# STATE OF NEW YORK DEPARTMENT OF STATE

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# **MEMORANDUM**

**To:** Eric Gertler, Acting Commissioner/President/CEO of the Empire State Development

Corporation

From: Rossana Rosado, Secretary of State

Richard Ball, Commissioner, Department of Agriculture & Markets

Alicia Barton, President & CEO, NYS Energy Research and Development Authority

RoAnn Destito, Commissioner, Office of General Services

Matthew Driscoll, Executive Director, NYS Thruway Authority

Erik Kulleseid, Commissioner, Office of Parks, Recreation and Historic Preservation

Roberta Reardon, Commissioner, Department of Labor

Michael Schmidt, Commissioner, Department of Taxation & Finance

Brian Stratton, Director of Canals, NYS Canal Corporation

RuthAnne Visnauskas, Commissioner, Homes and Community Renewal

Senator Neil Breslin, 44<sup>th</sup> District Senator Anna Kaplan, 7<sup>th</sup> District

Assemblywoman Donna Lupardo, 123<sup>rd</sup> District Assemblywoman Helene Weinstein, 41<sup>st</sup> District

**Subject:** Review of Progress for the 2019 Regional Economic Development Council Initiative

**Date:** December 18, 2019

We are honored to serve as the Strategic Implementation Assessment Team (SIAT) for Governor Cuomo's Regional Economic Development Council (REDC) Initiative. In the past nine years, the REDC initiative has transformed how New York coordinates and invests in economic development. The REDC initiative has been enhanced with a fourth round of the \$100 million Downtown Revitalization Initiative.

In 2011, each Regional Council was charged with developing a Strategic Economic Development Plan that emphasized their region's unique assets and provided strategies to harness local resources, both human and capital, to stimulate regional economic development and create jobs statewide. The Strategic Economic Development Plans continue today with annual updates to address emerging state and regional challenges and opportunities, as well as updates that reflect priorities identified by many regions in Upstate Revitalization Initiative Plans, and in Western NY by the Buffalo Billion Plan.

In 2019, Regional Councils were asked to address state priorities that included working with local businesses and communities to identify childcare needs and develop potential solutions;

developing an economic and environmental justice strategy for the region; supporting the Workforce Development Initiative; tracking the progress of the strategic economic development plan and projects funded through the Consolidated Funding Application (CFA); and supporting community investment in placemaking and downtown revitalization.

Each region has a unique set of strategies to achieve greater economic prosperity and each has identified key regional industry clusters. Regional Councils were also asked to continue advancement of regional strategic economic development plans, and identification of projects that implement related strategies, including key industry clusters, regional business incubators, the regional Opportunity Agenda, veterans' participation in the workforce, and engagement of local government in the Regional Council process.

The Regional Councils again supported the Downtown Revitalization Initiative (DRI), a community planning process where one community in each region receives assistance to identify and develop the key ingredients needed for successful downtown revitalization. Regional Councils solicited interest in the program and chose one community each from among the many applicants. The ten DRI areas selected in 2019 include: Baldwin in the Town of Hempstead, Fulton, Hornell, Niagara Falls, Peekskill, Potsdam, Schenectady, Seneca Falls, Staten Island, and Utica. A local planning committee in each DRI will develop a vision, articulate a plan, and identify projects and other implementation steps with the help of \$10 million per DRI.

Annual reports were submitted by the Regional Councils and in November each presented its progress and plans to implement the region's economic development strategies. Each also reported on the status of DRI plans in the region. Regional Councils continue to build upon regional strengths, focusing on key and emerging industries as they seek new businesses and support incubators that can generate marketable ideas for the next generation of products. Regional Councils are also partnering with educational institutions, employers, and service providers to identify and train prospective employees and match them to jobs that are available in the region.

#### **2019 Annual REDC Competition**

Our task was to evaluate all ten regions based on the competition criteria and Regional Council priorities as described in the 2019 REDC Guidebook. Criteria used to evaluate the Annual Reports included but was not limited to:

#### Performance

- Impact on job creation and retention
- Business growth and leverage of private sector investments
- Economic activity as a result of regional strategies

#### **Progress**

- Implementation of regional strategies
- Execution of strategies addressing emerging state and regional challenges and opportunities
- Advancement of prior round priority projects

#### **Planning**

- Ability to take the next steps in growing the regional economy
- Collaboration with local governments

#### **Projects**

- Identification of projects to advance state and regional priorities
- Identification of projects in the region that would revitalize downtowns

#### **Participation**

- Engagement of the public and other stakeholders in the Regional Council process
- Regional unity and support of the progress report

#### **Awards**

All ten regions competed for up to \$150 million in capital funds and \$75 million in Excelsior Tax Credits for projects identified by the Regional Councils as priorities in their regions. In addition, each region will receive \$10 million to implement projects identified through the Downtown Revitalization Initiative, and projects from all ten regions submitted through the CFA were eligible for over \$525 million in other state agency funds.

The Strategic Implementation Assessment Team has identified five regions that merit the designation as Top Performers and a share of \$100 million in ESD Capital Grants. Selection of the Top Performers continues to be a difficult challenge, as the regions are taking a variety of approaches to very different challenges and opportunities and are all making progress implementing their strategic plans. However, after careful consideration we selected as Top Performers the following regions: Capital Region, Central NY, Long Island, Mohawk Valley, and Southern Tier.

The Capital Region Regional Economic Development Council (CRREDC) is taking a variety of actions to retain, build, and train a workforce that is prepared for jobs that match the region's focus on life sciences, manufacturing, and computer programming. The Talent strategy for the region continues to be a cradle-to-career approach to workforce development that connects job-seekers and employers with higher education institutions. For example, Hudson Valley Community College's new Haas Center for Advanced Manufacturing Skills and the Albany College of Pharmacology's new Center for Bio-Manufacturing Education and Training are contributing to the creation of training-toindustry pipeline and advancing both the Talent and Next Tech regional strategies. Recent data shows that jobs in the University R&D sector grew by over sixteen percent. Innovate 518, an Innovation Hot Spot, promotes the Lift-Off strategy and the key cluster of R&D to Commercialization by connecting startups with resources available in the Capital Region, including sourcing \$500,000 of funding in 2018. The Capital Region is one of 47 US metro areas that spent more than \$1 billion on R&D last year. Since its initial plan was adopted, placemaking has been the priority for the CRREDC as reflected in its "Metro" strategy. Projects are underway in the Downtown Revitalization Initiative (DRI) communities of Glens Falls, Hudson, and Albany and investments in the Saratoga Performing Arts Center will benefit Saratoga Springs and nearby communities. Schenectady was chosen as the DRI community for 2019.

- The Central New York Regional Economic Development Council (CNYREDC) continues to adhere to winning strategies from its Upstate Revitalization Initiative plan, as well as its original strategic economic investment plan. The region continues to support entrepreneurs in the tech sector and agriculture through programs and incubators including Genius NY, the Grow-NY Food Innovation and Agriculture Technology Business Challenge, and Syracuse Center of Excellence for Environmental and Energy Systems. Over the past year, investments in SyracuseCoE have allowed collaborators to secure more than \$17 million in additional funding to support industry-university collaborations. Career exploration programs starting no later than middle school are being advocated to encourage youth to consider agriculture as well as other career paths. CNYREDC continues to support projects and programs that are enablers of prosperity, such as scholarships and job training for underserved youth provided by anti-poverty initiatives like the Alliance For Economic Inclusion and Say Yes Syracuse, and pre-apprenticeship programs in agriculture and film. The region, in partnership with the Mohawk Valley, has demonstrated significant progress towards its goal of becoming a global hub for unmanned systems. Syracuse University also established an unmanned system policy institute. Central NY is positioning itself as a logistics hub, particularly for agribusiness, with upgrades to ports, airport, and a new USDA export office. The DRI strategic investment plan was completed for the City of Auburn and Fulton was selected as the DRI community for 2019.
- The Long Island Regional Economic Development Council (LIREDC) has a diverse approach to workforce development, with strong academic partnerships that support its focus on the commercialization of discovery. An artificial intelligence (AI) cluster has emerged, and Stony Brook University's new AI Institute for Discovery and Innovation will become a regional HUB. Long Island's workforce grew by nearly 12,000 jobs in the past year, with the region investing in training workers to fill jobs in biotech industries. To help maintain the workforce and combat the outmigration of young workers, the LIREDC supports place-making and is encouraging mixed-use development near transportation hubs and the transformation of former big box stores/malls to mixed-use places. The LIREDC is supporting mixed-use and affordable housing with funds for improved sewers in the 2018 DRI community of Central Islip and other downtown areas. Potential revitalization projects in Baldwin in the Town of Hempstead will be identified in Round Four of the DRI. The LIREDC recognizes the need to address a range of job opportunities, such as agritoursim and jobs associated with offshore wind power. A new work group will seek opportunities to develop a supply chain to support the construction, installation and operation of offshore wind projects, while other regional leaders will work with labor to prepare the workforce for wind industry-related careers.
- The Mohawk Valley Regional Economic Development Council (MVREDC) is encouraging placemaking investments and daycare centers in downtowns to attract and retain a young and growing workforce. Providing transportation alternatives for the

workforce is on the front burner, including efforts to bring jobs closer to where people live, provide a more walkable environment with pedestrian trails and bike paths, develop sites on bus routes, invest in Centro stations, and invest in downtown centers. More than 500 market rate and affordable housing units are planned or under construction in community centers. The 2019 DRI community of Utica also proposed a people-centric placemaking approach to leverage DRI investments. The region is taking a holistic approach to economic development and workforce development. For example, to develop a workforce for food systems MVREDC stressed creating a network of colleges serving rural areas to identify pathways to age-related jobs and working with middle schools using mobile classrooms. The MVREDC is relating STEM to agriculture, has the only PTECH agriculture program in the state, and is working with local schools to realign local curricula to prepare students for future jobs, including those in agriculture. To address a recurring concern over the lack of workers appropriate for open jobs, colleges are providing credit for apprenticeship programs and offering micro credentials that support middle-skills jobs in advanced manufacturing. In 2017 more than 100 advanced manufacturing jobs were added with wages 28% higher than the regional average wage.

The Southern Tier Regional Economic Development Council (STREDC) is clearly committed to the strategies in its winning Upstate Revitalization Initiative plan, making large investments into high profile anchor projects in Binghamton, Johnson City and Endicott and using the CFA process to support jobs in manufacturing and agriculture, the region's downtowns, and drivers of innovation. \$1.5 billion in private funds have been leveraged for projects throughout the region for a total investment of over \$1.8 billion and the creation/retention of more than 25,000 jobs. The STREDC seeks to make greater Binghamton a destination for talent and has broken a long trend of work force decline with an increase in both the numbers of people in the labor force and the number employed in 2018. It continues to strengthen its entrepreneurial ecosystem through innovation hot spots and business incubators. The region has experienced great growth in attracting venture capital funding, and it continues to have positive job growth in key sectors such as advanced manufacturing, transportation equipment manufacturing, food processing, and healthcare. In the past year, construction began on the new Lake Erie College of Osteopathic Medicine in Elmira. STREDC is working to increase its market share of the food and agriculture industry by addressing workforce and growing season challenges. This year it joined with two other regions to launch Grow-NY, a food and agricultural business competition to advance tech and innovation, receiving nearly 200 applications for prizes for new businesses. Hornell was selected as the region's fourth round DRI community.

Five regions should receive a share of \$50 million in ESD Capital Grants to help attract and grow business in their regions. The following regions are designated as Regional Awardees: Finger Lakes, Mid-Hudson, New York City, North Country, and Western NY.

The Finger Lakes Regional Economic Development Council (FLREDC) is maintaining
its momentum with its clear focus on reducing poverty, growing jobs, increasing regional

wealth, and driving private sector investment. The region also established new priority areas based on its current strengths and opportunities, such as growing incumbent firms, proactive outreach to targeted clusters, development of a regional brand, focusing on immediate and long-term talent needs, and investment in the urban core. Two new key industries were identified - Healthcare & Life Sciences and Software & Internet Technology - and support continues for the key cluster of Optics/Photonics/Imaging through industry attraction and the \$10 million Luminate NY accelerator program. Investments from the Upstate Revitalization Initiative like AIM Photonics and ROC the Riverway are facilitating an ecosystem of economic development and public-private partnerships, and Eastman Business Park is gaining vibrancy as a place to work, live, learn, and play. Through the DRI, the FLREDC is supporting placemaking efforts outside of Rochester, such as in the DRI community of Seneca Falls. Efforts to attract and retain young people to the region have been successful, in part due to the attraction of vital, dense, and culture-and entertainment-rich urban areas. The challenges of poverty and environmental justice are being addressed through a comprehensive approach led by the Rochester-Monroe Anti-Poverty Initiative which engages institutions large and small to work together on multiple issues, including education, healthcare, transit, child care and more.

- The Mid-Hudson Regional Economic Development Council (MHREDC) is building on its success by encouraging existing businesses to grow creating new jobs and an environment that brings in new businesses to the region. Several incubation programs are contributing to the future pipeline of talent as evidenced through the growth of regional cluster jobs which support the region's broader strategy of WORK and PLAY. MHREDC has adjusted its LIVE initiative to include retaining and attracting residents to the region, which is complemented by efforts to strengthen the region's identity as a premier tourist destination. Efforts have focused on increasing visitor spending and stimulating growth across related industries (food/beverage, accommodations, arts and recreation). Peekskill's selection as a DRI community will allow the city to link existing community assets and increase offerings in tourism, the arts, media, and light manufacturing. Regional strategies have evolved to include support for the manufacture of local products like grape juice and cider, and the expansion of the film and television production industry. MHREDC has developed strategies to address long-term unemployed in the healthcare field, recruiting people to re-enter the workforce through basic skills training. MHREDC also identified childcare projects targeted around extended hours for the hospitality industry and is currently working with corporate park owners and other major employers to identify locations for childcare facilities convenient to multiple employers.
- The New York City Regional Economic Development Council (NYCREDC) is focused on long-term planning to retain young people and support the economic growth of underserved populations and all neighborhoods across New York City. Priority projects receiving NYCREDC support must indicate long-term economic sustainability and projections for economic growth in terms of job creation, investment, and community benefits. Unemployment is at an all-time low of 4.2%, but it does not account for people

who stopped looking for jobs or who are underemployed. Multiple efforts target inclusion of various special populations in the workforce, such as high-risk court-involved youth, veterans, underemployed women, and low-income girls and women. City-wide pre-K for all 4-year-olds and care for 3-year-olds in high-need school districts helps parents return to the workforce, but gaps remain that the NYCREDC recommends for further study. There has been an influx of pharmaceutical, healthcare, and bio-IT companies to the region, and NYCREDC supports both new projects in its key cluster of Life Sciences, as well as upgrades and expansion of previously supported life sciences projects like the BioLabs@NYULangone incubator which provides startups with collaborative space, research equipment, and business support. Support is also provided for small and mid-size local manufacturers and artisans at the Greenpoint Manufacturing and Design Center where average salaries are \$51,000. To connect youth to tech jobs, the NYCREDC described an approach that would begin preparing students early in the education process, train teachers to overcome their own technology skill gaps, and expand use of community colleges.

- The North Country Regional Economic Development Council (NCREDC) identified several priorities for 2019, including building a regional identity, encouraging wise use of outdoor recreational assets, addressing the impacts of extreme weather on the tourism and agriculture industry, unlocking the economic potential of Fort Drum soldiers transitioning out of the Army, and seeking reliable cellular service. It is working successfully to implement a 2018 goal of hosting international sporting events, supported by investments in lodging and tourism facilities, such as the \$17 million Saranac Lake Resort, a children's museum in Potsdam, the region's 2019 DRI community. The NCREDC continues support for the Transportation Equipment cluster, working with Canadian and Norwegian industries that have invested in projects like a second Norsk Titanium facility that will open early in 2020. Fostering relationships with Canadian industries have bolstered job growth, exports, and diversified the types of manufacturing in the region. Agriculture is an important sector of the regional economy and is being supported by investment in hightech year-round food production, such as expanded greenhouse space and robotic technology at Agbotics. The region's young adult population remained steady, but labor force numbers are dropped 3.6 percent from 2016-2017. To tackle that issue the NCREDC is taking multi-faceted approaches which include co-working facilities and business incubators to aid small businesses and attract entrepreneurs to the region, job training for low-income residents, new programs at regional colleges, and identification of solutions to childcare issues.
- The Western NY Regional Economic Development Council (WNYREDC) continues its focus on creating job opportunities and building the quality of life that will attract and retain young adults. With unemployment at the lowest since 1990, the region is faced with the challenge of ensuring employers have access to talent to fill job openings and grow businesses. Programs that showcase training in the region include the Alfred State College Burgard Advanced Manufacturing Program that prepares high school students for a career in advanced manufacturing, Gerard Place on Buffalo's East Side where students receive both vocational and life skills training, and Buffalo Manufacturing Works in the Northland

Corridor which has secured more than \$1 million in federal funding through global partnership models with NASA, MTC of the UK, NAMIC in Singapore, and others. REDC has made the East Side Corridor a priority to improve quality of life in Buffalo. WNYREDC has invested both CFA projects and Buffalo Billion funds in multiple projects to help provide housing, infrastructure, and assets to help build the community. Investments in smart growth have resulted in brownfield remediation, preservation of agricultural land and expansion of cropland; and protection of waterfront. WNYREDC is implementing a strategy to increase tourism and extend visitor stays, supporting tourism projects like the Aquarium of Niagara and the National Comedy Center in Jamestown. DRI funds for 2019 invested in the Niagara Fall's Bridge District will build on recent state investments in the aquarium and train station, as well as commitments to remove the parkway along the along the Niagara Gorge Corridor.

#### Conclusion

In each of the regions, the Assessment Team was impressed by the continued dedication of the Regional Council members and their selection of strategic and realistic projects. We were also impressed by the work group volunteers, and staff of the Regional Empire State Development offices who serve the Regional Councils. We continue to believe that the REDC process is a recipe for success, bringing together the key ingredients of economic development, academic advancement of new ideas and products, and development of a workforce across all age groups and socioeconomic strata. While we may only recommend five regions as top performers, we believe all of the regions are winners. We extend our thanks and our congratulations to all Region Council members for the outstanding work they are doing to strengthen New York's economy.

# Capital Region Regional Economic Development Council 2019 Annual Report Assessment

#### I. Performance

#### a. Impact on job creation and retention

#### **Strengths**

- From 2017 2018 employment in the Life Sciences priority sector increased 7.2 percent.
- Since 2011, employment in the Life Sciences cluster increased by 56.4 percent.
- From 2017 2018 employment in the Creative Economy priority sector increased 3.4 percent.
- From 2017 2018 unemployment overall decreased from 4.3 percent to 3.8 percent.

#### Weaknesses

- From 2017 2018 the overall number of jobs in the region stayed flat.
- Overall employment growth in the Capital Region is slower than in the state as a whole.
- From 2017 2018 the number of jobs in the Research & Development to Commercialization sector declined 0.3%.

#### b. Business growth and leverage of private sector investments

#### Strengths

- As of August 2019, a total of \$118.1 million ESD capital funds have been awarded and leveraged \$869.9 million in private funds.
- The National Institutes of Health (NIH) provided \$62 million in grants to the region, the largest amount since FY 2004, and up 13.6 percent over the previous year.

#### **Weaknesses**

None noted.

#### c. Economic activity as a result of regional strategies

#### Strengths

- The Capital Region is one of 47 US metro areas (3 of which are in NY) that spent more than \$1 Billion on Research & Development last year.
- Life Sciences continue to grow, adding jobs and millions in outside grants and investments.
- In 2018, Innovate 518, the region's innovation hot spot program, assisted over 300 local startups.
- The CRREDC is building on its programs to help veterans and is expanding its strategy to include
  the Center for Economic Growth's Veterans Connect Center which aims to attract veterans from
  outside the region. The VCC is extending Capital Region employer access to "JobPath" software,
  which helps connect employers with veterans and their spouses from anywhere seeking jobs.

#### Weaknesses

None noted.

#### **II. Progress**

#### a. Implementation of regional strategies

#### Strengths

- In June, the Rensselaer County Industrial Development Agency approved plans for the AI Center of Excellence, an artificial intelligence incubator project funded in Round VIII.
- The CRREDC continues its Gateway strategy to build on Albany's geographic location as an ideal shipping and warehousing region. The Big Lift Maritime Operations Warehouse (#56345) was completed in 2018.
- The CRREDC has placed a high level of importance of building and training a workforce that is
  prepared for jobs that match its focus on "Next Tech" life sciences/manufacturing and computer
  programming. Hudson Valley Community College opened the Haas Center for Advanced
  Manufacturing Skills, allowing the Advanced Manufacturing Technology degree program to
  double its number of students.
- In 2017 the region added a focus on Digital Gaming and in February 2019 the Center for Economic Growth conducted a study of the region's gaming studios. 21 studios were surveyed and the CEG concluded there are 418 workers in the gaming development industry in the region, up from 352 the prior year. HV Gamer Con took place in March 2019 in Albany, bringing over 400 gamers to the Capital Region and helped establish the area as a destination for gaming-related events.

#### Weaknesses

None noted.

#### b. Execution of strategies that address emerging state and regional challenges and opportunities

#### Strengths

- The Community Loan Fund of the Capital Region, a non-profit community development financial
  institution, provided over \$200,000 in loans to minority and women-owned businesses. The
  CRREDC supported a 2019 CFA application for additional funding to double the capacity of the
  organization's incubator and provide access to associated services like affordable housing and
  child care.
- In 2018, the Innovation Hot Spot, Innovate 518, sourced and negotiated \$500,000 in private funding for local businesses and assisted in the commercialization of over 10 new companies. This advances the CRREDC Lift-Off strategy and the key cluster of R&D to Commercialization.
- The Veterans Connect Center launched in April 2019 to attract veterans to the Capital Region by connecting them with local career, educational, and community support services.
- CRREDC created a new 5-point Economic and Environmental Justice Strategy.

#### <u>Weaknesses</u>

None noted.

#### c. Advancement of prior round priority projects

#### Strengths 5 4 1

 There is a strong focus on training of the workforce for jobs through vocational (BOCES) and higher education programming, programs aligning with regional lift-off and next tech projects, and

- continued focus on the creative economy. For example, the Gene F. Haas Center for Advanced Manufacturing Skills and SUNY Adirondack's Glens Falls Culinary Arts Center launched in 2019.
- In the last year, six priority projects have been completed: Flomatic Plant Expansion, funded in Round VII, NYS Mesonet XCITE Laboratory, funded in Round V, Glens Falls Civic Center, funded in Round III, Port of Albany Big Lift, funded in Round V, Glens Falls Mixed-Use, Funded in Round II, JW Danforth Expansion, funded in Round VI.
- All priority projects funded in Round VIII are on schedule.

#### Weaknesses

 A biomedical Acceleration and Commercialization Center project in Rensselaer County is progressing slowly.

### **III. Planning**

#### a. Ability to take the next steps in growing the regional economy

#### Strengths

- The CRREDC has established workgroups and community connections that will enable it to continue promoting the growth of the regional economy in future years.
- A number of infrastructure projects have been proposed, are underway, or have been completed
  to facilitate movement of goods. For example, improvements are proposed to the Port of
  Schenectady, Albany International Airport, the Schenectady Amtrak Station, and the Port of
  Albany.
- There has been significant international migration into the Capital Region (16,965 from 2010-18, 1,813 in 2017-18) which has helped offset migration out of the region.

#### Weaknesses

 There has been persistently slow/flat population growth even when the economic indicators for the region have been very positive.

#### b. Collaboration with local governments

#### **Strengths**

- The CRREDC engaged local officials on a variety of initiatives relating to key priorities.
- The Center for Economic Growth (CEG) worked with local officials to identify and promote potential sites for development.

#### <u>Weaknesses</u>

None noted.

## **IV. Projects**

#### a. Identification of projects to advance state and regional priorities

#### Strengths

- The CRREDC supported a variety of priority projects to advance regional strategies, such as 8
  related to its Lift-off strategy, 10 related to the its Talent strategy, and 7 related to its Metro
  strategy.
- A variety of proposed priority projects also support state priorities, such as 7 that related to placemaking and downtown revitalization and 9 that related to Workforce Development.
- CREDC supported multiple priority projects and regionally significant projects related to child care goals.
- In continued support of its Gateway strategy and expansion of the Capital Region's capacity for shipping, CRREDC supported priority projects for two cold-storage sites and new capacity at the Port of Schenectady.

#### Weaknesses

None noted.

#### b. Identification of projects in the region that would revitalize downtowns needs

#### Strengths

- The CRREDC supported several applications for funding for projects in the Schenectady DRI area, including the Port of Schenectady and Capital Region Aquatic Center.
- The CRREDC advanced its Metro strategy with support for the Flach Hotel and event venue in Greene County, new parking in Albany's Livingston Square, and rehabilitation of the Roosevelt II Bathhouse in Saratoga Springs.

#### Weakness

None noted.

# V. Participation

#### a. Engagement of the public and other stakeholders in the Regional Council process

#### **Strengths**

- A range of leaders from the local business community are represented on committees and in working groups.
- The CREDC partnered with CEG to host 45 workshops, seminars, and conferences between September 2018 and October 2019. 3,568 individuals participated. It also resulted in 83 project tours contacting 320 individuals.
- The Arts, Culture, and Entertainment Workgroup hosted a discussion on the effects of cultural tourism attended by 250 people.
- The Workforce Development Workgroup held a 2019 Workforce Development Implementation Summit that was attended by 140 business and industry leaders and resulted in 16 Workforce Development Initiative (WDI) applications.

#### <u>Weaknesses</u>

None noted.

#### b. Regional unity and support of the progress report

#### **Strengths**

• In alignment with the region's gateway strategy, priority projects are concentrated throughout the region's core.

#### Weaknesses

 Outreach efforts have not been effective in generating priority project applications from Washington County, and only one priority project was identified for support in Greene and Warren Counties. However, multiple applications for non-priority projects were received from these counties.

CFA#	Region	Agency	Program	Project Name	Business Name	Agency Score	REDC Score	Total Score	Award	Awarded / Not- Awarded	Recusal
91270	Capital District	Arts	Arts ACFIP	Studio Barn Renovations	Art Omi Inc.	68.15	_	88.15	\$145,000	Awarded	Mike Tucker
91644	Capital District	Arts	Arts ACFIP	Equipment Upgrade	Hudson Opera House Inc. dba Hudson Hall	71.70	15	86.70	\$55,000	Awarded	Mike Tucker
87096	Capital District	Arts	Arts ACFIP	Capital Improvements to "The Mill"	Arts Letters and Numbers	69.63	10	79.63	\$145,000	Awarded	
93749	Capital District	Arts	Arts ACFIP	PS21 in 2020	PS21 Performance Spaces for the 21st Century	64.34	10	74.34	\$0	Not-Awarded	Mike Tucker
93596	Capital District	Arts	Arts ACFIP	The Hyde Collection's Auditorium Upgrade	The Hyde Collection	47.83	15	62.83	\$0	Not-Awarded	
94542	Capital District	Arts	Arts ACFIP	SPAC Roosevelt II Rehabilitation Project	Saratoga Performing Arts Center	42.33	20	62.33	\$0	Not-Awarded	
94160	Capital District	Arts	Arts ACFIP	Arts & Cultural Facilities Improvement Program Mid-Size Capital Project Fund	The Corporation of Yaddo	46.14	10	56.14	\$0	Not-Awarded	
91637	Capital District	Arts	Arts AIA	Hudson Jazz Festival	Hudson Opera House Inc. dba Hudson Hall	68.44	20	88.44	\$100,000	Awarded	Mike Tucker
92920	Capital District	Arts	Arts AIA	Trailblaze NY Festival	Albany Symphony	63.11	20	83.11	\$100,000	Awarded	David Buicko
91225	Capital District	Arts	Arts WFP OYRS	Workforce Training and Development Programs	Hubbard Hall Center for the Arts and Education	60.74	20	80.74	\$31,600	Awarded	Linda MacFarlane
94314	Capital District	Arts	Arts WIP MYC	Workforce Expansion	Media Alliance Inc.	71.85	15	86.85	\$38,000	Awarded	Linda MacFarlane
92503	Capital District	Arts	Arts WIP MYC	Workforce Expansion	The Arts Center of the Capital Region	65.19	20	85.19	\$23,200	Awarded	Linda MacFarlane
94409	Capital District	Arts	Arts WIP MYC	Workforce Expansion	Thomas Cole Historic House	60.74	20	80.74	\$33,000	Awarded	
93306	Capital District	Arts	Arts WIP MYC	Workforce Expansion	Mac-Haydn Theatre	52.59	15	67.59	\$0	Not-Awarded	Mike Tucker
91308	Capital District	Arts	Arts WIP MYC	Basilica Hudson Executive Director	Basilica Arts Inc	50.37	15	65.37	\$0	Not-Awarded	Melissa Auf der Maur, Mike Tucker
93667	Capital District	Arts	Arts WIP MYC	Bridge Street Theatre Managing Director Position	Bridge Street Theatre, INC	52.74	10	62.74	\$0	Not-Awarded	
93595	Capital District	Arts	Arts WIP MYC	The Hyde Collection NYSCA Workforce	The Hyde Collection	51.36	10	61.36	\$0	Not-Awarded	
94254	Capital District	Arts	Arts WIP MYC	Operation Unite NY Workforce Investment	Operation Unite New York	38.52	15	53.52	\$0	Not-Awarded	Mike Tucker
90769	Capital District	Arts	Arts WIP MYC	Administrative Assistant Position Expansion	Spencertown Academy Society	47.41	5	52.41	\$0	Not-Awarded	Mike Tucker
90160	Capital District	Arts	Arts WIP MYC	2020 Artistic Director Position	American Modern Ensemble	38.52	10	48.52	\$0	Not-Awarded	
93073	Capital District	Arts	Arts WIP MYC	Workforce Investment FT or PT Employee	Harmony Project Hudson	33.78	10	43.78	\$0	Not-Awarded	
94756	Capital District	Arts	Arts WIP MYC	Fence Workforce Development	Fence Magazine Inc	36.15	5	41.15	\$0	Not-Awarded	
92699	Capital District	Arts	Arts WIP MYC	Media and Marketing Associate	New York Folklore Society	8.89	20		\$0	Not-Awarded	Linda MacFarlane
94515	Capital District	Arts	Arts WIP OYRS	Workforce Expansion	Ancram Opera House	47.41	20	67.41	\$14,000	Awarded	Mike Tucker
92228	Capital District	Arts	Arts WIP OYRS	Workforce Expansion	Bindlestiff Family Variety Arts Inc.	53.33	10	63.33	\$17,500	Awarded	Mike Tucker

CFA#	Region	Agency	Program	Project Name	Business Name	Agency Score	REDC Score	Total Score	Award	Awarded / Not- Awarded	Recusal
91126	Capital District	Arts	Arts WIP OYRS	Youth Program Coordinator	Salem Art Works	22.96	20	42.96	\$0	Not-Awarded	
91855	Capital District	Canals	Canals	Northern Champlain Canal Trail Improvements	Washington County	71	20	91	\$150,000	Awarded	Andrew Meader, Todd Erling
94666	Capital District	Canals	Canals	Vischer Ferry Preserve Erie Canal Access Improvements	Town of Clifton Park	70	15	85	\$150,000	Awarded	
89476	Capital District	Canals	Canals	Champlain Canal Lock 2	The Community Builders, Inc.	57	20	77	\$0	Not-Awarded	Linda MacFarlane
90578	Capital District	Canals	Canals	Maalwyck Park Kayak Launch	Town of Glenville	60	15	75	\$0	Not-Awarded	
94861	Capital District	DEC	DEC CSC C	Climate Smart Communities	City of Troy	58	15	73	\$0	Not-Awarded	Mike Tucker
92789	Capital District	DEC	DEC CSC C	Warren County Climate Smart Community Certification Actions	Warren County	56	15	71	\$0	Not-Awarded	
93868	Capital District	DEC	DEC CSC C	Climate Smart Community GHG Inventory_Climate Action Plan	Town of Queensbury	51	10	61	\$0	Not-Awarded	
94936	Capital District	DEC	DEC CSC C	Climate Smart Communities Grant	Town of Niskayuna	48	10	58	\$0	Not-Awarded	
93116	Capital District	DEC	DEC CSC I	Cooling Center	Town of Chester	52	15	67	\$25,000	Awarded	
94757	Capital District	DEC	DEC CSC I	Hubbs Road Multi-use Path	Town of Clifton Park	46	15	61	\$278,271	Awarded	
92090	Capital District	DEC	DEC CSC I	Tri-District Safe Routes to School Sidewalks	Town of Glenville	42	15	57	\$434,000	Awarded	
91858	Capital District	DEC	DEC CSC I	Remsen Street Phase III	City of Cohoes	33	20	53	\$0	Not-Awarded	
90219	Capital District	DEC	DEC CSC I	2019 Tibbits Avenue Corridor Revitalization Project	Village of Green Island	29	20	49	\$0	Not-Awarded	
90834	Capital District	DEC	DEC EPG	Village of Whitehall Sewer Inflow and Infiltration Study	Village of Whitehall	80	20	100	\$100,000	Awarded	
91879	Capital District	DEC	DEC EPG	Village of Whitehall Wastewater Treatment Plant Disinfection Study	Village of Whitehall	80	15	95	\$30,000	Awarded	
91602	Capital District	DEC	DEC EPG	Town of Lake George Caldwell Sewer District Inflow and Infiltration Study	Town of Lake George	75	15	90	\$50,000	Awarded	
91861	Capital District	DEC	DEC EPG	City of Glens Falls Sewer Inflow and Infiltration Study	City of Glens Falls	70	15	85	\$100,000	Awarded	
93391	Capital District	DEC	DEC EPG	City of Cohoes Vliet Street Combined Sewer Overflow Abatement Study	City of Cohoes	70	15	85	\$30,000	Awarded	Jeff Stark
94034	Capital District	DEC	DEC EPG	Village of Greenwich Wastewater Treatment Plant Disinfection Study	Village of Greenwich	70	15	85	\$30,000	Awarded	
82305	Capital District	DEC	DEC EPG	Albany Water Board Combined Sewer Overflow Abatement Study	Albany Water Board	60	20	80	\$50,000	Awarded	
90383	Capital District	DEC	DEC EPG	Town of Hague Sewer Inflow and Infiltration Study	Town of Hague	60	20	80	\$30,000	Awarded	
91403	Capital District	DEC	DEC EPG	Village of Green Island Combined Sewer Overflow Abatement Study	Village of Green Island	65	15	80	\$30,000	Awarded	

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90291	Capital District	DEC	DEC EPG	Village of Fort Ann Collection System Study	Village of Fort Ann	47	20	67	\$30,000	Awarded	
92299	Capital District	DEC	DEC EPG	Inflow and Infiltration Study Phase II	City of Mechanicville	42	15	57	\$0	Not-Awarded	
92230	Capital District	DEC	DEC EPG	Inflow and Infiltration Study	Village of Chatham	32	15	47	\$0	Not-Awarded	
91871	Capital District	DEC	DEC EPG	EPG Village Wide II	Village of Ballston Spa	27	15	42	\$0	Not-Awarded	
92725	Capital District	DEC	DEC EPG	Stony Creek Sewer Feasibility Study	Cedarwood Engineering	21	20	41	\$0	Not-Awarded	
91936	Capital District	DEC	DEC NPS	Warren County Town of Hague Culvert Replacement Study	Warren County Soil and Water Conservation District	80	20	100	\$27,227	Awarded	
90285	Capital District	DEC	DEC NPS	Town of Lake George Green Infrastructure Feasibility Study	Town of Lake George	70	15	85	\$30,000	Awarded	
92724	Capital District	DEC	DEC NPS	Washington County Highway Department Green Infrastructure Feasibility Study	Washington County Soil and Water Conservation District	60	20	80	\$15,000	Awarded	
92726	Capital District	DEC	DEC NPS	Washington County Halfway Creek Streambank Stabilization Study	Washington County Soil and Water Conservation District	60	15	75	\$30,000	Awarded	
91339	Capital District	DEC	DEC NPS	Warren County Wincrest Drive Green Infrastructure Feasibility Study	Warren County Soil and Water Conservation District	60	15	75	\$19,864	Awarded	
93494	Capital District	DEC	DEC NPS	Washington County Farley Road Streambank Stabilization Study	Washington County Soil and Water Conservation District	52	15	67	\$20,000	Awarded	
94183	Capital District	DEC	DEC NPS	Warren County Town of Queensbury Culvert Replacement Study	Warren County Soil and Water Conservation District	45	15	60	\$27,227	Awarded	
92930	Capital District	DEC	DEC NPS	Town of Stillwater Saratoga Lake Green Infrastructure Feasibility Study	Town of Stillwater	35	20	55	\$30,000	Awarded	
93368	Capital District	DEC	DEC WQIP ACR	Clark Road Culvert Aquatic Connectivity Restoration	Trout Unlimited	58	10	68	\$191,789	Awarded	
94621	Capital District	DEC	DEC WQIP MS4	Vacuum Truck Purchase for MS4 2019	Town of Bethlehem	63	10	73	\$0	Not-Awarded	
94907	Capital District	DEC	DEC WQIP MS4	MS4 Vacuum Truck	Albany County Department of Public Works	42	10	52	\$0	Not-Awarded	
94101	Capital District	DEC	DEC WQIP NPS	Warren County Culvert Repair	Warren County Soil and Water Conservation District	68	10	78	\$66,000	Awarded	
92182	Capital District	DEC	DEC WQIP NPS	Lincoln Park Reflection and Learning Garden	CHA Consulting, Inc.	59	15		\$0	Not-Awarded	Jeff Stark
93976	Capital District	DEC	DEC WQIP NPS	Guilderland Street Sweeper	Town of Guilderland	42	10	52	\$0	Not-Awarded	
90574	Capital District	DEC	DEC WQIP Salt	Town of Rotterdam Salt Storage	Town of Rotterdam	78	10	88	\$357,000	Awarded	
93067	Capital District	DEC	DEC WQIP Salt	Guilderland Salt Storage Project	Town of Guilderland	28	10	38	\$0	Not-Awarded	

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90890	Capital District	DEC	DEC WQIP Salt	South Glens Falls Salt Storage Project 2019	Village of South Glens Falls	17	10	27	\$0	Not-Awarded	
93620	Capital District	DEC	DEC WQIP SWP	Albany Water Board Land Acquisition for Source Water Protection	Albany Water Board	68	10	78	\$996,399	Awarded	
94234	Capital District	DEC	DEC WQIP SWP	Town of Bethlehem Land Acquisition for Source Water Protection	Town of Bethlehem	53	10	63	\$280,000	Awarded	
94322	Capital District	DEC	DEC WQIP WWT CSO/SSO	City of Schenectady Sanitary Sewer Overflow Abatement	City of Schenectady	76	20	96	\$5,000,000	Awarded	Jeff Stark
93779	Capital District	DEC	DEC WQIP WWT CSO/SSO	City of Cohoes Combined Sewer Overflow Abatement	City of Cohoes	72	20	92	\$1,938,000	Awarded	
94288	Capital District	DEC	DEC WQIP WWT CSO/SSO	Albany Water Board Combined Sewer Overflow Abatement	Albany Water Board	62	20	82	\$5,000,000	Awarded	
91860	Capital District	DEC	DEC WQIP WWT CSO/SSO	City of Glens Falls Combined Sewer Overflow Abatement	City of Glens Falls	62	20	82	\$1,048,257	Awarded	
91443	Capital District	DEC	DEC WQIP WWT DIS	Village of Granville Wastewater Treatment Plant Disinfection	Village of Granville	76	20	96	\$80,000	Awarded	
89819	Capital District	DEC	DEC WQIP WWT DIS	Village of Fort Ann Wastewater Treatment Plant Disinfection	Village of Fort Ann	70	20	90	\$262,500	Awarded	
94315	Capital District	DEC	DEC WQIP WWT DIS	Village of Hoosick Falls Wastewater Treatment Plant Disinfection	Village of Hoosick Falls	64	20	84	\$1,000,000	Awarded	
89813	Capital District	DEC	DEC WQIP WWT GEN	2019 Clean Water Combined Sewer Project CSO	City of Watervliet	52	20	72	\$0	Not-Awarded	
92213	Capital District	DEC	DEC WQIP WWT GEN	Stormwater Separation Project - WQIP & CDBG PF/PI	City of Hudson	46	20	66	\$0	Not-Awarded	Mike Tucker
90059	Capital District	DEC	DEC WQIP WWT GEN	Improvements to Town of Hillsdale Sewer System	Town of Hillsdale NY	29	20	49	\$0	Not-Awarded	Mike Tucker
92086	Capital District	DEC	DEC WQIP WWT IOS	Ballston Lake Sewering to Eliminate Inadequate On-Site Septic Systems	Town of Ballston	56	15	71	\$5,000,000	Awarded	
93619	Capital District	DEC	DEC WQIP WWT WAT	Wastewater Treatment Plant Replacement and Enhancement	Village of Lake George	78	15	93	\$0	Not-Awarded	
91856	Capital District	DOS	DOS BOA	City of Cohoes Multi-modal Connectivity Master Plan	City of Cohoes	67.5	20	87.5	\$155,250	Awarded	
89414	Capital District	DOS	DOS BOA	Village of Philmont Rising	Village of Philmont	71.5	15	86.5	\$188,164	Awarded	Mike Tucker
91881	Capital District	DOS	DOS BOA	Village of Greenwich BOA	Village of Greenwich	58.5	20	78.5	\$200,000	Awarded	
90918	Capital District	DOS	DOS BOA	Village of Athens Brownfield Opportunity Area Nomination Study		52.5	20		\$0		Joseph Wildermuth
81484	Capital District	DOS	DOS LGE	Municipal Shared Pharmacy Coalition Expansion	Albany-Schoharie-Schenectady-Saratoga BOCES	52.333	20	72.333	\$400,000	Awarded	Joseph Dragone

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91741	Capital District	DOS	DOS LGE	Albany CSO Pool Corporation Program Administration	Capital District Regional Planning Commission	48	20	68	\$432,000	Awarded	
90749	Capital District	DOS	DOS LWRP	Town of Germantown Local Waterfront Revitalization Program	Town of Germantown	71.5	20	91.5	\$75,000	Awarded	Mike Tucker
91868	Capital District	DOS	DOS LWRP	Saratoga Lake Water Quality Study	Town of Stillwater	71	20	91	\$45,000	Awarded	
90677	Capital District	DOS	DOS LWRP	Fort Hardy Park Master Plan	Village of Schuylerville	70		90	\$48,750	Awarded	
90465	Capital District	DOS	DOS LWRP	Hudson River Park Master Plan	Town of Greenwich	69	20	89	\$49,000	Awarded	
93599	Capital District	DOS	DOS LWRP	Gooseberry Creek Revitalization Strategy Implementation Phase II	Village of Tannersville	67	20	87	\$1,300,000	Awarded	
89414	Capital District	DOS	DOS LWRP	Agawamuck Creek Watershed Management Plan	Village of Philmont	72	15	87	\$168,595	Awarded	Mike Tucker
92089	Capital District	DOS	DOS LWRP	Villages of Kinderhook and Valatie Local Waterfront Revitalization Program	Village of Kinderhook	66.5	20	86.5	\$75,000	Awarded	Mike Tucker
93492	Capital District	DOS	DOS LWRP	Scotia-Glenville Mohawk-Hudson Bike- Hike Trail Reconstruction	Town of Glenville	71	15	86	\$445,489	Awarded	
91935	Capital District	DOS	DOS LWRP	Mohawk Harbor Large Vessel Dockage	City of Schenectady	65.5	20	85.5	\$948,884	Awarded	Dave Buicko
92221	Capital District	DOS	DOS LWRP	First Wilderness North Creek Design	Warren County	68.5	15	83.5	\$0	Not-Awarded	
91938	Capital District	DOS	DOS LWRP	Cohoes Waterfront Park	City of Cohoes	61	20	81	\$0	Not-Awarded	
92670	Capital District	DOS	DOS LWRP	Town Beach Recreational Enhancements Project	Town of Sand Lake	65	15	80	\$0	Not-Awarded	
90138	Capital District	DOS	DOS LWRP	Waterfront Revitalization of the old Thompson Mill Site	Village of Valley Falls NY	58.5	20	78.5	\$0	Not-Awarded	
93517	Capital District	DOS	DOS LWRP	Resilient Lake George: Transforming Community Infrastructure to Protect Water Quality	Warren County	58	20	78	\$0	Not-Awarded	
92096	Capital District	DOS	DOS LWRP	Crescent Road Multi-Use Path Extension	Town of Halfmoon	57	20	77	\$0	Not-Awarded	Joseph Dragone
93695	Capital District	DOS	DOS LWRP	Waterfront Revitalization Community Connections and Strategic Investment on the west side of the Hudson River Skywalk	Village of Catskill	57	20	77	\$0	Not-Awarded	
93056	Capital District	DOS	DOS LWRP	Regional Green Infrastructure Assessment for Flood Attenuation	Washington County	65.5	10	75.5	\$0	Not-Awarded	Andrew Meader, Todd Erling
91015	Capital District	DOS	DOS LWRP	First Wilderness program 2019	Warren County	59	15	74	\$0	Not-Awarded	
94612	Capital District	DOS	DOS LWRP	Rensselaer Waterfront Improvements	Behan Planning and Design	56	15	71	\$0	Not-Awarded	
92827	Capital District	DOS	DOS LWRP	Charles R Wood Environmental Park Enhancements	Village of Lake George	58	10	68	\$0	Not-Awarded	

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90679	Capital District	DOS	DOS LWRP	Veterans Memorial Park Phase 3: Active Recreation Complex	Town of Bolton	52	15	67	\$0	Not-Awarded	
90681	Capital District	DOS	DOS LWRP	Battle Hill Trailhead Engineering	Town of Fort Ann	54	10	64	\$0	Not-Awarded	
89420	Capital District	DOS	DOS LWRP UCR	City of Watervliet Local Waterfront Revitalization Program Update	City of Watervliet	73.5	15	88.5	\$38,000	Awarded	
92990	Capital District	EFC	EFC GIGP	The Urban Grow Center	Capital Roots	25	20	45	\$0	Not-Awarded	Linda MacFarlane, Todd Erling
95074	Capital District	ESD	ESD Grants	Port Schenectady	Dagen Trucking, Inc.	78	20	98	\$1,560,000	Awarded	
90963	Capital District	ESD	ESD Grants	Yogurt Manufacturing and Cold Storage Facility	King Brothers Dairy, LLC	77	20	97	\$225,000	Awarded	Dennis Brobston
92916	Capital District	ESD	ESD Grants	New Cold Storage and Packing Facility	Klein's Kill Fruit Farms Corp	76	20	96	\$200,000	Awarded	Mike Tucker, Todd Erling
94107	Capital District	ESD	ESD Grants	Community Loan Fund Incubator Expansion - Clinton Avenue	Community Loan Fund of the Capital Region	75	20	95	\$800,000	Awarded	Linda MacFarlane, Mike Tucker
89315	Capital District	ESD	ESD Grants	Center for Technology Education (CTE) Expansion	Albany-Schoharie-Schenectady-Saratoga BOCES	74	20	94	\$5,000,000	Awarded	Joseph Dragone
91500	Capital District	ESD	ESD Grants	Cheese Plant Expansion	Lumazu, LLC dba Nettle Meadow	73	20	93	\$120,000	Awarded	
89536	Capital District	ESD	ESD Grants	Flach Development Hotel and Event Venue	Flach Development & Reality, Inc.	72	20	92	\$1,500,000	Awarded	Mike Tucker
94795	Capital District	ESD	ESD Grants	The Troy Public Market	Troy Waterfront Farmers Market	75	15	90	\$115,000	Awarded	Todd Erling
93235	Capital District	ESD	ESD Grants	Erie Landing	Highbridge / Prime Erie Development, LLC	74	15	89	\$800,000	Awarded	Dave Buicko
90999	Capital District	ESD	ESD Grants	New Milking Center and Free Stall Facility	Luncrest Farm, LLC	71	15	86	\$350,000	Awarded	
90716	Capital District	ESD	ESD Grants	Foreland and Book House Waterfront Development	Sister Properties, LLC	75			\$400,000	Awarded	
94513	Capital District	ESD	ESD Grants	Particle Therapy Systems	Best Medical International	69	15	84	\$1,070,000	Awarded	
92897	Capital District	ESD	ESD Grants	Troy Music Hall Expansion - The Alliance for Music Performance in Troy	Troy Savings Bank Music Hall	68	15	83	\$1,100,000	Awarded	Ruth Mahoney, Lauren Payne
93499	Capital District	ESD	ESD Grants	Steamboat 20	Pearl Over Look Corporation	67	15	82	\$120,000	Awarded	
94542	Capital District	ESD	ESD Grants	SPAC Roosevelt II Rehabilitation Project	Saratoga Performing Arts Center	61	20	81	\$1,500,000	Awarded	
90477	Capital District	ESD	ESD Grants	Health Sciences & Nursing Simulation Lab Expansion	The Sage Colleges	65	15	80	\$40,000	Awarded	Joseph Dragone, Jeff Stark
90216	Capital District	ESD	ESD Grants	Livingston Square Parking	Capital Repertory Company	59	20	79	\$150,000	Awarded	Havidan Rodriguez, Jeff Stark, David Buicko, Philip Morris, Bob Blackman
92110	Capital District	ESD	ESD Grants	A New Shaker Museum for Columbia County	Shaker Museum & Library	63	15	78	\$1,569,000	Awarded	Mike Tucker

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94380	Capital District	ESD	ESD Grants	CMOST 2019	Children's Museum of Science and Technology	57	15	72	\$600,000	Awarded	
94688	Capital District	ESD	ESD Grants	Expansion of Agricultural Producers Marketplace in Capital Region	Capital District Cooperative, Inc.	55	15	70	\$280,000	Awarded	Todd Erling
90950	Capital District	ESD	ESD Grants	The Greens Hotel at CGR	Catskill Golf Resort LLC	51	15	66	\$0	Not-Awarded	
93284	Capital District	ESD	ESD Grants	Connecting South Street Redevelopment	edc warren county	56	10	66	\$0	Not-Awarded	
93760	Capital District	ESD	ESD Grants	Trojan Hotel Hospitality Project	Broad 3 Development	55	10	65	\$0	Not-Awarded	
94712	Capital District	ESD	ESD Grants	Freihofer Building Redevelopment	Albany Center for Economic Success	52	10	62	\$0	Not-Awarded	Linda MacFarlane, Todd Erling
90327	Capital District	ESD	ESD Grants	Church of the Holy Innocents	City of Albany	46	15	61	\$0	Not-Awarded	Havidan Rodriguez, David Buicko, Philip Morris, Bob Blackman, Jeff Stark
92049	Capital District	ESD	ESD Grants	Times Union Center Parking Garage Improvements	Albany County	50	10	60	\$0	Not-Awarded	
94678	Capital District	ESD	ESD Grants	Nursing Home Tower Elevator Project	Albany County	49	10	59	\$0	Not-Awarded	Jeff Stark
91781	Capital District	ESD	ESD Grants	Center for Biomanufacturing Education and Training CBET	Albany College of Pharmacy and Health Sciences	38	20	58	\$0	Not-Awarded	
90215	Capital District	ESD	ESD Grants	Collaborative LED Lighting Project	City of Albany	41	15	56	\$0	Not-Awarded	David Buicko, Havidan Rodriguez, Philip Morris, Bob Blackman, Jeff Stark
94963	Capital District	ESD	ESD Grants	Capital Region Aquatic Center	Adirondack Aquatic Center Inc	35	20	55	\$0	Not-Awarded	David Buicko
93301	Capital District	ESD	ESD Grants	Park Commons Renovation	EASM Holdings LLC	40	10	50	\$0	Not-Awarded	Andrew Meader
94889	Capital District	ESD	ESD Grants	Historic Lexington House in Catskill Park	Lexington Arts + Science LLC	28	15	43	\$0	Not-Awarded	
90423	Capital District	ESD	ESD Grants	Synthetic Turf Recreation Complex		23		33	\$0	Not-Awarded	Andrew Meader, Bill Hart
94868	Capital District	ESD	ESD Grants	Image Quilt Center	Image Quilt Productions Inc	23	10	33	\$0	Not-Awarded	
92920	Capital District	ESD	ESD MNY	American Music Festival Trail Blazing	Albany Symphony	76	20	96	\$250,000	Awarded	David Buicko
91034	Capital District	ESD	ESD MNY	Erie Canal Accessibility Tourism Marketing Project	Erie Canalway Heritage Fund, Inc.	64	20	84	\$137,104	Awarded	
94195	Capital District	ESD	ESD MNY	Hudson Valley Gamer Con	ECAC Eastern College Athletic Conference	63	20	83	\$157,324	Awarded	
89536	Capital District	ESD	ESD MNY	Flach Development Hotel and Event Venue					\$500,000	Awarded	Mike Tucker
94359	Capital District	ESD	ESD MNY	Catskill Gateway	335 Main Street LLC	53	20	73	\$607,899	Awarded	
91357	Capital District	ESD	ESD MNY	2020 Collaborative Exhibition Marketing	The Olana Partnership, Inc.	55	15	70	\$200,000	Awarded	

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93597	Capital District	ESD	ESD MNY	The Hyde Collection Market New York	The Hyde Collection	50	15	65	\$0	Not-Awarded	
94162	Capital District	ESD	ESD MNY	NY Proud: The GymRat CHALLENGEA rite of passage for today & tomorrow's NBA, WNBA, and NCAA stars	GymRat Basketball, LLC	59	5	64	\$0	Not-Awarded	
94653	Capital District	ESD	ESD MNY	Regional Promotion of the Troy Waterfront Farmers Market	Troy Waterfront Farmers Market	50	10	60	\$0	Not-Awarded	Todd Erling
90950	Capital District	ESD	ESD MNY	The Greens Hotel at CGR	Catskill Golf Resort LLC	37	15	52	\$0	Not-Awarded	
92158	Capital District	ESD	ESD MNY	Capital Region Branding Campaign	Upstate Alliance For The Creative Economy	47	5	52	\$0	Not-Awarded	Joseph Dragone, Linda MacFarlane, Mike Tucker, Todd Erling, Andrew Meader
76647	Capital District	ESD	ESD MNY	Marketing Advertising Social Media Plan	Columbia County Historical Society	28	15	43	\$0	Not-Awarded	Mike Tucker
94531	Capital District	ESD	ESD SPFS	2019 Tannersville Painted Village Culinary Hub Strategic Investment Plan	Hunter Foundation	78	20	98	\$50,000	Awarded	Todd Erling
92656	Capital District	ESD	ESD SPFS	Town of Malta Strategic Infrastructure Plan	Town of Malta	74	20	94	\$37,500	Awarded	
91397	Capital District	ESD	ESD SPFS	Senior Citizens Center Feasibility Study	Senior Citizens Center of Saratoga Springs Inc	66	15	81	\$25,000	Awarded	
95062	Capital District	ESD	ESD SPFS	Troy Waterfront Farmers Market Public Market Hall	City of Troy	74	5	79	\$100,000	Awarded	Mike Tucker, Todd Erling
91734	Capital District	ESD	ESD SPFS	Parking Improvement Feasibility Study - ESD	City of Hudson	57	10	67	\$17,500	Awarded	Mike Tucker
94060	Capital District	ESD	ESD SPFS	Albany County Economic Development	Albany County	52	10	62	\$0	Not-Awarded	Jeff Stark, Todd Erling
91858	Capital District	HCR	HCR CDBG PF	Remsen Street Phase III	City of Cohoes	57	20	77	\$0	Not-Awarded	
92213	Capital District	HCR	HCR CDBG PI	City of Hudson Stormwater Separation Project	City of Hudson	55	20	75	\$750,000	Awarded	Mike Tucker
91872	Capital District	HCR	HCR CDBG PI	Village of Hudson Falls Waterline Project	Village of Hudson Falls	48	20	68	\$750,000	Awarded	
89723	Capital District	HCR	HCR CDBG PI	Village of Corinth Wastewater Infrastructure Improvements Project	Village of Corinth	47	20	67	\$729,000	Awarded	
91036	Capital District	HCR	HCR CDBG PI	Village of South Glens Falls Water System Upgrade	Village of South Glens Falls	41	20	61	\$919,560	Awarded	
91877	Capital District	HCR	HCR CDBG PI	Poultney and Williams Street Sewer Infrastructure	Village of Whitehall	33	20	53	\$0	Not-Awarded	

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93149	Capital District	HCR	HCR CDBG PI	North Broadway Redevelopment Program	City of Rensselaer	28	20	48	\$0	Not-Awarded	
94342	Capital District	HCR	HCR CDBG PI	Town of Chester Water Main Replacement Project	Town of Chester, Warren County	22	20	42	\$0	Not-Awarded	
91867	Capital District	HCR	HCR CDBG PI	Water District 6 Phase 2 East Street	Town of Stillwater	19	20	39	\$0	Not-Awarded	
94294	Capital District	HCR	HCR CDBGCP	Village of Tannersville Preliminary Planning/Engineering	Village of Tannersville	58	20	78	\$50,000	Awarded	
92460	Capital District	HCR	HCR CDBGCP	Town of Granville Water System Preliminary Engineering	Town of Granville	47	20	67	\$50,000	Awarded	
90845	Capital District	HCR	HCR CDBGCP	Village of Whitehall CDBG Planning Grant 2019	KSPE	46	20	66	\$0	Not-Awarded	
92211	Capital District	HCR	HCR CDBGCP	Community Youth Facilities Needs Assessment - CDBG	City of Hudson	53	10	63	\$0	Not-Awarded	Mike Tucker
90278	Capital District	HCR	HCR CDBGME	City of Watervliet Microenterprise Grant Program	City of Watervliet	61	20	81	\$200,000	Awarded	
93278	Capital District	HCR	HCR NYMS	Ancram Technical Assistance Project	Ancram Opera House Theater, Inc.	62	20	82	\$13,015	Awarded	Mike Tucker
89441	Capital District	HCR	HCR NYMS	Village of Cambridge NYMS	HomeFront Development Corporation	58	20	78	\$251,147	Awarded	
92224	Capital District	HCR	HCR NYMS	Catskill Main Street Phase Two	Catskill Mountain Housing Development Corporation	58	20	78	\$250,000	Awarded	
91534	Capital District	HCR	HCR NYMS	Schuylerville 2019 NYMS Project	Village of Schuylerville	48	15	63	\$0	Not-Awarded	
94969	Capital District	NYSERDA	NYSERDA CICC	SABIC Carbon Challenge Project	SABIC	51	20	71	\$500,000	Awarded	Lauren Payne
91360	Capital District	NYSERDA	NYSERDA NZEED	Basilica Hudson NetZero Campus	Basilica Industries LLC	67	20	87	\$950,139	Awarded	Melissa Auf der Maur, Mike Tucker
92922	Capital District	NYSERDA	NYSERDA NZEED	Net Zero Energy Roadmap for UAlbany	University at Albany	50	20	70	\$2,000,000	Awarded	Lauren Payne
93221	Capital District	NYSERDA	NYSERDA NZEED	1 Monument Square Geo Thermal	City of Troy	34	15	49	\$0	Not-Awarded	Mike Tucker
94542	Capital District	Parks	OPRHP HAS D	SPAC Roosevelt II Rehabilitation Project	Saratoga Performing Arts Center, Inc. (SPAC)	57.5	20	77.5	\$500,000	Awarded	
89476	Capital District	Parks	OPRHP HAS D	Champlain Canal Lock 2	The Community Builders, Inc.	53	20	73	\$0	Not-Awarded	Linda MacFarlane
92701	Capital District	Parks	OPRHP HAS D	The Woman's Club of Albany: Accessibility, Water Remediation, and Renovation	The Woman's Club of Albany, Inc.	57	15	72	\$0	Not-Awarded	
92182	Capital District	Parks	OPRHP HAS D	Lincoln Park Reflection and Learning Garden	CHA Consulting, Inc.	48.5	15	63.5	\$0	Not-Awarded	Jeff Stark
91258	Capital District	Parks	OPRHP HAS D	Lincoln Park East End Improvements Project - EPF	City of Albany	46.5	15	61.5	\$0	Not-Awarded	
92974	Capital District	Parks	OPRHP HAS D	Tricentennial Park Rennovations	Downtown Albany Restoration Program	43	10	53	\$0	Not-Awarded	
89873	Capital District	Parks	OPRHP HAS P	Historic Structure Report	Troy Public Library	50.5	15	65.5	\$58,961	Awarded	

CFA#	Region	Agency	Program	Project Name	Business Name	Agency Score	REDC Score	Total Score	Award	Awarded / Not- Awarded	Recusal
90199	Capital District	Parks	OPRHP HP D	Restoration of Livingston Mansion	Friends of Clermont, Inc.	60	20	80	\$197,041	Awarded	Mike Tucker
92701	Capital District	Parks	OPRHP HP D	Water Remediation, Accessibility and Renovation	The Woman's Club of Albany, Inc.	64	15	79	\$148,500	Awarded	
94652	Capital District	Parks	OPRHP HP D	Roof replacement	Bethesda Episcopal Church	61	10	71	\$0	Not-Awarded	
92691	Capital District	Parks	OPRHP HP D	Earl Chapel Tiffany Windows Restoration	Troy Cemetery Association	60.5	10	70.5	\$0	Not-Awarded	
92445	Capital District	Parks	OPRHP HP D	Myers Residence Entryways	Underground Railroad History Project of the Capital Region Inc	56	10	66	\$0	Not-Awarded	Linda MacFarlane
90575	Capital District	Parks	OPRHP HP D	Historic Mechanicville Train Station Rehabilitation Phase 2	City of Mechanicville	40	20	60	\$0	Not-Awarded	
94542	Capital District	Parks	OPRHP HP D	SPAC Roosevelt II Rehabilitation Project	Saratoga Performing Arts Center	38.5	20	58.5	\$0	Not-Awarded	
93032	Capital District	Parks	OPRHP HP D	Repairing the Roof	First Presbyterian Church	47	10	57	\$0	Not-Awarded	
90579	Capital District	Parks	OPRHP HP D	Yates Mansion Restoration Phase 2	Town of Glenville	39.5	15	54.5	\$0	Not-Awarded	
92394	Capital District	Parks	OPRHP HP D	Historic Window Restoration	Arts Center of the Capital Region	33	15	48	\$0	Not-Awarded	Linda MacFarlane
92900	Capital District	Parks	OPRHP HP D	Restoration Project	Wiawaka Holiday House	31	10	41	\$0	Not-Awarded	Linda MacFarlane
89873	Capital District	Parks	OPRHP HP P	Historic Structure Report	Troy Public Library	51.5	15	66.5	\$0	Not-Awarded	
89734	Capital District	Parks	OPRHP HP P	Oakwood Community Center Preservation and Accessibility Project	Oakwood Community Center	48.5	15	63.5	\$0	Not-Awarded	
93213	Capital District	Parks	OPRHP PKS A	Bender Melon Farm Acquisition	Mohawk Hudson Land Conservancy, Inc.	58.25	15	73.25	\$400,000	Awarded	
94974	Capital District	Parks	OPRHP PKS A	Caprood Tract at the Fort Anne Battlefield	American Battlefield Trust	47.25	15	62.25	\$0	Not-Awarded	
94217	Capital District	Parks	OPRHP PKS A	Parkland Acquisition	Town of Guilderland	25.25	15	40.25	\$0	Not-Awarded	
90045	Capital District	Parks	OPRHP PKS D	Harlem Valley Rail Trail Orphan Farm Road to Black Grocery Road Construction	Harlem Valley Rail Trail Association, Inc.	57	20	77	\$237,500	Awarded	Mike Tucker, Todd Erling
92031	Capital District	Parks	OPRHP PKS D	Collins Park Upgrades	Village of Scotia	56	20	76	\$194,875	Awarded	
93408	Capital District	Parks	OPRHP PKS D	Hilton Road Park	Town of New Scotland	60.5	15	75.5	\$411,620	Awarded	
91873	Capital District	Parks	OPRHP PKS D	Derby Park Renovation	Village of Hudson Falls	65.25	10	75.25	\$600,000	Awarded	
90287	Capital District	Parks	OPRHP PKS D	McPhillips Preserve at French Mountain	Town of Lake George	54.75	20	74.75	\$0	Not-Awarded	
92182	Capital District	Parks	OPRHP PKS D	Lincoln Park Reflection and Learning Garden	CHA Consulting, Inc.	59.5	15	74.5	\$0	Not-Awarded	Jeff Stark
90780	Capital District	Parks	OPRHP PKS D	Urban Trails Construction	City of Troy	52	20	72	\$0	Not-Awarded	Mike Tucker
89476	Capital District	Parks	OPRHP PKS D	Champlain Canal Lock 2	The Community Builders, Inc.	49		69	\$0	Not-Awarded	Linda MacFarlane
91258	Capital District	Parks	OPRHP PKS D	Lincoln Park East End Improvements Project - EPF	City of Albany	50.5			\$0	Not-Awarded	

CFA#	Region	Agency	Program	Project Name	Business Name	Agency Score	REDC Score	Total Score	Award	Awarded / Not- Awarded	Recusal
92929	Capital District	Parks	OPRHP PKS D	Firemans Grove Park and Family Recreation Expansion Project	Town of Ballston	53.75	10	63.75	\$0	Not-Awarded	
93435	Capital District	Parks	OPRHP PKS D	Reinhard Garden and Rail Trail Connection Trail	Friends of Taconic State Park	53.5	10	63.5	\$0	Not-Awarded	Mike Tucker
92974	Capital District	Parks	OPRHP PKS D	Tricentennial Park Rennovations	Downtown Albany Restoration Program	52.75	10	62.75	\$0	Not-Awarded	
90646	Capital District	Parks	OPRHP PKS D	Park	Delaware Engineering	50	10	60	\$0	Not-Awarded	
93653	Capital District	Parks	OPRHP PKS D	Camp Saratoga	Town of Wilton	44.75	10	54.75	\$0	Not-Awarded	
94117	Capital District	Parks	OPRHP PKS D	North Creek Ski Bowl: Year-Round Recreation Center	Olympic Regional Development Authority	32.5	20	52.5	\$0	Not-Awarded	
94542	Capital District	Parks	OPRHP PKS D	SPAC Roosevelt II Rehabilitation Project	Saratoga Performing Arts Center	29.5	20	49.5	\$0	Not-Awarded	
93017	Capital District	Parks	OPRHP PKS D	Dutchmans revitalization	Village of Catskill	38.5	10	48.5	\$0	Not-Awarded	
90577	Capital District	Parks	OPRHP PKS D	Capital Region Legacy Veterans Memorial Park	Town of Glenville	36.5	10	46.5	\$0	Not-Awarded	
91636	Capital District	Parks	OPRHP PKS D	Village of Colonie Parks Improvement	Village of Colonie	35.5	10	45.5	\$0	Not-Awarded	
90217	Capital District	Parks	OPRHP PKS P	Accessibility, Safety, and Educational Improvements at High Falls Conservation Area	Columbia Land Conservancy, Inc.	56.25	15	71.25	\$0	Not-Awarded	Mike Tucker, Todd Erling
92547	Capital District	Parks	OPRHP PKS P	Henry Hudson Riverfront Park & 7th Street Park Imprv Plan - EPF	City of Hudson	50.75	10	60.75	\$0	Not-Awarded	Mike Tucker
91328	Capital District	Parks	OPRHP RTP MS	The Saratoga County Association of Snowmobile Clubs, Inc. Trail Grooming Equipment Purchase	The Saratoga County Association of Snowmobile Clubs Inc	47	10	57	\$0	Not-Awarded	
92094	Capital District	Parks	OPRHP RTP NMD	Erie Canal Towpath Link	Town of Halfmoon	52	20	72	\$241,000	Awarded	Joseph Dragone
92032	Capital District	Parks	OPRHP RTP NMD	Hudson Mohawk Schonowee Trail	Village of Scotia	51.5	20	71.5	\$204,740	Awarded	
90223	Capital District	Parks	OPRHP RTP NMD	Greenport Trail Improvements	Columbia Land Conservancy, Inc.	49	20	69	\$0	Not-Awarded	Mike Tucker, Todd Erling
89050	Capital District	Parks	OPRHP RTP NMD	Brookhaven Park	Town of Greenfield	40.5	10	50.5	\$0	Not-Awarded	
94217	Capital District	Parks	OPRHP RTP NMD	Parkland Acquisition	Town of Guilderland	25	15	40	\$0	Not-Awarded	
89476	Capital District	Parks	OPRHP RTP NMS	Champlain Canal Lock 2	The Community Builders, Inc.	42	20	62	\$0	Not-Awarded	Linda MacFarlane
92932	Capital District	Parks	OPRHP RTP NMS	Riverfront Trail Enhancements	City of Rensselaer	43.625	15	58.625	\$0	Not-Awarded	

CFA#	Region	Agency	Program	Project Name	Business Name	Agency Score	REDC Score	Total Score	Award	Awarded / Not- Awarded	Recusal
89890	Capital District	Parks	OPRHP RTP NMS	Trail Groomer Purchase	South Warren Snowmobile Club Inc	47.25	10	57.25	\$0	Not-Awarded	

2019 Program Legend		
Agency	Abbreviation	Program Name
Arts	Arts ACFIP	Arts & Cultural Facilities Improvement Program - Mid-Size Capital Project Fund
Arts	Arts AIA	Arts and Cultural Initiatives - Arts Impact Awards
Arts	Arts WFP MYC	Arts and Cultural Initiatives - Workforce Programs Multi-Year Contracts
Arts	Arts WFP OYRS	Arts and Cultural Initiatives - Workforce Programs One Year Renewal Support
Arts	Arts WIP MYC	Arts and Cultural Initiatives - Workforce Investment Program Multi-Year Contracts
Arts	Arts WIP OYRS	Arts and Cultural Initiatives - Workforce Investment Program One Year Renewal Support
Canals	Canals	Canalway Grant Program
DEC	DEC CSC C	Climate Smart Communities Program - Certification
DEC	DEC CSC I	Climate Smart Communities Program - Implementation
DEC	DEC EPG	Engineering Planning Grant
DEC	DEC NPS	Non-Agricultural Nonpoint Source Planning Grant Program
DEC	DEC WQIP ACR	Water Quality Improvement Project - Aquatic Connectivity Restoration
DEC	DEC WQIP MS4	Water Quality Improvement Project - Municipal Separate Storm Sewer Systems
DEC	DEC WQIP NPS	Water Quality Improvement Program - Non-agricultural Nonpoint Source Abatement and Control
DEC	DEC WQIP Salt	Water Quality Improvement Program - Salt Storage
DEC	DEC WQIP SWP	Water Quality Improvement Program - Land Acquisition for Source Water Protection
DEC	DEC WQIP WWT CSO/SSO	Water Quality Improvement Program - Wastewater Treatment Improvement Combined Sewer Overflow/Sanitary Sewer Overflow
DEC	DEC WQIP WWT DIS	Water Quality Improvement Program - Wastewater Treatment Improvement Disinfection
DEC	DEC WQIP WWT GEN	Water Quality Improvement Program - Wastewater Treatment Improvement General Wastewater Improvements, secondary priority
DEC	DEC WQIP WWT IOS	Water Quality Improvement Program - Wastewater Treatment Improvement Inadequate On-Site Septic Systems
DEC	DEC WQIP WWT NIT	Water Quality Improvement Program - Wastewater Treatment Improvement Nitrogen Abatement Projects for Shellfishing Waters
DEC	DEC WQIP WWT WAT	Water Quality Improvement Program - Wastewater Treatment Improvement Watershed Plan Implementation
DOS	DOS BOA	Brownfield Opportunity Area Program
DOS	DOS LGE	Local Government Efficiency Program
DOS	DOS LGE P	Local Government Efficiency Program - Planning
DOS	DOS LWRP	Local Waterfront Revitalization Program
DOS	DOS LWRP UCR	Local Waterfront Revitalization Program - Updating LWRP to Address Climate Change and Resiliency
EFC	EFC GIGP	Green Innovation Grant Program
ESD	ESD Grants	Empire State Development Grant Program
ESD	ESD MNY	Market New York
ESD	ESD SPFS	Strategic Planning and Feasibility Studies
HCR	HCR CDBG PF	Community Development Block Grant - Public Facilities
HCR	HCR CDBG PI	Community Development Block Grant - Public Infrastructure
HCR	HCR CDBGCP	Community Development Block Grant - Community Planning
HCR	HCR CDBGME	Community Development Block Grant - Microenterprise
HCR	HCR NYMS	New York Main Street
NYSERDA	NYSERDA CICC	Commercial and Industrial Carbon Challenge
NYSERDA	NYSERDA NZEED	Net Zero Energy for Economic Development
OPRHP	OPRHP HAS A	Heritage Area Systems (Acquisition)
OPRHP	OPRHP HAS D	Heritage Area Systems (Development)
OPRHP	OPRHP HAS P	Heritage Area Systems (Planning)
OPRHP	OPRHP HP A	Historic Property (Acquisition)
OPRHP	OPRHP HP D	Historic Property (Development)
OPRHP	OPRHP HP P	Historic Property (Planning)
OPRHP	OPRHP PKS A	Parks (Acquisition)
OPRHP	OPRHP PKS D	Parks (Development)
OPRHP	OPRHP PKS P	Parks (Planning)
OPRHP	OPRHP RTP D	Parks - Recreational Trails Program Diverse
OPRHP	OPRHP RTP MS	Parks - Recreational Trails Program Motorized Single Use
OPRHP	OPRHP RTP NM	Parks - Recreational Trails Program Non-Motorized
OPRHP	OPRHP RTP NMD	Parks - Recreational Trails Program Non-Motorized Diverse
OPRHP	OPRHP RTP NMS	Parks - Recreational Trails Program Non-Motorized Single Use