Welcome to the 2022 Consolidated Funding Application Information Session

Agenda

• REDC Round XII Resources Overview
• Mid-Hudson REDC Vision and Strategy
• Consolidated Funding Application (CFA)
  • Application Tips
  • Key Aspects of the ESD Capital Grant
• Resources
• Q&A
Regional Economic Development Councils
Round 12

The Regional Economic Development Councils support the state’s innovative approach to economic development, which empowers regional stakeholders to establish pathways to prosperity, mapped out in regional strategic plans.

The unprecedented economic devastation caused by the COVID-19 pandemic highlighted the importance of inclusive growth and resilient strategies.

The Strategic Plan will continue to be the basis for economic development investment in each region.
The Consolidated Funding Application (CFA)

- Streamlined approach to funding requests
- A single portal allowing access to funding from various agencies and programs
- Currently over 20 programs from 10 state agencies

Governor Hochul announced funding for Round 12 is available from over 20 programs across 10 state agencies.

- $150 million in ESD Grant Funds will be available on a rolling basis.
- Emphasis on project readiness and alignment with regional strategy.
- NEW: Funding available through both the REDC Initiative and CFA standalone programs. Round 12 programs have an application deadline of July 29 at 4pm.
- REDCs will be made aware of projects applying to standalone programs but REDCs will not score these projects.
- Open Enrollment programs will continue to accept applications on an ongoing basis and are not subject to the July 29th 4pm deadline.
2022 CFA Available Resources

**Available Resources for Regional Councils in 2022**

<table>
<thead>
<tr>
<th>Programs subject to the 4:00 PM July 29, 2022 Deadline</th>
</tr>
</thead>
<tbody>
<tr>
<td>Empire State Development: Up to $25.625 million</td>
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<tr>
<td>- Up to $15 million for Rural New York</td>
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<tr>
<td>- Up to $10 million for Business Incubator &amp; Innovation Incubation Support Program</td>
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<tr>
<td>- Up to $10 million for Strategic Planning/JobReady NCIS*</td>
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<tr>
<td>Homes and Community Renewal: Up to $240 million</td>
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<tr>
<td>- Up to $12 million for New York State Main Street Program</td>
</tr>
<tr>
<td>Office of Parks, Recreation and Historic Preservation: Up to $120.975 million</td>
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<tr>
<td>- Up to $70 million for Environmental Protection Fund Grants Program for Parks, Preservation and Heritage (EPF)</td>
</tr>
<tr>
<td>Department of State: Up to $25.5 million</td>
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<tr>
<td>- Up to $25 million for Local Government Revitalization Program</td>
</tr>
<tr>
<td>- Up to $1 million for the Brownfield Opportunity Area Program</td>
</tr>
<tr>
<td>- Up to $2 million for the Small Grant Community Planning Grant Program</td>
</tr>
<tr>
<td>- Up to $1.5 million for Local Government Economic Recovery Program</td>
</tr>
<tr>
<td>New York State Canal Corporation: Up to $1 million</td>
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<tr>
<td>- Up to $1 million for Canalsway Projects Program</td>
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<tr>
<td>New York State Department of Agriculture &amp; Markets: Up to $5.6 million</td>
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<tr>
<td>- Up to $5 million for the New York State Grown &amp; Certified Infrastructure, Technology &amp; R&amp;D Program</td>
</tr>
<tr>
<td>New York State Economic Development Authority: Up to $25.5 million</td>
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<tr>
<td>- Up to $10 million for Business Incubator &amp; Innovation Incubation Support Program</td>
</tr>
<tr>
<td>- Up to $10 million for Strategic Planning/JobReady NCIS*</td>
</tr>
<tr>
<td>Department of Environmental Conservation: Up to $80 million</td>
</tr>
<tr>
<td>- Up to $15 million for the Climate Resilience Grant Program</td>
</tr>
<tr>
<td>- Up to $10 million for the Blue-Green Infrastructure Grant Program</td>
</tr>
<tr>
<td>- Up to $10 million for the New York State Conservation Bond Program</td>
</tr>
<tr>
<td>- Up to $5 million for the Brownfield Opportunity Area Program</td>
</tr>
<tr>
<td>- Up to $5 million for the Small Grant Community Planning Grant Program</td>
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</tbody>
</table>

**Open Enrollment Programs**

- **Empire State Development:** Up to $2.25 million |
- **Up to $1.5 million for Rural New York** |
- **Up to $1.75 million for Education Jobs Program** |
- **New York State Energy Research and Development Authority:** Up to $2 million |
- **Up to $2 million for Energy Efficiency Programs** |
- **New York State Power Authority:** Up to $17.5 million |
- **Up to $5 million for the ReCharge NY Program** |
- **Federal Industrial Development Bond Cap:** Up to $150 million |
- **Up to $50 million for Industrial Development Bond Cap**

Open enrollment programs will continue to accept applications on an ongoing basis and are not subject to the July 29th deadline.

* These are the standalone programs. REDCs will be made aware of projects applying to these programs but REDCs will not score these projects.

**Visit** [regionalcouncils.ny.gov](http://regionalcouncils.ny.gov) for more information!

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**REDC-Scored Programs Subject to 4PM July 29th Deadline**

- **Empire State Development:** Up to $23.125 million |
  - Market New York |
  - Business Incubator Program |
- **Homes and Community Renewal:** Up to $4.2 million |
  - New York Main Street Program |
- **Office of Parks, Recreation and Historic Preservation:** Up to $20.875 million |
  - Environmental Protection Fund Grants Program for Parks, Preservation and Heritage (EPF) |
- **Department of State:** Up to $20.3 million |
  - Local Waterfront Revitalization Program |
  - Brownfield Opportunity Area Program |
- **New York State Canal Corporation:** Up to $1 million |
  - Canalsway Grants Program |
- **New York State Energy Research and Development Authority:** Up to $25 million |
  - Carbon Neutral Economic Development Program |
  - Commercial and Industrial (C&I) Carbon Challenge |
- **New York Department of Agriculture and Markets:** Up to $5.8 million |
  - New York State Grown & Certified Infrastructure, Technology, Research and Development Grant Program

Visit [regionalcouncils.ny.gov/mid-hudson](http://regionalcouncils.ny.gov/mid-hudson) for details!
CFA Standalone Programs Subject to 4PM July 29th Deadline

Empire State Development
- Strategic Planning and Feasibility Studies

Homes and Community Renewal
- New York State Community Development Block Grant Program

Department of State
- Smart Growth Comprehensive Planning Grant Program
- Local Government Efficiency Program

Department of Environmental Conservation
- Climate Smart Communities Grant Program
- Water Quality Improvement Project (WQIP) Program
- Non-Agricultural Nonpoint Source Planning and Municipal Separate Storm Sewer System (MS4) Mapping Grant Program

Environmental Facilities Corporation: Up to $17.5 million
- Green Innovation Grant Program
- NYS EFC Wastewater Infrastructure Engineering Planning Grant Program

Visit regionalcouncils.ny.gov/mid-hudson for details!

Open Enrollment Programs: REDC-Scored

Empire State Development
- ESD Grant Funds
- Excelsior Jobs Program

New York State Power Authority: Up to 17.9 Megawatts
- ReCharge NY Program

Visit regionalcouncils.ny.gov/mid-hudson for details!
Open Enrollment: Standalone Programs

New York State Energy Research and Development Authority (NYSERDA): Up to $2 million
  • Energy Efficiency Programs

Federal Industrial Development Bond Cap: Up to $150 million
  • Industrial Development Bond Cap (IDBC)

Visit regionalcouncils.ny.gov/mid-hudson for details!

CFA Timeline

Deadline Programs

MAY 2, 2022
CFA OPENS

JULY 29, 2022
CFA closes at 4PM sharp
No late submissions!

LATE FALL 2022
Award announcements

Open Enrollment Programs

MAY 2, 2022
CFA OPENS

CFA remains open until funds are exhausted.
Projects are reviewed on quarterly basis or more frequently if needed.
Mid-Hudson Regional Economic Development Council
Vision and Strategy

The Mid-Hudson Region
Dutchess • Orange • Putnam
Rockland • Sullivan • Ulster • Westchester
MHREDC Vision

The MHREDC imagines a future that includes dynamic community centers featuring businesses in thriving industry sectors, residents from all walks of life benefiting from quality employment, and countless tourist attractions that make the Mid-Hudson a unique destination and place to live.

Mid-Hudson Awards by Year

$818.3M INTO THE REGION FOR 1,037 AWARDS

$2.7B OF ECONOMIC ACTIVITY
MHREDC Strategy

**LIVE**
- Smart Growth
- Transit Oriented Development
- Downtown Revitalization
- Retention of Residents
- Infrastructure Improvements
- Placemaking
- Environmental Justice
- Healthcare

**WORK**
- Workforce
- Life Sciences
- Advanced Manufacturing
- Information Technology
- Distribution
- Innovation
- Financial & Professional Services
- Film & Television Production
- Diverse Small Businesses

**PLAY**
- Premier Tourist Destination
- Food & Beverage
- Local Agriculture
- Arts, Culture, Recreation
- Resiliency

State Priorities

<table>
<thead>
<tr>
<th>State Priority</th>
<th>LIVE</th>
<th>WORK</th>
<th>PLAY</th>
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</thead>
<tbody>
<tr>
<td>Business Incubators and Hot Spots</td>
<td>-</td>
<td>-</td>
<td>-</td>
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<tr>
<td>Child Care</td>
<td>-</td>
<td>-</td>
<td>-</td>
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<tr>
<td>Downtown Revitalization &amp; Placemaking</td>
<td>-</td>
<td>-</td>
<td>-</td>
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<tr>
<td>Environmental Justice</td>
<td>-</td>
<td>-</td>
<td>-</td>
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<tr>
<td>Life Sciences</td>
<td>-</td>
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<tr>
<td>Opportunity Agenda</td>
<td>-</td>
<td>-</td>
<td>-</td>
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<tr>
<td>Regional Economic Cluster Plan: Food &amp; Beverage Manufacturing Supply Chain</td>
<td>-</td>
<td>-</td>
<td>-</td>
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<tr>
<td>Workforce Development</td>
<td>-</td>
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<tr>
<td>Veterans</td>
<td>-</td>
<td>-</td>
<td>-</td>
</tr>
</tbody>
</table>
Past Project Highlight

RBW Studio

Organization: RBW Studio  
Project #: 134,117  
Location: Town of Ulster, Ulster County  
Total Project Cost: $5.4+ Million  
REDC Awards:  
• $547,500 Excelsior Tax Credits

Past Project Highlight

Star Kay White Capital

Organization: Star Kay White  
CFA #: 63707  
Location: Town of Clarkstown, Rockland County  
Total Project Cost: $25 Million  
REDC Awards:  
• $2.25M Regional Council Capital Grant  
• $350k Excelsior Jobs Program
Past Project Highlight

President Container Group Expansion

- Organization: President Container Group
- Project #: 134,102
- Location: Town of Wallkill, Orange County
- Total Project Cost: $40 Million
- REDC Awards:
  - $3 Million Excelsior Jobs Program

Past Project Highlight

Viking Industries Expansion

- Organization: Viking Industries
- CFA #: 111107
- Location: Town of New Paltz, Ulster County
- Total Project Cost: $11.5 Million
- REDC Awards:
  - $780,000 Regional Council Capital Grant
  - $550,000 Excelsior Jobs Program
Consolidated Funding Application Overview

CFA Review & Scoring

<table>
<thead>
<tr>
<th>Regional Council Statewide Endorsement Standard</th>
<th>REDC Endorsement</th>
<th>Agency Technical Review</th>
</tr>
</thead>
<tbody>
<tr>
<td>IMPLEMENTATION OF THE PLAN</td>
<td>DEGREE</td>
<td>POINTS</td>
</tr>
<tr>
<td>The proposal is identified as a priority project by the Regional Council.</td>
<td>Priority</td>
<td>20</td>
</tr>
<tr>
<td>The proposal is identified in the plan and has regional benefits</td>
<td>Very Strong</td>
<td>15</td>
</tr>
<tr>
<td>The proposal advances the plan and has local benefits</td>
<td>Strong</td>
<td>10</td>
</tr>
<tr>
<td>The proposal is consistent with the plan and has project level benefits</td>
<td>Moderate</td>
<td>5</td>
</tr>
<tr>
<td>The proposal has limited relationship to the plan.</td>
<td>Weak</td>
<td>0</td>
</tr>
</tbody>
</table>
To access the CFA, click “Apply now”

RegionalCouncils.ny.gov/mid-hudson

CFA Home Page

Mid-Hudson Regional Economic Development Council
REDCs will be made aware of projects applying to the standalone programs but REDCs will not score these projects. Applicants can access information on these programs by going to the CFA Home Page, clicking on the Available CFA Resources Link or the Program Recorded Webinars Link, then selecting standalone CFA Programs.

**Accessing Standalone RFAs**

**Accessing Standalone Webinars**

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**CFA Overview**

- **Program Wizard**: Choose project categories and topics that will help you see if you meet the program’s requirements.
- **Program List**: Choose projects by selecting from a list of programs organized by topic.

Your Application’s Programs

Projects were essentially added to your application. You are asked the following screening questions for the following programs:

1. **New Project**
   - Overview: Job Program
   - Program Description: You need to answer the technical questions for this program.
   - Project Description: You need to answer the technical questions for this program.
   - Energy Efficiency Projects
   - Program Description: You need to answer the technical questions for this program.
   - Energy Efficiency Projects
   - Program Description: You need to answer the technical questions for this program.

Register New Account
CFA Overview (continued)

Threshold
Empire State Development Grant Funds

Q_2016
Does the proposed project budget only include capital expenditures? By selecting yes, you are confirming that project funding will only be used for one or more of the following eligible:
- Acquisition of land, building, machinery or equipment
- Construction of existing buildings and/or structures
- Rehabilitation of an existing building and/or structure
- Acquisition of necessary site improvements
- Full works of art necessary for project;
- Other capital improvements related to a specific capital project

Yes ☑ No

Q_2016
Does the proposed project budget include a 15% local equity contribution from the Applicant?

Yes ☑ No

Q_8022
Will the funds be used by the recipient/applicant to create a program to disseminate funds to sub-recipients?

Yes ☑ No

CFA Overview (Continued)

REQUIRED & OPTIONAL DOCUMENTS

Instructions

- Submit only one copy of supporting documents. These include bank statements, vendor invoices, and contracts. A maximum of 20MB is allowed. Do not include more than 300 pages. The maximum file size is 20MB and must be 300 pages or less. Submit only one copy of supporting documents. If you are unable to meet this requirement, please contact ESDB at 1 (800) 624-6572.

Legend
- Document has been uploaded
- Document is not uploaded

For more details, refer to the instruction on how to submit your application.

For more details, refer to the instruction on how to submit your application.
Application Tips

- Review the 2022 Resources Available Guide
- Answer the questions asked
- Articulate the economic impact and connection to Regional Strategy
- Address State Priority Questions
- Collect Letters of Support
- Not-for-Profits: Register with Grants Gateway
- Tech Support: CFA-Tech@ny.gov
- Apply BEFORE the deadline of July 29th at 4PM (for non-open enrollment programs)
### Application Tips

#### Example Program Budget (ESD Capital Grant)

<table>
<thead>
<tr>
<th>Use</th>
<th>Source</th>
<th>Status</th>
<th>Amount</th>
<th>Comments</th>
</tr>
</thead>
<tbody>
<tr>
<td>Infrastructure/Site Work</td>
<td>Cash Equity</td>
<td>Secured</td>
<td>$500,000</td>
<td></td>
</tr>
<tr>
<td>Construction/Renovation</td>
<td>Lending Institution</td>
<td>Anticipated</td>
<td>$800,000</td>
<td>XYZ Bank - application submitted</td>
</tr>
<tr>
<td>Construction/Renovation</td>
<td>ESD Grant Funds</td>
<td>Anticipated</td>
<td>$100,000</td>
<td>Also applying for Market NY Capital Grant</td>
</tr>
<tr>
<td>Architectural/Engineering/Soft Costs</td>
<td>Cash Equity</td>
<td>Secured</td>
<td>$100,000</td>
<td></td>
</tr>
<tr>
<td><strong>TOTAL</strong></td>
<td></td>
<td></td>
<td><strong>$1,500,000</strong></td>
<td></td>
</tr>
</tbody>
</table>
Key Aspects of ESD Grant Awards

- “But for” clause
- Minority and Women-owned Business Enterprise (MWBE) Goals
  - New York State Contract System: [https://ny.newnycontracts.com](https://ny.newnycontracts.com)
  - MWBE Utilization Plan and Reporting
- Performance-based awards
  - Project Completion
  - Job Creation
- Labor Peace Agreement

See the “2022 Resources Available Guide” for more at regionalcouncils.ny.gov/mid-hudson

ESD Capital Grant Post-Award Steps Overview

1. CFA Award Announcement
2. Incentive Proposal
   - Application Fee
3. MWBE Utilization Plan and Reporting
4. Project Completion
5. Grant Disbursement Agreement
   - 1% Commitment Fee + Public Hearing costs
6. Public Authorities Control Board
7. Public Hearing
8. ESD Board Review
9. Request Disbursement
10. Disbursement
11. Compliance Reporting
CFA Round XII – Available Resources

On the Web:
Regionalcouncils.ny.gov/mid-hudson

Resources include:
• Available Resources Guide
• Program Summaries
• REDC Guidebook
• CFA Application Manual
• CFA Program Webinars
• Tech Support: CFA-Tech@ny.gov

Regional Office:
845-567-4882 | nys-midhudson@esd.ny.gov

CFA Application Deadline: Friday, July 29, 4pm SHARP!

For Awareness – Other State Funding Programs

• NYSCA FY2023 Opportunities - arts.ny.gov/FY2023FundingOpportunities
  o Deadline to apply: July 12, 2022, at 4PM
• Small Business Rescue Plan – stay tuned
• Downtown Revitalization Initiative (DRI) Round VI – stay tuned
• NY Forward Communities – stay tuned
• Restore NY – stay tuned
• Fast NY – Shovel Ready Investments – stay tuned
• Office of Strategic Workforce Development – stay tuned
• ...and much more!
Questions?

CFA Round XII – Available Resources

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