



NEW YORK STATE PHOTONICS BOARD OF OFFICERS MEETING AGENDA

December 14, 2016
11:30 AM – 12:30 PM
Rochester Riverside Convention Center
123 East Main St.
Rochester, NY 14604

PUBLIC SESSION

- 11:30 AM Chair Welcome & Introductions**
John Maggiore, New York State Director of Policy
- 11:35 AM Opening Remarks**
Governor Andrew M. Cuomo
- 11:40 AM TAP Facility Site Selection (Presentation)**
Howard Zemsky, Empire State Development
- 11:55 AM Break**
- 12:00 PM Meeting Reconvene & Chair Report**
John Maggiore, New York State Director of Policy
- 12:05 PM Item #1 for Approval: Minutes**
Chair
- 12:10 PM Item #2 for Approval: TAP Facility Recommended Site**
Chair
- 12:15 PM AIM Photonics Funding Request 2017-18 (Presentation)**
Dr. Michael Liehr, SUNY Polytechnic Institute
- 12:25 PM General Discussion & Next Steps**
Chair & Board Officers
- 12:30 PM Adjourn**

Next Meeting: TBD

Item No. 1

NYS Photonics Board of Officers Meeting
June 22, 2016, 2:00 PM to 3:00PM
Eastman Business Park
200 West Ridge Road
Rochester, NY 14615

Board Members Present: John Maggiore, Anne Kress (Via Phone), Paul Tolley, Ryne Raffaele, Rob Clark, Ronald Goldblatt, Neil Supola (Via Phone), Robert Duffy, Rich Tobe, Dr. Michael Liehr and Vinnie Esposito.

Mr. Maggiore welcomed everyone and introductions were made of the NYS Photonics Board Officers.

Mr. Maggiore stated he appreciates all the work being done and today there have been significant steps being taken. He is awed by the opportunities that the photonics industry represents for the United States, New York and Rochester. The Institute of Integrated Photonics has the ability to propel New York State to the forefront of this unique technology and development opportunity, which also has the potential to transform economy of Rochester and the Finger Lakes region. The Governor understands this opportunity, which is why he has committed \$250M to Rochester. The photonics initiative is on the verge of moving from a state of planning to a state of doing.

Mr. Maggiore explained that the Board was created by the Governor to oversee and advise the state investment. The State Board will review the meeting minutes from their last meeting in January and also the proposal put forth for state funding.

Item No. 1 for Approval: Approval of Minutes from January 20, 2016.

Motion to approve the minutes made by Rob Clark and seconded by Paul Tolley. All members approved the minutes with no nays or abstentions. Minutes were approved.

AIM Photonics Funding Request Presentation was given by Dr. Michael Liehr of SUNY Polytechnic Institute. There are currently 30 members and more than 100 interested parties from 27 states.

Budgets for equipment and machinery are based on engineer's estimates and will be revised at a later date based on actual costs of the equipment and machinery.

In summary, the budget is as follows:

A total budget of \$612,877,000 with the federal government committing \$110 million and the state and other parties including the private sector companies providing the balance of \$502,877,000, including a commitment of \$250 million from New York State. Of the State commitment, AIM Photonics requested approval of an initial tranche of \$106 million through the end of State Fiscal Year (SFY) 2016-17, which ends March 2017. The initial request is for \$95

million to be used for equipment and capital costs – primarily for the new Testing, Assembly and Packaging (TAP) facility to be established in Rochester – and \$11 million for operating and maintenance costs. Approximately \$10 million of this request would be dedicated for wafer production costs at SUNY Poly facilities in Albany, with the rest being focused on TAP costs for Rochester.

Item No. 2 for Approval: Approval of AIM Photonics Expense Budget:

Motion to approve made by John Maggiore and seconded by Ryne Raffaele. All members approved the expense budget with no nays or abstentions. AIM Photonics Expense Budget approved.

There was a general discussion concerning the following issues:

The next steps in the process: FLREDC 6/23/16

Mr. Maggiore advised the Board that the site of the TAP facility would be determined by a competitive process in order to find the best location for the facility.

The Board discussed the need to schedule regular meetings, which it was agreed would generally occur semi-annually, with additional meetings as needed.

Mr. Maggiore provided a letter from Dr. Alexander Cartwright that supported the budget and the meeting minutes.

Meeting Adjourned at 2:38pm.

Next Meeting: To be determined.

Item No. 2

December 14, 2016

NEW YORK STATE PHOTONICS BOARD OF OFFICERS – Approval of TAP Facility Preferred Site

BE IT RESOLVED, that upon the basis of the materials presented at this meeting, the New York State Photonics Board of Officers (the “Board”) hereby recommends and approves the ON Semiconductor site located at 1964 Lake Avenue, Rochester (“the “ON Site”) as the preferred site to locate the AIM Photonics Test, Assembly and Packaging Facility (“TAP”); and be it further

BE IT RESOLVED, the Board hereby recommends and requests that the Board of Directors of the New York State Urban Development Corporation d/b/a Empire State Development approve the funding needed to acquire, construct and operate the TAP Facility; and be it further

BE IT RESOLVED, the Board hereby recommends that the SUNY Research Foundation, following all environmental and other requisite approvals, enter into the necessary agreements to acquire, construct and fit-out the ON Site as may be necessary to locate the TAP facility at the ON Site in accordance with the AIM Photonics Expense Budget previously approved by this Board; and be it further

RESOLVED, that the Board recommends that copies of this resolution be provided to the AIM Photonics Leadership Council and all other interested parties.

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