

A strategy for
prosperity
in Western New York

**BUILDS ON OUR
STRENGTHS**

**INVESTS IN THE
FUTURE**

**WNY Regional Council Meeting
May 9, 2019
9:00 a.m. to 11:00 a.m.**

**SUNY Fredonia
Williams Center
Multipurpose Room
280 Central Avenue
Fredonia, NY 14063**



Western New York Regional Council Meeting

Opening Remarks

**Jeff Belt & Dr. Virginia Horvath
Co-Chairs**

WNY Regional Economic Development Council

Western New York Regional Council Meeting

Executive Director's Report

Amanda Mays

Executive Director

WNY Regional Economic Development Council

Western New York Regional Council Meeting

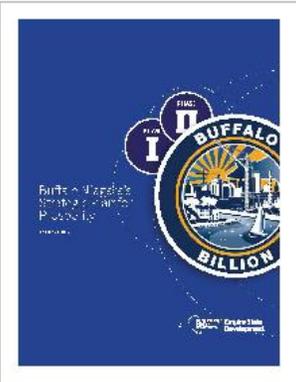
East Side Corridor Economic Development Fund Overview

**Laura Quebral
Executive Director
UBRI**

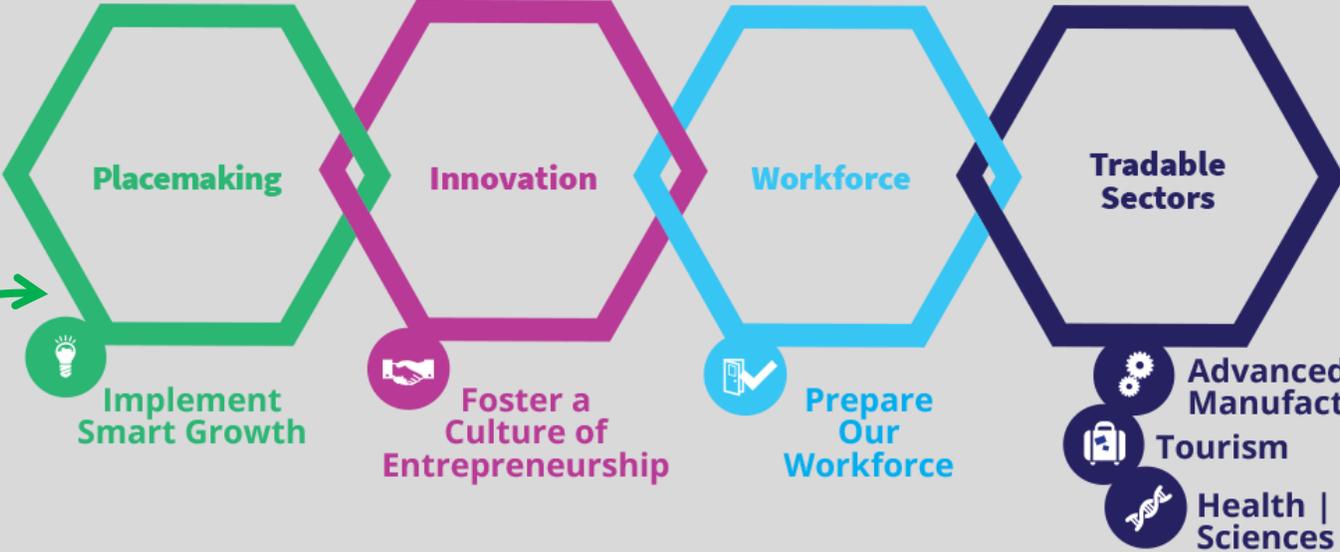
East Side Corridor Economic Development Fund



How is Buffalo implementing the Billion?



Strategies for Buffalo



East Side Corridor Economic Development Fund



\$65M dedicated to the Revitalization efforts on the East Side

9 Investment Areas in 4 Corridors

Michigan Avenue Corridor

- ① Historic Michigan

Jefferson Avenue Corridor

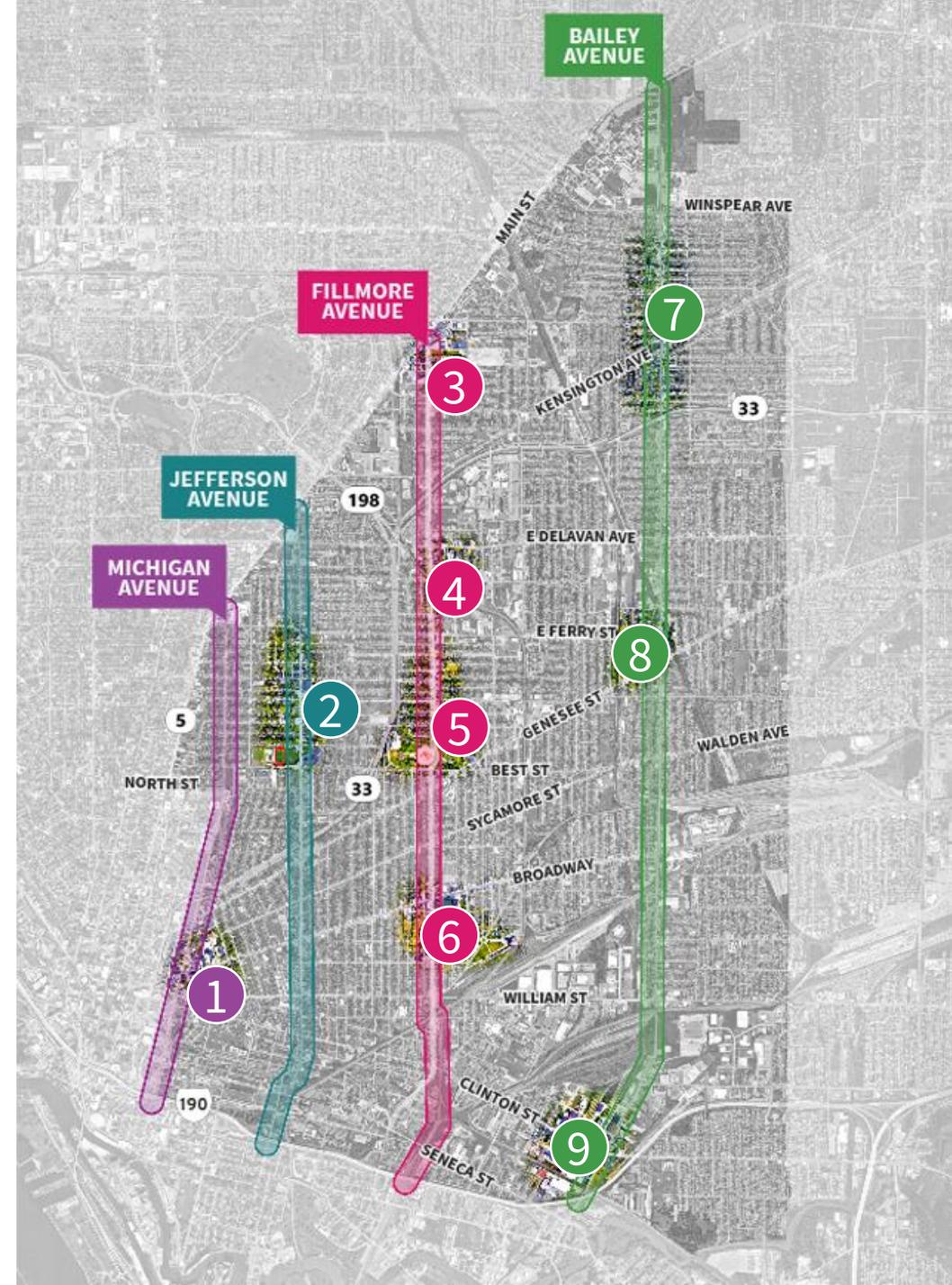
- ② Jefferson Avenue Commercial District

Fillmore Avenue Corridor

- ③ Main and Fillmore
- ④ Northland
- ⑤ MLK Park Business District
- ⑥ Broadway Fillmore

Bailey Avenue Corridor

- ⑦ Kensington Bailey
- ⑧ Bailey Green
- ⑨ Clinton Bailey



What investments has NYS made since 2011 on Buffalo's East Side?

From 2006-2016, the City of Buffalo invested more than \$184 million on the East Side

Since 2011, NYS invested more than \$237 million on the East Side



What do we hope to achieve with the East Side Corridor Economic Development Fund?

Goals of Fund



Promote mixed-use, walkable commercial corridors



Support and grow new businesses and entrepreneurship



Invest in regionally significant historical and natural assets



Fund projects that have strong community momentum



Expand opportunities for workforce connections



Build on transformational public/private investments

In what types of projects will the East Side Corridor Economic Development Fund invest?



Aligned with the economic development goals of the Fund

Primarily capital

Ready to be implemented within five years

Spearheaded by organizations that have capacity and expertise to implement



Actions to Date



Analysis

Detailed analysis of data and past investments



Perspectives from the community and stakeholders

4 stakeholder meetings
with 130 total participants

42 listening sessions
based on project submission forms



Housing: Buffalo Neighborhood Stabilization Fund

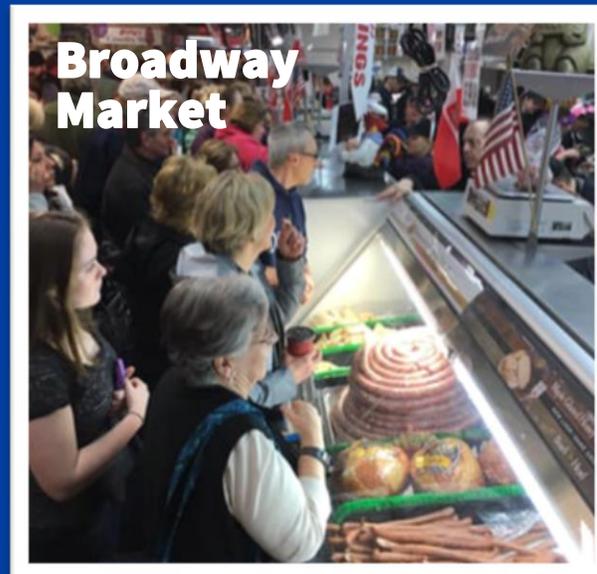
Investing in a **multi-pronged housing initiative** that will increase homeownership, prevent foreclosure, and target zombie properties on the East Side





Assets

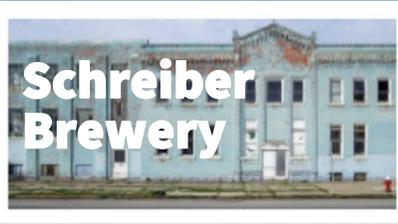
Investing in **significant community anchors** to ensure they remain accessible, safe and relevant





Direct Funding

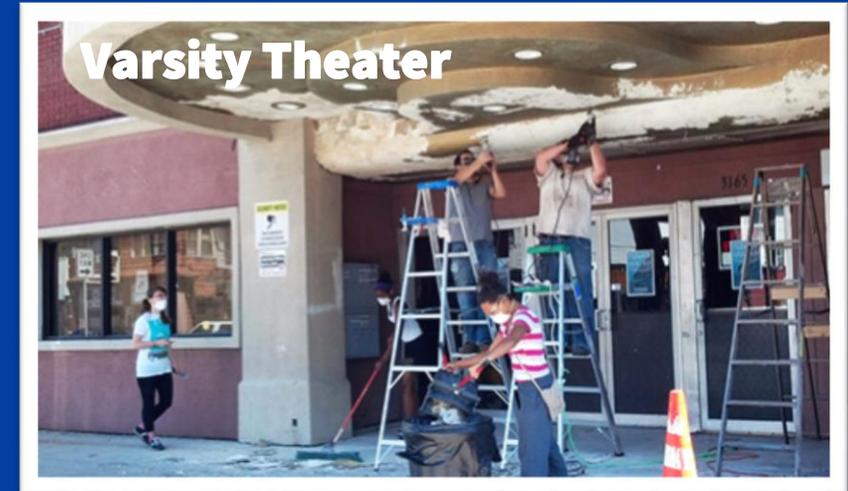
Investing in **mixed-use projects** along the corridors that will help spur private investment



Schreiber Brewery



Black Achievers Museum



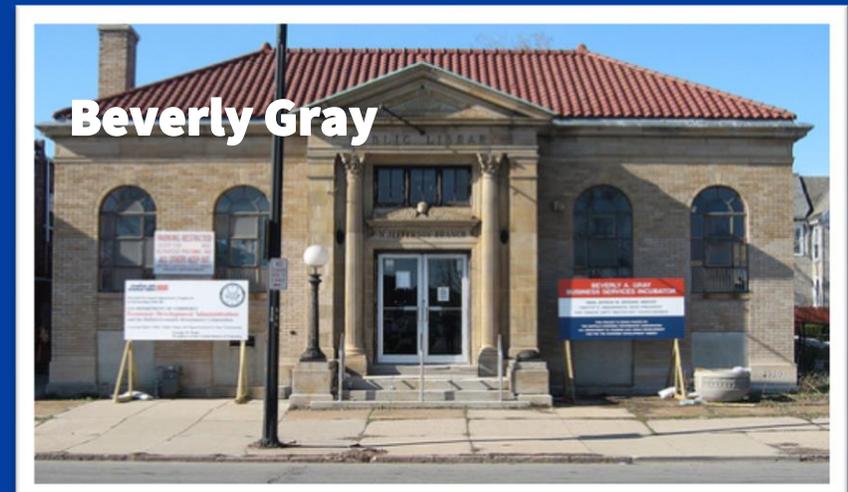
Varsity Theater



Nash Lofts



Torn Space Theater

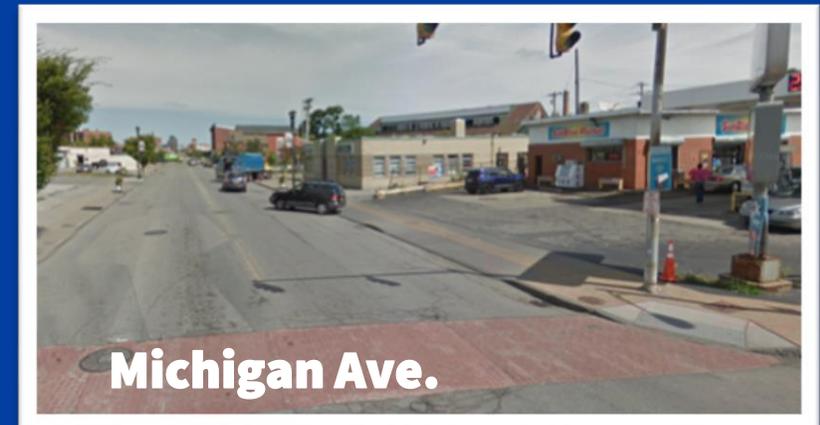
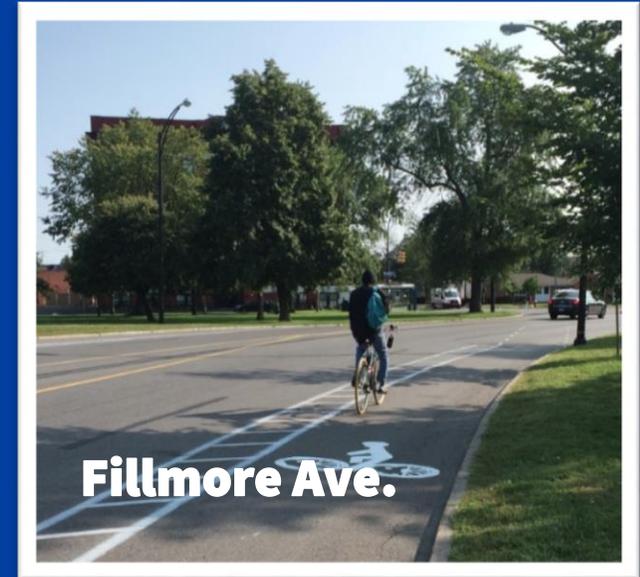
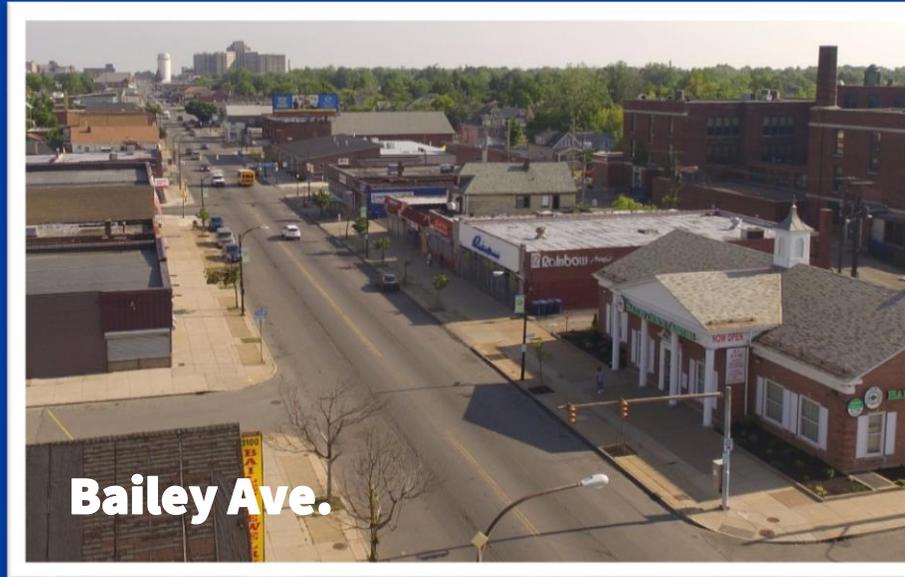


Beverly Gray



Infrastructure

Investing in **targeted streetscape and infrastructure improvements** that enhance and support the programs and projects in the **East Side Corridor Economic Development Fund**



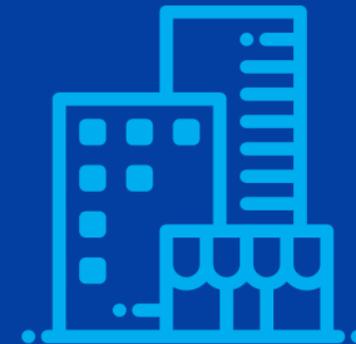
Why foundations
are essential

Foundations

ESD



**Investing
in people &
places.**



East Side Program Initiatives

for long term sustainability and transformational change



**EAST SIDE
MAIN
STREETS
INITIATIVE**



**COMMUNITY-BASED
REAL ESTATE
DEVELOPMENT
TRAINING PROGRAM**



**BUILDING
PRESERVATION
FUND**



**MICHIGAN STREET
AFRICAN AMERICAN
HERITAGE CORRIDOR**



CENTRAL TERMINAL



**PROJECT
IMPLEMENTATION
TEAM**



EAST SIDE MAIN STREETS INITIATIVE

WHAT WE LEARNED

There are many small business enhancement opportunities in core areas that could help **expand neighborhood-serving businesses and increase mixed-use offerings** along the East Side's commercial corridors.

THE OPPORTUNITY

Expand the Buffalo Main Streets Initiative to **fund building renovations and public space enhancements** in three to four East Side target investment areas.



COMMUNITY-BASED REAL ESTATE DEVELOPMENT TRAINING PROGRAM

WHAT WE LEARNED

There are East Side community **members who own buildings or see real estate** and community development opportunities, but **may lack the expertise** to successfully plan and implement a real estate development project.

THE OPPORTUNITY

Harness the East Side's human capital and ensure that revitalization is driven by the community through **real estate development education.**



BUILDING PRESERVATION FUND

WHAT WE LEARNED

More than in any other area of the city, the East Side has **historic buildings in danger of demolition** by neglect.

THE OPPORTUNITY

Establish a dedicated funding source to **support acquisition and stabilization** of at-risk historic buildings in the target investment areas.



MICHIGAN STREET AFRICAN AMERICAN HERITAGE CORRIDOR

WHAT WE LEARNED

There is a need for **increased consensus, cooperation and coordination** along Michigan Street's African American Heritage Corridor.

THE OPPORTUNITY

Create a cooperative operating/business model and plan for capital improvements for a **unified tourist destination** around Michigan Street's unique assets.



CENTRAL TERMINAL

WHAT WE LEARNED

There is opportunity to leverage over \$5M in dedicated public funds and redevelop **Broadway Fillmore's significant landmark building** to attract private investment and visitors to the area.

THE OPPORTUNITY

Plan for the future of the site and create a **robust organization to guide capital improvements** with the goal to eventually reuse the entire complex.



PROJECT IMPLEMENTATION TEAM

WHAT WE LEARNED

There is a need for an entity to **implement an integrated work plan** and provide coordination across all programs.

THE OPPORTUNITY

Implement an integrated work plan by **monitoring and reporting** on progress; offering **strategic interventions**; building **organizational capacity**; providing opportunity for **peer-to-peer assistance**; and learning from successful **national models**.

HOUSING	BUFFALO NEIGHBORHOOD STABILIZATION FUND	\$10M	\$10M
ASSETS	MLK PARK DISTRICT	\$6.6M	\$25M
	BROADWAY MARKET	\$4M	
	COMMUNITY SOLAR ARRAY AT NORTHLAND	\$2M	
	MICHIGAN STREET AFRICAN AMERICAN HERITAGE CORRIDOR	\$7M	
	CENTRAL TERMINAL	\$5.4M	
PROGRAMS FOR FUTURE PROJECTS	EAST SIDE MAIN STREETS INITIATIVE		\$12.6M
	COMMUNITY-BASED REAL ESTATE DEVELOPMENT TRAINING PROGRAM		
	BUILDING PRESERVATION FUND		
DIRECT FUNDING	DIRECT PROJECT ASSISTANCE	\$7.4M	\$7.4M
INFRASTRUCTURE	INFRASTRUCTURE TO SUPPORT AND ENHANCE INVESTMENTS	\$10M	\$10M
		ESD Total Investment	\$65M

Support from Foundations



EAST SIDE MAIN STREETS INITIATIVE



COMMUNITY-BASED REAL ESTATE DEVELOPMENT TRAINING PROGRAM



BUILDING PRESERVATION FUND



MICHIGAN STREET AFRICAN AMERICAN HERITAGE CORRIDOR



CENTRAL TERMINAL



PROJECT IMPLEMENTATION TEAM

Total of \$8.7M

Why it's worth considering

It's strategic.

Based on what the data and community is telling us.

It's catalytic.

Coordinated and collaborative over time for lasting impact.

It's a unique moment in time with incredible leverage.

For every \$1 of foundation investment, the state is investing \$7.50.

↓
5 YR collaborative strategy

Meant to address all of the needs we saw in our planning

Western New York Regional Council Meeting

WNY Economic Development Priorities

John Maggiore

Senior Advisor to the Governor for Upstate Economic Development

Western New York Regional Council Meeting

Workforce Development Initiative

Madhuri Kommareddi

Director

NYS Workforce Development Office

Workforce Development Initiative

Consolidated Funding Application (CFA)



Regional Economic
Development Councils

Overview

- New \$175 million Workforce Development Initiative, with funding from SUNY, CUNY, ESD, the NYS Department of Labor and the NYS Energy Research and Development Authority
- Announced in Governor Cuomo's 2018 State of the State and released in the FY 2019 Enacted Budget
- New Executive Office of Workforce Development (OWD) created to coordinate, and improve transparency of, workforce development efforts across state entities
- Two-phase, NO-DEADLINE funding application process: 1) initial evaluation by REDC workforce committee; 2) final review by an interagency panel
- Priority focus on projects that support the regional workforce development strategy, serve populations with barriers to career advancement, and/or improve the flexibility of workforce systems to address industry needs



Consolidated Funding Application (CFA)

- Serves as a single point of entry for workforce development funding
- Developed to streamline and expedite the application process for funding
- Designed to ensure:
 - Less bureaucracy
 - Greater efficiencies
 - Improved community input
 - Enhanced partnerships



Phase One: REDC Endorsement

- Applicants submit a general CFA proposal to their local REDC including information pertaining to:
 - *Workforce problems faced by the region and how project will address it*
 - *Local and regional partners involved in the project*
 - *Estimated project costs including leveraged funds*
 - *Performance targets*
- Proposals reviewed by a newly established Workforce Development Committee at every REDC
- Workforce Development Committee makes recommendations to REDC Co-Chairs
- Phase 1 concluded by REDC Co-Chairs making final recommendations to Office for Workforce Development



REDC Workforce Development Committees

Each REDC is establishing a new Workforce Development Committee by May 15, 2019 to ensure stage one review includes critical members of the local workforce and economic systems.

The Committee will include experts from the following fields:

- Economic Development
- Higher Education
- Local Workforce Investment Boards
- Boards of Cooperative Educational Services (BOCES)
- Empire State Poverty Reduction Initiative (ESPRI) rep from each region



Phase Two: Interagency Committee Approval

Phase 2 review conducted by an interagency committee.

The Office of Workforce Development will:

- Review REDC recommendations on Phase 1 applications and determine the appropriate funding source(s)
- Send the applicant the full CFA / Phase 2 application, tied to the identified funding source(s)
- Convene the interagency committee, which will evaluate the completed CFA applications and make a final determination

NOTE: Applications for statewide or multi-region projects will be submitted to the Office of Workforce Development, which will forward to the interagency committee for Phase 1 and Phase 2 review.



Eligibility

WEBSITE: www.ny.gov/workforcedevelopment

Successful applicants will have a clearly articulated proposal that addresses a workforce problem faced by the region and a comprehensive implementation strategy.

In addition, applications may be evaluated based on the following criteria:

- The role of local and regional partners;
- Project cost; the extent of leveraged funds;
- Performance targets that are measurable and achievable;
- Transferable nature of the training or accreditation;
- Description of any public/private partnerships and how they will expand workplace learning.



Workforce Funding Options

Public-Private Partnerships to Advance 21st Century Skills (SUNY / CUNY)

A mix of capital and operational funding to expand the infrastructure and capacity of SUNY and CUNY to produce skilled talent that meets the needs of regional employers.

Four SUNY / CUNY programs that provide funding for:

- SUNY / CUNY 2020: Capital costs including building of classroom / training lab space; technology upgrades; equipment purchases
- Job Linkage: Industry-engaged curriculum development, data analysis, or experiential learning in regionally significant or growing industries
- Workforce Development: Business/industry-partnered training workshops
- Apprenticeship Program: Development and operation of Registered Apprenticeship programs in growing or in-demand industries



Workforce Funding Options

Employer-Driven Skills (ESD, DOL, NYSERDA)

Funding will expand employer investment in a skilled workforce pipeline.

ESD Employee Training Incentive Program previously was included in REDC CFA process, and incents employers to invest in incumbent worker training

NYS Department of Labor (DOL) Unemployed/Underemployed Worker Training program funds efforts to support full or part-time employment for unemployed or underemployed works

New York State Energy Research Development Authority (NYSERDA) funds focus on building operations and maintenance programs



Workforce Funding Options

Workforce Solutions (DOL, Pay for Success)

Provide flexible funding for innovative workforce development projects. Funds will support strategic regional efforts that meet businesses workforce challenges including:

- Addressing long-term industry needs
- Improve regional talent pipelines
- Enhance flexibility and adaptability of local workforce entities, and
- Expand apprenticeships.

Some funds (Pay for Success) subject to requirement that individuals trained remain in jobs for at least 6 months to receive full state funding.



Examples of Potential Projects

- WEBSITE: www.ny.gov/workforcedevelopment
- Workforce development and innovation in college and university settings;
- Opportunities for populations which traditionally face barriers to career advancement, including women and young workers, ex-offenders, veterans, immigrants, refugees, and persons with special needs;
- Projects addressing worker dislocation due to foreign competition;
- Development and operation of programs that award recognized credentials to program graduates (e.g., apprenticeships, certifications, etc.);
- Curriculum development for career- or job-specific purposes.



QUESTIONS?

WEBSITE: www.ny.gov/workforcedevelopment

Western New York Regional Council Meeting

Round 9 - Regional Economic Development Council Competition

**Alison Walsh
Vice President
Regional Economic Development**

Regional Economic Development Council Round IX Competition Overview



Regional Economic
Development Councils

ECONOMIC DEVELOPMENT

- The Regional Economic Development Councils (REDCs) were created to transform the State's economic development process from the top down.
- REDCs re-built the statewide framework for a bottom-up approach to regional economic growth.

Since 2011:

- Over \$6.1 Billion awarded/committed to support over 7,300 projects statewide.
- Commitments for 230,000 jobs created/retained.
- Thousands of businesses have received funding for startup or expansion.
- Leveraged additional private investment at a minimum of a 5:1 ratio.



2018 HIGHLIGHTS

- ✓ **\$763.2 million awarded statewide**
- ✓ **1,055 projects received funding**
- ✓ **10 Downtown Revitalization Initiative winners**

2019 COMPETITION BREAKDOWN

- **\$150M in Capital Grants and \$75M in Excelsior tax credits available**
- **In 2019, five Top Performers will be awarded a portion of \$100 million in ESD Capital Grants. The remaining five regions will be awarded a portion of \$50 million in ESD Capital grants.**
- **Emphasis should be placed on choosing priority projects that align with your strategic plan, and are strong and ready to go.**
- **Over \$525M in additional state funding through the Consolidated Funding Application (CFA) from various state agencies.**
- **\$10M to each region for Downtown Revitalization Initiative.**



2019 COMPETITION BREAKDOWN

In 2019, Governor Cuomo has made over \$525 million available from over 30 programs across 10 state agencies.

Available Resources for Regional Councils 2019



Regional Economic Development Councils

NYS CONSOLIDATED FUNDING APPLICATION

Total Grants, Tax Credits & Other Resources (in millions)

Agency

Empire State Development	up to \$242
New York State Council on the Arts	up to \$6.75
Homes and Community Renewal	up to \$26.2
Office of Parks, Recreation and Historic Preservation	up to \$21.4
Department of State	up to \$21
New York State Canal Corporation	up to \$1
New York State Energy Research and Development Authority	up to \$40
New York State Power Authority	up to 144 MW
Department of Environmental Conservation	up to \$85.7
Environmental Facilities Corporation	up to \$15
Federal Industrial Development Bond Cap	up to \$300

VISIT REGIONALCOUNCILS.NY.GOV



Regional Economic Development Councils

2019 COMPETITION CRITERIA

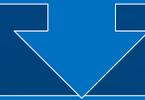
- **Work with local businesses and communities to identify childcare needs and develop potential solutions**
- **Develop an environmental justice strategy for the region as it relates to economic development**
- **Support Downtown Revitalization Plans and downtown projects**
- **Identify community place making needs and sustainable community development strategies**
- **Track the progress of the strategic plan and CFA projects**



ROUND IX TIMELINE

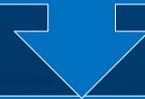
MAY 1, 2019

CFA OPENS



JULY 26, 2019

CFA CLOSSES AT 4 PM SHARP - NO LATE SUBMISSIONS!



OCTOBER 1, 2019

REGIONAL COUNCIL UPDATES AND SCORES DUE



LATE FALL

ROUND IX AWARDS CEREMONY



**Regional Economic
Development Councils**

DOWNTOWN REVITALIZATION INITIATIVE ROUND IV

- **\$100M Program - \$10M awarded for one community in each region.**
- **Develop strategic plan that will identify economic development, transportation, housing and community projects.**
- **Use investments to reinforce and secure additional public and private investments.**
- **Applications are due May 31, 2019**



ONGOING INITIATIVES

- ✓ **Regional Industry Cluster Plans**
- ✓ **Opportunity Agenda**
- ✓ **Business Incubator and Hot Spot Program**
- ✓ **Veteran's Initiative**
- ✓ **Engage Local Governments**

Regions are no longer required to report on these initiatives as part of the competition, but may provide updates on progress made around these priorities as it relates to their strategic plan.



STATEWIDE ECONOMIC DEVELOPMENT PRIORITIES

- ✓ **PLACE MAKING** is the notion that where investment occurs matters. In order to attract a talented workforce, our built environment must emphasize creating accessible job centers, sustainable infrastructure and livable communities.
- ✓ **WORKFORCE** is the belief that people drive the economy. Targeted job training and education ensures that jobs in high-paying, in-demand, tradeable sectors are filled in an equitable way.
- ✓ **TRADEABLE SECTORS** describes which industries New York State is targeting to increase export based employment opportunities. They are the industry sectors that are part of a global market like manufacturing, agricultural products, and energy.
- ✓ **INNOVATION** is the strategy of investing in creating synergies between research and commercialization to drive the economy forward.



STATEWIDE ECONOMIC DEVELOPMENT PRIORITIES

“Economists argue that the places that get economic development right do so by investing in their assets: infrastructure attractive to both companies and residents, services to help existing companies start and grow, and training the local workforce.”

“The Right Way to Do Economic Development”
City and State
April 8, 2019



QUESTIONS?

Western New York Regional Council Meeting

Childcare Strategy Update

**Monique Owens
Empire State Fellow
Executive Chamber**

**Beth Starks
Executive Director
Chautauqua Lake Child Care Center**



**NEW
YORK
STATE**

JUSTICE AGENDA
THE TIME IS NOW

THE BUSINESS CASE FOR CHILD CARE

Western NY REDC

CHILD CARE LANDSCAPE IN NY

- ✓ **There are 18,900 child care providers in NYS**
- ✓ **Nearly 800,000 children 6 years of age or younger with parent(s) who work**
- ✓ **Approx. 180,000 children receive child care subsidies across NYS**
- ✓ **Child care subsidy program in NYS is overseen by OCFS and locally administered by a county's LDSS**

Western NY REDC

WNY CHILD CARE LANDSCAPE

SUPPLY- CHILD CARE SLOTS

Allegany County

1,539

Cattaraugus County

1,687

Chautauqua County

4,303

Erie County

29,495

Niagara County

4,933

WNY TOTAL

41,957

Western NY REDC

WNY CHILD CARE LANDSCAPE

DEMAND

	Children Under 6 with Both Parents in Labor Force	Children Under 6 in Single-Parent Families	Females Working Part-Time with Children Under 6
Western NY	37,986	29,917	9,618

Prepared by: New York State Department of Labor, Division of Research and Statistics.
Source: 2017 ACS Public Use Microdata Sample (PUMS) 1-Year Estimates.

WNY CHILD CARE LANDSCAPE

SUPPLY DOES **NOT** MATCH DEMAND

Supply Gap of **35,564**

A Lack of Affordable & Quality Child Care Can...

- ✓ Lead to employee absenteeism, productivity reductions, and turnover leading to cost U.S. businesses an estimated **\$3 BILLION** annually

Western NY REDC

During the wage gap hearings for New York's "Closing the Wage Gap in NYS" Report the most pressing issue was **CHILD CARE**

✓ Over **40%** of respondents with children under the age of 5 had missed work in the past 3 months because of child care issues

AND

✓ Over **70%** of non-working, low-income adults with children under 5 cite "taking care of home/family" as the reason they are not working

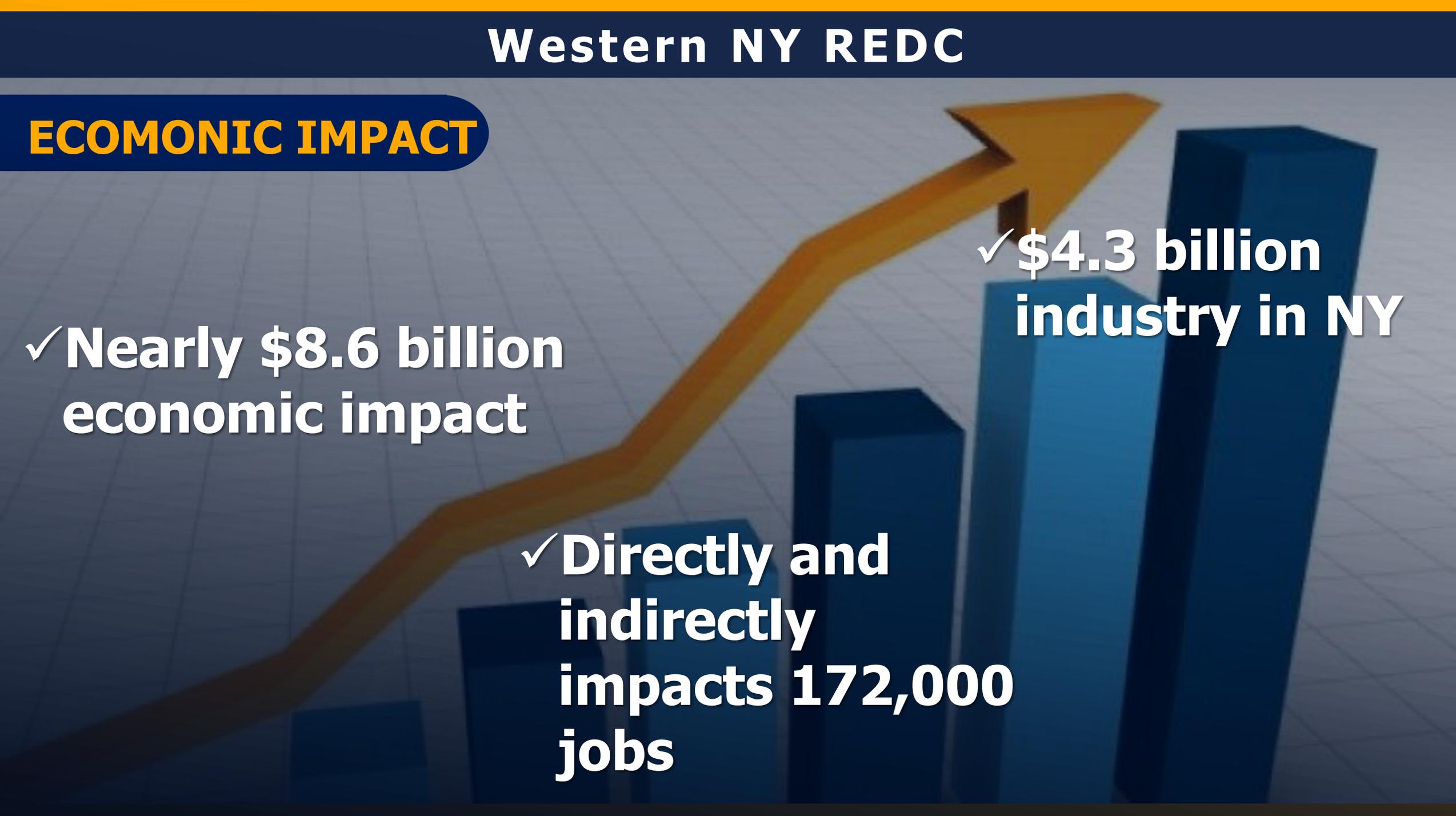
Western NY REDC

ECONOMIC IMPACT

✓ Nearly \$8.6 billion economic impact

✓ Directly and indirectly impacts 172,000 jobs

✓ \$4.3 billion industry in NY



Access to Affordable & Quality Child Care Can...

- ✓ **Increase labor force participation**
- ✓ **Contribute to higher individual earnings**
- ✓ **Support state and regional economic growth**

ECONOMIC IMPACT

Every \$1 spent on high-quality early childhood programs returns \$8 to \$16 to society

AND

Every \$1 invested in high-quality early childhood programs earns a \$2 to \$3 ROI to the state from increased jobs or earnings for state residents

Western NY REDC

High Quality Affordable Child Care is Essential Infrastructure for the Economy. With it, children:

- ✓ **Have greater success in education**
- ✓ **Reduce special education placement by 50%**
- ✓ **Attain hard and soft skills key to future employment**

**High Quality Affordable Child Care is
Essential Infrastructure for the
Economy. With it, parents:**

- ✓ **Improve their labor productivity**
- ✓ **Miss fewer work days**
- ✓ **Work more hours and increase their earnings**

**High Quality Affordable Child Care is
Essential Infrastructure for the
Economy. With it, employers:**

- ✓ **Gain a pivotal edge on recruitment.**
- ✓ **Parents of young children whose employers offer on-site childcare reported that access to good childcare was a significant factor in employee recruitment, retention, and productivity**

Western NY REDC

High-quality affordable child care is essential infrastructure benefitting the local economy:

- ✓ **Higher-quality labor supply.** More and better jobs are attracted, leading to higher local earnings.
- ✓ **Added productivity.** With participation comparable to Quebec's, 5.5 million more American women in the labor force help add \$500 billion more economic activity.
- ✓ **Higher property values.** \$1 in annual spending on high-quality pre-K is estimated to raise local property values by \$13.

Western NY REDC

QUEBEC MODEL

In 1997, the Canadian province of Quebec launched the “politique familiale” (family policy)

- ✓ 55 weeks of paid leave
- ✓ Yearly allowance of \$500 to \$1,900 per child
- ✓ Full-day, year-round child care program for all children under 5 subsidized public funding
- ✓ Families cover part of the costs on a sliding scale (avg. cost \$17 per day)

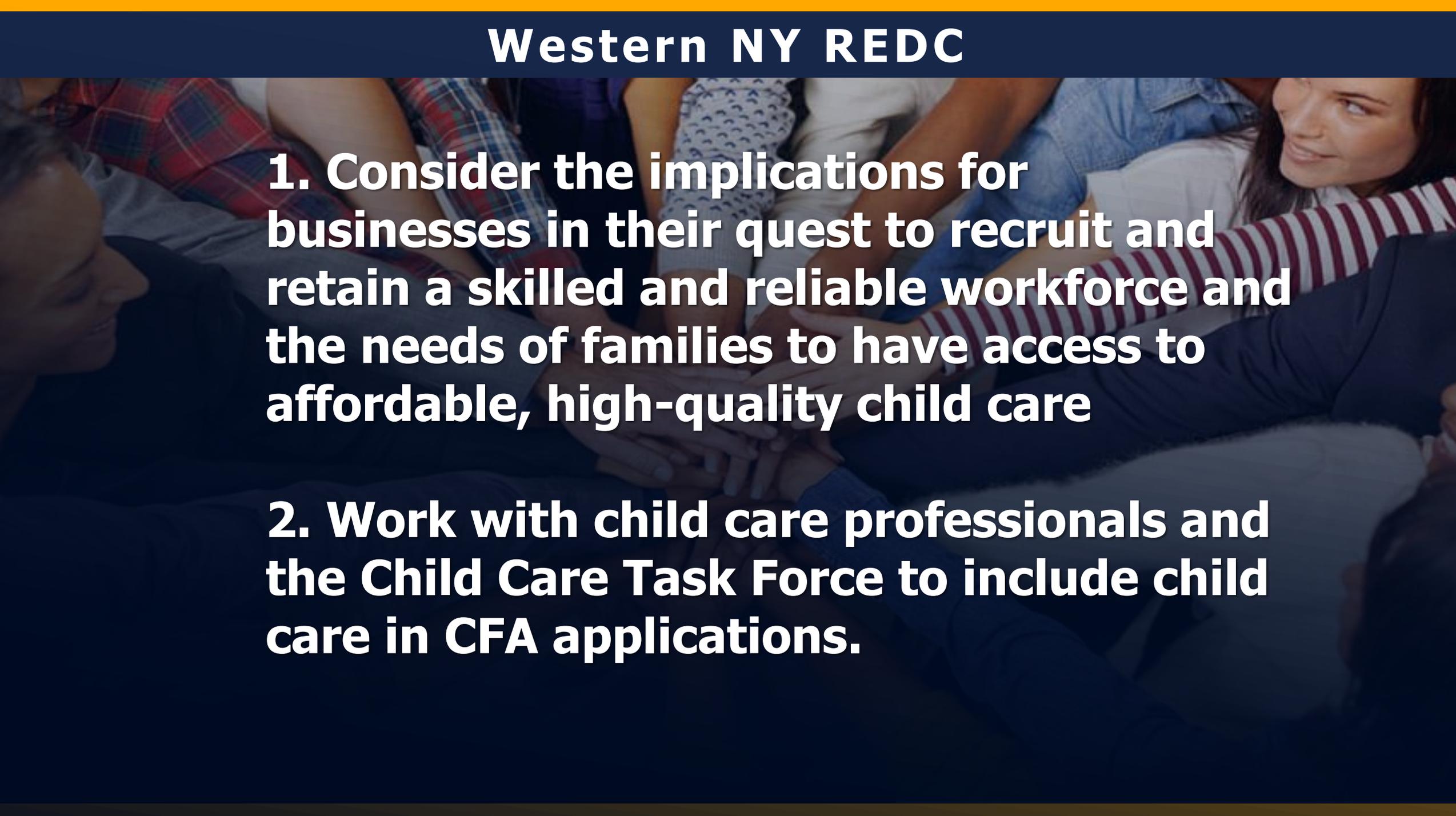
QUEBEC MODEL

Key Results from Quebec:

- ✓ **labor force participation in Quebec went up by over 16% (from 64 to over 80% for women with kids under 6)**
- ✓ **tax revenues from higher earnings and economic activity have more than paid for the program**

HOW THE REDCs CAN HELP

Western NY REDC

- 
- 1. Consider the implications for businesses in their quest to recruit and retain a skilled and reliable workforce and the needs of families to have access to affordable, high-quality child care**
 - 2. Work with child care professionals and the Child Care Task Force to include child care in CFA applications.**

Develop Tailored Strategies that:

- ✓ **Identify community needs**
- ✓ **Leverage & maximize existing funding streams**
- ✓ **Child care business development**
- ✓ **Workforce development techniques**

Western NY REDC

“For American business, advancing high-quality childcare is a winning proposition. It’s a wise investment in America’s future – strengthening business today while building the workforce we’ll depend on tomorrow and for decades to come.”

-U.S. Chamber of Commerce



Together, we will build
A GREATER WESTERN NEW YORK
for generations to come

Kerri Neifeld- Asst. Secretary for Human Services-
Kerri.Neifeld@exec.ny.gov

Kelli Owens- Director of Women's Affairs-
Kelli.Owens@exec.ny.gov

Monique Owens- Empire Fellow-
Monique.Owens@dcjs.ny.gov

Emily Badalamente- Excelsior Fellow-
Emily.Badalamente@exec.ny.gov



**NEW
YORK
STATE**

JUSTICE AGENDA
THE TIME IS NOW

Western New York Regional Council Meeting

Closing Remarks & Adjourn

**Jeff Belt & Dr. Virginia Horvath
Co-Chairs**

WNY Regional Economic Development Council

Western New York Regional Council Meeting

Regional Economic Development Councils Ethics Training

**Chanel Maddigan
Senior Counsel
Empire State Development**



**Empire State
Development**

Regional Economic Development Councils Ethics Training

**April 2019
Privileged and Confidential**

Code of Conduct

1. Conflicts of Interest
2. Confidential Information
3. Unwarranted Privileges

Conflicts of Interest

- Members shall avoid actual and potential conflicts of interest and shall not allow their personal interests, financial or otherwise, or those of a Relative, to interfere with, or appear to interfere with, their independent advice as a Member.

Examples of Potential Conflicts

- You (or a relative) are applying for a grant that will come before your REDC.
- You have received significant gifts or invitations from someone or some entity that has a matter before your REDC.
- A business partner or relative has a significant interest in an REDC project or in a competitor.
- You have real estate interests that will be directly impacted by an REDC project.
- You are soliciting funds for an organization, political campaign, or charity, from entities that are coming before you at the REDC.
- An client of your organization is applying for a grant and your organization would benefit financially if the grant application is approved.

Recusal

If a Member or his or her Relative has an interest as a board member, owner, officer, employee, Investor, or other financial interest, in an entity or project that is before the REDC (or in a competitor who has a financial interest), that Member may not:

- Participate in any Council discussion or vote,
- Attempt to influence such discussion or vote, or
- Review materials provided to the Council or distribute materials to the Council.

No Member shall attend such Council discussions or votes, unless such discussions or votes are taking place as part of a public meeting or proceeding.

These recusal provisions apply to co-chairs and other non-scoring members.

Documenting Conflicts

1. Statement of Interest Forms

Documenting Conflicts

2. Documentation Process for Recusals

Unwarranted Privileges

Members shall not use or attempt to use their position on the Council to secure unwarranted privileges for themselves, any other person, or any entity.

Confidential Information

Members shall not disclose information obtained as a result of Council membership that is not otherwise public, and shall not use information to further their own interests or those of another Member or Relative.

Questions?

At ESD:

Goldie Weixel

goldie.weixel@esd.ny.gov

212-803-3773

Ethics@esd.ny.gov



Privileged and Confidential