



NEW YORK
STATE OF
OPPORTUNITY™

**Capital Region
Regional Economic
Development Council**



**Capital Region
Regional Economic
Development Council**

Regional Economic Development Council

June 5, 2019



**Capital Region
Regional Economic
Development Council**

Introduction

**Havidán Rodríguez
CREDC Co- Chairs**



**Capital Region
Regional Economic
Development Council**

NYS Workforce Development Initiative

**Madhuri Kommareddi
Director of Workforce Development for
NYS**

Workforce Development Initiative

Consolidated Funding Application (CFA)



Regional Economic
Development Councils

Overview

- New \$175 million Workforce Development Initiative, with funding from SUNY, CUNY, ESD, the NYS Department of Labor and the NYS Energy Research and Development Authority
- Announced in Governor Cuomo's 2018 State of the State and released in the FY 2019 Enacted Budget
- New Executive Office of Workforce Development (OWD) created to coordinate, and improve transparency of, workforce development efforts across state entities
- Two-phase, NO-DEADLINE funding application process: 1) initial evaluation by REDC workforce committee; 2) final review by an interagency panel
- Priority focus on projects that support the regional workforce development strategy, serve populations with barriers to career advancement, and/or improve the flexibility of workforce systems to address industry needs



Consolidated Funding Application (CFA)

- Serves as a single point of entry for workforce development funding
- Developed to streamline and expedite the application process for funding
- Designed to ensure:
 - Less bureaucracy
 - Greater efficiencies
 - Improved community input
 - Enhanced partnerships



Phase One: REDC Endorsement

- Applicants submit a general CFA proposal to their local REDC including information pertaining to:
 - *Workforce problems faced by the region and how project will address it*
 - *Local and regional partners involved in the project*
 - *Estimated project costs including leveraged funds*
 - *Performance targets*
- Proposals reviewed by a newly established Workforce Development Committee at every REDC
- Workforce Development Committee makes recommendations to REDC Co-Chairs
- Phase 1 concluded by REDC Co-Chairs making final recommendations to Office for Workforce Development



REDC Workforce Development Committees

Each REDC is establishing a new Workforce Development Committee by May 15, 2019 to ensure stage one review includes critical members of the local workforce and economic systems.

The Committee will include experts from the following fields:

- Economic Development
- Higher Education
- Local Workforce Investment Boards
- Boards of Cooperative Educational Services (BOCES)
- Empire State Poverty Reduction Initiative (ESPRI) rep from each region



Phase Two: Interagency Committee Approval

Phase 2 review conducted by an interagency committee.

The Office of Workforce Development will:

- Review REDC recommendations on Phase 1 applications and determine the appropriate funding source(s)
- Send the applicant the full CFA / Phase 2 application, tied to the identified funding source(s)
- Convene the interagency committee, which will evaluate the completed CFA applications and make a final determination

NOTE: Applications for statewide or multi-region projects will be submitted to the Office of Workforce Development, which will forward to the interagency committee for Phase 1 and Phase 2 review.



Eligibility

WEBSITE: www.ny.gov/workforcedevelopment

Successful applicants will have a clearly articulated proposal that addresses a workforce problem faced by the region and a comprehensive implementation strategy.

In addition, applications may be evaluated based on the following criteria:

- The role of local and regional partners;
- Project cost; the extent of leveraged funds;
- Performance targets that are measurable and achievable;
- Transferable nature of the training or accreditation;
- Description of any public/private partnerships and how they will expand workplace learning.



Workforce Funding Options

Public-Private Partnerships to Advance 21st Century Skills (SUNY / CUNY)

A mix of capital and operational funding to expand the infrastructure and capacity of SUNY and CUNY to produce skilled talent that meets the needs of regional employers.

Four SUNY / CUNY programs that provide funding for:

- SUNY / CUNY 2020: Capital costs including building of classroom / training lab space; technology upgrades; equipment purchases
- Job Linkage: Industry-engaged curriculum development, data analysis, or experiential learning in regionally significant or growing industries
- Workforce Development: Business/industry-partnered training workshops
- Apprenticeship Program: Development and operation of Registered Apprenticeship programs in growing or in-demand industries



Workforce Funding Options

Employer-Driven Skills (ESD, DOL, NYSERDA)

Funding will expand employer investment in a skilled workforce pipeline.

ESD Employee Training Incentive Program previously was included in REDC CFA process, and incents employers to invest in incumbent worker training

NYS Department of Labor (DOL) Unemployed/Underemployed Worker Training program funds efforts to support full or part-time employment for unemployed or underemployed works

New York State Energy Research Development Authority (NYSERDA) funds focus on building operations and maintenance programs



Workforce Funding Options

Workforce Solutions (DOL, Pay for Success)

Provide flexible funding for innovative workforce development projects. Funds will support strategic regional efforts that meet businesses workforce challenges including:

- Addressing long-term industry needs
- Improve regional talent pipelines
- Enhance flexibility and adaptability of local workforce entities, and
- Expand apprenticeships.

Some funds (Pay for Success) subject to requirement that individuals trained remain in jobs for at least 6 months to receive full state funding.



Examples of Potential Projects

- WEBSITE: www.ny.gov/workforcedevelopment
- Workforce development and innovation in college and university settings;
- Opportunities for populations which traditionally face barriers to career advancement, including women and young workers, ex-offenders, veterans, immigrants, refugees, and persons with special needs;
- Projects addressing worker dislocation due to foreign competition;
- Development and operation of programs that award recognized credentials to program graduates (e.g., apprenticeships, certifications, etc.);
- Curriculum development for career- or job-specific purposes.



QUESTIONS?

WEBSITE: www.ny.gov/workforcedevelopment

Workforce Development Initiative

Consolidated Funding Application (CFA)



Regional Economic
Development Councils



**Capital Region
Regional Economic
Development Council**

REDC Round 9 Overview

Alison Walsh
**Vice President Regional Economic
Development, Empire State Development**

Regional Economic Development Council Round IX Competition Overview



Regional Economic
Development Councils

ECONOMIC DEVELOPMENT

- The Regional Economic Development Councils (REDCs) were created to transform the State's economic development process from the top down.
- REDCs re-built the statewide framework for a bottom-up approach to regional economic growth.

Since 2011:

- Over \$6.1 Billion awarded/committed to support over 7,300 projects statewide.
- Commitments for 230,000 jobs created/retained.
- Thousands of businesses have received funding for startup or expansion.
- Leveraged additional private investment at a minimum of a 5:1 ratio.



2018 HIGHLIGHTS

- ✓ **\$763.2 million awarded statewide**
- ✓ **1,055 projects received funding**
- ✓ **10 Downtown Revitalization Initiative winners**

2019 COMPETITION BREAKDOWN

- **\$150M in Capital Grants and \$75M in Excelsior tax credits available**
- **In 2019, five Top Performers will be awarded a portion of \$100 million in ESD Capital Grants. The remaining five regions will be awarded a portion of \$50 million in ESD Capital grants.**
- **Emphasis should be placed on choosing priority projects that align with your strategic plan, and are strong and ready to go.**
- **Over \$525M in additional state funding through the Consolidated Funding Application (CFA) from various state agencies.**
- **\$10M to each region for Downtown Revitalization Initiative.**



2019 COMPETITION BREAKDOWN

In 2019, Governor Cuomo has made over \$525 million available from over 30 programs across 10 state agencies.

Available Resources for Regional Councils 2019



Regional Economic Development Councils

NYS CONSOLIDATED FUNDING APPLICATION

Total Grants, Tax Credits & Other Resources *(in millions)*

Agency

Empire State Development	up to \$242
New York State Council on the Arts	up to \$6.75
Homes and Community Renewal	up to \$26.2
Office of Parks, Recreation and Historic Preservation	up to \$21.4
Department of State	up to \$21
New York State Canal Corporation	up to \$1
New York State Energy Research and Development Authority	up to \$40
New York State Power Authority	up to 144 MW
Department of Environmental Conservation	up to \$85.7
Environmental Facilities Corporation	up to \$15
Federal Industrial Development Bond Cap	up to \$300

VISIT REGIONALCOUNCILS.NY.GOV



Regional Economic Development Councils

2019 COMPETITION CRITERIA

- **Work with local businesses and communities to identify childcare needs and develop potential solutions**
- **Develop an environmental justice strategy for the region as it relates to economic development**
- **Support Downtown Revitalization Plans and downtown projects**
- **Identify community place making needs and sustainable community development strategies**
- **Track the progress of the strategic plan and CFA projects**



ROUND IX TIMELINE

MAY 1, 2019

CFA OPENS



JULY 26, 2019

CFA CLOSURES AT 4 PM SHARP - NO LATE SUBMISSIONS!



OCTOBER 1, 2019

REGIONAL COUNCIL UPDATES AND SCORES DUE



LATE FALL

ROUND IX AWARDS CEREMONY



**Regional Economic
Development Councils**

DOWNTOWN REVITALIZATION INITIATIVE ROUND IV

- **\$100M Program - \$10M awarded for one community in each region.**
- **Develop strategic plan that will identify economic development, transportation, housing and community projects.**
- **Use investments to reinforce and secure additional public and private investments.**
- **Applications are due May 31, 2019**



ONGOING INITIATIVES

- ✓ **Regional Industry Cluster Plans**
- ✓ **Opportunity Agenda**
- ✓ **Business Incubator and Hot Spot Program**
- ✓ **Veteran's Initiative**
- ✓ **Engage Local Governments**

Regions are no longer required to report on these initiatives as part of the competition, but may provide updates on progress made around these priorities as it relates to their strategic plan.



STATEWIDE ECONOMIC DEVELOPMENT PRIORITIES

- ✓ **PLACE MAKING** is the notion that where investment occurs matters. In order to attract a talented workforce, our built environment must emphasize creating accessible job centers, sustainable infrastructure and livable communities.
- ✓ **WORKFORCE** is the belief that people drive the economy. Targeted job training and education ensures that jobs in high-paying, in-demand, tradeable sectors are filled in an equitable way.
- ✓ **TRADEABLE SECTORS** describes which industries New York State is targeting to increase export based employment opportunities. They are the industry sectors that are part of a global market like manufacturing, agricultural products, and energy.
- ✓ **INNOVATION** is the strategy of investing in creating synergies between research and commercialization to drive the economy forward.



STATEWIDE ECONOMIC DEVELOPMENT PRIORITIES

“Economists argue that the places that get economic development right do so by investing in their assets: infrastructure attractive to both companies and residents, services to help existing companies start and grow, and training the local workforce.”

“The Right Way to Do Economic Development”
City and State
April 8, 2019



QUESTIONS?

Regional Economic Development Council Round IX Competition Overview



Regional Economic
Development Councils



**Capital Region
Regional Economic
Development Council**

REDC Childcare Initiative

**Abbe Kovacik
Executive Director, Capital District
Child Care Council**



**NEW
YORK
STATE**

EXCELSIOR

EVER UPWARD

THE BUSINESS CASE FOR CHILD CARE

CHILD CARE LANDSCAPE IN NY

- ✓ There are **18,900** child care providers in NYS
- ✓ Nearly **800,000** children 6 years of age or younger with parent(s) who work
- ✓ **Approx. 180,000** children receive child care subsidies across NYS
- ✓ Child care subsidy program in NYS is overseen by OCFS and locally administered by a county's **LDSS**

CAP CHILD CARE LANDSCAPE

SUPPLY- CHILD CARE SLOTS

Albany County

12,713

Columbia County

1,641

Greene County

780

Saratoga County

7,228

Schenectady County

5,541

Rensselaer County

5,576



CAPITAL REGION REDC

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CAP CHILD CARE LANDSCAPE

DEMAND

	Children Under 6 with Both Parents in Labor Force	Children Under 6 in Single-Parent Families	Females Working Part-Time with Children Under 6
Capital Region	27,390	21,180	8,031

Prepared by: New York State Department of Labor, Division of Research and Statistics.
Source: 2017 ACS Public Use Microdata Sample (PUMS) 1-Year Estimates.

CAP CHILD CARE LANDSCAPE

SUPPLY DOES **NOT** MATCH DEMAND

Supply Gap of **20,226**

A Lack of Affordable & Quality Child Care Can...

- ✓ Lead to employee absenteeism, productivity reductions, and turnover leading to cost U.S. businesses an estimated **\$3 BILLION** annually

CAPITAL REGION REDC

During the wage gap hearings for New York's "Closing the Wage Gap in NYS" Report the most pressing issue was **CHILD CARE**

✓ Over **40%** of respondents with children under the age of 5 had missed work in the past 3 months because of child care issues.

AND

✓ Over **70%** of non-working, low-income adults with children under 5 cite "taking care of home/family" as the reason they are not working.

CAPITAL REGION REDC

ECONOMIC IMPACT

✓ Nearly \$8.6 billion economic impact

✓ Directly and indirectly impacts 172,000 jobs

✓ \$4.3 billion industry in NY

Access to Affordable & Quality Child Care Can...

- ✓ **Increase labor force participation**
- ✓ **Contribute to higher individual earnings**
- ✓ **Support state and regional economic growth**

ECONOMIC IMPACT

Every \$1 spent on high-quality early childhood programs returns \$8 to \$16 to society

AND

Every \$1 invested in high-quality early childhood programs earns a \$2 to \$3 ROI to the state from increased jobs or earnings for state residents

**High Quality Affordable Child Care is
Essential Infrastructure for the
Economy. With it, children:**

- ✓ **Have greater success in education**
- ✓ **Reduce special education placement by 50%**
- ✓ **Attain hard and soft skills key to future employment**

**High Quality Affordable Child Care is
Essential Infrastructure for the
Economy. With it, parents:**

- ✓ **Improve their labor productivity**
- ✓ **Miss fewer work days**
- ✓ **Work more hours and increase their earnings**

High Quality Affordable Child Care is Essential Infrastructure for the Economy. With it, employers:

- ✓ **Gain a pivotal edge on recruitment.**
- ✓ **Parents of young children whose employers offer on-site childcare reported that access to good childcare was a significant factor in employee recruitment, retention, and productivity**

High-quality affordable child care is essential infrastructure benefitting the local economy:

- ✓ **Higher-quality labor supply. More and better jobs are attracted, leading to higher local earnings.**
- ✓ **Added productivity. With participation comparable to Quebec's, 5.5 million more American women in the labor force help add \$500 billion more economic activity.**
- ✓ **Higher property values. \$1 in annual spending on high-quality pre-K is estimated to raise local property values by \$13**

QUEBEC MODEL

In 1997, the Canadian province of Quebec launched the “politique familiale” (family policy)

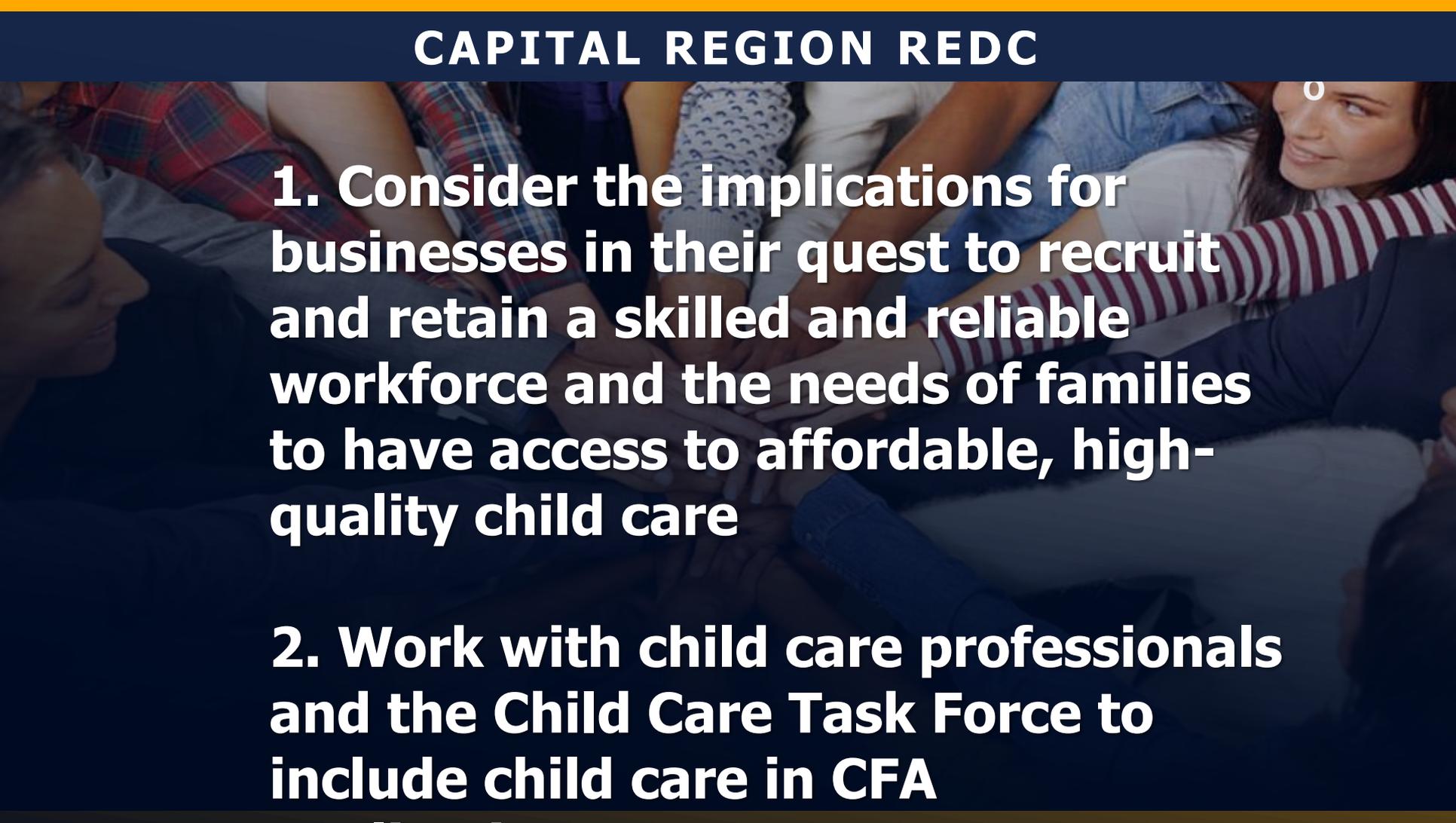
- ✓ 55 weeks of paid leave
- ✓ Yearly allowance of \$500 to \$1,900 per child
- ✓ Full-day, year-round child care program for all children under 5 subsidized public funding
- ✓ Families cover part of the costs on a sliding

QUEBEC MODEL

Key Results from Quebec:

- ✓ **labor force participation in Quebec went up by over 16% (from 64 to over 80% for women with kids under 6)**
- ✓ **tax revenues from higher earnings and economic activity have more than paid for the program**

HOW THE REDCs CAN HELP



1. Consider the implications for businesses in their quest to recruit and retain a skilled and reliable workforce and the needs of families to have access to affordable, high-quality child care

2. Work with child care professionals and the Child Care Task Force to include child care in CFA

Develop Tailored Strategies that:

- ✓ **Identify community needs**
- ✓ **Leverage & maximize existing funding streams**
- ✓ **Child care business development**
- ✓ **Workforce development techniques**

“For American business, advancing high-quality childcare is a winning proposition. It’s a wise investment in America’s future – strengthening business today while building the workforce we’ll depend on tomorrow and for decades to come.”

-U.S. Chamber of Commerce



Together, we will build
A GREATER CAPITAL REGION
for generations to come



Kerri Neifeld- Asst. Secretary for Human Services- Kerri.Neifeld@exec.ny.gov

Kelli Owens- Director of Women's Affairs- Kelli.Owens@exec.ny.gov

Monique Owens- Empire Fellow- Monique.Owens@dcjs.ny.gov

Emily Badalamente- Excelsior Fellow- Emily.Badalamente@exec.ny.gov



**NEW
YORK
STATE**

EXCELSIOR

EVER UPWARD



**Capital Region
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NYS Canalway Water Trail Guidebook

Bob Radliff

**Executive Director, Erie Canalway
National Heritage Corridor**



NYS Canalway Water Trail

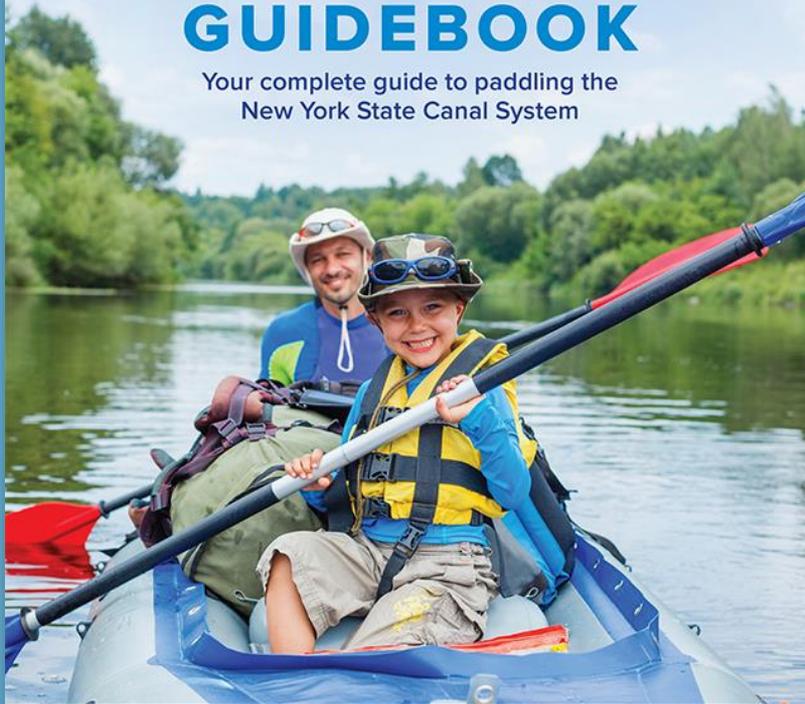




COMING
IN 2019

GUIDEBOOK

Your complete guide to paddling the
New York State Canal System



Mile by Mile

Canal Features

Safety on the Canals

Things to See and
Do

Best Bet Day Trips

Resources for
Paddlers

4 tear & water
resistant maps

Partners: Capital Region Economic Development Council



In partnership with the National Park Service

With financial assistance and support from

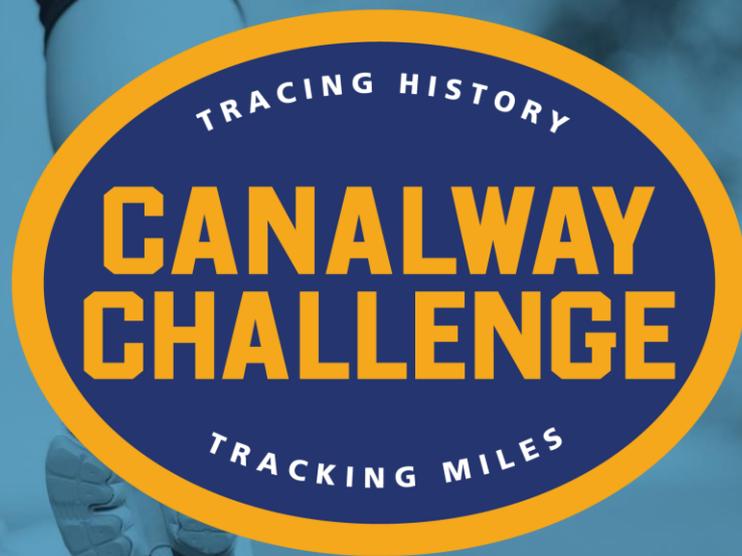


The Canalway Challenge and NYS Canalway Water Trail are funded in part by a grant from Market NY through I LOVE NY, New York State's Division of Tourism, as part of the State's Regional Economic Development Council Initiative. ©

NYSDER



**Rivers,
Trails, and
Conservation
Assistance**



Discover all you can do along
New York's canals!

canalwaychallenge.org

Choose your mileage and get moving!

1. **Register online** and choose your mileage goal.
2. **Track your miles** on Canalway Trails.
3. **Upload your photo finish** to earn your certificate & badge.

#canalwaychallenge



Can Do & Must Do!

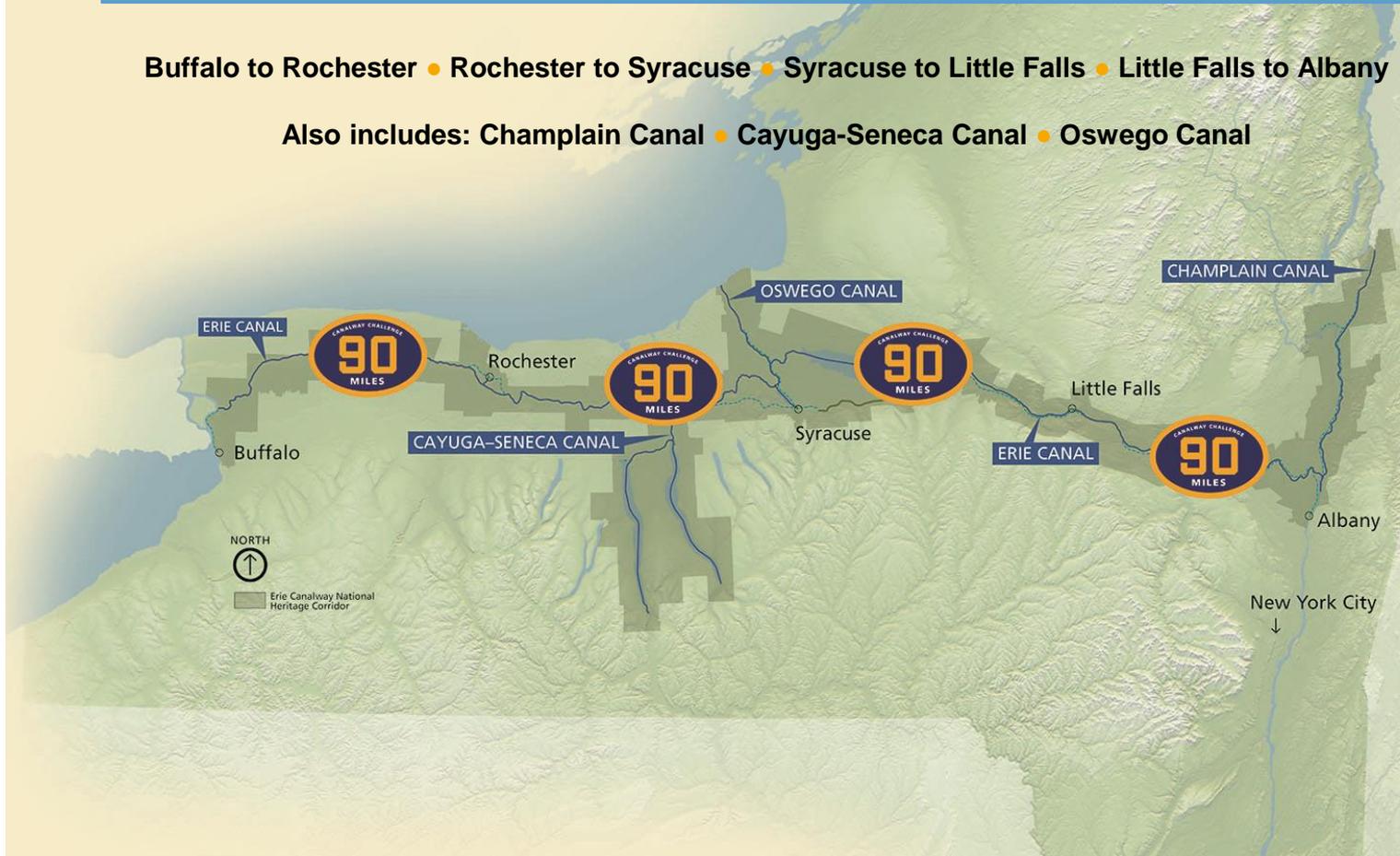
- Accessible
- Off road, mainly level cycling
- Calm water
- Regional variation
- Excellent resources: bike tours & paddling rentals
- Organized events
- Empire State Trail & Empire State Water Trail



Rack up the Regions

Buffalo to Rochester • Rochester to Syracuse • Syracuse to Little Falls • Little Falls to Albany

Also includes: Champlain Canal • Cayuga-Seneca Canal • Oswego Canal







**Capital Region
Regional Economic
Development Council**

DOS Division of Local Government Services Opportunities

Carl Ublacker

Department of State



**Division of Local
Government Services**

**Department of State
Funding Opportunities for Local
Governments**

Capital Region REDC

Meeting June 5, 2019

A Division of New York Department of State

June 5, 2019

Local Government Funding Programs

- CFA Program
 - Local Government Efficiency Grant (LGEG)
- Non – CFA Programs
 - Citizens Reorganization and Empowerment Grant (CREG)
 - Citizens Reorganization and Empowerment Tax Credit (CETC)
 - Municipal Restructuring Fund (MRF)
 - Countywide Shared Services Initiative (CWSSI)
 - Northern Border Regional Commission (NBRC)

Local Government Efficiency and Shared Services



Program Mission

Assist local governments develop and implement new opportunities for savings and modernize service delivery; inform local government officials and boards.



LGE Goals

- Reduce local costs
- Reduce property taxes
- Improve service delivery
- Increase competitiveness
- Coordinate solutions
- Focus limited resources
- Informed decisions



Capital Region LGE Projects

Schenectady County

- E911 Consolidation

Town and Village of Salem

- Village Dissolution

Cities of Schenectady, Troy, Gloversville and Amsterdam

- Shared Code Enforcement Software and Information

Capital Region LGE Projects

Town of North Greenbush

- Fire District Consolidation

Villages of Kinderhook and Valatie

- Shared Wastewater Services

Capital Region BOCES

- Shared Student Transportation Project

Local Government Efficiency Grant (LGEG)



LGEG Funding (CFA program)

\$4 million is available for 2019-2020 program

- Up to \$3.6 million for implementation
- Up to \$400,000 for planning projects



Project Funding Levels

Planning Projects

\$12,500 per municipality, per project

Maximum of \$100,000 for a project

50% State Funds/50% Local Funds

Implementation Projects

Capped at \$200,000 per municipality, per project

Maximum of \$1,000,000 for a project

90% State Funds/10% Local Funds

Eligibility

Local Governments Applicants

- Towns, villages, cities, counties
- School districts, BOCES and public libraries
- Fire and other special districts
- Water and sewer authorities, regional planning boards

Expenses

- Contractual and professional services
- Capital and equipment
- Transitional personnel



2018 Example Awards

Broome County - Regional Records Management Facility Study

Rockland County Sewer District - Wastewater Consolidation Study

Washington County - Countywide Property Assessment

Tioga County - Consolidated Information Technology Services

Roscoe Central School District - Three School Districts Shared
Services Implementation



Citizen's Reorganization and Empowerment Grant (CREG)

CREG (Non-CFA program)

Implements General Municipal Law Article 17-A

Local Government Consolidation and Dissolution

Special District Consolidation

- Water and Sewer Districts
- Fire Districts
- Fire Protection Districts
- Other District Types

CREG (Non-CFA program)

Non-Competitive

Monthly Deadline

Maximum of \$100,000 per project

- \$50,000 maximum for a study
 - \$25,000 expedited for petitioned local governments
- \$50,000 maximum for implementation

CREG (Non-CFA program)

Eligibility Applicants

- Towns
- Villages
- Special districts

Eligibility Expenses

- Contractual
- Capital, Construction and Equipment
- Transitional Personnel

Municipal Restructuring Fund (MRF)

MRF (Non-CFA program)

Continuous recruitment process

Project Roll Ups - 2nd Wednesdays of March, July and November

Four phases of project development

DOS review and participation at each phase

Projects may enter at appropriate phase

Countywide Shared Services Initiative (CWSSI)

CWSSI

Opportunity for State Match Funding

- Plans that create actual and demonstrable savings across multiple jurisdictions may be eligible for a one-time match of the net savings resulting from new actions implemented pursuant to the CWSSI.

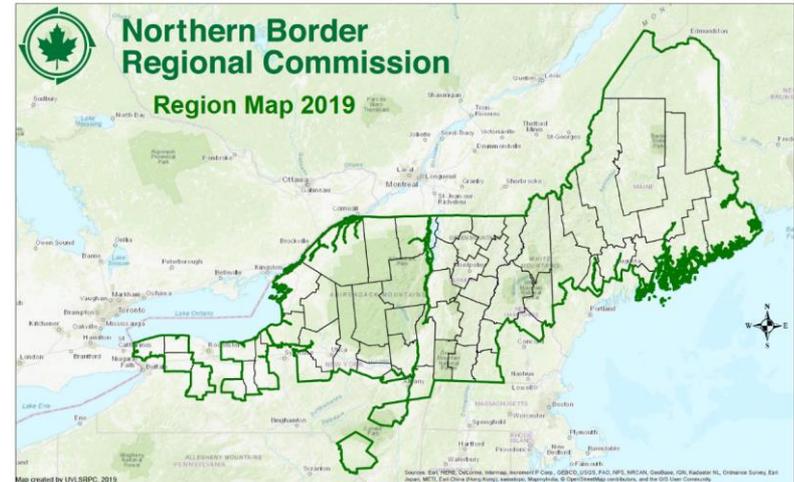


Northern Border Regional Commission (NBRC)



Northern Border Regional Development

- Four State Partnership Program
- Schenectady, Warren, Washington, Greene & Rensselaer Counties added in 2018
- Federal Funding/State Priorities
- Municipalities and non profits
- Application match (80/20 or 50/50)
- Planning and implementation
- \$3.5 million for New York State
- Forest Economy Focus



New York Department of State

Division of Local Government

518-473-3355

www.dos.ny.gov/lg/lut/index.html



**Capital Region
Regional Economic
Development Council**

Regional Director Report

CREDC Round 9 Update

**Michael Yevoli
Regional Director, Empire State Development**

6/5/2019

Schedule of Events

- June 10 – Warren/ Washington County CFA Information Session, Crandall Library
- June 12 – CFA Workshop- Proctors Theater, Schenectady
- June 13 – Port Industry Day – Port of Albany
- June 14 – Workforce Development Initiative – Century House, Latham
- June 19 – Rensselaer County CFA Information Session – IgniteU, Troy
-



**Capital Region
Regional Economic
Development Council**

Code of Conduct Overview

**Craig Alfred
Assistant Counsel, Empire State Development**



**Empire State
Development**

Regional Economic Development Councils Ethics Training

**June 2019
Privileged and Confidential**

6/5/2019

Code of Conduct

1. Conflicts of Interest
2. Confidential Information
3. Unwarranted Privileges

Conflicts of Interest

- Members shall avoid actual and potential conflicts of interest and shall not allow their personal interests, financial or otherwise, or those of a Relative, to interfere with, or appear to interfere with, their independent advice as a Member.

Examples of Potential Conflicts

- You (or a relative) are applying for a grant that will come before your REDC.
- You have received significant gifts or invitations from someone or some entity that has a matter before your REDC.
- A business partner or relative has a significant interest in an REDC project or in a competitor.
- You have real estate interests that will be directly impacted by an REDC project.
- You are soliciting funds for an organization, political campaign, or charity, from entities that are coming before you at the REDC.
- An client of your organization is applying for a grant and your organization would benefit financially if the grant application is approved.

Recusal

If a Member or his or her Relative has an interest as a board member, owner, officer, employee, Investor, or other financial interest, in an entity or project that is before the REDC (or in a competitor who has a financial interest), that Member may not:

- Participate in any Council discussion or vote,
- Attempt to influence such discussion or vote, or
- Review materials provided to the Council or distribute materials to the Council.

No Member shall attend such Council discussions or votes, unless such discussions or votes are taking place as part of a public meeting or proceeding.

These recusal provisions apply to co-chairs and other non-scoring members.

Documenting Conflicts

1. Statement of Interest Forms

Documenting Conflicts

2. Documentation Process for Recusals

Unwarranted Privileges

Members shall not use or attempt to use their position on the Council to secure unwarranted privileges for themselves, any other person, or any entity.

Confidential Information

Members shall not disclose information obtained as a result of Council membership that is not otherwise public, and shall not use information to further their own interests or those of another Member or Relative.

Questions?

At ESD:

Goldie Weixel

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212-803-3773

Ethics@esd.ny.gov



Privileged and Confidential



**Capital Region
Regional Economic
Development Council**

Concluding Remarks

**Havidán Rodríguez
CREDC Co- Chairs**



**Capital Region
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Public Comments/ Questions



**Capital Region
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Thank You!