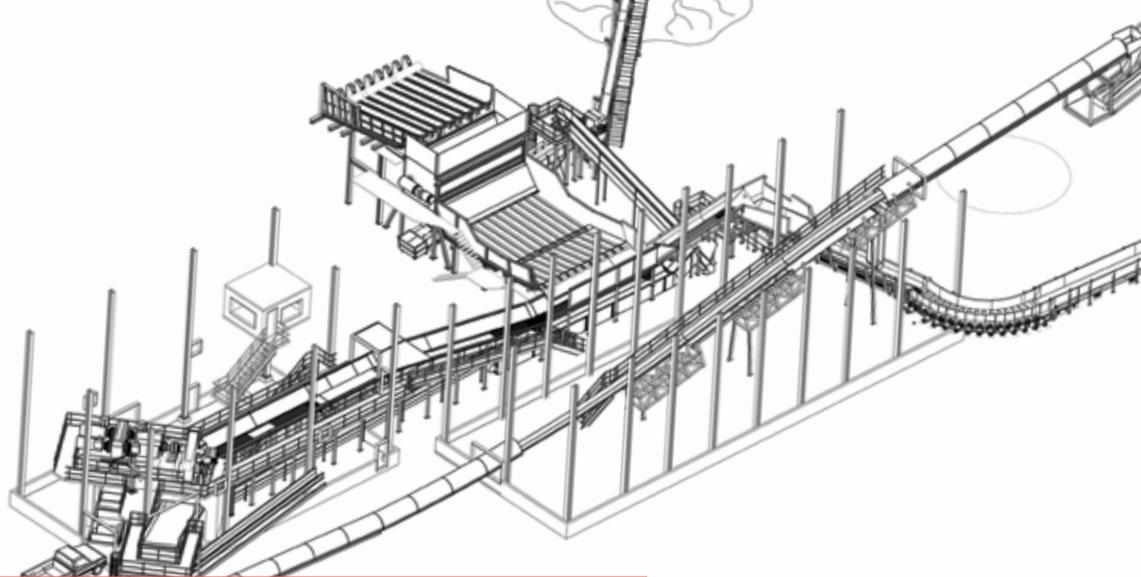
USES OF FUNDS		SOURCES OF FUNDS			
	Total	ESD Grant	Other State*	Private Equity	Bank Finance
Construction / Renovation	\$3,011,549	\$811,271	\$2,000,000	\$200,278	-
Machinery & Equipment Acquisition	\$737,168	-	-	\$237,168	\$500,000
Soft costs (i.e., architectural/engineering, etc.)	\$307,636	-	-	\$307,636	-
Total Project Cost	\$4,056,353	\$811,271	\$2,000,000	\$745,082	\$500,000
TOTAL PROJECT FUNDING SOURCES	\$4,056,353				
<i>*Specify NYS Agency/Program</i>		Homes and Community Renewal			

The proposed Urban Roots Phase II project will complete the two-acre Urban Grow Center (UGC) campus and replace vacant land with a 20,000-square-foot building with a food market, incubator kitchen and second food hub to serve the growing demand for local foods. UGC's Phase I project and the Phase II addition are on the east side of Troy's River Street 500 block, in a CREDC Priority Opportunity Zone (POZ), and adjacent to five additional POZs. UGC's project will create a model city block, using sustainable technologies to reduce runoff, calm and embrace multiple traffic modalities, and apply energy generation, conservation, and storage technologies to strengthen and diversify the local grid. Phase II includes a quarter acre of net-zero greenhouses that will support local food production and a job readiness program. The

greenhouses are central to the program. UGC's other facilities also will support specific skills training for customer service, food service, and clerical workers. The job readiness program, enrolling 75 trainees annually, includes partner-supplied wraparound services to support participants' economic, emotional and family stability, as well as an offering of experiential learning and focused communication. Phase II also will support nearly 100 regional farms and will host dozens of food businesses. This project doubles down on Capital Roots' successful reboot of the local food system, moving beyond targeted farm purchases and food distribution to build community health and wealth by incubating food business, increasing institutional purchasing and providing job training programs for the long-term unemployed.

PREVIOUS CFA AWARDS	CFA AWARD ROUND
Urban Grow Center	3
The Urban Grow Center Expansion	7

Applicant: **Finch Paper**
 Leverage: **20%**
 Construction Jobs: **8**



MAXIMIZE THE USE OF LOCALLY SOURCED NY PULPWOOD

Warren County • Application #84286

USES OF FUNDS		SOURCES OF FUNDS	
	Total	ESD Grant	Private Equity
Construction / Renovation	\$1,200,000	\$240,000	\$960,000
Machinery & Equipment Acquisition	\$3,500,000	\$700,000	\$2,800,000
Soft costs (i.e., architectural/engineering, etc.)	\$300,000	\$60,000	\$240,000
Total Project Cost	\$5,000,000	\$1,000,000	\$4,000,000
TOTAL PROJECT FUNDING SOURCES	\$5,000,000		

This proposed project aims to maximize Finch Paper’s use of locally sourced pulpwood through a combination of woodyard modernization and/or increasing manufactured pulp storage capabilities. These projects will increase Finch’s local pulpwood purchase by approximately 20 percent, or 132,000 tons, of roundwood per year. Along with being prepared to increase the pulp storage with volume or a thickener, Finch is prepared for the Next-Tech woodyard modernization. The project will add state-of-the-art processing capabilities for random length logs of up to 20 feet. With greater flexibility of log lengths, Finch will lower the cost structure for the local logging community while increasing pulp yield at Finch. Loggers will be able to harvest more low-grade timber, dramatically improving the long-term economic viability of New York State forests.

Finch competes in the highly competitive global paper market with a more than 150-year-old “integrated” mill. Integrated mills generate their own pulp and manufacture paper with the pulp. In the last year, approximately 20 percent of Finch’s direct competitors went out of business because they were “non-integrated.” The company plans to continue to strengthen its competitiveness by increasing “integration” from 71 percent to 80 percent, insulating Finch from outside global pulp pricing pressures and making Finch significantly more dependent on the local economy.

PREVIOUS CFA AWARDS	CFA AWARD ROUND
Finch Paper Process Modernization	4
Finch Paper Residuals Project	6



Applicant:
**The Corporation
of Yaddo**
Leverage: **20%**
Construction Jobs: **34**



YADDO 2018

Saratoga County • Application #82113

USES OF FUNDS		SOURCES OF FUNDS	
	Total	ESD Grant	Private Equity
Construction / Renovation	\$3,342,709	\$668,542	\$2,674,167
Machinery & Equipment Acquisition	\$49,500	\$9,900	\$39,600
Soft costs (i.e., architectural/engineering, etc.)	\$64,040	\$12,808	\$51,232
Total Project Cost	\$3,456,249	\$691,250	\$2,764,999
TOTAL PROJECT FUNDING SOURCES	\$3,456,249		

Yaddo’s 29,000 square-foot-mansion, set in the Union Avenue Historic District of Saratoga Springs, is the architectural centerpiece of its 400-acre property. Built in 1893, it was designated as a National Historic Landmark in 2013. The mansion is integral to Yaddo Gardens, which attracts 50,000 visitors annually.

The proposed project will secure the mansion’s structural integrity and enable full restoration, which is critical to maintaining Yaddo’s future as an artist residency program. Yaddo has hosted generations of authors, composers and painters, contributing \$3.2 million annually to the local economy. Public funding will be matched by private donations that will enable terrace repair, roof restoration, and ADA and fire safety compliance. For decades, closure of most

of the mansion in the winter has hastened its decline. The improvements will set the stage for operating parts of the building year-round for the first time in Yaddo’s history, and it will enable the artist community to introduce meetings and programming that will greatly enhance public access.

As the Capital Region builds its capacity to compete globally, it has recognized the potential for growth in the creative economy and the power of clusters of individual entrepreneurs, artists, privately owned businesses and non-profits. This project supports Yaddo’s proven private sector leadership in artist residency programs, which brings world-class artists to the region and nurtures work that touches millions of lives locally and around the world.

Applicant: **Hudson Valley Fish Farm Inc**
 Leverage: **20%**
 Jobs Created: **12**
 Construction jobs: **10**



INDUSTRIAL HEMP PROCESSING

Warren County • Application #82530

USES OF FUNDS		SOURCES OF FUNDS	
	Total	ESD Grant	Private Equity
Construction / Renovation	\$11,040,000	\$1,680,000	\$9,360,000
Infrastructure / Site Work	\$660,000	\$660,000	-
Machinery & Equipment Acquisition	\$1,300,000	\$260,000	\$1,040,000
Total Project Cost	\$13,000,000	\$2,600,000	\$10,400,000
TOTAL PROJECT FUNDING SOURCES	\$13,000,000		

Hudson Valley Fish Farms (HVFF) is North America's largest, commercial scale, indoor steelhead trout farm. HVFF's goal has been to develop and demonstrate sustainable practices in both aquaculture and agriculture. In 2018, HVFF began developing agricultural facilities for industrial hemp cultivation. This proposed project will create the first commercial scale, aquaponic industrial hemp greenhouse in New York State.

Industrial hemp is a new and vastly growing agricultural product useful for industries from textiles to construction to health. The current demand for industrial hemp and cannabidiol oil far outstrips the supply available. The new 80,000-square-foot greenhouse will improve efficiencies through the use of aquaponics nutrients, provide products that are certified organic and enable year-round production. This project will introduce the production of a new and emerging crop to the region's agriculture sector, giving regional consumers and businesses access to locally sourced hemp.



Applicant:
**Catskill Golf
 Resort LLC**
 Leverage: **20%**
 Jobs Created: **14**
 Jobs Retained: **8**
 Total Jobs: **22**
 Construction Jobs: **59**



THE GREENS HOTEL PUBLIC AMENITIES EXPANSION

Greene County • Application #81516

USES OF FUNDS	SOURCES OF FUNDS			
	Total	ESD Grant	Private Equity	Bank Finance
Construction / Renovation	\$5,958,000	\$1,191,600.00	\$1,040,067.19	\$3,726,332.81
Infrastructure / Site Work	\$850,000	\$170,000.00	\$148,381.52	\$531,618.48
Machinery & Equipment Acquisition	\$238,455	\$47,691.00	\$41,626.25	\$149,137.75
Furniture/Fixtures	\$300,000	\$60,000.00	\$52,369.95	\$187,630.05
Soft costs (i.e., architectural/engineering, etc.)	\$648,000	\$129,600.00	\$113,119.09	\$405,280.91
Total Project Cost	\$7,994,455	\$1,598,891	\$1,395,564	\$5,000,000
TOTAL PROJECT FUNDING SOURCES	\$7,994,455			

The proposed Greens Hotel project at the Catskill Golf Resort (CGR) in Catskill will help create a “stay-and-play” destination for golf and more. The project includes construction of a new 49,660-square-foot hotel with one- and two-bedroom suites and a fitness center. The proposal also includes upgrades to existing facilities, including a new pro shop in the clubhouse and upgrades to an Olympic-size pool and pool house. CGR also will purchase new equipment for golf course maintenance and add a maintenance and storage facility, ice-skating rink, and hiking and recreational trails.

Catskill Golf Resort is a 142-acre property just off the New York State Thruway in Greene County. It is a few hours from New York City, 15 minutes from Hudson’s Amtrak Train Station

and less than an hour from Albany. The CGR will create a Greene County tourist destination. CGR also will enable resort visitors to visit other area tourist and arts venues by offering a concierge and shuttle service.

The Greens Hotel helps fill a dire need for hotel space in the area and is an important step in advancing Greene County’s plan to become a major tourism destination. The proposed public/private investment of nearly \$8 million in the community will create direct and spin-off employment opportunities, increase the local tax base, and help foster business development and growth in the Capital Region’s southern tier.

Applicant: **Kite's Nest**

Leverage: **15%**

Jobs Created: **26**

Construction jobs: **16**



NORTH BAY REGENERATION PROJECT

Columbia County • Application #84114

USES OF FUNDS		SOURCES OF FUNDS			
	Total	ESD Grant	Other State*	Private Equity****	Other***
Real Estate Acquisition		-	-	-	-
Construction / Renovation	\$880,000	\$140,000	\$575,000	\$165,000	-
Infrastructure / Site Work	\$86,000	\$60,000	\$26,000	-	-
Machinery & Equipment Acquisition	\$225,000	-	-	\$65,000	\$160,000
Furniture/Fixtures	\$150,000	-	\$100,000	\$50,000	-
Soft costs (i.e., architectural/engineering, etc.)	\$75,000	\$25,000	\$50,000	-	-
Other project costs (specify; must be capital)*	\$60,000	-	-	\$60,000	-
Total Project Cost	\$ 1,476,000	\$225,000	\$751,000	\$340,000	\$160,000
TOTAL PROJECT FUNDING SOURCES	\$1,476,000				
*Specify NYS Agency/Program		DEC Grant \$14,000 (secured), Ag and Markets \$12,000 (anticipated), OPRHP CFA \$20,000 (anticipated), NYSCA 2019 CFA \$200,000 (anticipated), Hudson DRI \$550,000			
***Specify		Capital Campaign			
****Private Equity		Equity is anticipated money from insurance settlement			

Kite's Nest's proposed North Bay ReGeneration Project (NBRP), is a community-based, youth-centered approach to sustainable placemaking and neighborhood revitalization in the City of Hudson. Kite's Nest will design and construct a 2,500-square-foot Urban Environmental Education and Youth Arts Center, design and develop a public park with an expanded community garden and greenhouses, and establish connections to adjacent parks, trails and the waterfront. It is a transformative initiative that helps reclaim the waterfront by developing open park space and facilities for education, skills development and the arts while revitalizing a former industrial site.

The project includes infrastructure for the non-profit's education and employment programs and green space and connectivity to the city's low-income 2nd Ward. The 2nd Ward is the most economically distressed area of Hudson: more than 50 percent of households live below the 200 percent poverty level. In 2018, Kite's Nest's NBRP was selected to receive funding as part of Hudson's Downtown Revitalization Initiative, which focuses on the BRIDGE district. The NBRP project site is a linchpin to developing local cohesion and connectivity, providing both social and physical connectivity between development projects in the area north of Warren Street.



Applicant:
KDBF Ventures, LLC
 Leverage: **18%**
 Jobs Created: **20**
 Jobs Retained: **25**
 Total Jobs: **45**
 Construction Jobs: **83**



ETAIN MANUFACTURING EXPANSION

Warren County • Application #83418

USES OF FUNDS	SOURCES OF FUNDS		
	Total	ESD Grant	Private Equity
Construction / Renovation	\$6,950,000	\$2,000,000	\$4,950,000
Infrastructure / Site Work	\$300,000	-	\$300,000
Machinery & Equipment Acquisition	\$1,712,000	-	\$1,712,000
Furniture/Fixtures	\$288,000	-	\$288,000
Soft costs (i.e., architectural/engineering, etc.)	\$140,000	-	\$140,000
Total Project Cost	\$9,390,000	\$2,000,000	\$7,390,000
TOTAL PROJECT FUNDING SOURCES	\$9,390,000		

Etain is a family-run, women-owned medical marijuana business committed to manufacturing clean, safe, and consistent medical marijuana products for New York State patients. This proposal encompasses Phases 2 and 3 of Etain's manufacturing capacity expansion, which is being driven by New York State's medical marijuana program. Project components include construction and build out of a new manufacturing facility in Tech Meadows Technical Park in Queensbury and a new 40,000-square-foot greenhouse at their original Chestertown facility. The new greenhouse will significantly increase cultivation capacity.

Etain currently serves 13,000 New York State patients, including veterans suffering from PTSD and chronic pain. Recent expansions to New York's medical marijuana program will increase demand, triggering the need to expand. In alignment

with New York State's life sciences initiative, the proposed expansion will cement the Capital Region as a center for the manufacture and distribution of medical cannabis and enable Etain to accelerate research and development into cannabis treatments. The medical marijuana industry is a rapidly expanding area of health technology with a vast amount of R&D potential for both quality of life and end-of-life care.

Etain offers all employees ongoing training and educational opportunities via certification programs. The company's hiring initiatives also include providing a pathway for local mothers, skilled and unskilled, to return to the workforce. Etain hires locally from Glens Falls and surrounding rural areas. They provide access to jobs with above-market wages, citing the need to address both urban and rural poverty.

Applicant:
Village of Lake George
 Leverage: 18%



LAKE GEORGE WATER QUALITY PROTECTION WWTP

Warren County • Application #82962

USES OF FUNDS		SOURCES OF FUNDS		
	Total	ESD Grant	Other State*	Other***
Construction / Renovation	\$16,455,000	\$4,000,000	\$5,973,923	\$6,481,077
Infrastructure / Site Work	\$2,020,000	-	-	\$2,020,000
Furniture/Fixtures	\$25,000	-	-	\$25,000
Soft costs (i.e., architectural/engineering, etc.)	\$3,500,000	-	\$800,000	\$2,700,000
Total Project Cost	\$22,000,000	\$4,000,000	\$6,773,923	\$11,226,077
TOTAL PROJECT FUNDING SOURCES	\$22,000,000			
*Specify NYS Agency/Program		\$2,500,000 NYSDEC WQIP grant, \$4,273,923 NYSEFC Water Grant (WIIA)		
***Specify		Borrowing from NYSEFC from CWSRF Program		

Lake George Village’s wastewater treatment plant (WWTP) is an antiquated 20th century piece of infrastructure that is underperforming, threatening the water quality of Lake George and stymying regional economic development. The WWTP handles waste from Lake George Village, the Town of Lake George and other locations in the Lake George Basin. Lake George Village is under a New York State Department of Environmental Conservation (DEC) order of consent to reduce nitrate levels found in WWTP monitoring wells. The village’s proposed project will create a new WWTP that supports local residents, businesses, and hundreds of thousands of annual visitors to Lake George – “The Queen of American Lakes.”

Upgrading the WWTP will end a moratorium on new sewer connections and allow new businesses to locate in the village and surrounding town. During the winter months, Lake George experiences seasonal unemployment and underemployment. A more robust economy is needed to support year-round business development and jobs. This infrastructure project will support both the seasonal and year-round economies.

The value of Lake George as an “anchor” to the upstate economy is enormous. The Quebec-NY Corridor has the largest population concentration in North America. The community already is a vibrant five-month, walkable attraction for tourists and Capital Region residents alike. This project builds on that success by maintaining the pristine nature of scenic Lake George.



Applicant:
**Ben Weitsman
 of Albany, LLC**
 Leverage: **20%**
 Jobs Created: **15**



PURCHASE OF MOBILE SHREDDER

Albany County • Application #84081

USES OF FUNDS		SOURCES OF FUNDS	
	Total	ESD Grant	Private Equity
Machinery & Equipment Acquisition	\$5,000,000	\$1,200,000	\$3,800,000
Soft costs (i.e., architectural/engineering, etc.)	\$1,000,000		\$1,000,000
Total Project Cost	\$6,000,000	\$1,200,000	\$4,800,000
TOTAL PROJECT FUNDING SOURCES	\$6,000,000		

Ben Weitsman of Albany, LLC proposes to expand its scrap metal recycling operation by purchasing a mobile shredder for its location at 300 Smith Blvd. at the Port of Albany. Scrap metal is currently sent by truck to a shredder facility in Owego for processing. Expanding the Albany operations will allow 500 to 600 tons per day of scrap to be processed on site, greatly reducing freight costs and lead time for customers, as well as the number of trucks transporting materials between Albany and Owego.

On average, Weitsman currently sends 22 loads per day of scrap metal to Owego. This project will reduce the number of loads per day to four and create opportunities for barge and rail transportation, increasing tonnage shipped through regional ports, and reducing truck traffic. The new equipment also will help strengthen the region's recycling infrastructure and enhance the company's market competitiveness. Weitsman will continue to grow its socially-responsible approach to workforce hiring and development for the jobs created through this expansion. The company prioritizes the hiring of hard-to-place workers and veterans and has demonstrated a commitment to its employees by providing competitive wages and benefits. These efforts have allowed the company to attract and train a qualified workforce.

Applicant:
**Palace Performing
 Arts Center Inc**
 Leverage: **8%**
 Construction Jobs: **125**



PALACE THEATRE RENOVATION AND REVITALIZATION PROJECT

Albany County • Application #81341

USES OF FUNDS		SOURCES OF FUNDS					
	Total	ESD Grant	Other State*	Federal**	Private Equity	Bank Finance	Other***
Real Estate Acquisition	\$578,850		\$327,850			\$155,000	\$96,000
Infrastructure / Site Work	\$22,907,053	\$2,500,000	\$7,512,828	\$6,287,500	\$856,725		\$5,750,000
Machinery & Equipment Acquisition	\$400,000		\$400,000				
Furniture/Fixtures	\$500,060						\$500,060
Other project costs (specify; must be capital)*	\$4,614,037		\$409,322		\$137,179		\$4,067,536
Total Project Cost	\$29,000,000	\$2,500,000	\$8,650,000	\$6,287,500	\$993,904	\$155,000	\$10,413,596
TOTAL PROJECT FUNDING SOURCES	\$29,000,000						
*Specify NYS Agency/Program	ESD-RC7 \$2,500,000, State Historic Tax Credit \$4,250,000, New Market Tax Credit \$2,037,500, NYSERDA \$400,000, NYSParks \$500,000 (anticipated), HCR \$500,000 (anticipated), NYS Arts \$500,000 (anticipated)						
**Specify Federal Agency/Program	Federal Historic Tax Credit- in progress						
***Specify	Donations \$1,562,062 (in progress), Capital Campaign \$9567,536 (in progress)						

The proposed Palace Theatre Renovation and Revitalization project will upgrade and modernize the National Register-listed theatre, located at 19 Clinton Avenue, at one of the main gateways to the City of Albany. Renovations will make all areas of the historic building handicapped accessible for the first time, with the addition of elevators and realignment of seating to accommodate patrons with disabilities. A new Administration and Education building will contain a flexible performance studio, education studios for performing arts classes, an A/V recording studio for local and touring musicians, and meeting spaces for workforce development programs.

Enhancements to the stage and backstage support services, and construction of modern load-in facilities will enable the Palace to attract new and exciting performers to Albany, fueling economic growth, creating jobs at area businesses, and catalyzing the rebirth of Downtown Albany. HVAC

upgrades and enhancements to the visitor experience will help cut expenses and increase revenues, ensuring the long-term sustainability of one of Albany's most unique and treasured spaces.

The project contributes to the CREDC's Opportunity Agenda focus on connecting distressed communities with economic growth by increasing investment in downtown areas. The Palace's census tract was identified as an Opportunity Zone by the CREDC. Jobs created will be at various levels with opportunities for advancement, with a focus on the top skills identified in a recent Capital Region Chamber of Commerce survey, including customer service, clerical, and operations management. The Palace is working closely with the Arbor Hill Neighborhood Association to ensure the project creates living wage jobs for Arbor Hill residents and those in surrounding economically distressed neighborhoods.

PREVIOUS CFA AWARDS

Palace Theatre Restoration

CFA AWARD ROUND

7

ESD REGIONALLY SIGNIFICANT PROJECTS RELATING TO STATE PRIORITIES

Application Number	Applicant Project Name	REGIONAL STRATEGIC GOALS					STATE PRIORITIES					
		Next-Tech	Lift Off	Talent	Gateway	Metro	Downtown Revitalization	Workforce Training	Regional Cluster Plan	Opportunity Agenda	Life Sciences	Veterans
81389	Apex Capital LLC West Mountain Ski Area		✓	✓								
81666	Troy Savings Bank Music Hall Corporation Music Hall Expansion Project		✓	✓		✓	✓	✓		✓		✓
82631	Broad 3 Development Trojan Hotel Hospitality Project					✓	✓			✓		✓
82958	OBoys Distilling LLC DBA Oligan Distilling Oligan Distillery Capital		✓			✓						✓
83740	Saratoga Economic Development Corporation Spark Saratoga Incubator Construction Project	✓	✓	✓	✓			✓	✓			
83755	Darn Good Yarn Business Expansion			✓				✓		✓		✓
83839	CityStation North LLC City Station North 2018					✓	✓					
MARKET NY												
79992	The Olana Partnership Inc Hudson River Skywalk Marketing		✓									
82493	Basilica Hudson Destination Expanded Basilica Hudson		✓			✓	✓			✓		
83811	National Young Farmers Coalition Farmers DirectMarketing Cooperative		✓							✓		✓
STRATEGIC PLANNING AND FEASIBILITY STUDIES												
81488	City of Albany Lark Street Improvement Study					✓	✓					
82668	Village of Green Island Tibbits Avenue Corridor Revitalization Plan					✓	✓					
83642	Capitalize Albany Corporation C3 (Clinton Container Complex) Development Feasibility					✓	✓					

REGIONALLY SIGNIFICANT PROJECTS



WEST MOUNTAIN SKI AREA

Applicant: Apex Capital LLC
Location: Warren County
Application #: 81389

This proposed project will enable West Mountain in Queensbury to rebuild and replace aging infrastructure. Project elements include installing a new chairlift at the mountain's northwest base, expanding the main lodge, and installation of a second zip line canopy tour line. When complete, the project will allow West Mountain to operate the north side of the mountain full-time and expand into summertime business, making it a true resort destination.

West Mountain is an economic driver for the area. Conveniently located only three miles off I-87's Exit 18, this upstate tourist attraction hosts multiday ski racing events and partners with local hotels. West Mountain also provides jobs, outdoor recreation and education through skiing and programs for local residents, as well as an afterschool program that serves 1,600 youth.



OLIGAN DISTILLERY CAPITAL

Applicant: O'Boys Distilling
Location: Albany County
Application #: 82958

O'Boys Distilling's proposed project will enable the acquisition and installation of equipment to produce neutral grain spirits used in the production of alcoholic beverages. O'Boys produces these spirits using a state-of-the-art, continuous flow column still for its vodka production — the only one of its type in New York. The additional equipment will enable increased production and an opportunity for these spirits to be purchased by other New York State craft beverage makers. New York State distilleries are currently only able to purchase neutral grain spirits from out-of-state suppliers.

O'Boys will enhance the state's agribusiness by purchasing grains exclusively from New York State farmers. Spent grain from production will be returned to farmers to use as feed. The firm also is developing a partnership with Schenectady County Community College to address the training needs that will help close the skill gaps in the distilling/beverage industry.

CITY STATION NORTH 2018

Applicant: City Station North LLC

Location: Rensselaer County

Application #: 83839

This proposal is a major infill urban redevelopment project at an underutilized site on Congress Street in Troy. Project components include demolition of a vacant 65,000-square-foot building, new construction of a mixed-use building with onsite surface and garage parking, flexible Class A office space, and 87 market-rate apartments. The project also includes associated sustainable sewer and stormwater infrastructure.

The City Station North 2018 project will accelerate transformation of this distressed site and create stronger linkages of this redevelopment area to Troy's central business district. This district is a priority of the City's Master Plan. The project, located in a Rensselaer County Opportunity Zone, will bring new vibrancy and jobs to a distressed area, as well as needed improvements to sewer infrastructure, streets and sidewalks. The project will create a thriving live/work environment in this critical urban corridor.

DARN GOOD YARN BUSINESS EXPANSION

Applicant: Darn Good Yarn

Location: Saratoga County

Application #: 83755

Darn Good Yarn's proposed project will expand and scale its direct-to-consumer, monthly subscription boxes. The company is a wholesaler and retailer of original recycled yarns, clothing and home goods. Currently, the firm has 12,000 monthly subscribers. By investing in technological upgrades, a warehouse upgrade and employee training, Darn Good Yarn anticipates growing its customer base to 60,000 monthly subscribers. The firm's proposed expansion plans are based on 18 months of testing.

Darn Good Yarn was named the fastest-growing company in the Albany region in the July 2018 Albany Business Review. The new jobs created by this proposed expansion will include warehouse workers, warehouse management, customer service representatives and management, supply chain managers, and social media and marketing specialists. Darn Good Yarn is committed to providing jobs to the economically distressed, adults with developmental disabilities, and veterans and their spouses. They also provide continuing skill development and mentorship opportunities to their employees.



MUSIC HALL EXPANSION PROJECT

Applicant: Troy Savings Bank Music Hall Corporation

Location: Rensselaer County

Application #: 81666

The Troy Savings Bank Music Hall Corp. (TSBMHC) plans to acquire the Troy Savings Bank Condominium, a remarkable landmark venue on the National Register of Historic Places in downtown Troy. The proposed project includes redesign of the bank unit on the first floor and the basement to suit the vision of the organization while maintaining the historic integrity of this iconic building. The project's initial focus will address essential remediation and upgrades, including asbestos and mold abatement, as well as life safety systems updates that will prepare the space for major renovations. Focus will then be shifted to rehabilitating the bank floor space with rehearsal and mixed-use spaces, classrooms, offices and construction of a new box office and community board room.

The renovated space will serve the community, local businesses and partners in the arts who have expressed a need for rehearsal, lesson, performance and community event space. Placing these programs within the building housing the acoustically and historically unique Music Hall will create an arts learning environment unmatched in the Capital Region. The TSBMHC proposed project will open a large number of opportunities for arts access to the community, help drive revitalization in downtown Troy and foster growth of cultural tourism.

REGIONALLY SIGNIFICANT PROJECTS

(continued)



SPARK SARATOGA INCUBATOR CONSTRUCTION PROJECT

Applicant: Saratoga Economic Development Corporation

Location: Saratoga County

Application #: 83740

Saratoga Economic Development Corporation (SEDC) is proposing to create the Spark Saratoga Incubator as part of a mixed-use development facility and parking structure at 153 South Broadway in Saratoga Springs. The site location was identified as a key corridor for revitalization in the City of Saratoga Springs Gateway Access Plan. The incubator will have 10,000 square feet of affordable co-working, workforce development and educational space where entrepreneurs and early stage growth firms can connect, work and engage with skilled professionals to accelerate their businesses.

Spark Saratoga is a certified partner of Innovate 518, the Capital Region's Innovation Hotspot. Spark programming includes mentoring, workshops, seminars and EDI2 Saratoga. EDI2, the Electronic Design & Innovation Initiative, will provide an entrepreneurial environment that fosters the design of new integrated circuits and electronic systems. Spark Saratoga incubator will be a catalyst for new entrepreneurial growth, jobs and innovation.



TROJAN HOTEL HOSPITALITY PROJECT

Applicant: Broad 3 Development LLC

Location: Rensselaer County

Application #: 82361

The Trojan Hotel — in the heart of Downtown Troy's historic core — has been vastly underutilized for decades. This proposed project is an adaptive reuse of the five-story structure in the city's central business district that will preserve many of its historic attributes. Broad 3 Development plans to incorporate decor into the design that reflects Troy's industrial history and the building's past.

The boutique hotel project will provide much-needed accommodations for visitors to Troy, home to a plethora of arts and cultural amenities. The project also is in an Opportunity Zone and on a bus line. The developers will collaborate with local workforce and educational institutions to create employment and training for the poor and persons with barriers to opportunity.

NON-ESD PROPOSED PRIORITY PROJECTS RELATING TO STATE PRIORITIES

Application Number	Applicant Project Name	REGIONAL STRATEGIC GOALS					STATE PRIORITIES					
		Next-Tech	Lift Off	Talent	Gateway	Metro	Downtown Revitalization	Workforce Training	Regional Cluster Plan	Opportunity Agenda	Life Sciences	Veterans
ELIGIBLE AGENCY: COUNCIL ON THE ARTS												
80633	Capital Repertory Theatre theREP at Livingston Square		✓			✓	✓					✓
81341	Palace Performing Arts Center Inc Palace Theatre Renovation and Revitalization Project		✓			✓	✓					✓
82089	Saratoga Performing Arts Center SPAC Improvement Project		✓									✓
82900	Albany Symphony Speak Up Festival		✓			✓	✓					
83455	Lakes to Locks Passage Inc Lock by Lock: Why the World Needs to Know About the Champlain Canal		✓									
83607	PS21 Inc Administrative Workforce Expansion		✓	✓					✓			
80634	Proctors TheatreTECH Apprenticeship Program		✓	✓			✓	✓		✓		✓
82482	Basilica Arts Inc. Basilica Hudson - Workforce Readiness		✓	✓		✓		✓		✓		✓
83312	Thomas Cole Historic House Developing a Pathway for Emerging Arts Professionals at the Birthplace of American Art		✓					✓		✓		✓
ELIGIBLE AGENCY: NYS CANALWAY												
81254	Girl Scouts of Northeastern New York Girl Scouts of NENY STEM High Ropes Course and Programming Area		✓	✓							✓	
83469	Town of Saratoga Champlain Canal Regional Gateway & Exhibits		✓				✓					

NON-ESD PROPOSED PRIORITY PROJECTS RELATING TO STATE PRIORITIES (CONTINUED) ▾

REGIONAL STRATEGIC GOALS

STATE PRIORITIES

Application Number	Applicant Project Name	Next-Tech	Lift Off	Talent	Gateway	Metro	Downtown Revitalization	Workforce Training	Regional Cluster Plan	Opportunity Agenda	Life Sciences	Veterans
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ELIGIBLE AGENCY: DEPARTMENT OF ENVIRONMENTAL CONSERVATION

80895	City of Glens Falls Climate Smart Communities Certification		▾									
84293	City of Troy Knick					▾	▾					
83262	Lake George Village Inflow and Infiltration Study		▾									
80915	Saratoga County Soil and Water Conservation District Upper Hudson River Watershed Roadside Erosion Remediation Program Phase 1		▾									
82052	City of Hudson City of Hudson Power Avenue Wastewater Pump Station Improvement Project		▾				▾					
82070	Town of Bolton Bolton Wastewater Treatment Improvements		▾									
82249	Washington County Department of Public Works Washington County Mapping and Enhanced Pollution Reduction Program		▾									
83084	Town of Lake George Lake George Wastewater Pumpstation Consolidation		▾				▾					
83288	Town of Bethlehem Vacuum Truck Purchase for MS4 2018		▾				▾					
83887	Albany County Water Purification District Regional Biosolids Facility		▾				▾					
84681	Saratoga County Sewer District No. 1 Regional Biosolids Facility		▾				▾					
84804	Trout Unlimited Town of Chatham Priority Road Stream Crossing Replacement Project - Clark Road		▾									

ELIGIBLE AGENCY: DEPARTMENT OF LABOR

81329	Finch Paper Finch Paper CFA 80 EET			▾		▾	▾	▾	▾	▾		▾
80875	Columbia Greene Community College 8.0 Columbia Greene Unemployed Worker Training Program Transportation			▾				▾		▾		▾
82050	Wesley Health Care Center, Inc. Wesley Health Care Center Certified Nurse Aide Training Program			▾				▾		▾		▾

NON-ESD PROPOSED PRIORITY PROJECTS RELATING TO STATE PRIORITIES (CONTINUED) ▾

REGIONAL STRATEGIC GOALS

STATE PRIORITIES

Application Number	Applicant Project Name	Next-Tech	Lift Off	Talent	Gateway	Metro	Downtown Revitalization	Workforce Training	Regional Cluster Plan	Opportunity Agenda	Life Sciences	Veterans
82679	Capital Region BOCES CTE Welding, HVAC/R and Engineering Technician Program			✓				✓		✓		✓
82924	Capital District Womens Employment Resource Center CDWERC Capital District WERCforce Customer Service Computer Training			✓				✓		✓		✓
ELIGIBLE AGENCY: DEPARTMENT OF STATE												
82639	Washington County New York Multi-Jurisdictional Property Revaluation		✓				✓					
82682	Albany-Schoharie-Schenectady-Saratoga BOCES Local Government Efficiency - Shared Food Services		✓					✓				
81490	Albany City North South Gateway Improvements		✓			✓	✓					
82699	City of Cohoes Hudson River Waterfront Park		✓			✓	✓					
82751	City of Watervliet Local Waterfront Shoreline Stabilization Project LWRP		✓			✓	✓					
83903	City of Schenectady Mohawk Harbor Large Vessel Docks		✓		✓	✓						
ELIGIBLE AGENCY: ENVIRONMENTAL FACILITY CORPORATION												
81218	Capital Roots The Urban Grow Center	✓										
81967	City of Cohoes Canal Square Park- Implementing Remsen Street Arts and Heritage	✓		✓		✓	✓					
ELIGIBLE AGENCY: HOME AND COMMUNITY RENEWAL												
80126	Town of Bethlehem Town of Bethlehem 2018 ME	✓		✓			✓	✓				
80811	Town of Glenville Glenville Microenterprise Program	✓		✓			✓	✓				
81330	City of Watervliet Watervliet Water System Infrastructure Project 2018		✓				✓					
82074	Village of Fort Edward Phase 5 Safe Water Project		✓				✓					
81200	HomeFront Development Corporation Technical Assistance NYMS						✓					

NON-ESD PROPOSED PRIORITY PROJECTS RELATING TO STATE PRIORITIES (CONTINUED) ▾

REGIONAL STRATEGIC GOALS

STATE PRIORITIES

Application Number	Applicant Project Name	Next-Tech	Lift Off	Talent	Gateway	Metro	Downtown Revitalization	Workforce Training	Regional Cluster Plan	Opportunity Agenda	Life Sciences	Veterans
81341	Palace Performing Arts Center Inc Palace Theatre Renovation and Revitalization Project		✓			✓	✓			✓		
82661	City of Cohoes Cohoes Music Hall Improvements		✓			✓	✓					
ELIGIBLE AGENCY: NEW YORK STATE ENERGY RESEARCH AND DEVELOPMENT AUTHORITY (NYSERDA)												
81880	Basilica Industries LLC Basilica Hudson NetZero Campus	✓					✓					
81967	Capital Roots The Urban Grow Center			✓		✓	✓	✓		✓		✓
83887	Albany County Water Purification District Regional Bisolds Facility	✓	✓		✓		✓					
ELIGIBLE AGENCY: PARKS, RECREATION AND HISTORIC PRESERVATION												
80610	City of Albany Lincoln Park Pool Project					✓	✓					
81081	Washington County Planning Northern Slate Valley Rail Trail		✓		✓		✓					
81254	Girl Scouts of Northeastern New York Girl Scouts of NENY STEM High Ropes Course and Programming Area		✓	✓								
81341	Palace Performing Arts Center Inc Palace Theatre Renovation and Revitalization Project		✓			✓	✓			✓		
82089	Saratoga Performing Arts Center SPAC Improvement Project		✓							✓		
82358	Arts Center of the Capital Region Historic Window Restoration		✓			✓	✓			✓		
82529	Albany County Historical Association Ten Broeck Mansion Preservation, Safety, and Access					✓	✓			✓		✓
82636	City of Cohoes Lighting Cohoes Falls					✓	✓					
84114	Kites Nest North Bay ReGeneration Project					✓	✓					
84428	City of Albany The Skyway Arrival					✓	✓					



PARTICIPATION



The CREDC's new committee and workgroup structure has been developed to align with the CREDC's strategy.

It also provides a mechanism for the CREDC to efficiently act on projects and special initiatives and to provide an ability to involve members of the community outside of the CREDC in our process.

Committees (Executive, Special Initiative, Project Development) will consist only of voting CREDC members. Committees are designed to review and make recommendations on specific items, initiatives and projects.

Workgroups (SAIT Prep, Technology and Innovation, Workforce Development, Placemaking, Infrastructure and Transportation, Business Development, Public Engagement, Arts, Culture and Entertainment) will include both CREDC and non-CREDC members. This includes Co-Chairs where one is a CREDC member and one a non-member. Workgroups are designed to further the Regional and Statewide priorities and to provide guidance to the CREDC on the specific topic areas covered by the workgroup.

The new Workgroups were formed over the summer and have begun actively recruiting members. Each Workgroup will be meeting over the next year to maximize public outreach and to further state and regional strategies.

EXECUTIVE COMMITTEE

MISSION

The mission of the **Executive Committee** is to provide vision, leadership and direction in carrying out the mission of the CREDC.

WORKGROUP MEMBERSHIP

Ruth Mahoney, co-chair
KeyBank – Capital Region

Havidán Rodríguez, co-chair
University at Albany

Omar Usmani
Aeon Nexus Corporation

Michael Hickey
Siena College

Todd Erling
Hudson Valley Agribusiness
Development Corporation

Linda MacFarlane
Community Loan Fund

Joe Dragone
Capital Region BOCES

Lauren Payne
Spiral Design Studio

SPECIAL INITIATIVE COMMITTEE

MISSION

The **Special Initiative Committee** is a standing committee of the CREDC supporting the advisory needs of Empire State Development. The committee will provide input and guidance for special state initiatives that occur outside of the Consolidated Funding Application formal rounds.

WORKGROUP MEMBERSHIP

Michael Hickey, chair
Siena College

Philip Morris
Proctors Theatre

Omar Usmani
Aeon Nexus Corporation

Matthew Nelson
The Community Preservation
Corporation, Inc.

Linda MacFarlane
Community Loan Fund

Todd Erling
Hudson Valley Agribusiness
Development Corporation

Andrew Meader
46 Peaks Studios

PROJECT DEVELOPMENT COMMITTEE

MISSION

The **Project Development Committee** is to assist with implementing the CREDC strategy through the development of projects eligible for CFA repurposed funding and other related programs.

WORKGROUP MEMBERSHIP

Omar Usmani, chair
Aeon Nexus Corporation

Philip Morris
Proctors Theatre

Mike Hickey
Siena College

Joe Dragone
Capital Region BOCES

F. Michael Tucker
Tucker Strategies, Inc.

Matthew Nelson
The Community Preservation Corporation, Inc.

Todd Erling
Hudson Valley Agribusiness Development Corporation

Lauren Payne
Spiral Design Studio

Bill Hart
Irving Tissue Inc.

SIAT PREP WORKGROUP

MISSION

The mission of the **SIAT Prep Workgroup** is to prepare presentation material required for the SIAT committee review of the CREDC annual report.

WORKGROUP MEMBERSHIP

Lauren Payne, co-chair
Spiral Design Studio

Andrew Kennedy, co-chair
Center for Economic Growth

Omar Usmani
Aeon Nexus Corporation

Todd Erling
Hudson Valley Agribusiness Development Corporation

Alice Oldfather
University at Albany

Michael Conlin
KeyBank - Capital Region

Ann Luby
New York State Department of Labor

James Schlett
Center for Economic Growth

TECHNOLOGY AND INNOVATION WORKGROUP

MISSION

The mission of the **Technology and Innovation Workgroup** is to serve as a resource, facilitator and guide to address a broad range of information technology-related opportunities and issues including promotion of tradable sectors (technology), life sciences, next tech, video gaming and software within the Capital Region.

WORKGROUP MEMBERSHIP

Michael Hickey, co-chair
Siena College

Guha Bala, co-chair
Velan Ventures, Inc.

Sinclair Schuller
Entrepreneur

F. Michael Tucker
Tucker Strategies, Inc.

Michael Lobsinger
Center for Economic Growth

Amy Johnson
Albany Medical Center BACC

Bob Bedard
deFacto Global

WORKFORCE DEVELOPMENT WORKGROUP

MISSION

The **Workforce Development Workgroup** facilitates, advocates, and allocates resources for workforce solutions resulting in employer engagement and improved quality of life in the Region. Focus areas include the promotion of the Regions Talent strategy, Opportunity Agenda, Veterans Initiative and promotion of synergy between workforce and education

WORKGROUP MEMBERSHIP

Joe Dragone, co-chair
Capital Region BOCES

Matt Grattan, co-chair
University at Albany

David Brown
Capital District YMCA

Ann Luby
New York State Department of Labor

Kevin Alexander
New York State Department of Labor

Robert Wildermuth,
Capital Region WDB

Katy Drake
Columbia-Greene WDB

Doug Leavens
Saratoga-Warren-Washington WDV

Steady Moono
SUNY Schenectady

Kris Duffy
SUNY Adirondack

James Champion
Columbia-Greene Community College

Roger Ramsammy
Hudson Valley Community College

Amber Mooney
The Business Council of New York State

Cathy Halakan
Albany Medical Center

Amanda Vitullo
Center for Economic Growth

Christine Hayes
Albany Medical Center

PLACEMAKING WORKGROUP

MISSION

The mission of the **Placemaking Workgroup** is to mobilize community partners to identify and implement projects related to downtown revitalization, the live-work-play-learn community philosophy, to enhance both urban and rural development.

WORKGROUP MEMBERSHIP

Matt Nelson, co-chair
The Community Preservation Corporation, Inc.

Jeff Mirel, co-chair
Rosenblum Cos.

Melissa Auf der Maur
Basilica Hudson

David Buicko
Galesi Group

Philip Morris
Proctors Theatre

Sarah Reginelli
Capitalize Albany

Steve Strichman
City of Troy

Megan Daly
Port of Albany

Georgette Steffens
Downtown Albany BID

Ross Farrell
CDTA

INFRASTRUCTURE AND TRANSPORTATION WORKGROUP

MISSION

The mission of the **Infrastructure and Transportation Workgroup** is to identify, promote and advocate for projects related to traditional infrastructure (water, sewer, power etc.), and next-tech infrastructure (broadband, etc.). The Workgroup will also promote and advocate for transportation infrastructure to improve accessibility, reliability and affordability of transportation throughout the Capital Region.

WORKGROUP MEMBERSHIP

Bill Hart, co-chair
Irving Tissue Inc.

Carm Basile, co-chair
CDTA

Joe Wildermuth
Peckham Industries

Jeff Stark
Greater Capital Region Building Trades Council

Dennis Brobston
Saratoga Economic Development Corporation

Steve Burger
Consultant

Rich Hendrick
Port of Albany

Katie Newcombe
National Grid

Renee VanSchaack
Greene County IDA

Jason Denno
Environmental Facilities Corp

Sam Zhou
New York State Department of Transportation

Ed Bartholomew
Warren County EDC

Todd Helfrich
Eastern Contractors Association

Michael Franchini
CDTC

BUSINESS DEVELOPMENT WORKGROUP

MISSION

The mission of the **Business Development Workgroup** is to promote businesses that enhance development of the Region's tradable sectors, agriculture, small businesses, and start-up businesses.

The Workgroup will focus on business retention and expansion and new business attraction.

WORKGROUP MEMBERSHIP

Todd Erling, co-chair

Hudson Valley Agribusiness Development Corporation

Andrew Kennedy, co-chair

Center for Economic Growth

Omar Usmani

Aeon Nexus Corporation

Linda MacFarlane

Community Loan Fund

Andrew Meader

46 Peaks Studios

Bob Pasinella

Rensselaer County IDA

Dennis Brobston

Saratoga County Economic Development Corp.

Ed Bartholomew

Warren County EDC

Laura Oswald

Washington County

Matthew Cannon

Albany County

F. Mike Tucker

Tucker Strategies, Inc.

Ray Gillen

Schenectady Metroplex Development Corp.

Warren Hart

Greene County

Steven Strichman

City of Troy

Sarah Reginelli

Capitalize Albany

PUBLIC ENGAGEMENT WORKGROUP

MISSION

The mission of the **Public Engagement Workgroup** includes public outreach, communication strategy and promotion of the Region and the Capital Region Economic Development Council.

WORKGROUP MEMBERSHIP

F. Michael Tucker, chair

Tucker Strategies, Inc.

Pam Sawchuk Brown

Albany Medical Center

Lauren Payne

Spiral Design Studio

Melissa Auf der Maur

Basilica Hudson

Adam Ostrowski

Empire State Development

Andrea Swank

Center for Economic Growth

Alice Oldfather

University at Albany

Michael Conlon

KeyBank - Capital Region

ARTS, CULTURE AND ENTERTAINMENT WORKGROUP

MISSION

The mission of the **Arts, Culture And Entertainment Workgroup** is to promote the creative economy including the culinary, craft beverage, tourism, and film/music production industries located throughout the Capital Region.

WORKGROUP MEMBERSHIP

Philip Morris, co-chair

Proctors Theatre

Maureen Sager, co-chair

Alliance for the Creative Economy

Melissa Auf der Maur

Basilica Hudson

Andrew Meader

46 Peaks Studios

Todd Erling

Hudson Valley Agribusiness Development Corporation

Elizabeth Sobol

Saratoga Performing Arts Center

John Tobin

EYP Architecture & Engineering

Chuck Rosenthal

Hudson Business Coalition

Kristan Keck

Farmers & Sons

Elizabeth Miller

Park Theater

Ellen Sax

MVP Health Care

Bhawin Suchak

Youth FX

Chris Boylan

Lumberyard

Dan Cullen

WDI

Teddy Foster

UPH

Angela Beddoe

HerLife Publications

Debby Goedeke

Film Albany

PUBLIC ENGAGEMENT

OUTREACH

To reinforce the CFA project pipeline and engage as many business owners, entrepreneurs and local government officials as possible, the CREDC conducted 33 workshops, seminars and conferences throughout the Capital Region in 2018. More than 630 people attended these events, which drove 285 one-on-one meetings and 35 proposed project site tours

	BLAST EMAIL	WORKSHOPS/ SEMINARS/ CONFERENCES	ONE ON ONE MEETINGS	PROJECT TOURS	INFORMATION REQUESTS
# OF EVENTS	14	33	285	35	276
# OF INDIVIDUALS CONTACTED	3920	632	546	69	297

CEG WORKING GROUPS

To gain insights on key regional industry clusters and ensure its economic development efforts advance Capital 20.20 and align with the CREDC's ongoing work, the Center for Economic Growth (CEG) last spring formed five working groups. These groups are comprised of community and business leaders in the five key clusters: Advanced Electronics/Manufacturing, Cleantech, Digital/IT, Logistics and Warehousing and Life Sciences/BIO. More than 40 CEG members are participating in the working groups. Each group is developing a cluster-specific mission. All will serve as advisory groups to CEG on attraction/regional competition, workforce development and key regional investments needed to grow industries.

LOCAL GOVERNMENT ENGAGEMENT

The CREDC continued to prioritize local government engagement in Round VIII. At workshops and seminars held last spring, several state agencies provided local government officials and others with CFA assistance. Issues covered at the sessions included municipal/public infrastructure, workforce development, community development and energy and environmental projects. Additionally, the CREDC and its partners engaged local officials on a variety of initiatives relating to key priorities, including the following:

- **Creative Economy/Video Game Hub**
 - ◊ The Center for Economic Growth (CEG) worked with local government officials in Albany and Troy on its efforts to promote the region's video game development cluster.
 - ◊ Many local government officials participated in CEG/Upstate Alliance for the Creative Economy (ACE) roundtables held throughout the Capital Region last winter, including Deputy Mayor Monica Kurzejeski in Troy, and Mayor Meg Kelly and Commissioner of Finance Michele Madigan of Saratoga Springs.

▪ **Metro/Lift-Off**

- ◇ CEG engaged county and local government economic development officials to help identify urban and rural sites that could be promoted at Site Selector conferences across the country. CEG also provided technical assistance to several economic development officials in order to enhance their site promotion efforts.
- ◇ CEG assisted to several Capital Region cities as they prepared Round III Downtown Revitalization Initiative proposals.

▪ **Next-Tech**

- ◇ CEG's Local Government Council, a group of local elected officials from Capital Region towns, cities and villages, met last December to review waste system improvements.
- ◇ CEG worked with the City of Schenectady on its Smart City initiative.

▪ **Talent**

- ◇ Last May, the Chamber of Southern Saratoga County and several partners held a Workforce Development Implementation Summit in Clifton Park. Local government participants included Town of Clifton Park Supervisor Phil Barrett and Assemblywomen Carrie Woerner and Marybeth Walsh.
- ◇ The CREDC Workforce Workgroup interacted with county level staff through the region's three Workforce Development Boards and seven One-Stop Career Centers. Topics included CFA updates and New York State Department of Labor workforce funding opportunities.
- ◇ CREDC Workforce Workgroup Co-Chair Matthew Grattan briefed the Capital Region Workforce Development Boards on the CREDC's new workgroup process last June.
- ◇ Last October, the Saratoga/Warren/Washington Workforce Development Board held Career Jam, in partnership with Saratoga Economic Development Corporation and Washington/Saratoga/Warren/Hamilton/Essex BOCES. Career Jam is a hands-on event designed to encourage eighth and ninth grade students to explore careers that are relevant to the region. The 2018 Career Jam is scheduled for this Oct. 18.



**DOWNTOWN
REVITALIZATION
INITIATIVE
ROUND III:
CITY OF ALBANY**



CREDC DRI SELECTION PROCESS

The City of Albany's application focused on revitalizing Clinton Square to create a welcoming gateway into the Capital City.

Walkable and diverse, the region's largest downtown offers characteristics that attract talent and draw employers to the urban core, positioning Albany as the economic hub of the Capital Region. The City of Albany is home to roughly a quarter of the region's jobs — more than all of the other region's cities combined. Downtown and Clinton Square are well-positioned to leverage and maximize this trend. The Clinton Square neighborhood is comprised of portions of both census tracts 2 and 11, both recently nominated by New York State as federal Opportunity Zones. According to 2016 American Community Survey 5-year estimates, the total population within these census tracts stands at 5,670 and is complimented by 3,687 total housing units.

The CREDC formed a Special Initiative Committee to facilitate the DRI review process and discuss the merits of each application. The Capital Region received six DRI Round III applications, which were distributed to all committee members along with the application guidebook and a guidance worksheet to assist in their application review. With assistance from the

ESD regional office, the Special Initiative Committee reviewed the six applications and selected three finalists: the cities of Albany, Cohoes and Troy.

Regional office staff followed up with each of the finalists to invite them to participate in a presentation and question and answer session with the Special Initiative Committee as the second phase of the DRI review process. Finalists were encouraged to prepare a brief presentation highlighting their DRI zone strengths. For the second phase of the DRI review, Special Initiative Committee members were instructed to assess the presenter's knowledge of their application and the content and organization of their responses. Upon completion of the interviews, committee members discussed the merits of each application and Albany was determined to be the leader.

After a full review of the process and details related to Albany's readiness to implement this important program, the CREDC submitted the Clinton Square neighborhood in the City of Albany for certification.



Investment in the Clinton Square district is critical to realizing the potential of several shovel-ready projects. These projects are expected to generate more than 400 market-rate housing units, 242 affordable housing units, 136 hotel rooms and more than 20,000 square feet of new commercial space.

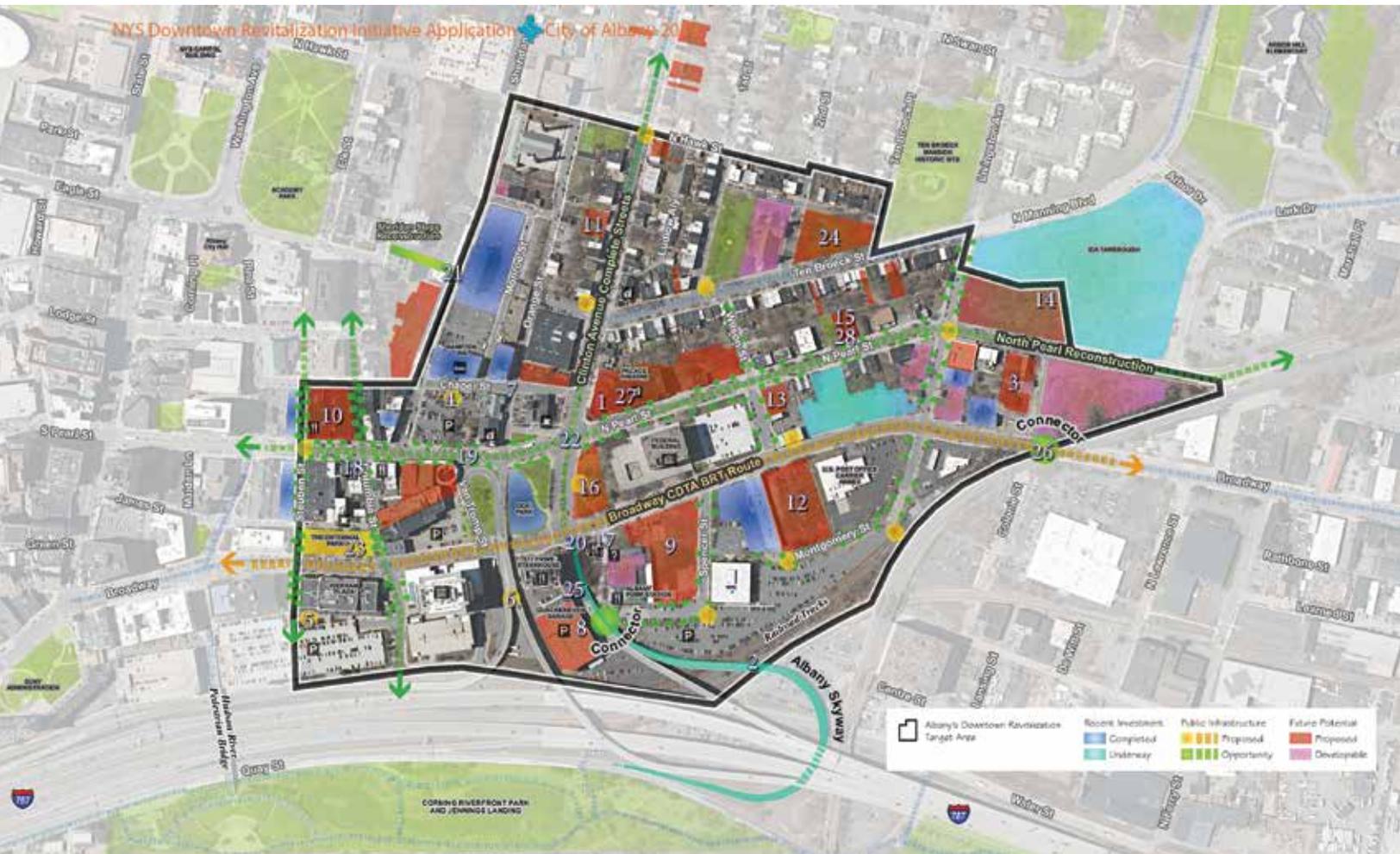
This vision prioritizes five clear, foundational principles:

- **Establishing a clear and engaging gateway into the Capital City:** Whether arriving at work in the morning, attending a show at the Palace, or coming home to Arbor Hill at night, the 24,000 people entering the state capital at the foot of Clinton Avenue daily will be welcomed by new wayfinding, cohesive streetscape and branding, lighting upgrades, sidewalk cafes, and activated public spaces. These new improvements will create a zone that lives up to the show-stopping vistas of Clinton Avenue and the Capital skyline.
- **Integrating and accelerating five distinct investment zones: Downtown Albany. The Warehouse District. Arbor Hill. Sheridan Hollow. The Hudson Riverfront.** These zones all converge at Clinton Square. The proposed projects will directly revitalize Clinton Square while providing Downtown (\$224 million of recent investment) and the Warehouse District (\$36 million of recent investment) with a strong, walkable connection focusing on infill investments – including the \$85 million mixed-use Quackenbush development and streetscape enhancements like the innovative lighting under the Livingston Bridge overpass. Investments in Clinton Square will connect this investment to the Arbor Hill and Sheridan Hollow neighborhoods through “complete streets” enhancements and targeted revitalization projects, which will encourage investment in these critical communities. Finally, projects in this area will allow for unsurpassed new links to the Hudson River for visitors, workforce, and residents alike, including the groundbreaking Skyway project. Clinton Square has the power to integrate these investment zones and exponentially grow their potential.

- **Celebrating Albany’s arts, culture and history:** With a major performing arts venue, the region’s only resident-artist repertory theatre, the award-winning Albany Symphony and the interactive regional Visitor’s Center, the area is rich in arts and cultural assets. Clinton Square is also steeped in history (dating back to Colonial times as the City’s original northern boundary in 1686). Investments will highlight these regional arts, culture and history assets and celebrate them as renewed anchors of Clinton Square. In turn, these assets will draw larger attendance and generate spin-off economic impact to surrounding retailers and services.



- Creating mixed income urban living options while maintaining future affordability:** Clinton Square is home to residents all along the income spectrum. Albany's downtown and its surrounding neighborhoods have long been dominated by a density of low income levels and subsidized housing. National trends have opened an opportunity to create a mixed-income downtown with market potential for up to 200 new units of regional market-rate housing annually for the next decade as millennials and empty nesters see the value proposition of the downtown core. The Capital City must leverage this unique window of opportunity to strengthen local economics. However, as the housing market picks up, investments must continue to be made in upgrading existing and creating new affordable housing to ensure inclusive opportunities are provided to all. This means façade improvements to public housing towers, quality redevelopment of vacant and dilapidated row homes, and expansion of artists' housing opportunities.
- Connecting Albany's workforce to local employers:** Investing in Clinton Square provides opportunities for both local workforce and new employers. Sectors with strong roots already in Clinton Square – arts, entertainment, brewing – present additional emerging opportunities for grassroots incubation and growth. Many of the projected investments also create low-barrier-to-entry jobs with strong career ladders, like the proposed 136-room hotel. New retailers are looking to exclusively hire employees who can walk to their locations. Hundreds of opportunities on the construction crews of the new development projects will be available. The Clinton Square initiative can assist residents in finding gainful employment in their own backyard, fueled by capital investments in workforce training programs and by supporting Clinton Square's employment-driving development opportunities.



CLINTON SQUARE

		PROJECT	TOTAL INVESTMENT	USE OF FUNDS
1		Palace Theatre Expansion	\$30.7 million	Assist with renovation and construction costs and purchase of fire and sprinkler system
2		Skyway: Albany Happening	\$1.1 million	Construction, lighting and fixtures
3		The Church	\$1.3 million	Assist with demolition and stabilization costs, structural and masonry repair, site work
4		Storm Sewer Separation and Flood Mitigation	\$3 million	Construct separated storm sewers, detention and treatment using green infrastructure practices
5		Water Valve Replacements	\$1 million	Valve repairs and replacements
6		Water Main Replacements	\$1 million	Construct new water mains
7		Full Lead Service Replacements	\$600,000	Replacement of water services
8		Quackenbush Garage Access	\$400,000	Design and construction
9		Quackenbush Mixed Use	\$85 million	Assist with construction costs
10		Kenmore Complex	\$55 million	Interior and exterior renovations necessary for mixed-use conversion
11		Clinton Avenue Mixed-Income and Affordable Development	\$50 million	Assist with renovation costs
12		745 Broadway	\$35 million	Assist with site preparation and construction costs
13		The Wilson	\$3.6 million	Assist with construction costs
14		Ida Yarborough Phase 3	\$3.4 million	Demolition of existing building
15		222 North Pearl St. Redevelopment	\$1 million	Asbestos abatement
16		C at Clinton Square	\$1.9 million	Purchase of containers, installation and fit up
17		Craft Beverage Trail	\$200,000	Informational kiosks, wayfinding, promotional materials, and distinctive design elements along path
18		Small Business Loans and Grants	\$1 million	Interior and exterior renovations, machinery and equipment, furnishings & fixtures, sidewalk cafes, and working capital
19		Streetscape Improvements	\$5.2 million	Sidewalk improvements, street furniture, lighting, trash receptacles, tree planting and landscaping purchase signals and installation costs
20		Skyway Gateway	\$1.2 million	Construction, lighting and fixtures
21		Sheridan Steps	\$800,000	50/50 match for engineering and construction
22		Downtown District Signage	\$400,000	Purchase and installation of signage
23		Tricentennial Park Improvements	\$1 million	Construct improvements
24		Van Rensselaer Park Improvements	\$143,480	Purchase and install equipment
25		Enhanced Garage Connections	\$398,000	Construction, lighting, fixtures, and plantings
26		Livingston Railbridge Gateway	\$250,000	Lighting and art installation costs, repair and preparation costs
27		Palace Academy Technica Training Program	\$700,000	Purchase new lighting systems and control boards
28		Cyber Hub	\$500,000	Assist with fit-up costs



APPENDIX



APPENDIX

Application Number	Application Round	Project Name	Agency Name
2300	Round 1	Day Peckinpaugh Barge Restoration	Canals
6010	Round 1	Canalway Trail: Schenectady ALCO Site	Canals
6536	Round 1	Matton's Shipyard	Canals
6686	Round 1	Halfmoon Historic Culvert and Waste Weir Restoration	Canals
8591	Round 1	Saratoga-Washington on the Hudson Regional Visitor Center	Canals
8594	Round 1	Schoharie Crossing Parking Lot	Canals
4347	Round 1	Albany Molecular Research On-the-Job Training	DOL
4295	Round 1	ACAP Unemployed Worker Training	DOL
4462	Round 1	M & G Duravent Worker Skills Upgrading	DOL
5265	Round 1	Crandall Public Library Unemployed Worker Training	DOL
5486	Round 1	Questar III BOCES Unemployed Worker Training	DOL
5561	Round 1	Empire Paving Worker Skills Upgrading	DOL
6598	Round 1	ACAP Worker Skills Upgrading	DOL
7133	Round 1	SCCC Unemployed Worker Training	DOL
8257	Round 1	Priority Electrical Services, Inc. On-the-Job Training	DOL
8319	Round 1	WERC Unemployed Worker Training	DOL
2446	Round 1	Warren County First Wilderness Heritage Corridor Implementation 2011	DOS
2667	Round 1	Redevelopment and Connection Plan for Pruyns Island, Glens Falls	DOS
5514	Round 1	Lake George Environmental Park	DOS
5972	Round 1	Public Access Improvements at Riverfront Park and Troy City Center	DOS

COMPLETE

ON SCHEDULE

IN PROGRESS

Program Name	CFA Award Amount	Total Project Cost	Grant Money Dispersed to Date
NYS Canalway Education Interpretive Grant	\$191,000	\$3,389,500	\$0
NYS Canalway Grant	\$140,000	\$159,470	\$0
NYS Canalway Education Interpretive Grant	\$40,000	\$80,000	\$0
NYS Canalway Grant	\$150,000	\$765,000	\$0
NYS Canalway Grant	\$191,000	\$700,000	\$0
NYS Canalway Education Interpretive Grant	\$75,000	\$150,000	\$0
On-the-Job Training	\$50,000	\$50,000	\$0
Unemployed Worker Skills Training	\$50,000	\$43,900	\$24,750
Worker Skills Upgrading	\$50,000	\$49,107	\$49,107
Unemployed Worker Skills Training	\$50,000	\$49,995	\$49,995
Unemployed Worker Skills Training	\$50,000	\$50,000	\$48,627
Worker Skills Upgrading	\$6,000	\$6,000	\$5,250
Worker Skills Upgrading	\$43,900	\$43,900	\$13,128
Unemployed Worker Skills Training	\$14,000	\$14,000	\$14,000
On-the-Job Training	\$50,000	\$50,000	\$4,539
Unemployed Worker Skills Training	\$50,000	\$50,000	\$50,000
Local Waterfront Revitalization	\$381,000	\$762,000	\$138,505
Local Waterfront Revitalization	\$32,860	\$65,719	\$18,183
Local Waterfront Revitalization	\$463,116	\$1,148,680	\$134,741
Local Waterfront Revitalization	\$954,000	\$2,172,000	\$259,556

CONCERNS

DELAYED

TERMINATED

Application Number	Application Round	Project Name	Agency Name
6010	Round 1	ALCO Mohawk River Multi-Use Trail	DOS
8790	Round 1	NYS Underwater Blueway Trail Web Services: Lake George	DOS
7076	Round 1	City of Troy Downtown Economic Development Strategic Plan	DOS
3334	Round 1	Columbia County Rail Transloading Facility	DOT
5767	Round 1	Schenectady County Airport: Extension of Sanitary Sewer Line and Water Main	DOT
6198	Round 1	Albany International Airport: Commercial Aircraft Maintenance Hangar Improvements	DOT
6448	Round 1	Rensselaer Wharf Reconstruction	DOT
6448	Round 1	Rensselaer Wharf Reconstruction	DOT
8256	Round 1	Whitehall Canadian Pacific Railway Northern Connector Restoration	DOT
4799	Round 1	Hague Brook Stormwater Reduction Program	EFC
4973	Round 1	Sustainable Advancement of the Lake George Environmental Park	EFC
8725	Round 1	Doane Stuart School Green Roof	EFC
2406	Round 1	TVC Albany Capital	ESD
2720	Round 1	Creative Stage Lighting Capital	ESD
4642	Round 1	Etransmedia Technology Excelsior	ESD
4575	Round 1	Windham Mountain Partners Capital	ESD
5365	Round 1	Albany Housing Authority Swan Street Lofts	ESD
6161	Round 1	Ecovative Design EIP Capital	ESD
7144	Round 1	The United Group of Companies Capital	ESD
7489	Round 1	Warren County EDC Capital	ESD
8333	Round 1	University at Albany Capital - RNA Institute Capital	ESD
8349	Round 1	University at Albany Biotechnology Training Center	ESD
8470	Round 1	Albany Medical College Capital & Working Capital: NYCAP Research Alliance	ESD

COMPLETE

ON SCHEDULE

IN PROGRESS

Program Name	CFA Award Amount	Total Project Cost	Grant Money Dispersed to Date
Local Waterfront Revitalization	\$339,735	\$1,159,470	\$57,922
Local Waterfront Revitalization	50000	100000	\$0
Local Waterfront Revitalization	\$86,162	\$172,325	\$25,067
Rail & Port Bond Project	\$2,208,600	\$3,358,600	\$0
Aviation Bond Project	\$284,090	\$315,655	\$0
Aviation Bond Project	\$3,780,000	\$4,200,000	\$132,263
Rail & Port Bond Project	\$9,884,780	\$12,730,000	\$3,950,166
Multi-Modal Project	\$1,572,220	\$12,730,000	\$331,560
Rail & Port Bond Project	\$1,100,000	\$1,250,000	\$58,924
Green Innovation Grant	\$44,000	\$0	\$0
Green Innovation Grant	\$738,000	\$733,232	\$651,232
Green Innovation	\$1,298,000	\$1,370,072	\$1,225,850
Economic Development Purposes Fund	\$250,000	\$1,068,837	\$0
Economic Development Purposes Fund	\$125,000	\$3,820,000	\$0
Economic Development Purposes Fund	\$0	\$18,267,000	\$0
Regional Council Capital Fund	\$1,500,000	\$3,500,000	\$1,450,000
Industrial Development Bond Cap	\$5,400,000	\$9,663,900	\$5,400,000
Environmental Investment Program - Capital	\$250,000	\$1,457,103	\$0
Regional Council Capital Fund	\$2,500,000	\$42,315,676	\$2,500,000
Economic Development Purposes Fund	\$250,000	\$500,000	\$0
Economic Development Purposes Fund	\$2,036,000	\$14,705,328	\$2,036,000
Regional Council Capital Fund	\$1,000,000	\$1,570,000	\$600,000
Economic Development Purposes Fund	\$950,000	\$5,032,093	\$950,000

CONCERNS

DELAYED

TERMINATED

Application Number	Application Round	Project Name	Agency Name
8575	Round 1	Center for Economic Growth Working Capital	ESD
2451	Round 1	Warren County Microenterprise Assistance Program	HCR
8842	Round 1	Ida Yarborough Housing	HCR
8842	Round 1	Ida Yarborough Housing	HCR
2186	Round 1	NY Spring Water Expansion: Greene County	HCR
2599	Round 1	Mechanicville Microenterprise Program	HCR
2750	Round 1	Greene County Microenterprise Assistance Program	HCR
6381	Round 1	The Kinderhook Wastewater Collection System	HCR
8631	Round 1	Troy Monument Square Apts	HCR
8695	Round 1	Valatie Senior Citizen Housing	HCR
8695	Round 1	Valatie Senior Citizen Housing	HCR
14033	Round 1	Catskill Mtn. Community Housing Corp: Elderly Housing Repairs	HCR
14035	Round 1	Community Land Trust of Schenectady: Elderly Housing Restoration	HCR
14039	Round 1	Saratoga County Rural Preservation: Washington County Housing Accessibility	HCR
14043	Round 1	South End Improvement Corp: Albany Elderly Housing Repairs	HCR
14056	Round 1	Albany County Rural Housing Alliance: Emergency Repairs	HCR
14057	Round 1	Albany County Rural Housing Alliance: Home Down Payment Assistance	HCR
14058	Round 1	Albany County Rural Housing Alliance: Emergency Housing Repairs	HCR
14059	Round 1	Town & Village of Fort Edward Housing Repairs	HCR
14065	Round 1	South End Improvement Corp: Albany Housing Repairs	HCR
14068	Round 1	Prattsville Main Street Revitalization	HCR
14070	Round 1	Glens Falls Elderly Housing Restoration	HCR
14077	Round 1	NYSARC, Inc. Warren/Washington Co. Housing Accessibility	HCR

COMPLETE

ON SCHEDULE

IN PROGRESS

Program Name	CFA Award Amount	Total Project Cost	Grant Money Dispersed to Date
Economic Development Purposes Fund	\$125,000	\$138,889	\$125,000
Community Development Block Grant: Economic Development Microenterprise	\$200,000	\$400,000	\$0
Federal Low Income Housing Tax Credit	\$876,324	\$11,885,916	\$0
Housing Trust Fund	\$2,400,000	\$11,885,916	\$0
Community Development Block Grant: Economic Development	\$280,000	\$716,000	\$280,000
Community Development Block Grant: Economic Development Microenterprise	\$200,000	\$216,850	\$200,000
Community Development Block Grant: Economic Development Microenterprise	\$200,000	\$261,500	\$188,133
Community Development Block Grant: Economic Development	\$541,715	\$710,017	\$541,715
Federal Low Income Housing Tax Credit	\$1,151,806	\$17,258,934	\$1,151,806
Federal Low Income Housing Tax Credit	\$647,120	\$7,108,093	\$647,120
Housing Trust Fund	\$968,725	\$7,108,093	\$968,725
RESTORE	\$50,000	\$100,000	\$50,000
RESTORE	\$75,000	\$150,000	\$75,000
Access to Home	\$200,000	\$323,000	\$200,000
RESTORE	\$75,000	\$150,000	\$75,000
RESTORE	\$75,000	\$185,000	\$75,000
HOME	\$390,000	\$2,303,400	\$390,000
HOME	\$400,000	\$643,000	\$400,000
HOME	\$267,000	\$364,500	\$262,181
HOME	\$486,000	\$1,336,000	\$485,503
New York Main Street	\$215,000	\$309,000	\$194,129
RESTORE	\$75,000	\$255,550	\$75,000
Access to Home	\$250,000	\$408,000	\$249,111

CONCERNS

DELAYED

TERMINATED

Application Number	Application Round	Project Name	Agency Name
14081	Round 1	Village of Corinth Neighborhood Revitalization	HCR
14083	Round 1	Village of Ballston Spa Housing Rehab	HCR
14100	Round 1	Housing Resources of Columbia Co. Emergency Repairs	HCR
14105	Round 1	Village of Hunter Main Street Revitalization	HCR
14113	Round 1	Washington County Housing Rehabilitation	HCR
14116	Round 1	Housing Resources of Columbia Co. Rehabilitation	HCR
14119	Round 1	Downtown Albany Restoration Program: 60 State Street	HCR
14145	Round 1	Washington County Pleasant Valley Nursing Home Fire Prevention Project	HCR
14147	Round 1	City of Watervliet Housing Rehabilitation Program	HCR
14163	Round 1	City of Cohoes Downtown Gateway Housing Rehabilitation Project	HCR
14181	Round 1	Village of Fort Edward Depot Neighborhood Water Improvements	HCR
14186	Round 1	Village of Green Island Albany Avenue Improvements	HCR
14197	Round 1	Housing Resource Columbia Co. Home Improvement	HCR
14206	Round 1	Albany County Rural Housing Alliance Rehab Program	HCR
14207	Round 1	Village of Ballston Spa Housing Improvements	HCR
14208	Round 1	Village of Corinth Neighborhood Revitalization Program	HCR
14209	Round 1	City of Albany Affordable Housing	HCR
14215	Round 1	Washington County Housing Rehab	HCR
14216	Round 1	Fort Edward Town & Village Shared Housing Initiative	HCR
14236	Round 1	Rensselaer County Homeownership Program	HCR
2232	Round 1	City of Albany Cleaner Greener Communities Phase I	NYSERDA
3790	Round 1	Olana Landscape Restoration	Parks
3828	Round 1	Dr Oliver Bronson House Restoration Phase II	Parks

COMPLETE

ON SCHEDULE

IN PROGRESS

Program Name	CFA Award Amount	Total Project Cost	Grant Money Dispersed to Date
HOME	\$307,000	\$439,150	\$284,465
HOME	\$278,200	\$414,700	\$276,336
RESTORE	\$75,000	\$829,050	\$75,000
New York Main Street	\$372,222	\$668,222	\$110,069
HOME	\$324,000	\$324,000	\$324,000
HOME	\$300,000	\$463,750	\$257,694
New York Main Street	\$250,000	\$6,405,000	\$250,000
Community Development Block Grant: Public Facilities	\$750,000	\$750,000	\$750,000
Community Development Block Grant: Housing	\$400,000	\$400,000	\$400,000
Community Development Block Grant: Housing	\$400,000	\$704,000	\$396,464
Community Development Block Grant: Public Infrastructure	\$600,000	\$630,000	\$587,463
Community Development Block Grant: Public Infrastructure	\$595,300	\$595,300	\$595,300
Affordable Home Ownership Development	\$300,000	\$763,750	\$300,000
Affordable Home Ownership Development	\$300,000	\$588,300	\$300,000
Affordable Home Ownership Development	\$289,800	\$704,500	\$289,800
Affordable Home Ownership Development	\$198,000	\$637,150	\$148,500
Affordable Home Ownership Development	\$300,000	\$700,000	\$300,000
Affordable Home Ownership Development	\$200,000	\$524,000	\$200,000
Affordable Home Ownership Development	\$276,000	\$640,500	\$276,000
Community Development Block Grant - Housing	\$400,000	\$410,900	\$284,387
Cleaner, Greener Communities Regional Sustainability Planning	\$1,000,000	\$1,053,325	\$975,234
Historic Property Acquisition, Development and Planning	\$274,125	\$365,500	\$274,125
Historic Property Acquisition, Development and Planning	\$300,000	\$400,000	\$300,000

CONCERNS

DELAYED

TERMINATED

Application Number	Application Round	Project Name	Agency Name
4091	Round 1	Hudson Opera House First Floor Restoration	Parks
6191	Round 1	Village of Fort Edward: Rogers Island Acquisition	Parks
7408	Round 1	Saratoga County Dix Bridge Rehabilitation	Parks
8374	Round 1	Proctors Historic Restoration and Heritage Area	Parks
17960	Round 2	Columbia County Value-Added Agricultural Program	Ag and Markets
14872	Round 2	Found Art in North Troy	Arts
17275	Round 2	Modern Nature Georgia O'Keeffe Lake George Exhibition Catalogue and Program Season	Arts
17423	Round 2	American Music Festival: Christopher Rouse and His American Legacy	Arts
17678	Round 2	Mainly Greene: Cultural Tourism Corridor	Arts
18543	Round 2	Hudson River School Art Trail	Arts
17663	Round 2	Boat Launch Nat Historic Park	Canals
18342	Round 2	Schenectady County Multi-Use Trail	Canals
18482	Round 2	Town of Clifton Park Mohawk River Overlook	Canals
18580	Round 2	Villagew of Fort Edward Canal Street Farmers Marketplace	Canals
18684	Round 2	Town of Halfmoon Multi-Use Trail	Canals
19149	Round 2	Town of Watford Harbor Center Upgrades	Canals
14959	Round 2	Town of Catskill, Leeds & Jefferson Heights Sewer Expansion Engineering Study	DEC
17299	Round 2	Village of Hoosick Falls Sewer Engineering Study	DEC
17783	Round 2	City of Glens falls Wastewater Engineering Study	DEC
18020	Round 2	Village of Corinth Wastewater Upgrades Engineering Study	DEC
19154	Round 2	Town of New Baltimore Wastewater Treatment Facility & Collection System Upgrade Engineering Study	DEC
19354	Round 2	Village of Catskill Sewage Pump Station Engineering Study	DEC
19632	Round 2	Village of Ravena Sanitary Sewer Overflow Elimination Study	DEC

COMPLETE

ON SCHEDULE

IN PROGRESS

Program Name	CFA Award Amount	Total Project Cost	Grant Money Dispersed to Date
Historic Property Acquisition, Development and Planning	\$400,000	\$1,329,550	\$400,000
Historic Property Acquisition, Development and Planning	\$400,000	\$929,855	\$292,526
Heritage Areas System Acquisition, Development and Planning	\$300,000	\$3,125,000	\$300,000
Heritage Areas System Acquisition, Development and Planning	\$100,000	\$140,000	\$100,000
Agriculture Development	\$82,200	\$164,400	\$82,200
Art Project Grant	\$100,000	\$250,000	\$30,000
Art Project Grant	\$92,201	\$323,902	\$27,660
Art Project Grant	\$75,000	\$150,000	\$75,000
Art Project Grant	\$150,000	\$300,000	\$45,000
Art Project Grant	\$50,000	\$100,000	\$15,000
NYS Canalway Grant	\$55,000	\$110,000	\$0
NYS Canalway Grant	\$50,000	\$250,000	\$0
NYS Canalway Grant	\$75,000	\$150,000	\$0
NYS Canalway Grant	\$93,493	\$440,000	\$0
NYS Canalway Grant	\$132,500	\$265,000	\$0
NYS Canalway Grant	\$76,000	\$152,000	\$76,000
CWSRF Engineering Planning Grant	\$30,000	\$45,000	\$30,000
CWSRF Engineering Planning Grant	\$24,500	\$30,625	\$24,500
CWSRF Engineering Planning Grant	\$23,120	\$30,620	\$23,120
CWSRF Engineering Planning Grant	\$30,000	\$37,500	\$30,000
CWSRF Engineering Planning Grant	\$24,000	\$30,000	\$24,000
CWSRF Engineering Planning Grant	\$30,000	\$37,500	\$30,000
CWSRF Engineering Planning Grant	\$19,840	\$24,840	\$19,840

CONCERNS

DELAYED

TERMINATED

Application Number	Application Round	Project Name	Agency Name
16496	Round 2	Senior Services of the Albany Area, Inc. New Hire/OJT Training	DOL
15286	Round 2	Taconic Farms, Inc. Existing Employee Training	DOL
18634	Round 2	Retronix International Inc. New Hire/OJT Training	DOL
19966	Round 2	Yincae Advanced Materials, LLC New Hire/OJT Training	DOL
19940	Round 2	Bottling Group, LLC dba Pepsi Bottling Group - Existing Employee Training	DOL
16698	Round 2	Pruyn's Island Redevelopment and Connection Plan Implementation	DOS
15154	Round 2	Schroon Lake Watershed Management Plan Implementation	DOS
15433	Round 2	Warren County First Wilderness Heritage Corridor Action Plan Implementation	DOS
15558	Round 2	Lake George Charles R. WoodLake George Environmental Park Improvements	DOS
16114	Round 2	Town of Stillwater Local Waterfront Revitalization Program Preparation	DOS
16422	Round 2	Town of Prattsville Route 23 Streetscape Enhancements	DOS
16707	Round 2	Design and Construct Historic Catskill Downtown and Waterfront Enhancements	DOS
16869	Round 2	Town of Lake George Community Revitalization Strategy Preparation	DOS
17314	Round 2	City of Troy Riverfront Park Access Improvements and Connections	DOS
18580	Round 2	Redevelop Canal Building as Canal Street Farmers Marketplace in Fort Edward	DOS
18645	Round 2	Reconstruction of Lake George Village Public Docks	DOS
18790	Round 2	Improvements at the City of Albany Corning Preserve	DOS
19004	Round 2	Lake George Water Quality Assessment and Management	DOS
16280	Round 2	Capital District Community Gardens: The Urban Grow Center	EFC
16608	Round 2	City of Rensselaer Green Streets Program Implementation Phase 1	EFC
16867	Round 2	Town of Lake George Green Gateway Improvements	EFC
18656	Round 2	Greening the City of Albany Quail Street Corridor	EFC
17499	Round 2	Davidson Brothers	ESD

COMPLETE

ON SCHEDULE

IN PROGRESS

Program Name	CFA Award Amount	Total Project Cost	Grant Money Dispersed to Date
Worker Skills Upgrading - New Hire On-the-Job Training	\$52,800	\$52,800	\$0
Worker Skills Upgrading - Existing Employee Training	\$6,041	\$6,041	\$4,560
Worker Skills Upgrading: New Hire On-the-Job Training	\$65,000	\$65,000	\$5,000
Worker Skills Upgrading: New Hire On-the-Job Training	\$100,000	\$100,000	\$10,211
Worker Skills Upgrading: Existing Employee Training	\$100,000	\$100,000	\$0
Local Waterfront Revitalization	\$77,250	\$154,500	\$0
Local Waterfront Revitalization	\$300,000	\$600,000	\$134,251
Local Waterfront Revitalization	\$308,000	\$616,000	\$229,721
Local Waterfront Revitalization	\$750,000	\$1,500,000	\$675,000
Local Waterfront Revitalization	\$37,500	\$75,000	\$37,489
Local Waterfront Revitalization	\$200,000	\$400,000	\$200,000
Local Waterfront Revitalization	\$600,000	\$1,200,000	\$600,000
Local Waterfront Revitalization	\$37,500	\$75,000	\$37,500
Local Waterfront Revitalization	\$562,803	\$1,125,606	\$30,141
Local Waterfront Revitalization	\$150,000	\$300,000	\$74,713
Local Waterfront Revitalization	\$85,083	\$170,166	\$83,299
Local Waterfront Revitalization	\$200,000	\$400,000	\$0
Local Waterfront Revitalization	\$390,000	\$780,000	\$0
Green Innovation Grant	\$196,347	\$214,899	\$185,664
Green Innovation Grant	\$850,500	\$907,934	\$813,434
Green Innovation Grant	\$544,500	\$300,429	\$215,861
Green Innovation Grant	\$1,795,500	\$1,995,000	\$1,795,500
Empire State Development Grant Funds	\$50,000	\$3,025,000	\$0

CONCERNS

DELAYED

TERMINATED

Application Number	Application Round	Project Name	Agency Name
17620	Round 2	AMI Diagnostic Imaging Agent Capital	ESD
18935	Round 2	Rotterdam Multi-Modal Center Capital	ESD
19382	Round 2	WWARC Community Kitchen Capital	ESD
19617	Round 2	GLV USA Capital	ESD
19647	Round 2	Transfinder Capital	ESD
19811	Round 2	RPI Research Facility Capital	ESD
15161	Round 2	Free Form Fibers - EIP	ESD
15161	Round 2	Free Form Fibers - EIP	ESD
15496	Round 2	Hudson River Valley Tourism: A Plan of Action	ESD
15544	Round 2	Hudson River Greenway Water Trail Marketing Materials	ESD
16369	Round 2	Ames Goldsmith Capital	ESD
16897	Round 2	Albany Medical Center Capital	ESD
17026	Round 2	TMG NY Albany I LP	ESD
17275	Round 2	Modern Nature: Georgia O'Keefe and Lake George	ESD
17407	Round 2	Glens Falls Garage/Mixed Use Capital	ESD
17649	Round 2	Albany Medical College Capital	ESD
17759	Round 2	Smart Cities Technology Innovation Center Capital	ESD
18592	Round 2	GreenRenewable Capital	ESD
18790	Round 2	Albany Waterfront	ESD
19712	Round 2	Albany College of Pharmacy Capital	ESD
25101	Round 2	Smart Cities Technology Innovation Center UCDP	ESD
32235	Round 2	Urban Grow Center Capital	ESD
15425	Round 2	Village of Fort Edward Depot District Redevelopment Program	HCR

COMPLETE

ON SCHEDULE

IN PROGRESS

Program Name	CFA Award Amount	Total Project Cost	Grant Money Dispersed to Date
Empire State Development Grant Funds	\$200,000	\$3,500,000	\$0
Empire State Development Grant Funds	\$1,000,000	\$12,500,000	\$0
Empire State Development Grant Funds	\$125,000	\$800,000	\$0
Empire State Development Grant Funds	\$150,000	\$2,759,210	\$0
Empire State Development Grant Funds	\$150,000	\$750,000	\$0
Empire State Development Grant Funds	\$200,000	\$1,200,000	\$0
Environmental Investment Program - Capital	\$100,000	\$160,000	\$0
Environmental Investment Program - RD & D	\$100,000	\$130,000	\$0
Regional Tourism Marketing Grant Initiative (I LOVE NY Fund)	\$300,000	\$525,000	\$300,000
Regional Tourism Marketing Grant Initiative (I LOVE NY Fund)	\$90,000	\$180,000	\$90,000
Empire State Development Grant Funds	\$465,000	\$1,848,850	\$0
Empire State Development Grant Funds	\$500,000	\$30,026,800	\$0
Industrial Development Bond Cap	\$11,500,000	\$17,620,000	\$0
Regional Tourism Marketing Grant Initiative (I LOVE NY Fund)	\$45,000	\$140,000	\$45,000
Empire State Development Grant Funds	\$500,000	\$27,500,000	\$0
Empire State Development Grant Funds	\$500,000	\$2,500,000	\$0
Empire State Development Grant Funds	\$2,625,000	\$10,000,000	\$2,625,000
Empire State Development Grant Funds	\$250,000	\$1,090,000	\$0
Empire State Development Grant Funds	\$750,000	\$3,750,000	\$0
Empire State Development Grant Funds	\$150,000	\$1,076,775	\$150,000
Empire State Development Grant Funds	\$1,375,000	\$10,000,000	\$1,375,000
Empire State Development Grant Funds	\$250,000	\$2,370,315	\$238,709
Community Development Block Grant: Public Infrastructure	\$600,000	\$965,000	\$575,973

CONCERNS

DELAYED

TERMINATED

Application Number	Application Round	Project Name	Agency Name
15673	Round 2	Renewal Uptown Troy	HCR
15748	Round 2	Windham Willows Senior Apartments	HCR
17372	Round 2	Prattsville Homeownership Project	HCR
17492	Round 2	Independent Living Center of Hudson Valley, Wheelchair Accessible Elevator	HCR
17573	Round 2	Albany Sheridan Hollow Village	HCR
17778	Round 2	City of Albany Blight to Betterment	HCR
17899	Round 2	Unity House of Troy: The Front Door	HCR
17916	Round 2	Warrensburg Health Center	HCR
17943	Round 2	Hudson Falls Neighborhood Water Service Line Replacement Program	HCR
18019	Round 2	Watervliet Arsenal Biomass Cogen	NYSERDA
18025	Round 2	CNSE Zero Energy Building	NYSERDA
19814	Round 2	Bioenergy Heating Development	NYSERDA
15422	Round 2	Yaddo	Parks
18248	Round 2	City of Saratoga Springs Waterfront Park	Parks
14279	Round 2	Harlem Valley Rail Trail Planning Chatham to Philmont	Parks
14806	Round 2	Mount Lebanon North Pastures	Parks
15183	Round 2	Kate Mullany National Historic Site	Parks
16015	Round 2	City of Mechanicville Railroad Station Development Project	Parks
16246	Round 2	Prattsville Park Improvements	Parks
17431	Round 2	Olana Showcase Our Beauty 2012	Parks
17823	Round 2	Albany County Rail Trail Development Project	Parks
18601	Round 2	Town of East Greenbush: Town Park Water Quality Remediation Planning	Parks
26966	Round 3	Saratoga Film Forum Digital Conversion for Film Projection	Arts

COMPLETE

ON SCHEDULE

IN PROGRESS

Program Name	CFA Award Amount	Total Project Cost	Grant Money Dispersed to Date
Urban Initiatives	\$135,000	\$192,000	\$134,178
Rural Area Revitalization Projects	\$100,000	\$1,653,500	\$100,000
Rural Area Revitalization Projects	\$200,000	\$405,000	\$200,000
Urban Initiatives	\$150,000	\$213,000	\$150,000
Urban Initiatives	\$200,000	\$13,361,435	\$200,000
Urban Initiatives	\$200,000	\$2,000,000	\$199,184
Urban Initiatives	\$200,000	\$4,864,819	\$200,000
Community Development Block Grant: Public Facilities	\$400,000	\$7,500,000	\$400,000
Community Development Block Grant: Public Infrastructure	\$570,000	\$664,000	\$570,000
Regional Economic Development and GHG Reduction	\$1,000,000	\$0	\$0
Regional Economic Development and GHG Reduction	\$1,000,000	\$3,500,000	\$670,000
Regional Economic Development and GHG Reduction	\$600,000	\$2,943,550	\$568,000
Historic Property Acquisition, Development and Planning	\$50,000	\$103,180	\$0
Park Acquisition, Development and Planning	325000	801425	\$0
Park Acquisition, Development and Planning	\$258,750	\$345,000	\$258,750
Historic Property Acquisition, Development and Planning	\$150,000	\$375,600	\$149,616
Historic Property Acquisition, Development and Planning	\$179,790	\$359,580	\$153,164
Heritage Areas System Acquisition, Development and Planning	\$100,000	\$389,206	\$305,175
Park Acquisition, Development and Planning	\$250,000	\$1,033,000	\$376,000
Historic Property Acquisition, Development and Planning	\$343,000	\$562,000	\$30,869
Park Acquisition, Development and Planning	\$500,000	\$1,355,000	\$66,150
Park Acquisition, Development and Planning	\$15,000	\$35,000	\$337,500
Digital Film Projector Conversion	\$23,000	\$46,000	\$23,000

CONCERNS

DELAYED

TERMINATED

Application Number	Application Round	Project Name	Agency Name
28003	Round 3	Proctors Cirque Eloize Summer Residency	Arts
29104	Round 3	The Palace Digital Projector Project	Arts
29158	Round 3	New York Folklore Society Folk Arts Internships	Arts
30133	Round 3	Slate Valley Museum Internship Funding	Arts
30341	Round 3	Albany Institute Mystery of the Albany Mummies Spring Marketing	Arts
30532	Round 3	Albany Symphony Orchestra American Music Festival	Arts
30665	Round 3	Crandall Public Library Digital Film Projector Conversion	Arts
31150	Round 3	Catskill Mountatin Foundation Digital Projection System	Arts
31178	Round 3	Albany Park Playhouse Professional Theatrical Lighting and Sound Equipment	Arts
31231	Round 3	Shaker Heritage Society City of Stories Project	Arts
32574	Round 3	Media Alliance Inc. NATURE Lab	Arts
28805	Round 3	Town of Stillwater Riverside Trail Community Linkage Project	Canals
26919	Round 3	Washington County Wastewater Engineering Study for S. D. 2	DEC
28296	Round 3	City of Schenectady Wastewater Engineering Study	DEC
28835	Round 3	City of Glens Falls Wastewater Engineering Study	DEC
30287	Round 3	Village of Coxsackie Wastewater System Engineering Study	DEC
30668	Round 3	Town of Warrensburg Wastewater Engineering Study	DEC
32344	Round 3	City of Saratoga Springs Sewage Pump Stations Study	DEC
26438	Round 3	Shop Rite Supermarket Customer Service and Food Safety Training	DOL
27807	Round 3	Crandall Public Library Software Skills Training	DOL
27848	Round 3	Questar III BOCES HVAC, LPN and Welding Training	DOL
29007	Round 3	ACAP Healthcare Aide, Driving and Hospitality Training	DOL
31325	Round 3	Yincae Advanced Materials Operational Skills Training	DOL

COMPLETE

ON SCHEDULE

IN PROGRESS

Program Name	CFA Award Amount	Total Project Cost	Grant Money Dispersed to Date
Arts, Culture & Heritage Project Grant	\$100,000	\$800,000	\$100,000
Digital Film Projector Conversion	\$76,500	\$113,000	\$76,500
Arts Intern Workforce Development	\$8,968	\$15,438	\$8,968
Arts Intern Workforce Development	\$5,208	\$7,440	\$5,208
Arts, Culture & Heritage Project Grant	\$50,000	\$147,299	\$50,000
Arts, Culture & Heritage Project Grant	\$74,000	\$183,739	\$74,000
Digital Film Projector Conversion	\$17,003	\$34,005	\$17,003
Digital Film Projector Conversion	\$62,250	\$124,500	\$62,250
Artistic Program Capital Equipment	\$40,000	\$80,000	\$40,000
Arts Intern Workforce Development	\$7,560	\$18,000	\$7,560
Arts, Culture & Heritage Project Grant	\$37,000	\$100,000	\$37,000
NYS Canalway Grant	\$150,000	\$484,110	\$0
Engineering Planning Grant	\$30,000	\$37,500	\$27,600
Engineering Planning Grant	\$50,000	\$62,500	\$50,000
Engineering Planning Grant	\$30,000	\$37,500	\$28,303
Engineering Planning Grant	\$30,000	\$37,500	\$30,000
Engineering Planning Grant	\$19,760	\$25,760	\$19,287
Engineering Planning Grant	\$30,000	\$37,500	\$29,999
Existing Employee Training	\$100,000	\$100,000	\$37,563
Unemployed Worker Training	\$49,995	\$60,825	\$48,330
Unemployed Worker Training	\$99,948	\$99,948	\$31,251
Unemployed Worker Training	\$100,000	\$625,000	\$23,690
New Hire On-the-Job Training	\$100,000	\$225,642	\$0

CONCERNS

DELAYED

TERMINATED

Application Number	Application Round	Project Name	Agency Name
32333	Round 3	Quad/Graphics Information Technology and Manufacturing Skills Training	DOL
28130	Round 3	Lake George Route 9 Streetscape: Design and Construction	DOS
26577	Round 3	Warren County First Wilderness Heritage Corridor Plan Implementation Projects	DOS
27451	Round 3	Village of Lake George Environmental Park Signage and Water Feature	DOS
27778	Round 3	Albany, Cohoes, Rensselaer, Troy and Watervliet, T/V of Green Island Regional Combined Sewer Overflow Program	DOS
28859	Round 3	City of Troy Local Waterfront Revitalization Program	DOS
28960	Round 3	Prattsville Community Recovery Components: Design and Construction	DOS
29007	Round 3	Albany County Opportunity Career Readiness Program	DOS
29915	Round 3	Town of Halfmoon Erie Canal Towpath Community Connector Construction	DOS
30139	Round 3	Washington Co., City of Glens Falls, Towns of Queensbury and Moreau Regional Wastewater Management Project	DOS
30877	Round 3	Town of Bolton Rogers Memorial Park Improvements	DOS
32168	Round 3	Lake George Invasive Species Management and Control	DOS
29807	Round 3	UAlbany Campus Center Expansion Green Infrastructure	EFC
26982	Round 3	Casella Organics	ESD
27043	Round 3	Menands Farmers Market Capital	ESD
29043	Round 3	Berkshire Mountain Club Capital	ESD
29043	Round 3	Catamount Resort Capital	ESD
29922	Round 3	Dutch Barn Model Brewery Capital	ESD
30224	Round 3	WWAARC Capital	ESD
30713	Round 3	Hudson Valley Agribusiness LTL Local Food Distribution Hub Network Capital	ESD
30762	Round 3	City Station North Capital	ESD
17994	Round 3	Tech Valley High School STEM Connect Capital	ESD
26518	Round 3	Infrastructure Capital	ESD

COMPLETE

ON SCHEDULE

IN PROGRESS

Program Name	CFA Award Amount	Total Project Cost	Grant Money Dispersed to Date
New Hire On-the-Job Training	\$89,237	\$178,473	\$0
Local Waterfront Revitalization	\$100,000	\$200,000	\$0
Local Waterfront Revitalization	\$553,500	\$1,107,000	\$73,757
Local Waterfront Revitalization	\$200,000	\$400,000	\$134,629
Local Government Efficiency	\$854,526	\$866,351	\$854,526
Local Waterfront Revitalization	\$80,000	\$160,000	\$25,067
Local Waterfront Revitalization	\$807,000	\$1,614,000	\$575,915
Community Services Block Grant	\$93,358	\$116,698	\$92,479
Local Waterfront Revitalization	\$200,000	\$400,000	\$0
Local Government Efficiency	\$800,000	\$1,013,000	\$0
Local Waterfront Revitalization	\$350,000	\$700,000	\$350,000
Local Waterfront Revitalization	\$482,050	\$964,100	\$0
Green Innovation Grant	\$607,847	\$766,843	\$595,830
Environmental Investment Program Capital	\$180,000	\$570,000	\$0
Empire State Development Grant Funds	\$100,000	\$600,000	\$0
Empire State Development Grant Funds	\$1,000,000	\$19,274,312	\$0
Market New York	\$200,000	\$19,274,312	\$0
Market New York	\$108,000	\$700,000	\$0
Empire State Development Grant Funds	\$100,000	\$705,000	\$0
Empire State Development Grant Funds	\$425,000	\$2,139,174	\$0
Empire State Development Grant Funds	\$1,000,000	\$32,000,000	\$0
Empire State Development Grant Funds	\$1,500,000	\$9,000,000	\$1,500,000
Empire State Development Grant Funds	\$750,000	\$4,060,000	\$0

CONCERNS

DELAYED

TERMINATED

Application Number	Application Round	Project Name	Agency Name
27538	Round 3	Wellington Row Capital	ESD
27556	Round 3	Kaaterskill Clove Working Capital	ESD
28077	Round 3	Market Golf Working Capital	ESD
31502	Round 3	Troy Dockside Lofts Capital	ESD
28251	Round 3	Glens Falls Mixed Use Capital	ESD
28275	Round 3	Proctors Cirque Eloize Marketing Campaign Working Capital	ESD
28815	Round 3	St. Peter's Health Partners Troy Capital	ESD
28865	Round 3	Mohawk Harbor Capital	ESD
29302	Round 3	Commercial Services Printing Capital	ESD
29344	Round 3	Catskill Association for Tourism Services Working Capital	ESD
29413	Round 3	Goat Industry Development Plan	ESD
29761	Round 3	Downtown Albany Capital	ESD
30204	Round 3	Stewart Shops Capital	ESD
30341	Round 3	Albany Institute Mystery of the Albany Mummies Working Capital	ESD
30406	Round 3	Lakes to Locks Passage Geotourism Working Capital	ESD
30861	Round 3	SCCC Kindl Workforce Development Building Capital	ESD
31501	Round 3	Paper Battery EIP	ESD
31501	Round 3	Paper Battery EIP	ESD
31508	Round 3	Challenger Learning Center Capital	ESD
31603	Round 3	Suits Bueche Planetarium at miSci Capital	ESD
31691	Round 3	DO-IT Center Capital	ESD
31758	Round 3	Park South Albany Redevelopment Capital	ESD
31770	Round 3	Center for Economic Growth Feasibility Study	ESD

COMPLETE

ON SCHEDULE

IN PROGRESS

Program Name	CFA Award Amount	Total Project Cost	Grant Money Dispersed to Date
Empire State Development Grant Funds	\$950,000	\$10,260,000	\$950,000
Market New York	\$150,000	\$765,000	\$149,713
Market New York	\$150,000	\$150,000	\$149,639
Empire State Development Grant Funds	\$1,000,000	\$10,338,000	\$0
Empire State Development Grant Funds	\$2,500,000	\$30,000,000	\$0
Market New York	\$60,000	\$300,000	\$60,000
Empire State Development Grant Funds	\$1,250,000	\$9,214,388	\$1,250,000
Empire State Development Grant Funds	\$5,000,000	\$90,065,000	\$0
Empire State Development Grant Funds	\$350,000	\$1,583,167	\$0
Market New York	\$270,000	\$270,000	\$270,000
Strategic Planning and Feasibility Studies	\$35,000	\$98,000	\$35,000
Empire State Development Grant Funds	\$1,200,000	\$34,500,000	\$0
Environmental Investment Program Capital	\$128,500	\$317,100	\$0
Market New York	\$21,519	\$147,299	\$21,519
Market New York	\$100,000	\$155,000	\$100,000
Empire State Development Grant Funds	\$150,000	\$850,000	\$150,000
Environmental Investment Program Capital	\$83,282	\$208,205	\$0
Environmental Investment Program Research Development and Demonstration	\$83,282	\$208,205	\$0
Empire State Development Grant Funds	\$250,000	\$1,056,787	\$0
Market New York	\$20,137	\$68,227	\$20,137
Empire State Development Grant Funds	\$3,500,000	\$17,500,000	\$0
Empire State Development Grant Funds	\$2,500,000	\$41,726,196	\$0
Strategic Planning and Feasibility Studies	\$65,000	\$130,000	\$65,000

CONCERNS

DELAYED

TERMINATED

Application Number	Application Round	Project Name	Agency Name
31836	Round 3	Glens Falls Labels Capital	ESD
31956	Round 3	Tech Valley Business Incubator	ESD
32083	Round 3	Glens Falls Civic Center Capital	ESD
32235	Round 3	Urban Grow Center Capital	ESD
32306	Round 3	ATTAIN Capital	ESD
32306	Round 3	ATTAIN Working Capital (Opportunity Agenda)	ESD
32336	Round 3	Adirondack Park Recreation Web Portal Working Capital	ESD
32469	Round 3	Tech Valley Center of Gravity Capital	ESD
32522	Round 3	Thomas Cole House Capital	ESD
32572	Round 3	RPI Business Incubator	ESD
32597	Round 3	Regatta Center Saratoga Capital	ESD
26552	Round 3	City of Watervliet NYMS	HCR
27282	Round 3	ILC of the Hudson Valley Phase II UI Renovations	HCR
27735	Round 3	Village of Hudson Falls Main Street Revitalization Program Phase II	HCR
28553	Round 3	Town of Queensbury Assistance to Davidson Brothers	HCR
28559	Round 3	Village of Coxsackie Public Infrastructure Improvements	HCR
28751	Round 3	2347 Fifth Avenue, Troy UI Project	HCR
29074	Round 3	Housing Resources Columbia Co. State Street Hudson Revitalization	HCR
29637	Round 3	Hudson Day Care Center	HCR
30879	Round 3	Albany Co. Opportunity Sheridan Avenue Improvement Project	HCR
32159	Round 3	Downtown Albany Tactical Plan	HCR
27842	Round 3	Proctors Downtown District Energy Expansion In Schenectady	NYSERDA
28993	Round 3	Albany 2030 Sustainable Code Project	NYSERDA

COMPLETE

ON SCHEDULE

IN PROGRESS

Program Name	CFA Award Amount	Total Project Cost	Grant Money Dispersed to Date
Empire State Development Grant Funds	\$75,000	\$628,500	\$75,000
New York State Business Incubator and Innovation Hot Spot Support	\$125,000	\$2,250,000	\$0
Empire State Development Grant Funds	\$675,000	\$750,000	\$472,500
Empire State Development Grant Funds	\$250,000	\$2,031,815	\$0
Empire State Development Grant Funds	\$375,000	\$416,677	\$337,500
ESD Technical Assistance and Training Grants Opportunity Agenda Projects	\$100,000	\$111,111	\$90,000
Market New York	\$82,500	\$82,500	\$82,500
Empire State Development Grant Funds	\$550,000	\$2,750,000	\$550,000
Market New York	\$500,000	\$1,100,000	\$500,000
New York State Business Incubator and Innovation Hot Spot Support	\$125,000		\$0
Market New York	\$42,000	\$210,000	\$42,000
New York Main Street	\$110,000	\$197,000	\$110,000
Urban Initiatives	\$150,000	\$201,300	\$150,000
New York Main Street	\$195,000	\$255,625	\$178,106
Community Development Block Grant: Small Business Assistance	\$60,000	\$139,047	\$60,000
Community Development Block Grant: Public Infrastructure	\$590,000	\$688,600	\$391,917
Urban Initiatives	\$150,000	\$1,578,407	\$150,000
Rural Area Revitalization Projects	\$100,000	\$450,000	\$100,000
Rural Area Revitalization Projects	\$150,000	\$200,000	\$150,000
Urban Initiatives	\$150,000	\$217,687	\$150,000
New York Main Street Technical Assistance	\$20,000	\$626,700	\$20,000
Cleaner Greener Communities Phase II Implementation Grants, Category 3: Sustainability Projects	\$2,903,522	\$3,507,520	\$1,165,044
Cleaner Greener Communities Phase II Implementation Grants, Category 2: Comprehensive Planning	\$300,000	\$9,500,000	\$183,662

CONCERNS

DELAYED

TERMINATED

Application Number	Application Round	Project Name	Agency Name
30552	Round 3	Albany County Rail Trail	NYSERDA
31779	Round 3	City of Saratoga Springs Comprehensive Plan Implementation	NYSERDA
31244	Round 3	Community Healthcare Association of NYS Community HealthCorps	ONCS
31287	Round 3	The Altamont SNAP Opportunities Program	OTDA
29007	Round 3	Albany Community Action Partnership SNAP Opportunities Program	OTDA
29503	Round 3	CEO SNAP Opportunities Program	OTDA
26778	Round 3	Historic Salem Courthouse Envelope Restoration	Parks
27763	Round 3	Harlem Valley Rail Trail Construction from Copake to Hillsdale	Parks
27827	Round 3	Proctors Interior Finish Restoration	Parks
28310	Round 3	Albany Institute Rice House & Annex Exterior Restoration	Parks
28699	Round 3	Frontier Sno Riders Trail Grooming Equipment	Parks
28805	Round 3	Town of Stillwater Riverside Trail Community Linkage Project	Parks
31897	Round 3	Olana State Historic Site Main Barn Restoration Design/Plans	Parks
32235	Round 3	Acquisition to Support Urban Grow Center	Parks
38640	Round 4	Greene County Council on the Arts: Mainly Greene American Masquerade	Arts
39726	Round 4	Capital Rep Theatre Next Act New Play Summit	Arts
39942	Round 4	Proctors 21st Century Cinema Project	Arts
41409	Round 4	Green Screen Troy Employment Training	Arts
41472	Round 4	Hubbard Hall Educational Offerings	Arts
41687	Round 4	Albany Institute Top 50 Exhibit	Arts
41792	Round 4	New York Folklore Society Public Awareness & Participation	Arts
41871	Round 4	Friends of Clermont Development Capabilities	Arts
42168	Round 4	Lakes to Locks Passages Power of Storytelling	Arts

COMPLETE

ON SCHEDULE

IN PROGRESS

Program Name	CFA Award Amount	Total Project Cost	Grant Money Dispersed to Date
Cleaner Greener Communities Phase II Implementation Grants, Category 3: Sustainability Projects	\$1,003,478	\$5,730,100	\$0
Cleaner Greener Communities Phase II Implementation Grants, Category 2: Comprehensive Planning	\$200,000	\$250,000	\$42,566
New York State AmeriCorps	\$244,412	\$668,912	\$216,703
SNAP Opportunities	\$0	\$0	\$0
SNAP Opportunities	\$200,000	\$400,000	\$153,742
SNAP Opportunities	\$60,000	\$120,000	\$92,334
Historic Property Acquisition, Development and Planning	\$200,000	\$1,371,520	\$200,000
Park Acquisition, Development and Planning	\$375,584	\$751,168	\$375,584
Historic Property Acquisition, Development and Planning	\$455,000	\$655,000	\$455,000
Historic Property Acquisition, Development and Planning	\$100,000	\$175,000	\$0
Recreational Trails	\$59,200	\$74,000	\$59,200
Recreational Trails	\$200,000	\$484,110	\$2,143
Historic Property Acquisition, Development and Planning	\$195,000	\$195,000	\$0
Park Acquisition, Development and Planning	\$64,956	\$1,947,250	\$0
Arts, Culture & Heritage Project Grant - Round 4	\$60,000	\$284,550	\$48,000
Arts, Culture & Heritage Project Grant	\$32,200	\$171,400	\$32,200
Artistic Program Capital Equipment	\$39,000	\$78,400	\$39,000
Arts, Culture & Heritage Project Grant	\$45,000	\$150,000	\$45,000
Workforce Investment	\$34,000	\$80,240	\$34,000
Arts, Culture & Heritage Project Grant	\$60,000	\$200,179	\$48,000
Workforce Investment	\$49,500	\$99,000	\$49,500
Workforce Investment	\$48,000	\$216,250	\$48,000
Arts, Culture & Heritage Project Grant	\$15,200	\$40,000	\$15,200

CONCERNS

DELAYED

TERMINATED

Application Number	Application Round	Project Name	Agency Name
42432	Round 4	Mobile Media Lab Children's Media Project	Arts
42682	Round 4	WGXC Digital Programming & Outreach	Arts
43238	Round 4	River Crossing: Contemporary Art at the Thomas Cole Site and Olana	Arts
43403	Round 4	Contemporary Artists Ctr. Organizational Leadership	Arts
43484	Round 4	Albany Symphony Orchestra American Music Festival	Arts
39486	Round 4	Historic Saratoga Battlefield Champlain Canal Connector Trail	Canals
40417	Round 4	Mohawk Hudson Bike Trail Rehabilitation	Canals
41773	Round 4	Rotterdam Junction Bike Path Railway Tunnel	Canals
42931	Round 4	Waterford Canal Harbor Visitor Center Rehabilitation Project: Phase II	Canals
41507	Round 4	Town of Ancram Sewer Study	DEC
39694	Round 4	Germantown Wastewater Treatment Plant Improvement Project	DEC
40097	Round 4	Town of Glenville Wastewater Engineering Study	DEC
40829	Round 4	City of Glens Falls Clendon Avenue Storm Sewer	DEC
41130	Round 4	Castleton Inflow and Infiltration Study	DEC
41300	Round 4	Village of South Glens Falls Wastewater Engineering Study	DEC
41405	Round 4	Rotterdam Inflow and Infiltration Study Project	DEC
42169	Round 4	Cohoes Combined Sewer Overflow Clean Water Project	DEC
42253	Round 4	Village of Lake George Wastewater Treatment Improvement Initiative	DEC
42254	Round 4	Town of Bolton Wastewater Treatment Improvement Initiative	DEC
42255	Round 4	Town of Hague Wastewater Treatment Improvement Initiative	DEC
40688	Round 4	Questar III BOCES Licensed Practical Nurse Training	DOL
41133	Round 4	Ithos Global Inc. Information Technology Training	DOL
41414	Round 4	Migrate America Inc. Office Operations Training	DOL

COMPLETE

ON SCHEDULE

IN PROGRESS

Program Name	CFA Award Amount	Total Project Cost	Grant Money Dispersed to Date
Arts, Culture & Heritage Project Grant	\$47,700	\$125,850	\$47,700
Workforce Investment	\$41,200	\$64,500	\$41,200
Arts, Culture & Heritage Project Grant	\$60,000	\$593,600	\$48,000
Workforce Investment	\$49,500	\$49,500	\$49,500
Arts, Culture & Heritage Project Grant	\$60,000	\$208,087	\$60,000
NYS Canalway Grant	\$98,943	\$197,886	\$0
NYS Canalway Grant	\$75,000	\$150,000	\$0
NYS Canalway Grant	\$150,000	\$300,000	\$0
NYS Canalway Grant	\$71,400	\$142,800	\$0
Engineering Planning Grant	\$30,000	\$37,500	\$0
Engineering Planning Grant	\$30,000	\$37,500	\$30,000
Engineering Planning Grant	\$30,000	\$37,500	\$30,000
Engineering Planning Grant	\$30,000	\$37,500	\$30,000
Engineering Planning Grant	\$30,000	\$37,500	\$29,439
Engineering Planning Grant	\$30,000	\$37,500	\$30,000
Engineering Planning Grant	\$30,000	\$37,500	\$30,000
Engineering Planning Grant	\$26,000	\$32,500	\$26,000
Engineering Planning Grant	\$30,000	\$37,500	\$28,750
Engineering Planning Grant	\$30,000	\$37,500	\$30,000
Engineering Planning Grant	\$19,920	\$24,900	\$19,882
Unemployed Worker Training	\$99,426	\$619,241	\$0
New Hire Training	\$15,000	\$500,000	\$0
New Hire Training	\$100,000	\$1,029,455	\$2,074

CONCERNS	DELAYED	TERMINATED
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Application Number	Application Round	Project Name	Agency Name
40731	Round 4	Village of Lake George Canada Street Enhancements	DOS
38756	Round 4	Town of Horicon Upper Hudson River Watershed Revitalization Plan	DOS
38854	Round 4	Town of Prattsville Hurricane Irene Storm Recovery Program	DOS
38885	Round 4	Warren County First Wilderness Plan Implementation 2014	DOS
40053	Round 4	Washington County Police Consolidation Implementation	DOS
40807	Round 4	City of Troy Riverfront Park North Riverwalk	DOS
41620	Round 4	Albany Corning Riverfront Park Tidal Ponds Design and Engineering	DOS
41626	Round 4	City of Schenectady Shared Code Enforcement Information Resource Pilot Project	DOS
41880	Round 4	Town of Fort Edward Bradley Park Improvements	DOS
42248	Round 4	Village of Lake George Watershed Management Priority Action Plan Implementation	DOS
42618	Round 4	Town of Bolton Veterans Park Improvements Phase 2 South Dock Replacement	DOS
41427	Round 4	Roosevelt Baths Green Parking Lot Retrofit	EFC
40499	Round 4	Premier Personal Products Capital	ESD
42397	Round 4	Troy Waterfront Farmers Market	ESD
38891	Round 4	Greene County Infrastructure Working Capital	ESD
39401	Round 4	Capital Sports Events Working Capital	ESD
39622	Round 4	Windham Mountain Bike World Cup Working Capital	ESD
39730	Round 4	Proctors "We Are Broadway" Working Capital	ESD
40813	Round 4	Field Goods Local Distribution Capital	ESD
40887	Round 4	Finch Paper LLC. Modernization Capital	ESD
42081	Round 4	Morcon Tissue Capital	ESD
42330	Round 4	SUNY Adirondack Regional Education Center Working Capital	ESD
42899	Round 4	Market Hudson NY Working Capital	ESD

COMPLETE

ON SCHEDULE

IN PROGRESS

Program Name	CFA Award Amount	Total Project Cost	Grant Money Dispersed to Date
Local Waterfront Revitalization	\$50,000	\$100,000	\$0
Local Waterfront Revitalization	\$255,500	\$511,000	\$39,498
Local Waterfront Revitalization	\$231,000	\$462,000	\$0
Local Waterfront Revitalization	\$410,450	\$820,900	\$0
Local Government Efficiency	\$400,641	\$445,641	\$400,242
Local Waterfront Revitalization	\$214,560	\$429,120	\$0
Local Waterfront Revitalization	\$200,000	\$400,000	\$0
Local Government Efficiency	\$558,002	\$800,000	\$0
Local Waterfront Revitalization	\$45,000	\$90,000	\$0
Local Waterfront Revitalization	\$535,250	\$1,070,500	\$0
Local Waterfront Revitalization	\$117,000	\$234,000	\$15,472
Green Innovation Grant	\$212,000	\$265,000	\$212,000
Empire State Development Grant Funds	\$200,000	\$1,523,000	\$0
Empire State Development Grant Funds	\$1,500,000	\$25,641,000	\$0
Strategic Planning and Feasibility Studies	\$37,500	\$75,000	\$37,500
Market New York	\$125,000	\$175,000	\$125,000
Market New York	\$247,875	\$330,500	\$246,222
Market New York	\$150,000	\$850,000	\$150,000
Empire State Development Grant Funds	\$100,000	\$696,346	\$100,000
Empire State Development Grant Funds	\$1,000,000	\$5,079,924	\$1,000,000
Empire State Development Grant Funds	\$300,000	\$5,225,000	\$0
Strategic Planning and Feasibility Studies	\$65,000	\$163,000	\$65,000
Market New York	\$60,000	\$75,000	\$60,000

CONCERNS

DELAYED

TERMINATED

Application Number	Application Round	Project Name	Agency Name
43081	Round 4	NYCAP Research Alliance Capital	ESD
43204	Round 4	River Crossing Creative Marketing Working Capital	ESD
43230	Round 4	The Research Foundation for the State University of New York: NYQuality for Weather Detection	ESD
43233	Round 4	Nano Alliance Center for Careers in Subsystems and Site Services	ESD
43286	Round 4	Eagle Street, Albany Garage Capital	ESD
43361	Round 4	Schenectady Metroplex Robinson Block Capital	ESD
43423	Round 4	Capital Region Innovation Hot Spot	ESD
40974	Round 4	Assistance to Zoom Flume Water Park, LLC	HCR
39099	Round 4	City of Watervliet Microenterprise Grant Program	HCR
39195	Round 4	Town of Bethlehem Microenterprise Grant Program	HCR
39625	Round 4	Greene County Microenterprise Assistance Program	HCR
39626	Round 4	Village of Corinth Sewer Improvements	HCR
39632	Round 4	Assistance to Extreme Molding, LLC	HCR
39760	Round 4	Village of Hudson Falls Water Improvement Project	HCR
39998	Round 4	Village of Whitehall Sewer Improvements	HCR
40443	Round 4	City of Hudson Stormwater Separation Project	HCR
41848	Round 4	58 North Pearl Street, Downtown Albany	HCR
42627	Round 4	Warren County Countryside Adult Home Wastewater Connection	HCR
39768	Round 4	Capital District Inter-Municipal Organics Waste Management Plan	NYSERDA
41631	Round 4	City of Rensselaer Kiliaen's Landing	NYSERDA
42156	Round 4	City of Cohoes Comprehensive Plan Development	NYSERDA
40309	Round 4	Mount Lebanon Shaker Great Stone Barn Preservation and Tourism Development	Parks

COMPLETE

ON SCHEDULE

IN PROGRESS

Program Name	CFA Award Amount	Total Project Cost	Grant Money Dispersed to Date
Empire State Development Grant Funds	\$500,000	\$2,500,000	\$0
Market New York	\$200,000	\$250,000	\$200,000
Empire State Development Grant Funds	\$900,000	\$4,500,000	\$0
Empire State Development Grant Funds	\$400,000	\$4,150,000	\$0
Empire State Development Grant Funds	\$900,000	\$6,890,000	\$900,000
Empire State Development Grant Funds	\$1,200,000	\$19,777,000	\$0
New York State Innovation Hot Spot Support Program	\$750,000	\$2,250,000	\$0
Community Development Block Grant: Economic Development	\$50,000	\$403,000	\$0
Community Development Block Grant: Microenterprise	\$200,000	\$216,000	\$190,800
Community Development Block Grant: Microenterprise	\$200,000	\$220,000	\$200,000
Community Development Block Grant: Microenterprise	\$200,000	\$260,000	\$195,000
Community Development Block Grant: Public Infrastructure	\$600,000	\$790,000	\$600,000
Community Development Block Grant: Economic Development	\$195,000	\$601,445	\$195,000
Community Development Block Grant: Public Infrastructure	\$500,000	\$582,000	\$500,000
Community Development Block Grant: Public Infrastructure	\$600,000	\$629,828	\$597,000
Community Development Block Grant: Public Infrastructure	\$600,000	\$600,000	\$0
New York Main Street	\$236,250	\$4,972,000	\$236,250
Community Development Block Grant: Public Facilities	\$400,000	\$450,000	\$18,980
Cleaner Greener Communities Phase II Implementation Grants Category 2: Planning & Technical Assistance Round 2	\$100,000	\$140,000	\$0
Cleaner Greener Communities Phase II Implementation Grants Category 2: Planning & Technical Assistance Round 2	\$187,500	\$250,000	\$76,000
Cleaner Greener Communities Phase II Implementation Grants Category 2: Planning & Technical Assistance Round 2	\$51,500	\$75,000	\$11,300
Historic Property Acquisition, Development and Planning	98942	197885	\$0

CONCERNS

DELAYED

TERMINATED

Application Number	Application Round	Project Name	Agency Name
39046	Round 4	Town of Hoosick Park Facility Improvements	Parks
39060	Round 4	Thacher State Park Visitor Center	Parks
39242	Round 4	Schenectady Gateway Park	Parks
39486	Round 4	Historic Saratoga Battlefield Champlain Canal Connector Trail	Parks
39561	Round 4	Hudson North Bay Recreation and Natural Center	Parks
39854	Round 4	Stephen and Harriet Myers Residence Restoration	Parks
41347	Round 4	Copake Iron Works Furnace Cornerstones Stabilization	Parks
42150	Round 4	Matton Shipyard Adaptive Reuse Project	Parks
42933	Round 4	Lawson Lake Infrastructure Improvements	Parks
39817	Round 5	Millay Colony for the Arts Programmatic Capacity	Arts
51478	Round 5	440 State Street, Inc. Pathways to Dance	Arts
51751	Round 5	Lake George Music Festival Operational Capacity	Arts
52217	Round 5	Universal Preservation Hall Development Capacity	Arts
53911	Round 5	Proctors American Acoustic Television Series	Arts
54099	Round 5	Albany Symphony Orchestra Water Music NY	Arts
54408	Round 5	Troy Cultural Alliance	Arts
56291	Round 5	Washington County Creative Assets Mapping	Arts
56503	Round 5	Underground Railroad History Project: Programmatic Capacity building	Arts
56864	Round 5	Media Alliance Development Capacity	Arts
57065	Round 5	Tri City Illumination: A Public Broadcast Documentary	Arts
52327	Round 5	Village of Green Island Black Bridge Connector Trail Project	Canals
54912	Round 5	Friends of Niskayuna Aqueduct Park Access Improvement Project	Canals
55653	Round 5	Town of Halfmoon Crescent Park Boat Launch Project	Canals

COMPLETE

ON SCHEDULE

IN PROGRESS

Program Name	CFA Award Amount	Total Project Cost	Grant Money Dispersed to Date
Park Acquisition, Development and Planning	\$123,625	\$247,250	\$75,000
Park Acquisition, Development and Planning	\$220,000	\$481,620	\$82,491
Heritage Areas System Acquisition, Development and Planning	\$400,000	\$850,000	\$0
Park Acquisition, Development and Planning	\$98,942	\$197,885	\$450,000
Park Acquisition, Development and Planning	\$131,250	\$175,000	\$43,723
Historic Property Acquisition, Development and Planning	\$60,500	\$80,700	\$232,782
Historic Property Acquisition, Development and Planning	\$50,000	\$100,000	\$0
Historic Property Acquisition, Development and Planning	\$238,642	\$334,892	\$220,000
Park Acquisition, Development and Planning	\$50,000	\$100,000	\$213,689
Workforce Investment	\$49,500	\$62,000	\$48,900
Arts, Culture and Heritage New Initiatives Implementation	\$90,000	\$426,985	\$90,000
Workforce Investment	\$48,960	\$72,000	\$48,960
Workforce Investment	\$49,500	\$100,000	\$49,500
Arts, Culture and Heritage New Initiatives Implementation	\$63,000	\$1,312,856	\$63,000
Arts, Culture and Heritage New Initiatives Planning	\$48,906	\$49,500	\$48,906
Arts, Culture and Heritage New Initiatives Planning	\$49,500	\$84,500	\$49,500
Arts, Culture and Heritage New Initiatives Planning	\$48,195	\$58,780	\$48,195
Workforce Investment	\$49,500	\$61,875	\$49,500
Workforce Investment	\$49,500	\$100,000	\$49,500
Arts, Culture and Heritage New Initiatives Implementation	\$45,131	\$100,291	\$45,131
NYS Canalway Grant	\$44,000	\$440,000	\$0
NYS Canalway Grant	\$150,000	\$320,080	\$0
NYS Canalway Grant	\$100,000	\$200,000	\$0

CONCERNS

DELAYED

TERMINATED

Application Number	Application Round	Project Name	Agency Name
57506	Round 5	Schenectady County Canalway Trail Rehab	Canals
48650	Round 5	Town of Lake George Septic Initiative Program	DEC
51817	Round 5	Albany County MS4 Mapping Project	DEC
52554	Round 5	Washington County Sewer District No. 2 Engineering Study	DEC
53002	Round 5	Warren County Stormwater Improvement Project	DEC
53244	Round 5	Town of Schodack Highway Garage Fueling Station	DEC
53295	Round 5	Coeymans Sewer Overflow Elimination Project	DEC
53675	Round 5	City of Cohoes Middle Vliet Street Sewer Separation	DEC
53940	Round 5	Wastewater Improvements in Cohoes, Watervliet and Green Island	DEC
53965	Round 5	Village of Whitehall Engineering Study	DEC
55925	Round 5	Village of Catskill Engineering Study	DEC
56206	Round 5	Warren County Aquatic Habitat Improvement Project	DEC
56587	Round 5	Beaver Creek Sewershed Overflow Abatement and Flood Mitigation	DEC
56917	Round 5	Town of Brunswick Sycaway Avenue Combined Sewer Separation	DEC
55857	Round 5	WERC Customer Service Training	DOL
50488	Round 5	Warren County First Wilderness Plan Implementation 2015	DOS
51707	Round 5	Village of Tannersville Gooseberry Creek Corridor Revitalization Planning and Design	DOS
52338	Round 5	Planning for Glenville Freemans Bridge Gateway Revitalization	DOS
52616	Round 5	Town of Germantown Local Waterfront Revitalization Plan	DOS
52674	Round 5	Town of Bethlehem Local Waterfront Revitalization Program	DOS
52684	Round 5	Schenectady County Bike Trail Feasibility Study	DOS
52919	Round 5	Town of Sand Lake Comprehensive Plan Update	DOS
53062	Round 5	Albany Pool Communities Green Infrastructure Planning Project	DOS

COMPLETE

ON SCHEDULE

IN PROGRESS

Program Name	CFA Award Amount	Total Project Cost	Grant Money Dispersed to Date
NYS Canalway Grant	\$75,000	\$150,000	\$0
Water Quality Improvement Project	\$104,000	\$160,500	\$78,762
Water Quality Improvement Project	\$486,720	\$648,960	\$234,628
Engineering Planning Grant	\$100,000	\$125,000	\$50,000
Water Quality Improvement Project	\$100,000	\$134,000	\$64,374
Water Quality Improvement Project	\$222,325	\$296,450	\$0
Water Quality Improvement Project	\$2,500,000	\$3,300,000	\$1,216,205
Water Quality Improvement Project	\$900,000	\$1,430,000	\$611,051
Water Quality Improvement Project	\$178,500	\$210,000	\$139,634
Engineering Planning Grant	\$30,000	\$39,750	\$15,000
Engineering Planning Grant	\$100,000	\$125,000	\$100,000
Water Quality Improvement Project	\$68,000	\$93,000	\$43,473
Water Quality Improvement Project	\$1,000,000	\$4,800,000	\$1,000,000
Water Quality Improvement Project	\$196,650	\$262,200	\$0
Special Populations Training	\$22,500	\$22,500	\$22,500
Local Waterfront Revitalization	\$425,988	\$851,976	\$13,314
Local Waterfront Revitalization	\$150,000	\$300,000	\$67,350
Local Waterfront Revitalization	\$50,000	\$100,000	\$0
Local Waterfront Revitalization	\$15,000	\$30,000	\$11,675
Local Waterfront Revitalization	\$37,500	\$86,320	\$31,196
Local Waterfront Revitalization	\$15,000	\$30,000	\$3,549
Local Waterfront Revitalization	\$50,000	\$100,859	\$17,855
Local Government Efficiency	\$220,500	\$245,000	\$111,059

CONCERNS

DELAYED

TERMINATED

Application Number	Application Round	Project Name	Agency Name
53258	Round 5	Town of Stillwater Route 9P Corridor Revitalization Plan	DOS
53605	Round 5	Village of Hoosick Falls Local Waterfront Revitalization Plan	DOS
54765	Round 5	Town of Bolton Rogers Memorial Park Phase 4 Waterfront Improvements	DOS
54846	Round 5	Niskayuna School District Shared School Transportation Efficiency	DOS
55654	Round 5	Halfmoon Waterfront Connection Project	DOS
55686	Round 5	Town of Waterford Mohawk Gateway Streetscape Project	DOS
55766	Round 5	Hudson River Skywalk	DOS
56099	Round 5	City of Albany 911 Regional Dispatch Center	DOS
56446	Round 5	City of Troy Comprehensive Plan Implementation	DOS
56792	Round 5	Comprehensive Watershed Management Plan for Lake George	DOS
55624	Round 5	City of Rensselaer East Street Green Retrofit	EFC
55809	Round 5	North Troy Tapestry on the Hudson Green Infrastructure	EFC
56587	Round 5	Albany Beaver Creek Stormwater Retrofit	EFC
57447	Round 5	City of Hudson Upper Union Street Green Stormwater Improvement	EFC
57755	Round 5	Albany Housing Authority Ida Yarbrough Homes Green Roof & Stormwater Retrofit	EFC
51040	Round 5	Visit Schenectady Tourism Promotion Working Capital	ESD
52461	Round 5	HVCC AMT Training Facility Capital	ESD
53030	Round 5	Arsenal Accelerator Feasibility Study	ESD
53284	Round 5	Coeymans Recycling Center Capital	ESD
53344	Round 5	New York Arboretum Capital	ESD
53911	Round 5	American Acoustic TV Series Capital	ESD
55126	Round 5	The Good Market Capital	ESD
55741	Round 5	Saint-Gobain Business Capital	ESD

COMPLETE

ON SCHEDULE

IN PROGRESS

Program Name	CFA Award Amount	Total Project Cost	Grant Money Dispersed to Date
Local Waterfront Revitalization	\$50,000	\$110,000	\$22,320
Local Waterfront Revitalization	\$37,500	\$75,000	\$0
Local Waterfront Revitalization	\$362,000	\$724,000	\$8,756
Local Government Efficiency	\$600,000	\$5,068,687	\$0
Local Waterfront Revitalization	\$90,795	\$185,000	\$0
Local Waterfront Revitalization	\$370,000	\$740,000	\$0
Local Waterfront Revitalization	\$124,250	\$248,500	\$23,555
Local Government Efficiency	\$50,000	\$100,000	\$0
Local Waterfront Revitalization	\$600,000	\$1,200,000	\$0
Local Waterfront Revitalization	\$243,000	\$454,800	\$0
Green Innovation Grant	\$927,000	\$1,030,000	\$49,912
Green Innovation Grant	\$289,350	\$321,500	\$289,350
Green Innovation Grant	\$450,000	\$629,811	\$450,000
Green Innovation Grant	\$248,400	\$283,200	\$0
Green Innovation Grant	\$1,000,000	\$1,111,111	\$1,000,000
Market New York	\$60,750	\$82,000	\$0
Empire State Development Grant Funds	\$1,000,000	\$14,500,000	\$0
Strategic Planning and Feasibility Studies	\$25,000	\$1,550,000	\$0
Empire State Development Grant Funds	\$2,000,000	\$10,000,000	\$0
Empire State Development Grant Funds	\$100,000	\$1,212,800	\$0
Empire State Development Grant Funds	\$90,000	\$456,364	\$0
Empire State Development Grant Funds	\$260,000	\$1,500,000	\$0
Empire State Development Grant Funds	\$1,250,000	\$10,267,411	\$0

CONCERNS

DELAYED

TERMINATED

Application Number	Application Round	Project Name	Agency Name
50941	Round 5	Hudson Valley Creamery	ESD
51343	Round 5	Erie Canalway Tourism Working Capital	ESD
51730	Round 5	American Dance Institute Capital	ESD
56806	Round 5	Tower on the Hudson Capital	ESD
52073	Round 5	Nine Pin Ciderworks Capital	ESD
52140	Round 5	Adeline Graham Center Capital	ESD
53006	Round 5	Capital District Transportation Authority Capital	ESD
53080	Round 5	AMC BACC NYS Certified Business Incubator	ESD
53191	Round 5	Greenwich Anchor Capital	ESD
53874	Round 5	Hudson Opera House Capital	ESD
54021	Round 5	Columbia County Feasibility Study Working Capital	ESD
54092	Round 5	444 River Lofts Capital	ESD
57456	Round 5	Rensselaer Clean Energy Capital	ESD
54799	Round 5	Universal Preservation Hall Capital	ESD
54968	Round 5	Albany Water Board Capital	ESD
55554	Round 5	Washington County Green Infrastructure Redevelopment Capital	ESD
55576	Round 5	The Wick Hotel Capital	ESD
55621	Round 5	Capital Repertory Theatre Capital	ESD
55724	Round 5	Behold! New Lebanon Working Capital	ESD
55888	Round 5	Underground Railroad History Project of the Capital Region Capital	ESD
56000	Round 5	NYCAP Research Alliance Investment Fund Capital	ESD
56312	Round 5	Center for Advanced Technology Capital	ESD
56345	Round 5	Port of Albany Big Lift Capital	ESD

COMPLETE

ON SCHEDULE

IN PROGRESS

Program Name	CFA Award Amount	Total Project Cost	Grant Money Dispersed to Date
Empire State Development Grant Funds	\$500,000	\$3,894,000	\$0
Market New York	\$126,500	\$169,000	\$101,693
Empire State Development Grant Funds	\$500,000	\$3,950,000	\$0
Empire State Development Grant Funds	\$5,000,000	\$48,159,376	\$0
Empire State Development Grant Funds	\$100,000	\$511,000	\$50,000
Empire State Development Grant Funds	\$330,000	\$2,147,194	\$0
Empire State Development Grant Funds	\$650,000	\$3,500,000	\$0
New York State Business Incubator Support Program	\$375,000	\$1,125,000	\$0
Empire State Development Grant Funds	\$300,000	\$1,500,000	\$0
Empire State Development Grant Funds	\$1,300,000	\$9,500,000	\$0
Strategic Planning and Feasibility Studies	\$75,000	\$150,000	\$0
Empire State Development Grant Funds	\$300,000	\$1,800,000	\$0
Empire State Development Grant Funds	\$1,600,000	\$12,500,000	\$0
Empire State Development Grant Funds	\$800,000	\$4,169,250	\$0
Empire State Development Grant Funds	\$1,900,000	\$9,500,000	\$0
Empire State Development Grant Funds	\$1,000,000	\$6,165,000	\$0
Empire State Development Grant Funds	\$1,500,000	\$7,800,000	\$0
Empire State Development Grant Funds	\$950,000	\$5,776,571	\$0
Market New York	\$25,000	\$52,300	\$18,750
Empire State Development Grant Funds	\$70,000	\$279,600	\$0
Empire State Development Grant Funds	\$2,250,000	\$11,000,000	\$0
Empire State Development Grant Funds	\$300,000	\$1,503,831	\$0
Empire State Development Grant Funds	\$4,000,000	\$8,000,000	\$0

CONCERNS

DELAYED

TERMINATED

Application Number	Application Round	Project Name	Agency Name
56754	Round 5	Troy Innovation Garage Capital	ESD
56915	Round 5	Saratoga Regattas: Elevating the Spectator Experience	ESD
57082	Round 5	Community Loan of the Capital Region Fund Infusion Capital	ESD
57393	Round 5	NYS Mesonet XCITE Laboratory Capital	ESD
57889	Round 5	STEAM Garden Capital	ESD
50414	Round 5	Village of Corinth Sewer System Improvement Project	HCR
50681	Round 5	City of Watervliet Civic Center Improvements	HCR
51189	Round 5	Hudson Valley Independent Living Ctr. Renovations Phase III	HCR
53931	Round 5	Village of Fort Edward Broadway East Side Water Lines	HCR
54505	Round 5	City of Rensselaer Boys and Girls Club Improvements	HCR
55249	Round 5	Washington County Broadway East Side Renaissance	HCR
55371	Round 5	Albany County Microenterprise Assistance Program	HCR
55389	Round 5	Village of Whitehall Sanitary Sewer Mains	HCR
55738	Round 5	Catskill Bridge Street Theatre Downtown Anchor Project	HCR
56419	Round 5	Downtown Albany Residential Program	HCR
56979	Round 5	Village of Hudson Falls Water Infrastructure	HCR
57989	Round 5	STEAM Garden	HCR
53356	Round 5	CDRPC Cleaner Greener Category 3 Community Partnership	NYSERDA
54941	Round 5	Affordable Housing Partnership Capital Region Opportunity Zone EcoDistrict	NYSERDA
47670	Round 5	Cathedral of All Saints Public Performance and Gathering Garden	Parks
50415	Round 5	Friends of Dyken Pond Newcomb Pond 2 Acquisition	Parks
51726	Round 5	Albany County Shaker Site	Parks
53544	Round 5	City of Schenectady Orchard Park Improvements	Parks

COMPLETE

ON SCHEDULE

IN PROGRESS

Program Name	CFA Award Amount	Total Project Cost	Grant Money Dispersed to Date
Empire State Development Grant Funds	\$100,000	\$1,000,000	\$100,000
Market New York	\$35,000	\$175,000	\$0
Empire State Development Grant Funds	\$700,000	\$5,608,000	\$350,000
Empire State Development Grant Funds	\$800,000	\$2,272,407	\$0
Empire State Development Grant Funds	\$350,000	\$1,786,692	\$0
Community Development Block Grant Public Infrastructure	\$600,000	\$675,000	\$600,000
Community Development Block Grant: Public Facilities	\$400,000	\$400,000	\$400,000
New York Main Street	\$200,000	\$270,000	\$200,000
Community Development Block Grant: Public Infrastructure	\$600,000	\$630,200	\$478,705
Community Development Block Grant: Public Facilities	\$400,000	\$430,000	\$31,411
Community Development Block Grant: Public Infrastructure	\$600,000	\$796,160	\$570,895
Community Development Block Grant: Microenterprise	\$200,000	\$225,000	\$128,288
Community Development Block Grant: Public Infrastructure	\$600,000	\$662,868	\$26,070
New York Main Street	\$105,000	\$140,000	\$105,000
New York Main Street	\$390,000	\$5,360,000	\$0
Community Development Block Grant: Public Infrastructure	\$600,000	\$600,000	\$600,000
New York Main Street	\$500,000	\$1,786,692	\$220,308
Cleaner Greener Communities Phase II Implementation Grants, Category 3: Community-Scale Sustainability Projects Round 3	\$3,000,000	\$4,000,000	\$562,294
Cleaner Greener Communities Phase II Implementation Grants Category 3: Community-Scale Sustainability Projects Round 3	\$1,500,000	\$8,831,956	\$0
Heritage Areas System Acquisition, Development and Planning	\$294,030	\$392,045	\$0
Park Acquisition, Development and Planning	\$53,310	\$106,620	\$52,128
Historic Property Acquisition, Development and Planning	\$500,000	\$1,000,000	\$0
Park Acquisition, Development and Planning	\$354,735	\$665,735	\$0

CONCERNS

DELAYED

TERMINATED

Application Number	Application Round	Project Name	Agency Name
53617	Round 5	Hoosic River Greenway Trail Enhancements Project	Parks
53895	Round 5	Town of Prattsville Hurricane Irene Recovery: Accessible Park	Parks
55928	Round 5	Troy Urban Trails	Parks
64262	Round 6	Capital Rep Theatre On the Go: Touring Educational Theatre	Arts
65620	Round 6	Old Songs Inc. Administrative Workforce Expansion	Arts
65696	Round 6	Hubbard Hall Projects, Inc. Administrative Workforce Expansion	Arts
65758	Round 6	Albany Pro Musica Administrative Workforce Expansion	Arts
65811	Round 6	Albany Symphony Orchestra Water Music 2017	Arts
66342	Round 6	Shaker Museum: Exploring Shaker Ideas and Actions on Womens Rights	Arts
66587	Round 6	Media Alliance Echoes of Lock One	Arts
66768	Round 6	City of Cohoes Erie Canal Bicentennial Celebration	Arts
66899	Round 6	Prattsville Arts Project, Inc. Administrative Workforce Expansion	Arts
67140	Round 6	Hudson Opera House Administrative Workforce Expansion	Arts
67732	Round 6	Millay Colony for the Arts, Inc.: The Mother of Us All	Arts
67901	Round 6	Arts Center of the Capital Region Master Plan for Art in Troy Public Places	Arts
68621	Round 6	Hudson Development Corp. Hudson History Project	Arts
65054	Round 6	City of Schenectady Mohawk Harbor Visitor Center and Large Vessel Dockage	Canals
65279	Round 6	Matton Shipyard Structural Preservation Initiative	Canals
66768	Round 6	Cohoes Visitor Center	Canals
63827	Round 6	Watervliet Waste Water Planning Grant 2016	DEC
63974	Round 6	Washington County Erosion Control and Stormwater Mitigation Program	DEC
64257	Round 6	Joint Climate Action Plan and Certification	DEC
64313	Round 6	Trout Unlimited Inc. Green Brook Culvert Removal Project	DEC

COMPLETE

ON SCHEDULE

IN PROGRESS

Program Name	CFA Award Amount	Total Project Cost	Grant Money Dispersed to Date
Recreational Trails Program	\$107,200	\$134,000	\$0
Park Acquisition, Development and Planning	\$152,775	\$203,700	\$137,498
Park Acquisition, Development and Planning	\$48,480	\$64,640	\$0
Arts, Culture and Heritage New Initiatives - Implementation	\$44,000	\$90,508	\$44,000
Workforce Investment	\$27,500	\$37,000	\$27,500
Workforce Investment	\$34,000	\$57,120	\$34,000
Workforce Investment	\$41,000	\$55,000	\$41,000
Arts, Culture and Heritage New Initiatives Implementation	\$75,000	\$594,740	\$18,750
Arts, Culture and Heritage New Initiatives Implementation	\$41,500	\$187,147	\$41,500
Arts, Culture and Heritage New Initiatives Implementation	\$68,000	\$271,400	\$17,000
Arts, Culture and Heritage New Initiatives Implementation	\$62,500	\$187,500	\$15,625
Workforce Investment	\$25,000	\$31,250	\$25,000
Workforce Investment	\$49,500	\$60,000	\$49,500
Arts, Culture and Heritage New Initiatives Implementation	\$75,000	\$242,000	\$18,750
Arts, Culture and Heritage New Initiatives Planning	\$49,500	\$49,500	\$49,500
Arts, Culture and Heritage New Initiatives - Planning	\$14,000	\$28,090	\$14,000
NYS Canalway Grant	\$150,000	\$3,194,900	\$0
NYS Canalway Grant	\$148,000	\$386,812	\$0
NYS Canalway Grant	\$62,000	\$187,500	\$0
Engineering Planning Grant	\$23,000	\$27,836	\$11,500
Water Quality Improvement Project	\$395,760	\$527,800	\$98,940
Climate Smart Communities Grants	\$25,000	\$54,999	\$9,317
Water Quality Improvement Project	\$287,280	\$287,280	\$0

CONCERNS

DELAYED

TERMINATED

Application Number	Application Round	Project Name	Agency Name
65320	Round 6	Warren County Erosion and Sediment Control Project	DEC
65797	Round 6	Rensselaer County Moore Hill Culvert	DEC
65429	Round 6	City of Glens Falls Downtown Green Infrastructure	DEC
65595	Round 6	Town of Schodack Stormshed Mapping	DEC
67122	Round 6	Schenectady County Water Quality Committee System Mapping Program	DEC
67184	Round 6	City of Albany South End Bikeway Construction	DEC
67831	Round 6	Philmont Wastewater Treatment Facility Disinfection Project	DEC
67851	Round 6	Saratoga Springs Greenbelt Trail Downtown Connector	DEC
67944	Round 6	Albany Water Board Tivoli Lake Preserve Stream Daylighting	DEC
67987	Round 6	Trout Unlimited Inc. Noster Kill Barrier Removal Project	DEC
68450	Round 6	Village of Corinth Wastewater Preliminary Engineering Report	DEC
68571	Round 6	Village of Hoosick Falls PFOA Wastewater Study	DEC
65546	Round 6	Columbia/Greene WDB Transportation Training	DOL
65673	Round 6	SCAP Employment Services	DOL
65699	Round 6	ACAP Career Services	DOL
66241	Round 6	SCCC Unemployed Worker Training	DOL
66287	Round 6	Questar III BOCES Certified Nurse Aide Special Populations Training	DOL
68414	Round 6	Wesley Healthcare Ctr. Inc. Career Pathways Workforce Initiative	DOL
66367	Round 6	Capital Region BOCESX Special Populations Vocational Training	DOL
68438	Round 6	Interfaith Partnership for the Homeless New Hire Training	DOL
68643	Round 6	Morcon Training and Development	DOL
63348	Round 6	Warren County First Wilderness Plan Implementation 2016	DOS
63852	Round 6	Washington County Shared Regional Highway Operations Center	DOS

COMPLETE

ON SCHEDULE

IN PROGRESS

Program Name	CFA Award Amount	Total Project Cost	Grant Money Dispersed to Date
Water Quality Improvement Project	\$57,000	\$77,000	\$14,250
Water Quality Improvement Project	\$22,095	\$29,460	\$0
Water Quality Improvement Project	\$168,750	\$225,000	\$0
Water Quality Improvement Project	\$18,675	\$24,900	\$16,131
Water Quality Improvement Project	\$61,500	\$82,500	\$0
Climate Smart Communities Grants	\$325,000	\$1,500,000	\$0
Water Quality Improvement Project	\$191,250	\$225,000	\$0
Climate Smart Communities Grants	\$1,134,333	\$2,268,665	\$0
Water Quality Improvement Project	\$1,000,000	\$3,132,500	\$250,000
Water Quality Improvement Project	\$69,700	\$69,700	\$0
Engineering Planning Grant	\$78,000	\$97,500	\$39,000
Engineering Planning Grant	\$30,000	\$37,500	\$15,000
Unemployed Worker Training	\$42,630	\$42,630	\$42,575
Special Populations Training	\$100,000	\$176,282	\$40,063
Unemployed Worker Training	\$99,870	\$131,352	\$11,602
Unemployed Worker Training	\$49,812	\$49,812	\$9,000
Special Populations Training	\$91,381	\$91,381	\$5,052
Existing Employee Training	\$40,000	\$49,200	\$27,564
Special Populations Training	100000	1518835	\$0
New Hire Training	\$38,693	\$87,360	\$14,812
New Hire Training	\$3,000	\$100,000	\$0
Local Waterfront Revitalization	\$520,300	\$1,040,600	\$0
Local Government Efficiency	\$37,500	\$75,000	\$0

CONCERNS

DELAYED

TERMINATED

Application Number	Application Round	Project Name	Agency Name
63876	Round 6	Claverack Creek Historic Sites and Public Waterfront Access Study	DOS
64038	Round 6	City of Hudson Local Waterfront Revitalization Program, Comprehensive Plan and Zoning Ordinance Updates	DOS
64271	Round 6	Town of Catskill Functional Consolidation of Code Enforcement Offices	DOS
64275	Round 6	Town of Corinth Water System Operation and Maintenance Consolidation	DOS
64509	Round 6	Town of Hague Public Dock Expansion	DOS
64543	Round 6	Capital Region BOCES Shared Student Transportation Project	DOS
65149	Round 6	Village of Tannersville Gooseberry Creek Revitalization Strategy Implementation	DOS
65876	Round 6	Town of Bolton Veterans Memorial Park Master Plan Update	DOS
66296	Round 6	Capital Region BOCES Shared Bus Repair Program	DOS
67184	Round 6	City of Albany South End Multi-Use Design and Construction	DOS
67273	Round 6	Town of Copake Waterfront and Hamlet Revitalization Planning	DOS
67341	Round 6	Hudson River Skywalk Phase 2	DOS
67944	Round 6	City of Albany Tivoli Lake Preserve Stream Daylighting	EFC
66508	Round 6	AMC MS/ME Basement Rehabilitation Capital	ESD
66812	Round 6	STRIDE SHARE Center Capital	ESD
49005	Round 6	Polyset Expansion	ESD
64040	Round 6	Adirondack Craft Beverage Campus Capital	ESD
64598	Round 6	Safw Holdong Co. Sustainable Agriculture Business Expansion	ESD
65124	Round 6	Craft Brewery Sustainable Development Capital	ESD
65124	Round 6	Brown's Brewery Sustainable Development Capital	ESD
65199	Round 6	The Manufacturing Incubator at Tech Valley Center of Gravity	ESD
65254	Round 6	The Mill Capital	ESD
65275	Round 6	Original Sin Expansion Capital	ESD

COMPLETE

ON SCHEDULE

IN PROGRESS

Program Name	CFA Award Amount	Total Project Cost	Grant Money Dispersed to Date
Local Waterfront Revitalization	\$25,000	\$50,000	\$0
Local Waterfront Revitalization	\$45,000	\$90,000	\$0
Local Government Efficiency	\$12,000	\$24,000	\$0
Local Government Efficiency	\$400,000	\$1,041,000	\$0
Local Waterfront Revitalization	\$38,000	\$76,000	\$0
Local Government Efficiency	\$182,160	\$221,478	\$0
Local Waterfront Revitalization	\$500,000	\$1,000,000	\$0
Local Waterfront Revitalization	\$18,750	\$37,500	\$0
Local Government Efficiency	\$17,500	\$35,000	\$0
Local Waterfront Revitalization	\$325,000	\$650,000	\$0
Local Waterfront Revitalization	\$40,000	\$80,000	\$0
Local Waterfront Revitalization	\$875,000	\$1,750,000	\$0
Green Innovation Grant	\$1,100,000	\$3,132,500	\$0
Empire State Development Grant Funds	\$500,000	\$4,542,071	\$0
Empire State Development Grant Funds	\$100,000	\$4,000,000	\$0
Empire State Development Grant Funds	\$250,000	\$1,250,000	\$0
Empire State Development Grant Funds	\$325,000	\$1,552,000	\$0
Empire State Development Grant Funds	\$3,000,000	\$31,000,000	\$0
Market New York	\$107,450	\$8,119,501	\$0
Empire State Development Grant Funds	\$450,000	\$3,947,900	\$0
New York State Business Incubator and Innovation Hot Spot Support	\$125,000	\$3,004,240	\$0
Empire State Development Grant Funds	\$2,325,000	\$11,625,000	\$0
Empire State Development Grant Funds	\$200,000	\$1,349,000	\$0

CONCERNS

DELAYED

TERMINATED

Application Number	Application Round	Project Name	Agency Name
65313	Round 6	Greene County Bike Ride Center Working Capital	ESD
65464	Round 6	ADI Lumberyard Waterfront Capital	ESD
65554	Round 6	PI Advanced Manufacturing Center	ESD
65811	Round 6	Albany Symphony Orchestra Water Music Working Capital	ESD
65941	Round 6	American Theater Capital	ESD
66142	Round 6	Hudson Headwaters Health Network Womens Wellness Center	ESD
66160	Round 6	Erie Canal Water Trail Working Capital	ESD
66228	Round 6	HVCC Building Systems Technology Programs	ESD
66307	Round 6	LASNYY Center for Legal Services Capital	ESD
67050	Round 6	Hawthorne Valley Farm Capital	ESD
67104	Round 6	Finch Paper LLC. Residuals Project	ESD
67389	Round 6	Common Roots Brewery Expansion Capital	ESD
67555	Round 6	Thomas Cole Exhibit Working Capital	ESD
67663	Round 6	Port of Albany Expansion Capital	ESD
67752	Round 6	Hudson River Sojourn Working Capital	ESD
67820	Round 6	155 River Street Troy	ESD
67829	Round 6	Town of East Greenbush Road, Sewer & Water	ESD
67911	Round 6	The Park Theater Capital	ESD
67954	Round 6	Washington County Arts Working Capital	ESD
68182	Round 6	Rensselaer Bioscience Development Upgrades Capital	ESD
68267	Round 6	Times Union Garage Egress Capital	ESD
68346	Round 6	Nipper Apartments Capital	ESD
68532	Round 6	JW Danforth Expansion Capital	ESD

COMPLETE

ON SCHEDULE

IN PROGRESS

Program Name	CFA Award Amount	Total Project Cost	Grant Money Dispersed to Date
Market New York	\$75,000	\$100,000	\$59,005
Empire State Development Grant Funds	\$300,000	\$1,994,133	\$0
Empire State Development Grant Funds	\$750,000	\$3,910,142	\$0
Market New York	\$296,055	\$394,775	\$296,055
Empire State Development Grant Funds	\$600,000	\$3,647,450	\$0
Empire State Development Grant Funds	\$250,000	\$1,551,500	\$0
Market New York	\$182,936	\$304,894	\$0
Empire State Development Grant Funds	\$75,000	\$120,000	\$0
Empire State Development Grant Funds	\$300,000	\$1,500,000	\$0
Empire State Development Grant Funds	\$600,000	\$2,201,100	\$0
Empire State Development Grant Funds	\$1,000,000	\$5,000,000	\$0
Empire State Development Grant Funds	\$100,000	\$500,000	\$0
Market New York	\$165,000	\$220,000	\$78,377
Empire State Development Grant Funds	\$5,000,000	\$34,300,000	\$0
Market New York	\$100,000	\$125,000	\$0
Empire State Development Grant Funds	\$1,000,000	\$5,643,600	\$0
Empire State Development Grant Funds	\$2,000,000	\$10,863,600	\$0
Empire State Development Grant Funds	\$600,000	\$3,000,000	\$0
Market New York	\$15,000	\$20,000	\$0
Empire State Development Grant Funds	\$4,000,000	\$15,000,000	\$0
Empire State Development Grant Funds	\$200,000	\$848,000	\$0
Empire State Development Grant Funds	\$500,000	\$13,000,000	\$0
Empire State Development Grant Funds	\$575,000	\$4,000,000	\$0

CONCERNS

DELAYED

TERMINATED

Application Number	Application Round	Project Name	Agency Name
68656	Round 6	TriCity ValleyCats All-Star Working Capital	ESD
68677	Round 6	Hudson River Train Model Tour App Working Capital	ESD
63342	Round 6	Village of Corinth Wastewater Facility Improvements	HCR
63521	Round 6	Town of Bethlehem Microenterprise Program	HCR
63523	Round 6	City of Watervliet Municipal Water System Study	HCR
63624	Round 6	Village of Granville Wastewater Treatment Plant Upgrades	HCR
63710	Round 6	City of Watervliet Senior Center and Library Project	HCR
63880	Round 6	City of Cohoes Sidewalk Improvement Project	HCR
64248	Round 6	Greene County Microenterprise Program	HCR
64436	Round 6	Village of Cambridge Sewer Project Study	HCR
64556	Round 6	Wallies of Greenwich Building Renovation	HCR
65093	Round 6	Village of Hoosick Falls Wood Brook Flood Mitigation Study	HCR
65233	Round 6	Village of Whitehall Water System Improvements	HCR
65664	Round 6	Town of Glenville Economic Development Study	HCR
66082	Round 6	Town of Ballston Microenterprise Program	HCR
67582	Round 6	Village of Hudson Falls Water System Improvements	HCR
63876	Round 6	Town of Claverak Shaw Bridge Restoration	Parks
64023	Round 6	Albany Skyway	Parks
64950	Round 6	Town of Granville D&H Rail Trail Corridor	Parks
65430	Round 6	The Olana Farm Education Center	Parks
65447	Round 6	Harlem Valley Rail Trail Columbia County State Route 22 Crossing	Parks
66023	Round 6	Dr. Oliver Bronson House Phase III Restoration	Parks
66196	Round 6	John Boyd Thacher Planning Trails Project	Parks

COMPLETE

ON SCHEDULE

IN PROGRESS

Program Name	CFA Award Amount	Total Project Cost	Grant Money Dispersed to Date
Market New York	\$135,000	\$180,000	\$0
Market New York	\$100,000	\$140,000	\$0
Community Development Block Grant: Public Infrastructure & Public Facilities	\$1,000,000	\$14,442,000	\$12,500
Community Development Block Grant: Microenterprise	\$200,000	\$220,000	\$139,159
Community Development Block Grant: Community Planning	\$47,500	\$50,000	\$44,900
Community Development Block Grant: Public Infrastructure & Public Facilities	\$600,000	\$600,000	\$342,640
Community Development Block Grant: Public Infrastructure & Public Facilities	\$300,000	\$450,000	\$88,510
Community Development Block Grant: Public Infrastructure & Public Facilities	\$300,000	\$639,500	\$285,000
Community Development Block Grant: Microenterprise	\$200,000	\$260,000	\$351,606
Community Development Block Grant: Community Planning	\$30,000	\$37,500	\$30,000
New York Main Street	\$500,000	\$1,130,240	\$0
Community Development Block Grant: Community Planning	\$23,560	\$24,800	\$23,560
Community Development Block Grant: Public Infrastructure & Public Facilities	\$750,000	\$797,800	\$27,070
Community Development Block Grant: Community Planning	\$47,500	\$50,000	\$14,808
Community Development Block Grant: Microenterprise	\$200,000	\$200,000	\$22,062
Community Development Block Grant: Public Infrastructure & Public Facilities	\$750,000	\$798,768	\$66,374
Environmental Protection Fund: Parks, Preservation and Heritage Grants	\$170,000	\$390,000	\$0
Environmental Protection Fund: Parks, Preservation and Heritage Grants	\$375,000	\$500,000	\$0
Environmental Protection Fund: Parks, Preservation and Heritage Grants	\$22,500	\$30,000	\$22,500
Environmental Protection Fund: Parks, Preservation and Heritage Grants	\$197,878	\$263,837	\$0
Environmental Protection Fund: Parks, Preservation and Heritage Grants	\$285,000	\$380,000	\$0
Environmental Protection Fund: Parks, Preservation and Heritage Grants	\$487,500	\$650,000	\$0
Environmental Protection Fund: Parks, Preservation and Heritage Grants	\$16,693	\$33,386	\$0

CONCERNS

DELAYED

TERMINATED

Application Number	Application Round	Project Name	Agency Name
66295	Round 6	Historic Albany Foundation 48 Hudson Avenue Restoration	Parks
67041	Round 6	Thomas Cole Home Decorative Painting Restoration and Fire Suppression System	Parks
67502	Round 6	Zim Smith Trail Extension Coons Crossing Road to City of Mechanicville	Parks
68051	Round 6	City of Troy Ingalls Avenue Shoreline Park	Parks
74857	Round 7	Administration of New York State Grown Certified Program for the Capital Region	Ag_and_Markets
73455	Round 7	Shaker Museum Library Administrative Workforce Expansion	Arts
73657	Round 7	New York Folklore Society Workforce Training and Development Expansion	Arts
74958	Round 7	Hubbard Hall Projects Inc. Workforce Training and Development	Arts
75079	Round 7	Thomas Cole Historic House 200th Anniversary Celebration of Cole's American Arrival	Arts
75519	Round 7	Hudson Opera House Workforce Training and Development	Arts
75556	Round 7	Basilica Arts Inc. Administrative Workforce Expansion	Arts
75581	Round 7	Albany Symphony Orchestra: River Sings New York Music Festival	Arts
76329	Round 7	Museum Association of NY Administrative Workforce Expansion	Arts
77056	Round 7	Hudson River Music Hall Productions, Inc. Administrative Workforce Expansion	Arts
77249	Round 7	Albany Barn Inc. Artistic Workforce Expansion	Arts
73297	Round 7	Matton Shipyard Preservation Adaptive Reuse Initiative	Canals
72615	Round 7	Town of Lake George Sewer Sliplining	DEC
72848	Round 7	City of Cohoes Sidewalk Reconstruction	DEC
72904	Round 7	Town of Lake George Lake View Circle Stormwater Projects	DEC
73019	Round 7	City of Watervliet Wastewater Sanitary Sewer Study	DEC
74220	Round 7	Washington County Sewer Overflow Abatement	DEC
74454	Round 7	Rotterdam Land Acquisition for Source Water Protection	DEC
74455	Round 7	Schodack Outfall Mapping	DEC

COMPLETE

ON SCHEDULE

IN PROGRESS

Program Name	CFA Award Amount	Total Project Cost	Grant Money Dispersed to Date
Environmental Protection Fund: Parks, Preservation and Heritage Grants	\$268,032	\$357,375	\$0
Environmental Protection Fund: Parks, Preservation and Heritage Grants	\$417,650	\$556,866	\$0
Environmental Protection Fund: Parks, Preservation and Heritage Grants	\$500,000	\$1,814,000	\$0
Environmental Protection Fund: Parks, Preservation and Heritage Grants	\$454,006	\$605,341	\$0
NY Grown & Certified Agricultural Producers Grant	\$500,000	\$500,000	\$0
Workforce Investment	\$49,400	\$74,400	\$0
Workforce Readiness	\$75,000	\$103,220	\$0
Workforce Readiness	\$63,200	\$84,309	\$0
Arts and Cultural Impact Programming	\$52,000	\$104,000	\$0
Workforce Readiness	\$45,000	\$60,281	\$0
Workforce Investment	\$45,000	\$60,000	\$0
Arts and Cultural Impact Programming	\$75,000	\$598,348	\$0
Workforce Investment	\$29,550	\$39,400	\$0
Workforce Investment	\$48,750	\$65,000	\$0
Workforce Investment	\$32,250	\$48,160	\$0
NYS Canalway Grant	\$150,000	\$757,000	\$0
Water Quality Improvement Project	\$120,000	\$300,000	\$0
2017 Climate Smart Communities Grants	\$464,100	\$1,228,200	\$0
Water Quality Improvement Project	\$125,000	\$156,250	\$0
Engineering Planning Grant	\$30,000	\$38,000	\$0
Water Quality Improvement Project	\$977,000	\$1,150,000	\$0
Water Quality Improvement Project	\$48,750	\$65,000	\$0
Water Quality Improvement Project	\$18,675	\$24,900	\$0

CONCERNS

DELAYED

TERMINATED

Application Number	Application Round	Project Name	Agency Name
74829	Round 7	Village of Hoosick Falls Wastewater Treatment Plant Effluent Disinfection Study	DEC
74912	Round 7	Rensselaer County Land Protection Program	DEC
75199	Round 7	Village of Scotia Inflow and Infiltration Study	DEC
75205	Round 7	Town of Lake George Caldwell Sewer District Engineers Report	DEC
75786	Round 7	Village of Lake George Wastewater Treatment Facility Upgrade	DEC
75961	Round 7	Warren County Stormwater Abatement Project	DEC
76197	Round 7	Village of Menands Infiltration and Inflow Reduction Study	DEC
76290	Round 7	City of Saratoga Springs Natural Resource Inventory	DEC
76669	Round 7	Lake George Regional Road Salt Deicing Reduction Project	DEC
76817	Round 7	City of Albany, Floatables Control Facilities	DEC
76985	Round 7	Town of Clifton Park NYS Route 146 Sidewalk Extension	DEC
77003	Round 7	City of Cohoes Columbia Street Green Infrastructure Phase II	DEC
77134	Round 7	City of Schenectady Greenhouse Gas Inventory and Vulnerability Assessment	DEC
65541	Round 7	Columbia/Greene Transportation Training Program	DOL
75239	Round 7	SCCC Capital Region Software Talent Training	DOL
75306	Round 7	SCAP Advanced Healthcare Skills Training	DOL
76201	Round 7	Northeast Health Foundation Nursing Assistants Training for The Eddy	DOL
76210	Round 7	WERC Computer Call Center Training	DOL
76336	Round 7	ACAP Career Services	DOL
76397	Round 7	St. Peter's Hospital Central Processing Technician Training	DOL
76725	Round 7	Capital Region BOCES CTE Health Careers Program	DOL
72825	Round 7	Town of Lake George DPW and Motorpool Consolidation Study	DOS
73394	Round 7	Capital Region BOCES Shared Student Transportation Project II	DOS

COMPLETE

ON SCHEDULE

IN PROGRESS

Program Name	CFA Award Amount	Total Project Cost	Grant Money Dispersed to Date
Engineering Planning Grant	\$30,000	\$36,000	\$0
Water Quality Improvement Project	\$1,500,000	\$2,000,000	\$0
Engineering Planning Grant	\$30,000	\$37,500	\$0
Engineering Planning Grant	\$100,000	\$125,000	\$0
Water Quality Improvement Project	\$2,500,000	\$17,095,690	\$0
Water Quality Improvement Project	\$114,398	\$154,398	\$28,600
Engineering Planning Grant	\$30,000	\$37,500	\$0
2017 Climate Smart Communities Grants	\$15,000	\$37,098	\$0
Water Quality Improvement Project	\$200,000	\$250,000	\$0
Water Quality Improvement Project	\$5,000,000	\$8,000,000	\$0
2017 Climate Smart Communities Grants	\$220,000	\$440,000	\$0
Engineering Planning Grant	\$72,000	\$90,000	\$0
2017 Climate Smart Communities Grants	\$28,000	\$56,000	\$0
Unemployed Worker Training	\$50,330	\$50,330	\$0
Unemployed Worker Training	\$37,800	\$37,800	\$0
Unemployed Worker Training	\$99,806	\$173,806	\$23,207
Unemployed Worker Training	\$100,000	\$242,363	\$0
Unemployed Worker Training	\$18,000	\$36,000	\$0
Unemployed Worker Training	\$99,025	\$135,775	\$0
Unemployed Worker Training	\$98,700	\$98,700	\$0
Unemployed Worker Training	\$99,700	\$99,700	\$0
Local Government Efficiency	\$25,000	\$50,000	\$0
Local Government Efficiency	\$169,557	\$188,397	\$0

CONCERNS

DELAYED

TERMINATED

Application Number	Application Round	Project Name	Agency Name
74503	Round 7	Lake George Invasive Species Eradication	DOS
74870	Round 7	Battenkill Waterfront Park Master Plan	DOS
75237	Round 7	Schenectady Mohawk Harbor Dockage Design & Multi-Use Path Construction	DOS
75284	Round 7	Champlain Canalway Trail Improvements	DOS
75389	Round 7	Village of Catskill Local Waterfront Revitalization Program	DOS
75516	Round 7	Pruyn's Island Connection to Downtown Glens Falls	DOS
75802	Round 7	Green Island/Watervliet Water Interconnect	DOS
76021	Round 7	City of Albany Local Waterfront Revitalization Program Amendment	DOS
73362	Round 7	City of Cohoes Canal Square Park	EFC
72449	Round 7	PGS Millwork Expansion Capital	ESD
73043	Round 7	New York State Craft Brewers Conference Working Capital	ESD
75505	Round 7	Coarc Ecycle Capital	ESD
71567	Round 7	Craft NY Supply Chain Capital	ESD
71662	Round 7	Innovate 518 - Capital Region Innovation Hot Spot	ESD
72561	Round 7	Tech Park Capital	ESD
73276	Round 7	Flomatic Plant Expansion Capital	ESD
73323	Round 7	Adventure Travel Trade Association 2019 ELEVATE Conference Working Capital	ESD
73809	Round 7	HudsonRiver Skywalk: Marketing Campaign Working Capital	ESD
73926	Round 7	Ops Microbrewery Capital	ESD
74144	Round 7	The Urban Grow Center Capital	ESD
74184	Round 7	Basilica Hudson Gallery Building Renovation/Upgrade Capital	ESD
74540	Round 7	Erie Canalway 200 Marketing Campaign Working Capital	ESD
74963	Round 7	The Children's Museum of Science and Technology Capital	ESD

COMPLETE

ON SCHEDULE

IN PROGRESS

Program Name	CFA Award Amount	Total Project Cost	Grant Money Dispersed to Date
Local Waterfront Revitalization	\$600,000	\$800,000	\$0
Local Waterfront Revitalization	\$32,000	\$43,000	\$0
Local Waterfront Revitalization	\$503,251	\$2,866,727	\$0
Local Waterfront Revitalization	\$430,000	\$600,000	\$0
Local Waterfront Revitalization	\$85,000	\$100,000	\$0
Local Waterfront Revitalization	\$363,750	\$485,000	\$0
Local Government Efficiency	\$274,000	\$305,000	\$0
Local Waterfront Revitalization	\$85,000	\$100,000	\$0
Green Innovation Grant	\$415,000	\$461,200	\$0
Empire State Development Grant Funds	\$300,000	\$1,640,000	\$0
Market New York	\$53,796	\$95,128	\$0
Empire State Development Grant Funds	\$230,000	\$1,151,030	\$0
Empire State Development Grant Funds	\$300,000	\$1,500,000	\$0
New York State Innovation Hot Spot Support Program	\$1,250,000	\$3,751,000	\$0
Empire State Development Grant Funds	\$255,000	\$3,000,000	\$0
Empire State Development Grant Funds	\$350,000	\$3,300,000	\$0
Market New York	\$166,410	\$252,360	\$0
Market New York	\$225,000	\$300,000	\$0
Empire State Development Grant Funds	\$90,000	\$600,000	\$0
Empire State Development Grant Funds	\$700,000	\$3,711,597	\$0
Empire State Development Grant Funds	\$75,000	\$375,859	\$0
Market New York	\$124,575	\$166,825	\$0
Market New York	\$200,000	\$3,500,000	\$0

CONCERNS

DELAYED

TERMINATED

Application Number	Application Round	Project Name	Agency Name
75147	Round 7	City of Albany Broadway Streetscape Capital	ESD
75232	Round 7	Re4orm Architecture Schenectady Innovation Capital	ESD
75245	Round 7	Troy Innovation District Capital	ESD
75342	Round 7	Advanced Manufacturing Training Capital	ESD
75420	Round 7	River House Hudson NY Renovation Capital	ESD
75478	Round 7	Palace Theatre Capital	ESD
75685	Round 7	Glenville Greenway Strategic Development Plan	ESD
75846	Round 7	Capital Region BOCES CTE Welding and HVAC Program Capital	ESD
76185	Round 7	Albany County Soldier-On Capital	ESD
76378	Round 7	Warren County Opportunity Zone	ESD
76646	Round 7	723 Warren Street, Hudson Restoration Capital	ESD
76728	Round 7	Digital Fabrication Advanced Mfg Capital	ESD
76784	Round 7	Starbuck Island Development Capital	ESD
76944	Round 7	Hedley Training Facility of Troy Capital	ESD
77148	Round 7	Maker Space at Hillside View Capital	ESD
77159	Round 7	Manufacturing Technology Education Center (MTEC) Capital	ESD
77176	Round 7	West Mountain Capital	ESD
74983	Round 7	Proctors Seating and Hearing Loop Accessibility Project	ESD-Arts
71844	Round 7	City of Watervliet Community Center Improvements	HCR
71910	Round 7	City of Mechanicville Storm Drainage System	HCR
72065	Round 7	City of Watervliet Housing Needs Assessment Study	HCR
72398	Round 7	Village of Corinth Force Main and Sewer Improvements	HCR
72676	Round 7	Town of Queensbury Affordable Housing Strategy	HCR

COMPLETE

ON SCHEDULE

IN PROGRESS

Program Name	CFA Award Amount	Total Project Cost	Grant Money Dispersed to Date
Empire State Development Grant Funds	\$150,000	\$750,000	\$0
Empire State Development Grant Funds	\$2,900,000	\$15,000,000	\$0
Empire State Development Grant Funds	\$4,000,000	\$21,675,000	\$0
Empire State Development Grant Funds	\$2,900,000	\$14,500,000	\$0
Empire State Development Grant Funds	\$225,000	\$1,126,143	\$0
Empire State Development Grant Funds	\$2,500,000	\$29,000,000	\$0
Strategic Planning and Feasibility Studies	\$50,000	\$100,000	\$0
Empire State Development Grant Funds	\$130,000	\$650,000	\$0
Empire State Development Grant Funds	\$100,000	\$900,000	\$0
Strategic Planning and Feasibility Studies	\$25,000	\$55,500	\$0
Empire State Development Grant Funds	\$120,000	\$604,400	\$0
Empire State Development Grant Funds	\$300,000	\$2,500,000	\$0
Empire State Development Grant Funds	\$1,790,000	\$8,950,884	\$0
Empire State Development Grant Funds	\$400,000	\$3,000,000	\$0
Empire State Development Grant Funds	\$85,000	\$645,000	\$0
Empire State Development Grant Funds	\$1,700,000	\$30,193,750	\$0
Empire State Development Grant Funds	\$400,000	\$2,000,000	\$0
Arts & Cultural Facilities Improvement	\$500,000	\$1,140,798	\$0
Community Development Block Grant Public Infrastructure & Public Facilities	\$300,000	\$939,650	\$0
Community Development Block Grant: Public Infrastructure & Public Facilities	\$1,000,000	\$1,180,000	\$0
Community Development Block Grant: Community Planning	\$40,000	\$42,000	\$0
Community Development Block Grant: Public Infrastructure & Public Facilities	\$1,000,000	\$2,219,800	\$0
Community Development Block Grant: Community Planning	\$47,500	\$50,000	\$2,518

CONCERNS

DELAYED

TERMINATED

Application Number	Application Round	Project Name	Agency Name
72848	Round 7	City of Cohoes Sidewalk Improvements	HCR
73371	Round 7	Village of Hudson Falls Water Infrastructure Improvements	HCR
74240	Round 7	Village of Hoosick Falls Storm Drainage System Improvements	HCR
74699	Round 7	Catskill Main Street Renovations	HCR
75935	Round 7	Washington County Community Needs Assessment Plan	HCR
76038	Round 7	Hoosick Falls Wood Block Building Stabilization Project	HCR
76551	Round 7	Hudson Falls Courthouse Renovation	HCR
76643	Round 7	Warren County Building Study	HCR
72386	Round 7	City of Schenectady Jerry Burrell Park Improvement	Parks
72387	Round 7	Schenectady Museum miSci-Vale Park Education Conservancy Trail Project	Parks
72419	Round 7	Lake George Land Conservancy Pilot Knob Trail Reconstruction Project	Parks
73297	Round 7	Matton Shipyard Preservation Adaptive Reuse Initiative	Parks
73362	Round 7	City of Cohoes Canal Square Park Expansion Project	Parks
73391	Round 7	Columbia Land Conservancy Hand Hollow Trail Improvements	Parks
74724	Round 7	Burden Iron Works Museum Troy Restoration and Rehabilitation	Parks
74767	Round 7	Saratoga Spa State Park Tennis Court Renovations	Parks
74894	Round 7	Hart-Cluett/Carr Mansion Troy Restoration	Parks
75160	Round 7	City of Albany South End Connector Lowline Project	Parks
75779	Round 7	Olana's Historic Farm Landscape Restoration	Parks

COMPLETE

ON SCHEDULE

IN PROGRESS

Program Name	CFA Award Amount	Total Project Cost	Grant Money Dispersed to Date
Community Development Block Grant: Public Infrastructure & Public Facilities	\$300,000	\$1,228,200	\$0
Community Development Block Grant: Public Infrastructure & Public Facilities	\$750,000	\$750,000	\$0
Community Development Block Grant: Public Infrastructure & Public Facilities	\$900,000	\$900,000	\$0
New York Main Street	\$300,000	\$377,750	\$0
Community Development Block Grant: Community Planning	\$48,500	\$51,000	\$0
New York Main Street	\$150,000	\$200,000	\$0
New York Main Street	\$500,000	\$831,383	\$0
Community Development Block Grant: Community Planning	\$43,237	\$45,398	\$0
Environmental Protection Fund: Parks, Preservation and Heritage Grants	\$205,417	\$800,169	\$0
Environmental Protection Fund: Parks, Preservation and Heritage Grants	\$415,120	\$625,120	\$0
Recreational Trails	\$40,000	\$114,000	\$0
Environmental Protection Fund: Parks, Preservation and Heritage Grants	\$223,400	\$757,000	\$0
Environmental Protection Fund: Parks, Preservation and Heritage Grants	\$500,000	\$1,611,000	\$0
Recreational Trails	\$51,776	\$64,720	\$0
Environmental Protection Fund: Parks, Preservation and Heritage Grants	\$500,000	\$667,000	\$0
Environmental Protection Fund: Parks, Preservation and Heritage Grants	\$75,000	\$150,000	\$0
Environmental Protection Fund: Parks, Preservation and Heritage Grants	\$361,620	\$1,012,044	\$0
Recreational Trails	\$200,000	\$550,000	\$0
Environmental Protection Fund: Parks, Preservation and Heritage Grants	\$420,000	\$560,000	\$0

CONCERNS

DELAYED

TERMINATED

