Welcome to the 2022 Consolidated Funding Application Information Session

Agenda

• REDC Round XII Resources Overview
• Mid-Hudson REDC Vision and Strategy
• Consolidated Funding Application (CFA)
  • Application Tips
• Key Aspects of the ESD Capital Grant
• Resources
• Q&A
Regional Economic Development Councils
Round 12

Economic Development

- The Regional Economic Development Councils support the state’s innovative approach to economic development, which empowers regional stakeholders to establish pathways to prosperity, mapped out in regional strategic plans.

- The unprecedented economic devastation caused by the COVID-19 pandemic highlighted the importance of inclusive growth and resilient strategies.

- The Strategic Plan will continue to be the basis for economic development investment in each region.
**The Consolidated Funding Application (CFA)**

- Streamlined approach to funding requests
- A single portal allowing access to funding from various agencies and programs
- Currently over 20 programs from 10 state agencies

VISIT REGIONALCOUNCILS.NY.GOV

- Governor Hochul announced funding for Round 12 is available from over 20 programs across 10 state agencies.
- $150 million in ESD Grant Funds will be available on a rolling basis.
- Emphasis on project readiness and alignment with regional strategy.
- NEW: Funding available through both the REDC Initiative and CFA standalone programs. Round 12 programs have an application deadline of July 29 at 4pm.
- REDCs will be made aware of projects applying to standalone programs but REDCs will not score these projects.
- Open Enrollment programs will continue to accept applications on an ongoing basis and are not subject to the July 29th 4pm deadline.
2022 CFA Available Resources

**Available Resources for Regional Councils in 2022**

Programs subject to the 4:00 PM July 29, 2022 Deadline:

- Empire State Development: Up to $23.125 million
  - Market New York
  - Business Incubator Program

- Homes and Community Renewal: Up to $4.2 million
  - New York Main Street Program

- Office of Parks, Recreation and Historic Preservation: Up to $20.875 million
  - Environmental Protection Fund Grants Program for Parks, Preservation and Heritage (EPF)

- Department of State: Up to $20.3 million
  - Local Waterfront Revitalization Program
  - Brownfield Opportunity Area Program

- New York State Canal Corporation: Up to $1 million
  - Canalway Grants Program

**Open Enrollment Programs**

- Empire State Development: Up to $225 million
  - Up to $750 million for RISE Grant Funds
  - Up to $75 million for Excelsior Jobs Program

- New York State Energy Research and Development Authority: Up to $2 million
  - Up to $2 million for Energy Efficiency Programs

- New York State Power Authority: Up to 17.9 Megawatts
  - Up to 17.9 Megawatts for the BailCharge NY Program

- Federal Industrial Development Bond Cap: Up to $150 million
  - Up to $150 million for Industrial Development Bond Cap (IDB)

Open Enrollment programs will continue to accept applications on an ongoing basis and are not subject to the July 29th deadline.

* These are the standalone programs. REDCs will be made aware of projects applying to these programs but REDCs will not score these projects.

**REDC-Scored Programs Subject to 4PM July 29th Deadline**

- New York State Energy Research and Development Authority: Up to $25 million
  - Carbon Neutral Economic Development Program
  - Commercial and Industrial (C&I) Carbon Challenge

- New York Department of Agriculture and Markets: Up to $5.8 million
  - New York State Grown & Certified Infrastructure, Technology, Research and Development Grant Program

Visit [regionalcouncils.ny.gov/mid-hudson](http://regionalcouncils.ny.gov/mid-hudson) for details!
CFA Standalone Programs Subject to 4PM July 29th Deadline

**Empire State Development**
- Strategic Planning and Feasibility Studies

**Homes and Community Renewal**
- New York State Community Development Block Grant Program

**Department of State**
- Smart Growth Comprehensive Planning Grant Program
- Local Government Efficiency Program

**Department of Environmental Conservation**
- Climate Smart Communities Grant Program
- Water Quality Improvement Project (WQIP) Program
- Non-Agricultural Nonpoint Source Planning and Municipal Separate Storm Sewer System (MS4) Mapping Grant Program

**Environmental Facilities Corporation:** Up to $17.5 million
- Green Innovation Grant Program
- NYS EFC Wastewater Infrastructure Engineering Planning Grant Program

Visit [regionalcouncils.ny.gov/mid-hudson](http://regionalcouncils.ny.gov/mid-hudson) for details!

Open Enrollment Programs: REDC-Scored

**Empire State Development**
- ESD Grant Funds
- Excelsior Jobs Program

**New York State Power Authority:** Up to 17.9 Megawatts
- ReCharge NY Program

Visit [regionalcouncils.ny.gov/mid-hudson](http://regionalcouncils.ny.gov/mid-hudson) for details!
Open Enrollment: Standalone Programs

New York State Energy Research and Development Authority (NYSERDA): Up to $2 million
• Energy Efficiency Programs

Federal Industrial Development Bond Cap: Up to $150 million
• Industrial Development Bond Cap (IDBC)

Visit regionalcouncils.ny.gov/mid-hudson for details!

CFA Timeline

Deadline Programs

MAY 2, 2022
CFA OPENS

JULY 29, 2022
CFA closes at 4PM sharp
No late submissions!

LATE FALL 2022
Award announcements

Open Enrollment Programs

MAY 2, 2022
CFA OPENS

CFA remains open until funds are exhausted.
Projects are reviewed on quarterly basis or more frequently if needed.
Mid-Hudson Regional Economic Development Council
Vision and Strategy

The Mid-Hudson Region
Dutchess • Orange • Putnam
Rockland • Sullivan • Ulster • Westchester
MHREDC Vision

The MHREDC imagines a future that includes dynamic community centers featuring businesses in thriving industry sectors, residents from all walks of life benefitting from quality employment, and countless tourist attractions that make the Mid-Hudson a unique destination and place to live.

Mid-Hudson Awards by Year

$818.3M INTO THE REGION FOR 1,037 AWARDS

$2.7B OF ECONOMIC ACTIVITY
MHREDC Strategy

Empire State Development

State Economic Development Strategic Pillars

Placemaking

Workforce

Innovation

Tradeable Sectors
### MHREDC Strategy

**LIVE**
- Smart Growth
- Transit Oriented Development
- Downtown Revitalization
- Retention of Residents
- Infrastructure Improvements
- Placemaking
- Environmental Justice
- Healthcare

**WORK**
- Workforce
- Life Sciences
- Advanced Manufacturing
- Information Technology
- Distribution
- Innovation
- Financial & Professional Services
- Film & Television Production
- Diverse Small Businesses

**PLAY**
- Premier Tourist Destination
- Food & Beverage
- Local Agriculture
- Arts, Culture, Recreation
- Resiliency

### State Priorities

<table>
<thead>
<tr>
<th>State Priority</th>
<th>LIVE</th>
<th>WORK</th>
<th>PLAY</th>
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<tbody>
<tr>
<td>Business Incubators and Hot Spots</td>
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<tr>
<td>Child Care</td>
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<tr>
<td>Downtown Revitalization &amp; Placemaking</td>
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<td>Environmental Justice</td>
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<td>Life Sciences</td>
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<tr>
<td>Opportunity Agenda</td>
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<td>Regional Economic Cluster Plan: Food &amp; Beverage Manufacturing Supply Chain</td>
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<tr>
<td>Workforce Development</td>
<td>•</td>
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<tr>
<td>Veterans</td>
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Past Project Highlight

**LIVE**

**Saw Mill River Daylighting**

Organization: City of Yonkers

CFA #: 19436, 26857, 39716, 65952

Location: City of Yonkers, Westchester County

Total Project Cost: $37+ Million

REDC Awards:
- $921,425 – EFC Green Innovation Grant – Round II
- $1,076,977 – EFC Green Innovation Grant – Round III
- $2,500,000 – ESD Capital Grant – Round IV
- $2,400,000 – DEC WQIP – Round VI

Past Project Highlight

**WORK**

**RBW Studio**

Organization: RBW Studio

Project #: 134,117

Location: Town of Ulster, Ulster County

Total Project Cost: $5.4+ Million

REDC Awards:
- $547,500 Excelsior Tax Credits
Past Project Highlight

**PLAY**

**LEGOLAND New York**

**Organization:** Merlin Entertainments

**CFA #:** 43451, 57588, 57595, 71029

**Location:** Town of Goshen, Orange County

**Total Project Cost:** $420+ Million

**REDC Awards:**
- $15 Million ESD Capital Grants
- $100,000 ESD Market NY
- $8 Million Upstate Revitalization Initiative

**Other State Awards:**
- $10,588,000 NYSDOT

**Consolidated Funding Application Overview**
**CFA Review & Scoring**

<table>
<thead>
<tr>
<th>IMPLEMENTATION OF THE PLAN</th>
<th>DEGREE</th>
<th>POINTS</th>
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</thead>
<tbody>
<tr>
<td>The proposal is identified as a priority project by the Regional Council.</td>
<td>Priority</td>
<td>20</td>
</tr>
<tr>
<td>The proposal is identified in the plan and has regional benefits</td>
<td>Very Strong</td>
<td>15</td>
</tr>
<tr>
<td>The proposal advances the plan and has local benefits</td>
<td>Strong</td>
<td>10</td>
</tr>
<tr>
<td>The proposal is consistent with the plan and has project level benefits</td>
<td>Moderate</td>
<td>5</td>
</tr>
<tr>
<td>The proposal has limited relationship to the plan.</td>
<td>Weak</td>
<td>0</td>
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</table>

**REDC Endorsement**

- 20%

**Agency Technical Review**

- 80%

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**RegionalCouncils.ny.gov/mid-hudson**

To access the CFA, click “Apply now”

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**Mid-Hudson Regional Economic Development Council**
REDCs will be made aware of projects applying to the standalone programs but REDCs will not score these projects. Applicants can access information on these programs by going to the CFA Home Page, clicking on the Available CFA Resources Link or the Program Recorded Webinars Link, then selecting standalone CFA Programs.

Accessing Standalone RFAs

Accessing Standalone Webinars
CFA Overview

CFA Overview (continued)

Threshold
Empire State Development Grant Funds

Q 3115: Does the proposed project budget only include capital expenditures? By selecting yes, you are confirming that project funding will only be used for one or more of the following categories:
- Acquisition of real, tangible, intangible, and/or equipment;
- Acquisition of new, existing, or renovated buildings;
- Environmental improvement projects;
- Acquisition of furniture and fixtures;
- Construction or installation of a project (not less than 5% of total project costs), and
- Planning and feasibility studies related to a specific capital project.

Option: Yes | No

Q 3116: Have any other expenses for this project or in the case of a multi-phase project, the phase of the project for which funds are being requested been incurred or are expected to be incurred prior to an award of funding?

Option: Yes | No

Q 3117: Does the proposed project budget include a 10% cash equity contribution from the Applicant?

Option: Yes | No

Q 3119: Will the funds be used by the recipient (applicant) to create a program to disburse funds to sub-recipients?

Option: Yes | No
CFA Overview (Continued)

**Application Tips**
Application Tips
✓ **Review** the 2022 Resources Available Guide
✓ **Answer** the questions asked
✓ **Articulate the economic impact** and connection to Regional Strategy
✓ **Address** State Priority Questions
✓ **Collect** Letters of Support
✓ **Not-for-Profits**: Register with Grants Gateway
✓ **Tech Support**: CFA-Tech@ny.gov
✓ **Apply BEFORE** the deadline of July 29th at 4PM (for non-open enrollment programs)

### Example Program Budget (ESD Capital Grant)

<table>
<thead>
<tr>
<th>Use</th>
<th>Source</th>
<th>Status</th>
<th>Amount</th>
<th>Comments</th>
</tr>
</thead>
<tbody>
<tr>
<td>Infrastructure/Site Work</td>
<td>Cash Equity</td>
<td>Secured</td>
<td>$500,000</td>
<td></td>
</tr>
<tr>
<td>Construction/Renovation</td>
<td>Lending Institution</td>
<td>Anticipated</td>
<td>$800,000</td>
<td>XYZ Bank - application submitted</td>
</tr>
<tr>
<td>Construction/Renovation</td>
<td>ESD Grant Funds</td>
<td>Anticipated</td>
<td>$100,000</td>
<td>Also applying for Market NY Capital Grant</td>
</tr>
<tr>
<td>Architectural/Engineering/Soft Costs</td>
<td>Cash Equity</td>
<td>Secured</td>
<td>$100,000</td>
<td></td>
</tr>
<tr>
<td><strong>TOTAL</strong></td>
<td></td>
<td></td>
<td><strong>$1,500,000</strong></td>
<td></td>
</tr>
</tbody>
</table>
Key Aspects of ESD Grant Awards

• “But for” clause

• Minority and Women-owned Business Enterprise (MWBE) Goals
  o New York State Contract System: https://ny.newnycontracts.com
  o MWBE Utilization Plan and Reporting

• Performance-based awards
  o Project Completion
  o Job Creation

• Labor Peace Agreement

See the “2022 Resources Available Guide” for more at regionalcouncils.ny.gov/mid-hudson
ESD Capital Grant Post-Award Steps Overview

- **CFA Award Announcement**
- **Incentive Proposal**
  - • Application Fee
- **MWBE Utilization Plan and Reporting**
- **Project Completion**

  **Grant Disbursement Agreement**
  - • 1% Commitment Fee + Public Hearing costs

- **Public Authorities Control Board**
- **Public Hearing**
- **ESD Board Review**

  **Request Disbursement**
  - **Disbursement**
  - **Compliance Reporting**

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CFA Round XII – Available Resources

**On the Web:**
Regionalcouncils.ny.gov/mid-hudson

Resources include:
• Available Resources Guide
• Program Summaries
• REDC Guidebook
• CFA Application Manual
• CFA Program Webinars
• Tech Support: CFA-Tech@ny.gov

**Regional Office:**
845-567-4882 | nys-midhudson@esd.ny.gov

**CFA Application Deadline:** Friday, July 29, 4pm SHARP!
For Awareness – Other State Funding Programs

- NYSCA FY2023 Opportunities - [arts.ny.gov/FY2023FundingOpportunities](arts.ny.gov/FY2023FundingOpportunities)
  - Deadline to apply: July 12, 2022, at 4PM
- Downtown Revitalization Initiative (DRI) Round VI – stay tuned
- NY Forward Communities – stay tuned
- Restore NY – stay tuned
- Fast NY – Shovel Ready Investments – stay tuned
- Office of Strategic Workforce Development – stay tuned
- Small Business Rescue Plan – stay tuned
- ...and much more!

Questions?
CFA Round XII – Available Resources

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