An aerial photograph of a river valley. A blue river flows through a lush green landscape. A multi-lane highway interchange with several overpasses and ramps is visible on the right side. In the background, there are industrial facilities with several large white storage tanks and various buildings. The sky is clear and blue.

Mohawk Valley Regional Economic Development Council

2012 Action Plan

Fulton • Herkimer • Montgomery
Oneida • Otsego • Schoharie



Mohawk Valley Regional Economic Development Council

September 14, 2012

Mohawk Valley Community,

In 2011, the Mohawk Valley came together, through its Regional Economic Development Council, to describe how investment in our region could create and sustain a future economy built on innovation, technology and our many diverse strengths. As a result, more than \$60 million in state funds are being invested in more than 50 projects.

As this Action Plan describes, our work has just begun. With the same determined spirit of regional collaboration that brought us initial success in a fiercely competitive process, we are now putting the taxpayers' investment in the Mohawk Valley to work. Our region has proved, through the formation of the REDC and its early work, that it is more than ready for the challenges of the 21st century.

Even as we act on the ideas that form the basis of our regional strategic plan, we recognize the important role that government—especially State government—will play in many of our future endeavors. On behalf of the Council and the many regional interests represented by its members, we encourage the Governor and Legislature to continue to strive toward an improved business climate by addressing the many issues that challenge New York's economic growth.

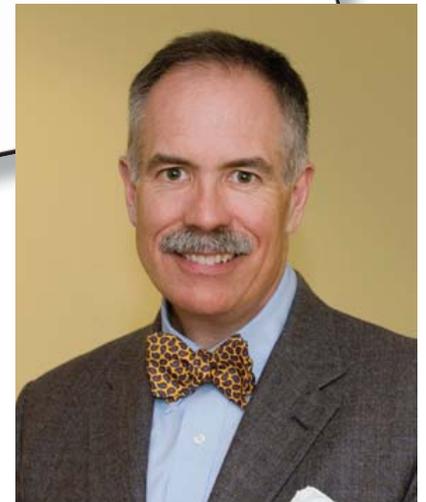
This document is more than an action plan; it is also a call to action. Having built a foundation for success, our Council and the stakeholders it represents—with your help and support—are determined to see the kind of transformative results described in our vision statement; results that will lead to "a rewarding and affordable quality of life for all." We invite you to join us in the task that lies ahead.

Bjong Wolf Yeigh
President- SUNYIT
Regional Council Co-Chair

Lawrence T. Gilroy III
President- Gilroy, Kernan & Gilroy, Inc.
Regional Council Co-Chair



Bjong Wolf Yeigh
President- SUNYIT
Regional Council Co-Chair

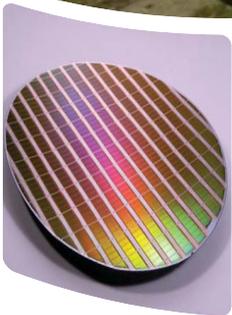


Lawrence T. Gilroy III
President- Gilroy, Kernan & Gilroy, Inc.
Regional Council Co-Chair

Regionally Networked. Globally Connected.

MOHAWK VALLEY

Root Glen, Hamilton College



2012 Action Plan

Reveals our 2012 Priority Projects

Investment: \$215,918,516
 Created Jobs: 1,190
 Retained Jobs: 3,130
 Leverage: 5:1

Showcases the power of 2011's \$60 million investment

Investment: \$338,826,885
 Created Jobs: 730
 Retained Jobs: 840
 Leverage: 7:1

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Public Participation
 pgs. 8, 10, 14, 18,
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Mohawk Valley Regional Economic Development Council

Regional Council Chair
Lieutenant Governor Robert J. Duffy

Mohawk Valley Regional Co-Chairs
Bjong Wolf Yeigh- President SUNY Institute of Technology • Lawrence T. Gilroy III- President Gilroy, Kernan & Gilroy, Inc.

Executive Director
Kenneth M. Tompkins- Empire State Development

Representatives

Ladan Alomar, Executive Director, Centro Civico Inc.
Richard Ball, Owner, Schoharie Valley Farms/Carrot Barn
Juanita Bass, Owner, Juanita's Soul Classics Inc.
Frank DeRiso, President, UFCW
Steven J. DiMeo, President, Mohawk Valley EDGE*
Marianne W. Gaige, President & CEO, Cathedral Corporation
Charles Green, President & CEO, Assured Information Security*
Wally Hart, Business and Community Development, Lexington
William L. Keller III, President, Keymark Corp.
Carolyn A. Lewis, Economic Developer, Otsego Co. Economic Development*
Nicholas O. Matt, Chairman & CEO, Matt Brewing Company*
Mary Morse, Owner, Kwik-Kut Mfg*
Nancy Pattarini, President & CEO, The Paige Group*
Michael J. Reese, President & CEO, Fulton Co. Economic Development Corp.*
V. Daniel Robinson II, President & CEO, NYCM Insurance*
Dr. Renee Scialdo Shevat, President & Owner, Herkimer Diamond Mines
Richard C. Smith, President & CEO, Robison & Smith
Randall VanWagoner, President, Mohawk Valley Community College
Scott White, President, Bank of Cooperstown*

* Denotes Executive Committee Membership

Ex-Officio Representatives

Vincent Bono, Chairman, Herkimer County Legislature
Kathleen Clark, Otsego County Board of Representatives
Michael Gendron, Chairman, Fulton County Board of Supervisors
Joseph Griffo, NYS Senate- District 47
Dayton King, Mayor, City of Gloversville
William Magee, NYS Assembly- District 111
Robert Palmieri, Mayor, City of Utica
Anthony Picente, County Executive, Oneida County
Ann Thane, Mayor, City of Amsterdam*
Harold Vroman, Chairman, Schoharie Co. Board of Supervisors
Shayne Walters, Chairman, Montgomery Co. Board of Supervisor

NY State Senate Representing Mohawk Valley

Joseph Griffo, Senator, 47th District
Hugh Farley, Senator, 44th District
James Seward, Senator, 51th District
David Valesky, Senator, 49th District

NY State Assembly Representing Mohawk Valley

George Amedore Jr., Assembly member, 105th District
Anthony Brindisi, Assembly member, 116th District
Marc Butler, Assembly member, 117th District
Peter Lopez, Assembly member, 127th District
William Magee, Assembly member, 111th District
Claudia Tenney, Assembly member, 115th District

Committee Representatives

Project Monitoring

Co-Chairs- Nicholas O. Matt & V. Daniel Robinson
Scott White, Juanita Bass, Vincent Bono

County Liaison

Co-Chairs- Steven J. DiMeo & Carolyn A. Lewis
Mary Morse, Michael J. Reese, Alicia Terry, Ken Rose, Mark Feane

Outreach and Communications

Co-Chairs- Mary Morse & Wally Hart
Carolyn Lewis, Frank DeRiso, Marianne Gaige, Dayton King

Strategic Planning and Oversight

Co-Chairs- Charles Green & Michael J. Reese
Randy VanWagoner, Carolyn Lewis, Ann Thane, Steven J. DiMeo

CFA Endorsement Standards

Co-Chairs- Ann Thane & Scott White

County Liaison Steering Committee

Fulton County- Jim Mraz, Mike Gendron, Jim Selmsler, Jon Stead

Herkimer County- John Piseck, Dr. Renee Scialdo Shevat, Jim Wallace, Vincent Bono, Tony Carlisto, John Bullis, Dr. Ann Marie Murray, Patrick Russell, John Scarano, Greg Eisenhut

Montgomery County- Doug Greene, Jody Zakrevsky, John Thayer, Carol Shineman, Eugene Richards, Bernard Barnes, Bob Perry, Micki Lieber, William Hisert, Ann Thane

Oneida County- Mark Laramie, Brian Thomas, Fred Arcuri, Jennifer Waters, Pam Matt, Bill Guglielmo, Kelly Blazosky, Marty Broccoli, Alice Savino, Howard Mettleman, Brian Anderson, Mark Reynolds, Gene Quadraro, John Kent, Tim Fitzgerald, Mary Rizzo-Bonney

Otsego County- Kathleen Clark, Joseph Bernier, Douglas Gulotty, Seth Haight, Richard P. Miller, Jeff Katz, Len Marsh, Zondra Hart, Dr. Margaret Drugovich, Dr. Nancy Kleineski, Dr. William Streck

Schoharie County- Sarah Blood, Ron Filmer, Georgia VanDyke, Harold Vroman, Emil Galasso

VISION

The Mohawk Valley Region will create a vibrant future by promoting and sustaining a diverse, integrated, and dynamic economy that capitalizes on technology and innovation to drive collaboration, inclusiveness, and efficiency in all endeavors; that is regionally networked and globally connected; that will cultivate, attract, and empower skilled workers; and that will foster an entrepreneurial spirit and the renewal of our communities, while preserving and building upon our abundant natural, cultural, and geographical resources to secure a rewarding and affordable quality of life for all.

Create

Innovate and Collaborate

Network and Connect

Cultivate, Attract, and Empower

Foster and Renew

Preserve *and* Build

Secure the Highest Quality of Life

Focused on Action Committed to Success

The Mohawk Valley Region is stronger and more connected than ever as a result of the Governor's regional economic development process. The six county region – consisting of Fulton, Herkimer, Montgomery, Oneida, Otsego, and Schoharie counties – now has regional priorities that are increasingly informed and aligned. As the Regional Plan for the Mohawk Valley has evolved, it has shaped new and productive behavior throughout the region. Our vision has become more collective. Our actions are more focused. Bringing six counties together to develop a Plan has universally exceeded expectations. It is a living document we committed to reviewing and measuring the effectiveness of annually. Our public survey revealed a need to simplify our words and strategies. This document reflects their request.

We are proud of our Action Plan and confident the projects will further our vision. The Mohawk Valley Regional Plan is a dynamic work, nurtured by the Council and related committees that will continue to evolve along with our vision for the future of the Mohawk Valley Region – and its role in an integrated effort to restore New York as The Empire State.

Our region has much to offer and provides a wonderful quality of life. The 2012 Action Plan combines the treasure of our natural and cultural assets with the potential of expanding private sector activity and streamlining public sector endeavors. The Strategic Plan and this process have created a sense of energy, focus, and momentum in this historic region of New York. The outcomes of this process have transcended the original intent of creating a plan with priority projects and produced a regional agenda more aligned and focused on strategic collaboration. This 2012 Action Plan reflects our goals and advances our regional priorities. The project pipeline outlines our plans for continuing growth. We are, once again, pleased to provide this submission for your review and consideration.

**The Mohawk Valley
Regional Economic
Development Council**

The Mohawk Valley Region

Heart of New York Gateway to the State

Whether traveling east to west or south to north, the Mohawk Valley is central to New York's commerce, transportation, and exchange. It is a vital and critical area of the State, with which almost all other areas of the state have connection. The Mohawk Valley is the heart of New York.

Healthcare

With a workforce of 32,106 people in 2010, this industry is forecasting growth



Innovation

From the Erie Canal Stump Puller and the Compact Disc to the Condor Supercomputer our region has a history of product invention to modernize and advance industry



Education

34,238 college students enrolled at
14 college & universities



Affordable Living

Median home price: \$113,283



Tourism

Generated \$1.5 billion in visitor spending in 2011



Agribusiness

From alternative energy generation to aquaculture as an emerging technology and yogurt as value added production our region excels at leveraging our natural resources



Research & Development

The Air Force Research Lab, Rome Research Site operates a world class facility with an annual budget of \$780 million reported in 2011



Recreation

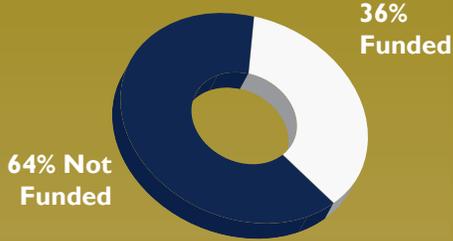
8 State Parks, 72 Golf Courses, 500 Miles of Trails, 4 True Seasons, 6 Historical Sites. Plus Museums, Performing Arts Centers, Theaters, Racetracks, an Opera House and a Casino



2011 Recap

The Mohawk Valley Region was awarded **\$60.2 million** towards **59 projects** through the 2011 round of REDC funding process. The Mohawk Valley put forward **201 unique funding applications**; of which, 165 were non-housing CFA's. Approximately **30% of all applications received funding**, while roughly 37% of non-housing projects received funding.

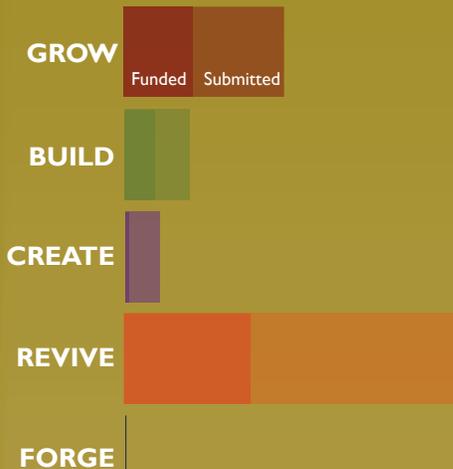
2011 CFA's



2011 Priority Projects



2011 Funded CFA's by Strategy

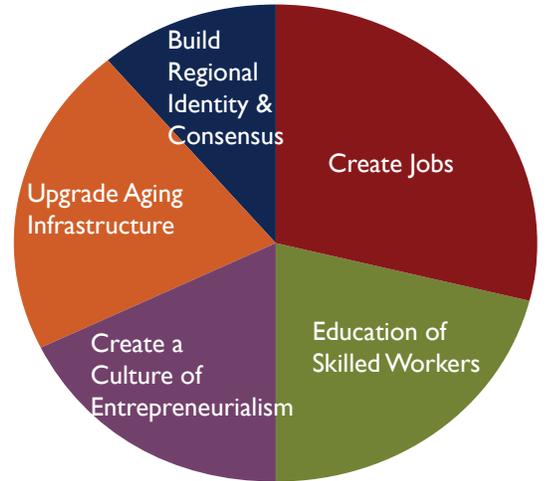


Performance

The MV Strategic Plan requires an annual review of our strategies,

Public Survey Results

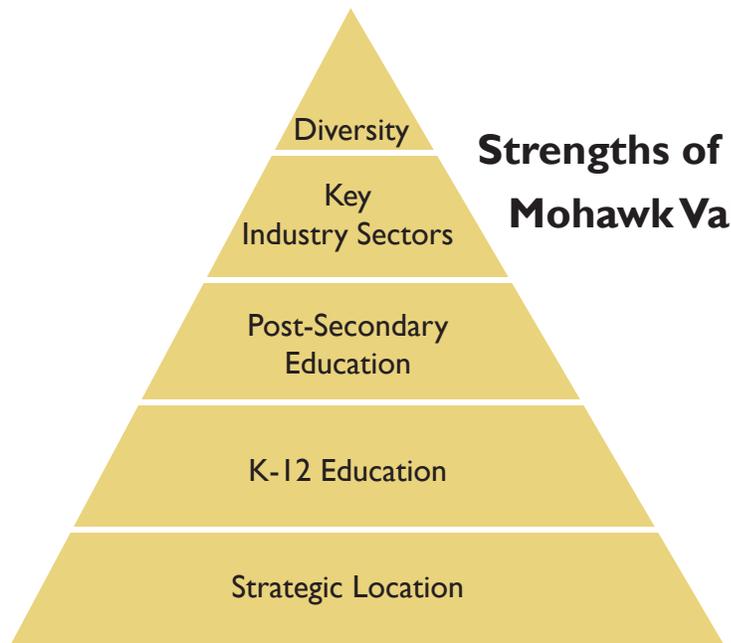
Mohawk Valley Economic Goals



Rank of Actions to Transform the Mohawk Valley



Strengths of the Mohawk Valley

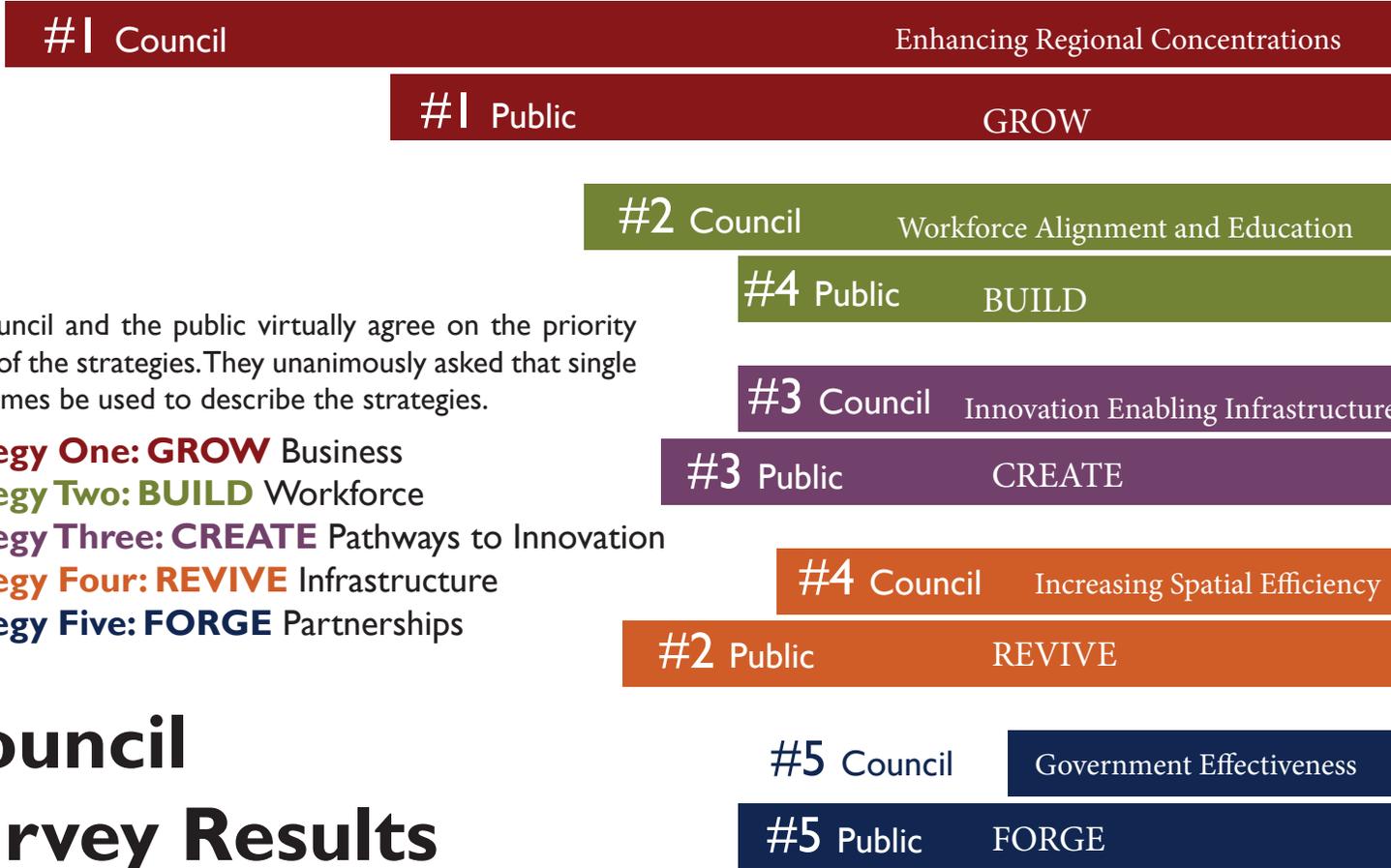


Measures

Performance Measures

goals and vision. We accomplished this with public and Council surveys.

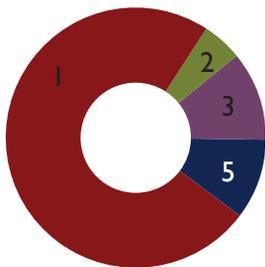
Priority Ranking of the Strategies by the Council & Public



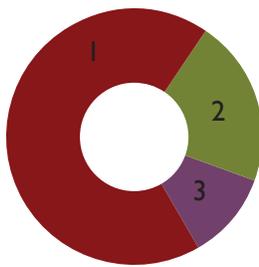
The Council and the public virtually agree on the priority ranking of the strategies. They unanimously asked that single word names be used to describe the strategies.

- Strategy One: GROW** Business
- Strategy Two: BUILD** Workforce
- Strategy Three: CREATE** Pathways to Innovation
- Strategy Four: REVIVE** Infrastructure
- Strategy Five: FORGE** Partnerships

Council Survey Results

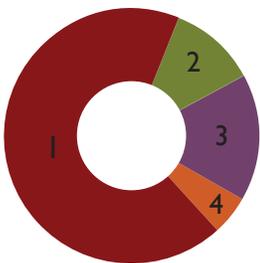


Efficiency, Collaboration, Inclusiveness

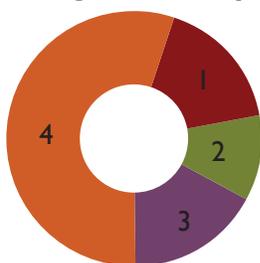


Supporting Economic Diversification

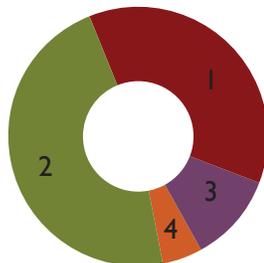
Vision Effectiveness: How well strategies accomplish the Vision



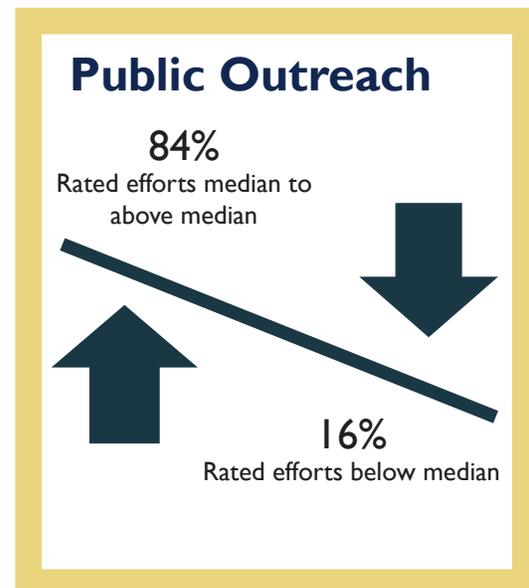
Growth of Existing Resources



Elevating Quality of Life



Empowerment of Skilled Workers



Strategy One: GROW

Enhancing Regional Concentrations

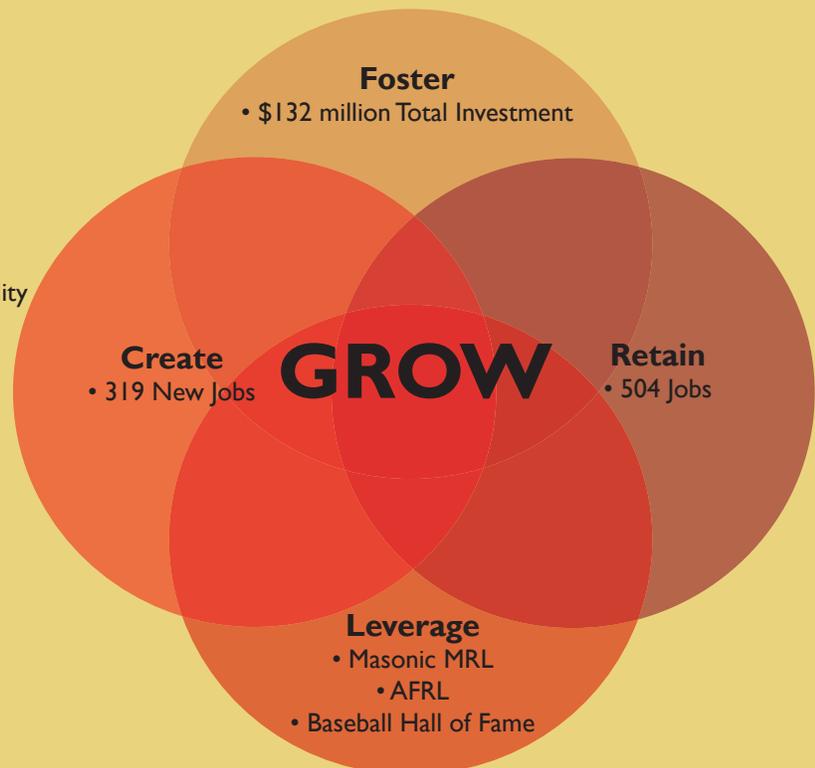


Brewery Ommegang , Cooperstown NY: 6-year, \$17 million investment

2012 Priority Projects

- Adirondack Distilling- Equipment
- Alliance Paving- Environmental Conservation
- Baseball Hall of Fame- Technological Upgrades
- Bonide Products- Expansion
- Brewery Ommegang- Expansion
- Burrows Paper- Modernization and Expansion
- Cold Point Corporation- Equipment
- Custom Electronics Inc.- Facility Upgrades
- Gehring Tricot- Expansion
- Griffiss International Airport- Customs Inspection Services Facility
- Harden Furniture- Modernization and Expansion
- Howe Caverns- Expansion
- Mohawk Fabrics- Equipment
- Old Forge Biomass Project- Renewable Energy Project
- Project Aqua- Agribusiness Creation
- Project Cardinal Phase II- AIS Expansion
- Prozone Lockers- Expansion
- Rome Strip Steel- Equipment
- Schoharie County Agriculture- Assistance Program
- St. Mary's Healthcare- Expansion
- Turbo Machined Products- Equipment
- Womens Equality Trail Mix- New Product Line

Advancing the Strategy



Leveraging the high growth potential of our region's business, industry, and employment assets was identified as the top priority in both our regional council member survey and public outreach survey.

Targeting business attraction and retention efforts around these sectors and enhancing partnerships with local colleges and universities, will realize significant and substantial growth while cultivating human capital.

Developing these concentrations will have significant regional impacts including stabilizing existing employers and promoting a business climate that fosters innovation and entrepreneurial growth. The region will see convergence opportunities among its regional concentrations and continue to develop a robust economic ecosystem.

2012 goals for enhancing our regional concentrations include: business investment projects, developing college and university economic development partnerships to create new and emerging businesses and leveraging our key R&D assets including the Air Force Research Laboratory and Masonic Medical Research Lab to create spin off economic development initiatives.

2011 funded CFA projects infused \$161,964,124 in total investment, retained over 400 jobs and created over 260 jobs. These investments have not only strengthened our key regional concentrations and demonstrated our commitment to business in the Mohawk Valley.

Key Targeted Concentrations

- Agriculture & Food Processing
- Financial Services
- Insurance
- Tourism
- Healthcare
- Cybersecurity/IT
- Semiconductors/Nanotechnology
- Clean Technology
- Advanced Manufacturing
- Distribution



Fage Yogurt, Johnstown NY: 220,000 square-foot plant, part of New York State's Agribusiness Investment Strategy.



Public Participation

Community driven- drawing on the economic development priorities identified with local input

Comprehensive- representing the interests of all six counties in the Mohawk Valley Region

Holistic- recognizing the diverse industries and regional assets that contribute to our livelihoods and quality of life

Activities are designed to engage public and private stakeholders including residents, community organizations, businesses and representatives of government, education, healthcare and other public/human service organizations.

**Guiding Principles:
Meaningful and
Relevant Dialogue**

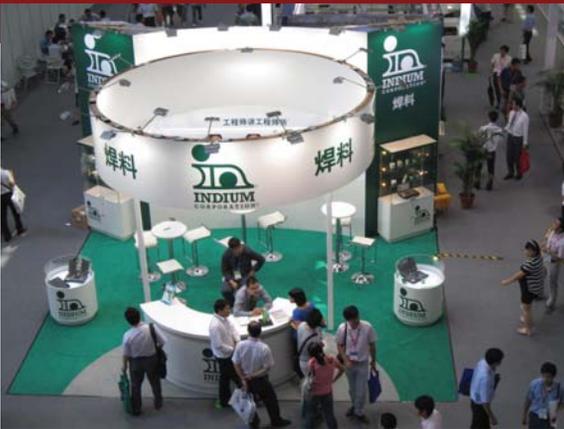


Strategy One: **GROW**

2011 Funded Projects

Aqua-Vita Farms, Sherrill NY is an aquaponic farm utilizing sustainable agriculture to grow produce and seafood

Indium Corporation Expansion



Indium Corporation exhibits internationally

Indium Corporation, a designer and manufacturer of advanced electronics assembly materials has grown with a \$10 million facility expansion in Rome, NY. With operations in Utica and Clinton, NY the Rome facility will develop and manufacture materials used to produce LEDs, optical fiber, LCDs, OLEDs, energy storage devices, solar PV equipment and high efficiency power control equipment used around the world.

The Project includes the purchase and renovation of the Rome facility and moving machinery and equipment from Utica. Progress to date includes: purchase of a new building, facility repairs, needed construction and complete reconditioning of the grounds.

The project will create 24 new full-time jobs and retain 320 employees. Empire State Development provided a \$347,939 grant to help offset the cost of new machinery and equipment, as well as \$652,061 in Excelsior Jobs Program tax benefits over the next five years. The City of Rome provided Indium with a \$100,000 loan.

“Funding from the MVREDC, combined with equity from Indium Corporation, is enabling us to extensively rehabilitate the building, making it usable for modern, high-technology manufacturing.”

Rick Short
Indium Corporation

Brewery Ommegang Expansion

Brewery Ommegang's project is part of an ongoing 6-year, \$17 million investment in their Cooperstown NY brewery. The company has a proven track record of high growth and job creation. The project includes expansion of production infrastructure, capacity and investments to improve the brewery's operating efficiencies and reduce energy costs. State and other financial support for the company so far represents just \$390,000.

By the end of 2012, the company will have invested approximately \$10 million and increased headcount at the Cooperstown facility by 26 employees (87% increase) since the start of their 6-year \$17 million capital project that began in 2010.

Ommegang brews internationally award-winning Belgian-style beers and markets them in 45 U.S. states. They are regularly recognized by their peers as being an industry leader, both in awards and product development. Their fine ales are all Belgian-style and include six year-round beers, four to six limited edition beers and several "one-off" edition beers, which are brewed and packaged in bottles, and kegs. Ommegang runs an extensive year-round brewery-visitation program, attracting over 30,000 people per year, which includes concerts, festivals, and Belgian-themed cultural events. They also have a year-round full-service café, brewery store and tasting room, a tour and tasting staff, and an events staff. All of this helps promote travel and tourism to Cooperstown and the surrounding communities.



Embassy Millworks Expansion

ProZone Lockers, Inc. a division of Embassy Millworks produces custom wood sports lockers for college, professional, club and high school teams. The company moved its manufacturing operations from a small, poorly configured rented facility to a plant that had been closed for over a year in Amsterdam, NY.

The move to the Amsterdam facility, located in the Edson Street Industrial Park, provided ProZone Lockers Inc. the space to lay out a well-engineered production line and have the ability to take on much larger projects that it could not accommodate at its old facility.

The company had eight employees in the old facility – and this expansion has raised employment to 18 with plans to hire additional employees in the near future. Additional product lines are in the development stages, which will necessitate added employees as well.

"We consider ourselves fortunate that the Mohawk Valley Regional Economic Development Council supported this project. This move has pumped new vitality into our company, and has provided the springboard necessary to grow our business to its full potential," said company president Michael Caruso.

Recent projects include: Seton Hall Basketball, Syracuse University Basketball, Yale University Football, Villanova Men's and Women's Basketball, and Harvard Hockey.



Bauer Locker Room inside Bauer headquarters made by ProZone Lockers, Inc., Amsterdam NY

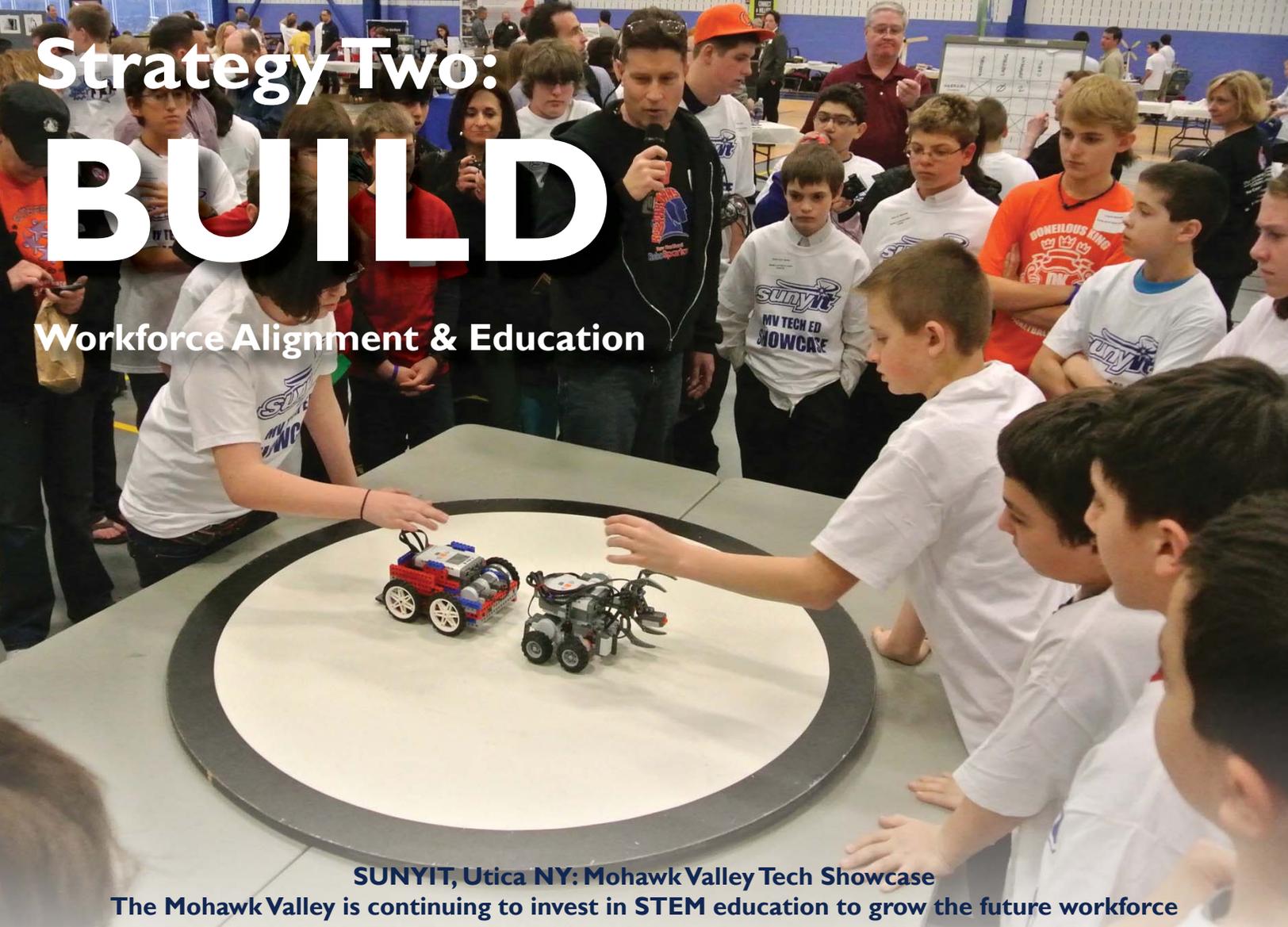
PROZONE LOCKERS

"We are finding a great work ethic and the willingness to learn our processes in the local work force. Without the best workforce, we would be unable to produce the best wood lockers available."

Michael Caruso
ProZone Lockers

Strategy Two: **BUILD**

Workforce Alignment & Education



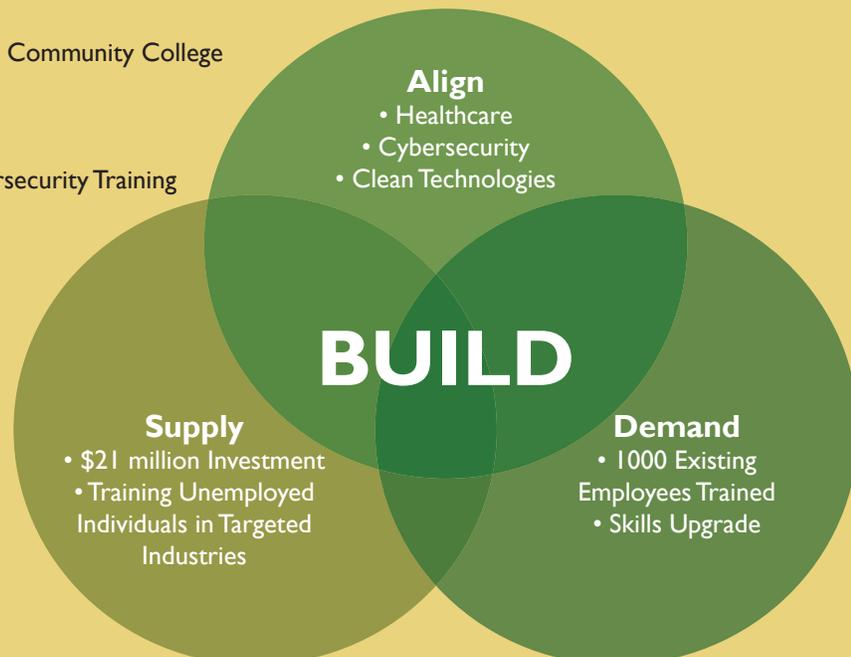
SUNYIT, Utica NY: Mohawk Valley Tech Showcase

The Mohawk Valley is continuing to invest in STEM education to grow the future workforce

2012 Priority Projects

- ConMed Corporation- Worker Training
- Living Learning Health Science Center at Herkimer County Community College
- Healthcare Training with Valley Health Services
- Madison-Oneida BOCES- Manufacturing Training
- Mohawk Valley Community College- CNC Training
- Northeast Cyber Forensics Center at Utica College- Cybersecurity Training
- NYS Biomass Energy R&D Program at SUNY Cobleskill

Advancing the Strategy





Public Participation Public Involvement

5 Steering Committees Formed

Executive • Project Monitoring • County Liaison Outreach • Strategic Planning

Stakeholder Engagement

- Formation of the County Liaison Committee to identify projects and assist with CFA's.
- Includes 60+ members of the community: Business, Education, Chambers, Economic Development, Planning and Government

3 Surveys Created

- Priority Project Survey to surface potential priority projects and identify potential CFA applicants
- Council Performance Measurement Survey to gauge effectiveness of the Strategic Plan
- Creation of the Public Performance Measurement Survey to gauge effectiveness of the Council



Fulton-Montgomery Community College Clean Room



Remington Arms, Ilion NY: part of the region's rich manufacturing heritage, they are the only US manufacturer of both firearms and ammunition

Aligning education programs with regional workforce provides the needed workers to companies that have the ability and desire to expand. The region will continue to develop STEM education for grades K-12. This partnership between academia and industry will strengthen the region and fortify the knowledge-base in our communities. Expanding opportunities for internships, job shadowing, apprenticeships, and certificate programs will help attract and retain young and skilled workers.

Aligning education programs with workforce needs will help meet employer demands allowing for expansion of business. This expansion of business will provide greater employment opportunities to young workers, thereby helping to stem the "brain drain" in New York. Providing the appropriate educational opportunities will also have global impact, by attracting the best and brightest to the region's educational institutions.

2012 goals for aligning our workforce include: cultivating and expanding the current collaborations in healthcare and cybersecurity/IT, improving the quality of our educational and training programs and utilizing our regional educational assets to help build a 21st century workforce.

2011 funded projects enabled \$35,603,345 of educational investments, created 450 jobs and trained over 85 individuals. Investments in the strategy have leveraged the capability of the region's colleges and universities to serve as a driver for regionally based economic development initiatives.

Key Education and Training Systems

- K-12 and lifelong learning
- Internships
- Job Shadowing
- STEM Programs
- Apprenticeships
- Non-degree work certificate programs

Strategy Two: **BUILD**



2011 Funded Projects

Utica College Economic Crime and Justice Studies Building, Utica NY: Home to cutting-edge cybersecurity research
The Mohawk Valley has 14 college & universities with a student population of 34,238

SUNYIT-CNSE Center for Computer Chip Integration R&D Partnership



Center for Computer Chip Integration, SUNYIT, Utica NY: Rendering

"The initial outcome of this process holds great promise for SUNYIT and for the entire Mohawk Valley. Our Council did a great job in articulating a regional vision, and SUNYIT is an important part of the plan that will lead to economic growth and future development."

President Wolf Yeigh
SUNYIT
MVREDC Co-Chair

The mission of the Ft. Schuyler Management Corporation (FSMC) is to support CNSE and SUNYIT's Computer Chip Hybrid Integration Partnership (CHIP) by facilitating the development, corporate attraction and long-term operation of a new technology complex being developed at SUNYIT, containing flex-space clean rooms, laboratories, integrated utility and mechanical systems, and office space.

Quad C/CAT Technology Center at SUNYIT is a 165,000 SF, \$51 Million facility serving as the focal point to attract corporate partnerships, academic research, and investment. The RFP process concluded in December 2011, and design/build contractor M+W Group was chosen in April 2012. The design/build plans will be concluded in the early fall with construction commencing in fall 2012 and completion anticipated by mid-2014.

There are two tenants confirmed for the facility and two more are in active negotiations. The completion of this facility will result in the creation of over 300 jobs in this first phase of project development.



Milford Central School- CORE Initiative Education

Milford Central School's CORE- Career Opportunities in Rural Education community program is focused on current and future workforce development. CORE primarily educates in three curricular areas- STEM (Project Lead the Way), Healthcare, and Entrepreneurship. CORE produces a pipeline of partnerships providing sustainability, economic trend awareness and synergy. CORE challenges the typical disadvantages of rural communities through coordination and collaboration to create a network of potential and provide a replicable model for other communities.

Milford Central School is launching Project Lead the Way. The pilot teachers in the program spent Summer 2012 training at the Rochester Institute of Technology. A medical coding course is scheduled to begin in September. CORE was able to reduce the per person cost of these programs and fill a need in the medical industry regionally.

A large portion of the funding has given a rural community the opportunity to nurture students interested in STEM through Project Lead the Way's nationally recognized programming, which will arm our future workforce with the knowledge they need to compete nationally in STEM education and related occupations.



Mohawk Valley Community College Brownfields Training Workforce Training

The Brownfield Training Course is a 3-week program for unemployed that prepares them to work in the remediation of brownfield sites. Students who enroll in the program and successfully complete the courses graduate with six certifications.

Starting in July 2012, twenty-two students were enrolled in the program at MVCC's Educational Opportunity Center on Elizabeth Street in Utica. The classes are comprised of individuals from a variety of backgrounds including veterans, recovering addicts, ex-offenders, and youth (18-24). In addition to receiving the six certifications preparing them for careers in the construction field, they receive career and college planning counseling, resume writing assistance and job placement assistance from the Educational Opportunity Center staff. This \$50,000 project has remained on schedule and on budget, with its first set of measurable benchmarks completed once students complete their courses, which will be in early-mid August.

The Brownfield Training Program for Unemployed Workers has provided MVCC the opportunity to empower unemployed workers by helping them to obtain marketable skills that qualify them for a career in construction where they can earn competitive wages in a growing field.



American Alloy Steel, Rome NY: Recent Brownfield Cleanup



Strategy Three:

CREATE

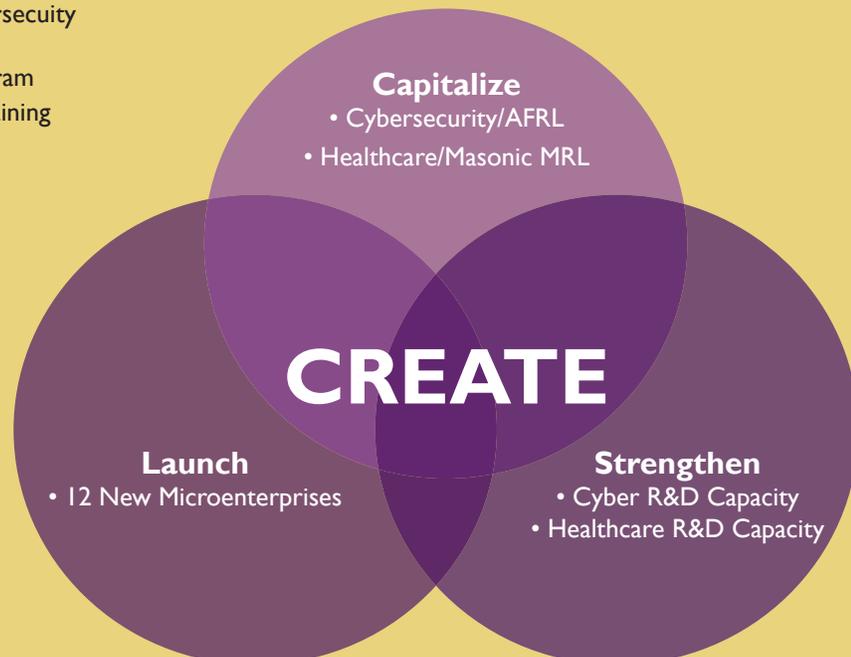
Innovation Enabling Infrastructure

Masonic Medical Research Lab, Utica NY: Performing world renowned cardiac research & development

2012 Priority Projects

- C2IE- Creation of a Training Center of Excellence for Cybersecurity
- Griffiss Institute- Expansion
- Masonic Medical Research Lab- Organ Bio-Engineering Program
- SUNY Insitute of Technology: Cyber Shield, Public/Private Training

Advancing the Strategy



Appendix contains additional project information



Griffiss Institute, Rome NY: Holds Lego Robotics competitions to teach kids the importance of STEM

The Mohawk Valley must encourage development of new products, services, and technologies, as well as foster new ideas that stimulate business investment and growth. By accepting new approaches to organizing work and new business models to encourage economic growth, the region can capitalize on its physical and intellectual infrastructure to enhance entrepreneurialism.

It is essential to foster innovation. Pooling the region’s technical, financial and educational assets will drive entrepreneurialism. Capitalizing on our research and development assets leverages small business development in the Mohawk Valley.

Among the 2012 goals of this strategy include: strengthening regional research and development capacity, technology transfer through research-industry linkages, increase small business lending through micro-enterprise programs and establishment of entrepreneurial networks.

2011 funded projects invested \$2,033,500 into the region, added 60 miles of broadband capability, helped 21 businesses recover after last year’s devastating floods, retained 20 jobs and created 12 jobs. These investments helped to improve the Mohawk Valley’s ability to build local entrepreneurship capacity by growing small and innovative businesses.



Public Participation Education

5 CFA
Workshops

Over 330 people participated in half day workshops guiding them through the Consolidated Funding Application process



Strategy Three: CREATE

2011 Funded Projects

Main Street, Gloversville NY
54% of businesses in the Mohawk Valley have less than 4 employees

Schoharie County Microenterprise Program **Assistance Program**

The Schoharie County Microenterprise Grant Program awarded \$200,000 to twenty-one small businesses impacted by the epic flooding of 2011. These funds are being used to help replace machinery, equipment and inventory. Of the twenty-one businesses that were awarded funds, fourteen are already back in operation and 25-30 jobs were retained. This project has been paramount in ensuring that businesses throughout Schoharie County recover from the floods. This project was able to fill the funding gaps of other programs. The businesses helped include: Brands Paving, Schoharie Service Station, Valley Beverages, JMK Enterprises, Lam Tek Properties and Nickerson Park.



Schoharie County: Governor Cuomo visits

“Without the Schoharie County Microenterprise Grant Program many of the small businesses in Schoharie County would not have been able to recover from the floods of Irene and Lee,” said Harold Vroman, Chairman of the Schoharie County Board of Supervisors. “The grant program gave more than just financial assistance to the back bone of our local economy, it also gave hope that this County will come back and that the State supports our recovery efforts.”

Oneonta Microenterprise Program **Assistance Program**

The City of Oneonta Microenterprise program has created and retained low to moderate income jobs through assistance to new and existing businesses with five (5) or fewer employees for start-up costs, expansion of inventory, advertising and other eligible business expenses. Preference was given to new businesses and existing businesses with a record of sound business practices wishing to expand. Preference was also given to businesses locating or currently located within the Downtown Business District and those committing to meet or exceed job creation goals. As of July 2012, 14 projects, including seven new businesses, have been approved for a total of \$190,000 committed.



Otsego County: Microenterprise Recipient

“Because of the success of the City’s first Microenterprise Program, which assisted nine businesses and is expected to create 17 jobs, we were very pleased to be awarded a second Microenterprise Program under the 2011 CFA funding round,” said Jeffrey House, Director of Community Development for the City of Oneonta. “We will be able to assist several new and expanding businesses, creating many new jobs for low to moderate income persons, while continuing to grow and strengthen our vibrant and vital Downtown. Our thanks to the MVREDC for recognizing the importance of small businesses to our economy, and for continuing to assist us in our Community Development efforts.”

Northland Networks Ltd. Infrastructure upgrades

Northland Communications is a local family business founded in 1905 providing integrated communication services throughout Central New York. For communities to remain viable, access to robust bandwidth must be available throughout the entire region. Northland, through the \$703,500 grant provided by ESD, in building out a fiber-optic infrastructure in both Herkimer and Oneida Counties. This network will give customers the ability to have “carrier grade” symmetrical bandwidth connections.

The MVREDC is assisting Northland in building a 60 mile fiber-optic network that, based on the size of the communities it connects and the distance between them, would not have been financially viable otherwise. In doing so, the communities that this network touches are in a stronger position to not only retain existing jobs but attract new businesses to our region.

Northland’s project started in March 2012 with the final engineering and coordination with utility companies. Physical construction of the fiber network started in May. By July, they extended their network 7 miles. The balance of the 60 mile network should be completed in mid-2013, giving Northland the ability to provide high speed bandwidth options to businesses from Herkimer to Vernon. This project is operating within the expected and originally specified budget. Since the start of construction, Northland has been able to move customers off aging copper infrastructure to new fiber network, increasing many of their customers bandwidth.



Griffiss Institute- Cybersecurity Accelerator Facility & Network Upgrades

Partnering with private industry and academia, the Griffiss Institute was designed to facilitate and grow the technology base of the region. The Griffiss Institute Cybersecurity Accelerator Grant will provide needed connectivity to support the knowledge-based economy of IT and cybersecurity industry in the Mohawk Valley. The Grant will provide high speed research connectivity to major University and corporate research networks.

Currently, the Griffiss Institute is completing the detailed design phase and documenting design specifications to establish high speed connectivity capabilities. Requests for bids on video conferencing equipment and facility expansion study have been completed and will be sent out shortly. Purchases of conferencing equipment will take place over the next 3 months, keeping this project on track with the original project timeline.

These planned facility upgrades have been instrumental in establishing cooperative research programs between AFRL/RI and major defense contractors and universities addressing assured cloud computing and high-performance computing technologies, including IBM and the University Of Illinois Center Of Expertise in Assured Cloud Computing.



Strategy Four: REVIVE

Increasing Spatial Efficiencies

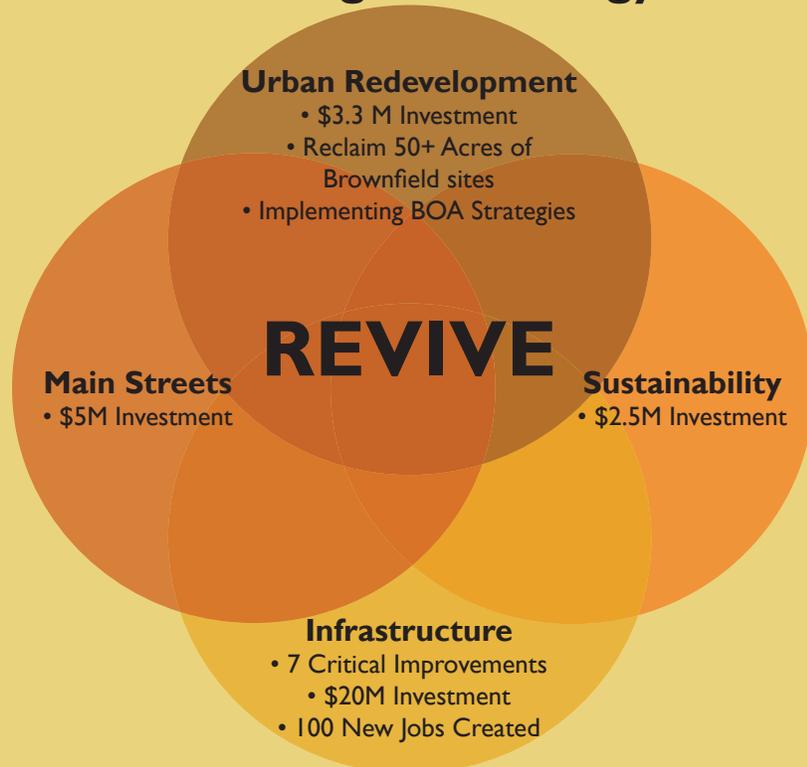


Dominick Street, Rome, NY: An example of a successful Main Street program and implementation

2012 Priority Projects

- **2 Brownfield Implementations** (Frankfort BOA, Rome Cable BOA)
- **5 Civic Facilities Projects** (Doubleday Field Marketing, Foothills Performing Arts Center Upgrades, Olmstead Parks, Rome Capitol Expansion, Utica Aud Upgrades)
- **8 Critical Infrastructure** (Bellamy Harbor Terminal Rehab Phase 1, Canajoharie Waste Water Capital Project, Forestport Waste Water, City of Little Falls: South Ann Street Bridge Upgrade, City of Oneonta: Dietz Street Parking Lot, Gloversville-Johnstown Waster Water Pretreatment- Upgrades to Support FAGE, Otsego Watershed Management, Utica Streetscape/Parking Improvements)
- **12 Main Street Projects** (Regional Main Street, Amsterdam, Cooperstown, Jefferson, Little Falls, Mohawk, Northville, Old Forge, 2 Rome, Oneonta, Waterville)
- **4 Sewer Projects** (Amsterdam, East Herkimer, Utica, Verona)
- **3 Village Projects** (Fort Plain, Herkimer, Mohawk)

Advancing the Strategy



Increasing spatial efficiencies focuses on revitalizing urban centers and rebuilding infrastructure to enhance economic development opportunities and strengthen our regions sustainability. The improvement of transportation systems, strategic redevelopment of Brownfield and waterfront assets and reinvestment in downtowns remains critical to the Mohawk Valley.

The Mohawk Valley's downtowns and main streets contribute significantly to the region's economy, culture and unique sense of place. Many of these properties are abandoned or vacant, with owners no longer maintaining the property or paying taxes. Some have environmental legacies that are costly to remediate.

For many Mohawk Valley communities, waterfronts began as commerce and manufacturing centers, transportation hubs and centralized meeting places. As transportation moved from bulk to container shipping and manufacturing moved out of cities and centers, waterfronts became large swaths of unused property.

By re-utilizing these assets the region can maintain its pride and historic character, while creating a sustainable smart economy.

This strategy will be advanced in 2012 by identifying regional Brownfield priorities; promoting investment in regional cores, municipal centers, and Main Street districts, promoting the use of natural resources in an environmentally sound manner; and assisting communities with planning, zoning, and strategic investments. This strategy will improve system capacities and optimize use of key physical assets.

2011 funded projects created \$109,225,916 of investment, reduced energy consumption equivalent to removing 2,150 cars from the road and reused over 500 acres of land. These targeted investments have positioned the region to attract new private investment, support job creation efforts, upgrade aging infrastructure, improve system capabilities and optimize the use of key physical assets.



Gloversville- Johnstown Wastewater Treatment Facility, Johnstown NY: The excess capacity that existed when the leather tanning industries moved out of Fulton County was vital to our ability to attract FAGE USA Dairy allowing them to expand their operations.





Public Participation Information

Informational Materials





Mass Media





Social Media



Find us on:
[facebook.com/MVREDC](https://www.facebook.com/MVREDC)



@MVREDC

2011 Funded Projects

Frankfort Marina Upgrades



Frankfort Marina, Frankfort NY

Using a \$113,812 grant from the State of NY, the Village of Frankfort will purchase an underutilized property adjacent to the Frankfort Marina currently owned by the New York State Canal Corporation. Acquiring the 3-acre parcel will allow Frankfort to expand the Marina's recreational opportunities to include campsites, a recreational trail and two boat and fishing access points. The Frankfort Marina is a very popular community site hosting picnic areas, a boat dock, fueling station and open space.

"This is a great example of a project that enhances our region's tourism industry by expanding upon our abundant natural and recreational resources," said Larry T. Gilroy, President of Gilroy, Kernan & Gilroy, Inc. and Co-Chair of the MVREDC. "Tourism and recreation are important economic engines in our region, and we are pleased this project is moving forward."

Tryon Business Park Creation



Tryon Business Park, Johnstown NY

The transformation of the former Tryon juvenile detention facility into a job creating, tax generating business park has begun.

The Fulton County Industrial Development Agency (IDA) is working with Empire State Development and the NYS Office of General Services to finalize an agreement that would result in a total of 515 acres of land and 40 buildings comprising the former Tryon Campus being deeded to the IDA. Once this agreement is executed, plans will be developed for the installation of new water and sewer lines and the construction of a new internal access road. The development of this infrastructure will create approximately 300 acres of shovel-ready sites. In addition, the 40 buildings that comprise the former Tryon Campus will be made available for businesses to utilize as incubator space, offices, warehouse stores or other appropriate uses.

"The \$2 million grant obtained from Empire State Development represents critical funding that will allow a negative circumstance (the closure of the former Tryon Campus) to be transformed into a positive opportunity which will be the creation of the Tryon Technology Park and Incubator Center Project. This Project will create jobs and expand the local tax base," said Mike Reese, President of the Fulton County Center for Regional Growth.

GUSC Energy, Inc. BioEnergy Expansion



GUSC Energy, Rome NY: Groundbreaking

GUSC Energy was formed to provide heat and power to the tenants of the Griffiss Business and Technology Park (GBTP), located in Rome. GUSC Energy seeks to displace nearly all of the fossil energy currently used by GBTP for heat, while simultaneously using renewable energy sources to provide electricity for Griffiss tenants.

This renewable energy project establishes an energy cost stabilization plan in which existing infrastructure is retrofitted to provide heat and electricity derived from locally grown and harvested wood chips. This will increase the cost efficiency of GUSC's system and provide an adaptable energy system capable of using wood chips, natural gas or oil. The project will also inject \$750k/year into the economy through purchases of locally sourced wood fuel, provide construction jobs for 18 months and add 1-2 new permanent jobs.

The project has received all permits needed to begin construction. Initial site preparation work, including foundations, began the week of July 2, 2012. Major equipment placement and construction will begin late summer 2012 with noticeable changes to the site evident by early fall. Testing and commissioning of the plant is planned for late spring 2013.

Marcy Nanocenter at SUNYIT Infrastructure upgrades

The awarded projects at Marcy Nanocenter are transformational and regionally significant. This project site is tied to New York State's investment in building a global technology hub. The upgrade of the Oneida County Interceptor will enable increased sewage capacity, which is necessary for the scale of development envisioned. The construction of a two-lane industrial access road improves accessibility and creates a utility corridor to the development site.



The design team of O'Brien and Gere and M+W Group has been retained to revise the site plan to support a three-fab layout and site improvements including site grading, storm drainage and construction of a loop-road. The project, once fully refined, will include shovel ready development pads for up to three 300/450mm semiconductor fabrication plants and meet time to market requirements. This project will likely be between 6-9 months behind what was outlined in the 2011 Regional Strategic Plan. Construction is expected to commence in Spring 2013 and conclude in Summer 2014.

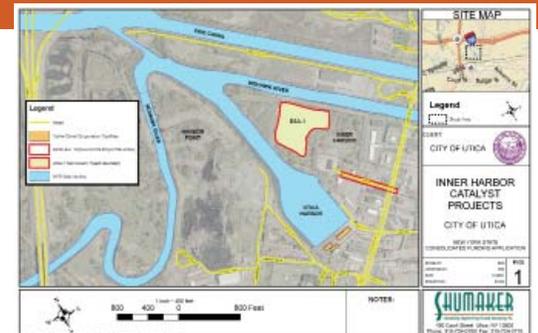


The funds along with funds from Oneida County are being used for a \$11.6 million upgrade to the Main County Interceptor from Marcy to the Oneida County Sewage Treatment Plant. Project is under-design with bidding planned in the fall 2012, and completion in fall 2013.

City of Utica- Harbor Point Redevelopment

The Harbor Point Development Plan represents significant potential for the City of Utica and the Mohawk Valley Region. When fully implemented, the Plan will maximize commercial and residential development along the city's waterfront, and include significant recreational assets in environmentally appropriate areas. Full implementation will result in short-term construction jobs, long-term permanent jobs and increased property tax and sales tax revenue.

The City of Utica has signed the grant agreement for this project with the New York State Department of State. The City has begun development of the Request for Proposals in order to procure the services of an experienced and qualified consultant team to assist in creation of the Plan. Additionally, the Harbor Point Local Development Corporation has been established, a Board is in place and has begun meeting. This LDC will ultimately be responsible for implementation of the Plan.



Governor Cuomo's Consolidated Funding Application process provided much-needed funding for this project, thereby laying the groundwork necessary for the City to spur private development in the future.

Town of Cobleskill- Sewer Extension Infrastructure Extension

The Town of Cobleskill Water and Sewer project is a sanitary sewer collection system and water system to serve the Route 7 corridor, County Route 8 and Howe Caverns. Agreements have been executed between the Town and Village of Cobleskill and Howe Caverns. Necessary environmental reviews have been prepared; the map plan and reports are done, and the public hearing on creation of the districts has been held. The sewer and water districts have been created by the Town of Cobleskill at their July 2012 Board meeting; the engineers are preparing to go to bid on the projects- construction will start this fall.

"This project enables an internationally known tourist destination, Howe Caverns, to reach its full potential by providing water and sewer from the Village of Cobleskill," said Alicia Terry, Director of Planning for Schoharie County. "The additional benefit is that the Route 7 Corridor, long viewed as an important area of commercial development in Schoharie County, zoned by the Town of Cobleskill as commercial, will be ready for additional business investment, and interest in locating there is growing."

Strategy Five: FORGE

Strengthen Government & Civic Effectiveness

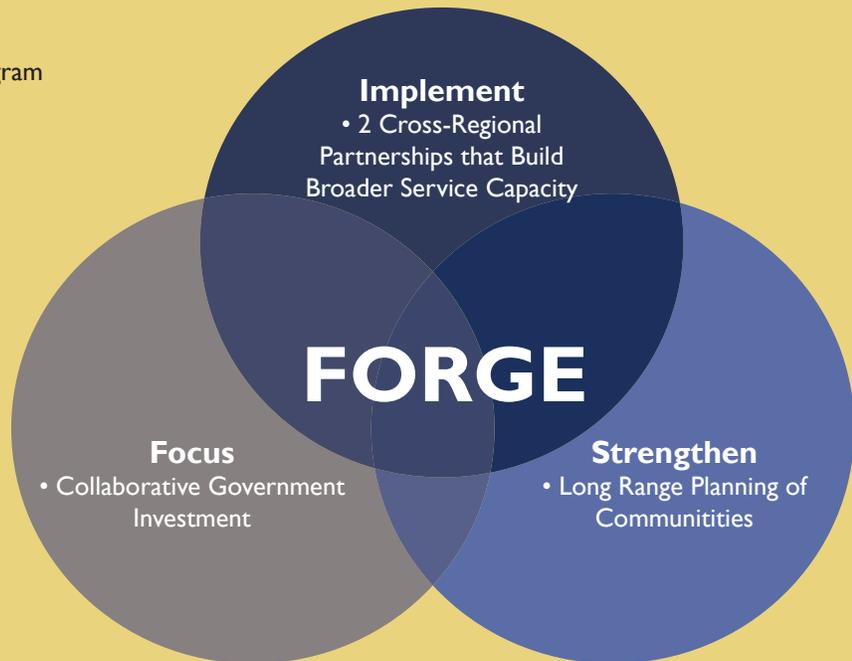


Matt Brewery, Utica NY: The Mohawk Valley currently produces 35% of New York State's craft beer

2012 Priority Projects

- CNY Agri-Tourism Grant- Multi-regional
- Sculpture Space Inc: The Summer of Sculpture 2013- New Program
- Utica Symphony 2013- New Program
- Implementation of the State Agency Resource Team
 The State Agency Resource Team was established to develop an inter-agency work plan and better align State agency programs to help implement the regional plan. Inter-agency cooperation will be of particular importance in advancing several strategies and it is likely SART will actively assist the Council in developing partnerships.

Advancing the Strategy



5

Forging partnerships will strengthen our region's government and civic effectiveness. By modernizing the regional governance system we can promote entrepreneurship, attract investment capital, and foster the attraction and expansion of business activity.

Creating a leaner and more efficient government will allow for development that produces a more vibrant economy, and an enhanced quality of life for residents. In a time when government efficiency is of vital importance, exploring government consolidation, shared services, and revenue or tax base sharing opportunities can help streamline and modernize a burdensome and business-unfriendly governance system.

Modernizing our civic institutions through the use of technology and innovation will ensure their future success.

Additional opportunities to strengthen effectiveness include identifying the tax value proposition to help recognize and reduce overlap in governments and services and partnering with regional media outlets to develop communication channels to help champion the region's assets, strengths and potential for success.

Furthermore, by taking bold steps in governmental modernization and efficiency, the region may become an example for other governments striving to increase efficiency and effectiveness.



Schoharie County after flooding damage from Hurricane Irene



Public Participation Public Outreach

5 Speaking Engagements
per month

MVREDC representatives spoke about the regional plan, our five strategies and our goals for the region. Discussions focused around the 2012 Action Plan, the CFA process, application and our next steps.



Venues included: economic development agency meetings, chamber of commerce meetings and other business/civic organizations



Strategy Five: **FORGE**

2011 & 2012 Initiatives

Amsterdam, NY: Waterfront Revitalization

Regional Collaboration

Mohawk Valley Regional Cleaner Greener Communities Program Initiative

The Mohawk Valley Region; a coalition of Fulton, Herkimer, Montgomery, Oneida, Otsego and Schoharie Counties, towns and organizations received \$1,000,000 from New York State Energy Research and Development Authority (NYSERDA) to create a regional sustainable growth strategy as part of the Cleaner, Greener Communities Program. The coalition, comprised of a broad spectrum of government representatives, local municipalities and various agencies from within each county, will develop a Regional Sustainability Plan that encompasses the MVREDC area.

Development of a comprehensive regional sustainability plan is the first phase of the Cleaner, Greener Communities Program and is intended to provide resources that each NY region can use to develop its own vision, goals and objectives for a sustainable future, identify actions needed to achieve that future and outline metrics to measure success.

Public/Private Partnerships

Central New York Parks Conservancy

Private/public collaboration has greatly increased F.T. Proctor Park's use and has encouraged more organizations to use the Park as a venue for major community celebrations (e.g., Utica Boilermaker Road Race, July 4th Celebration). Modeled in design and governance after New York City's famous Central Park, (F.T. Proctor Park was also designed by the Olmstead Brothers Firm) the Central New York Conservancy is a privately funded not for profit corporation which has collaborated effectively with the City of Utica on multiple restoration projects at F.T. Proctor Park, utilizing the original Olmstead design plans and elements. Perhaps most important is that private dollars and volunteer staff have taken ownership of a key public asset that municipal government could no longer afford to manage and operate at its highest and best use – a model that will hopefully translate into greater private involvement in shared public assets across the region.



Proctor Park, Utica NY

Cross Sectoral Partnerships

Western Mohawk Valley Regional Water Authority Smart Grid Study

The Western Mohawk Valley Regional Water Authority 'Smart Grid' Program is a collaborative effort among a number of communities in the western part of Oneida County and the City of Oneida in Madison County who are working together to address water issues that have impacted economic development. This collaborative effort has joined together on a \$207,000 Local Government Efficiency grant application through the Department of State to help develop recommendations for addressing water issues in this part of the region. The Smart Grid partners include: the City of Rome, City of Sherrill, City of Oneida, Town of Vernon, Town of Verona, and Mohawk Valley Water Authority.

Each community is facing water expansion projects ranging from \$2 million to over \$20 million. This study will examine the possibility of promoting inter-community connectivity as a method of consolidating water projects and reducing the cost burdens for each individual community. By working together impacts associated with installation costs are spread over a greater number of ratepayers.

The 18-month study will examine each community's water needs, proposed water projects, and analyze the possibility of reducing project costs through connectivity. The study will include a hydraulic analysis of each proposed connection point to verify the ability of the system to maintain adequate volumes and pressures along the service route. Once the hydraulic analysis has determined the connection points to be viable, cost estimates for each project will be developed and compared to each community's individual water project costs. A cost comparison will be provided showing the most cost-effective and efficient approach.

The study will culminate in an Engineer's Report, which identifies the issues affecting the partnering communities, solutions identified, calculations verifying the solutions, and cost estimates for each project. The Steering Committee, formed as a component of this project, will work toward implementation of the recommendations.



Tax Base Sharing

Master PILOT and PILOT Increment Financing Proposal- Marcy Nanocenter at SUNYIT

Marcy Nanocenter at SUNYIT is the Mohawk Valley's transformational economic development initiative. To help advance the site for 300/450 MM semiconductor manufacturing, it is essential that specific site development improvements be made to reduce "time to market" requirements, and accelerate the availability of the Marcy site for construction of a semiconductor plant. An Engineering Design Study was completed to identify upfront site development improvements to enhance the Marcy site's competitive position when stacked against global locations.

To implement the recommendations, a State-Local partnership is proposed to finance the key upfront site improvements. In return a Master PILOT and PILOT Increment Financing (PIF) Agreements will be formalized. The Master PILOT and PIF will result in predetermined amounts available for local taxing jurisdictions with the balance of PILOT proceeds earmarked for:



The Master PILOT would be for a term of 49 years for each development project at the Marcy site. It is expected that a single semiconductor plant over 49 years would generate \$204 million to \$264 million in PILOT revenues, with on average 27% of the PILOT payments allocated to local taxing jurisdictions and the balance of PILOT proceeds earmarked to support key project development requirements (repayment of State financing, other debt financing and company job training assistance); and capitalizing a regional STEM Program and Sewer Improvements Fund.

Mohawk Valley

2012 Regionally Significant Project Pipeline

2012 NYS Other Competitive Funding Priority Project Recommendations

Project:	Recommended Funding Amount	Total Project
Marcy Nanocenter Time to Market	\$4,525,000	\$11,525,000
Mill Farm Nursery Coop	\$400,000	\$400,000
Quanterion Solutions	\$365,346	\$14,020,153
FSMC: SUNYIT Quad C	\$20,000,000	\$100,000,000
SUNYIT: CGAM	\$2,000,000	\$10,575,000
Rome Memorial Hospital	\$1,000,000	\$9,950,000
Town of Verona Water System	\$25,796,688	\$25,796,688
Richardson Brands	\$1,563,843	\$1,763,843
Carver Realty LLC	\$1,450,583	\$4,556,475
City of Rome Water Tunnel Rehab	\$1,800,000	\$12,050,000
Gems Along the Mohawk	\$235,000	\$2,060,000
Harbor Point Infrastructure	\$2,500,000	\$2,500,000
GLDC Griffiss Parkway Phase 3	\$8,900,000	\$9,000,000
Central Association for the Blind	\$165,000	\$825,000
MH Stallman Expansion	\$800,000	\$825,000
Alliance Paving Materials	\$114,600	\$542,500
Austin Mohawk and Company	\$11,600	\$63,900
SUNYIT Entrepreneurship	\$500,000	\$1,440,000
HCIDA Small Business Loan Fund	\$50,000	\$50,000
Hummel Warehouse Addition	\$400,000	\$400,000
Strand Theatre Digital Conversion	\$275,000	\$275,000
Cobleskill Fairgrounds	\$30,000	\$85,000
Fulton Co. Regional Business Park	\$2,000,000	\$2,000,000
Oneida County Business Park	\$200,000	\$987,500
Total	\$75,082,660	\$211,691,059

Leverage 3:1

ESD Excelsior Tax Credits

Project:	Recommended Funding Amount	Total Project
Gehring Tricot	\$700,000	\$3,500,332
Project Aqua	\$4,000,000	\$65,070,000
Custom Electronics	\$75,000	\$1,800,000
SUNYIT: CyberShield	\$200,000	\$2,400,000
Total	\$4,975,000	\$72,770,332

Leverage 15:1

Project:	Recommended Funding Amount	Total Project
Baseball Hall Of Fame Upgrades	\$675,500	\$2,101,000
CNY Agri-Tourism (Multi-Regional)	\$541,500	\$825,000
Womens Equality Trail Mix	\$25,000	\$25,000
Doubleday Field Marketing	\$75,000	\$80,000
Rome Capitol	\$30,000	\$11,566,850
Harden Furniture Modernization	\$154,800	\$3,072,720
Advanced Tool Expansion	\$100,000	\$1,493,471
Prozone Locker	\$200,000	\$1,200,000
Mohawk Fabrics	\$125,000	\$1,200,000
Amsterdam Sewer*	\$600,000	\$603,000
Canajoharie Wastewater Project	\$600,000	\$2,631,000
Village of Herkimer: Bellinger Brook	\$225,000	\$260,340
Howe Caverns	\$400,000	\$1,000,000
Bonide Expansion	\$285,000	\$1,200,000
Rome Main St.*	\$250,000	\$500,000
Oneonta Main St.*	\$250,000	\$343,000
Frankfort BOA*	\$350,000	\$450,000
Amsterdam Downtown Revitalization*	\$400,000	\$475,000
Jefferson Village Green*	\$243,125	\$488,790
Waterville Downtown Revitalization*	\$250,000	\$514,000
Old Forge Main St.*	\$250,000	\$550,000
Little Falls City Redevelopment*	\$245,000	\$1,270,000
Mohawk Gateway Enhancement*	\$325,000	\$400,000
Destination James Rome Main St.*	\$145,000	\$195,000
Olmstead Parks	\$72,500	\$145,000
Northville Main St. Improvements	\$100,000	\$100,000
Sculpture Space Inc	\$100,000	\$200,000
Utica Symphony 2013	\$75,000	\$225,000
Regional Main St.*	\$300,000	\$600,000
Otsego Watershed Management	\$525,668	\$525,668
Village of Fort Plain: Old Military Rd.	\$50,000	\$100,000
Village of Mohawk: Small Boat Access	\$35,000	\$35,000
Bellamy Harbor Terminal Rehabilitation	\$150,000	\$355,100
City of Little Falls: South Ann St. Bridge	\$1,440,526	\$1,616,343
Custom Electronics Inc. Facility	\$225,000	\$1,800,000
Alliance Paving Materials Inc	\$166,950	\$542,500
Foothills Performing Arts Center	\$200,000	\$450,000
Cooperstown Green Main St.*	\$1,943,247	\$1,945,247
City of Oneonta: Dietz Street Parking	\$750,000	\$750,000
Gloversville-Johnstown Wastewater	\$1,250,000	\$4,500,000
Old Forge Properties Biomass Heating	\$1,300,500	\$2,220,278
Biomass Energy R&D at Cobleskill	\$821,970	\$1,233,661
Forestport Waste Water	\$30,000	\$30,000
Project Aqua	\$4,100,000	\$65,070,000
Living Learning Health Science Center	\$54,750	\$12,554,750
ConMed Corporation	\$600,000	\$600,000
MVCC CNC Training	\$99,000	\$99,000
Madison-Oneida BOCES Manufacturing	\$17,200	\$17,200
Schoharie County Ag. Assistance	\$500,000	\$950,000
Adirondack Distilling	\$68,500	\$225,000
Total	\$21,720,736	\$129,333,918

Leverage 6:1

Project Pipeline

Looking
Forward

2012 Competitive Capital Funds (ESD) Priority Project Recommendations

Project:	Recommended Funding Amount	Total Project
Gloversville-Johnstown Wastewater	\$1,000,000	\$4,500,000
C2IE	\$85,000	\$300,000
Griffiss Customs	\$300,000	\$1,700,000
Living Learning Health Science Center	\$2,500,000	\$12,554,750
Masonic MMRL Organ Bio-Engineering	\$302,000	\$1,509,398
Project Cardinal Phase II (AIS)	\$300,000	\$1,490,410
Harden Furniture Modernization	\$614,545	\$3,072,720
Baseball Hall of Fame Upgrades	\$625,000	\$2,101,000
Downtown Utica Streetscape*	\$2,000,000	\$8,000,000
Griffiss Institute*	\$2,100,000	\$4,700,000
Project Aqua	\$2,500,000	\$65,070,000
Utica Memorial Auditorium*	\$1,000,000	\$2,000,000
Foothills Performing Arts Center*	\$50,000	\$450,000
St. Mary's Healthcare	\$1,000,000	\$18,000,000
Rome Strip Steel	\$185,000	\$1,000,000
Burrows Paper	\$1,000,000	\$21,000,000
Frankfort BOA*	\$100,000	\$450,000
Rome Capitol*	\$700,000	\$11,556,000
Rome Cable*	\$2,520,000	\$2,800,000
Brewery Ommegang	\$360,000	\$1,811,540
Northeast Cyber Forensics Center	\$914,000	\$6,500,000
Mohawk Fabrics	\$75,000	\$1,200,000
Cold Point Corp.	\$20,390	\$102,190
Bonide Expansion	\$240,000	\$1,200,000
Gehring Tricot Expansion	\$700,000	\$3,500,332
Town of Verona Sewer	\$1,000,000	\$6,668,000
Turbo Machined Products	\$189,065	\$945,328
Custom Electronics Inc.	\$250,000	\$1,800,000
East Herkimer Sewer*	\$1,250,000	\$2,510,750
Utica Sewer	\$400,000	\$1,600,000
Old Forge Properties Biomass	\$440,000	\$2,220,278
SUNYIT Cyber Shield	\$280,000	\$2,400,000
Total	\$25,000,000	\$194,712,696

Leverage 8:1

Criteria for Recommended Funding of Priority Projects

- County Liaison Committee scored submitted Priority Projects utilizing a standard scoring criteria found in the Strategic Plan
- Business Investment projects are generally recommended for funding at 20% of total project cost
- Infrastructure, Civic facilities, other Capital projects are recommended for funding at 50% of total project cost
- Brownfields are recommended for funding at 90% of total project cost
- MVREDC placed a maximum cap of \$2.5 million in ESD funds per project

* Part of MVREDC 5-year Capital Plan

2011 Funded Projects

Project:	CFA Award	Total Project
GROW		
Blenheim Hill Farm	\$152,500	\$152,000
Cobleskill Brewery	\$750,000	\$5,150,000
Defense Contractor Facility	\$350,000	\$4,456,532
Delorio Foods, Inc.	\$450,000	\$1,500,000
Embassy Millworks	\$205,000	\$1,300,000
FAGE USA Dairy	\$750,000	\$100,148,000
Fiber Instrument Sales	\$200,000	\$3,951,752
Griffiss Int'l Airport	\$2,700,000	\$3,000,000
Homogeneous Metals	\$428,605	\$25,776,820
MH Stallman Company	\$5,000,000	\$5,000,000
Ommegang Brewery	\$140,000	\$3,176,250
ProTerra Lighting	\$731,315	\$8,218,000
W. Kintz Plastics	\$21,563	\$134,770
BUILD		
Advanced Tool	\$16,000	\$16,000
Madison-Oneida BOCES	\$42,000	\$40,000
Milford CORE Initiative	\$125,000	\$450,000
MVCC Brownfields Training	\$50,000	\$50,000
Otsego Northern Catskill BOCES	\$47,345	\$47,345
SUNYIT-CNSE CCCC	\$15,000,000	\$65,000,000
CREATE		
Griffiss Institute Cyber Accelerator	\$397,500	\$795,000
Northland Networks Broadband	\$703,500	\$703,500
Oneonta Microenterprise Program	\$200,000	\$335,000
Schoharie Co. Microenterprise Program	\$200,000	\$200,000
PRESERVE		
Amsterdam Storm Sewer	\$600,000	\$612,000
Amsterdam Waterfront Heritage Area	\$25,000	\$50,000
Cobleskill Route 7 Upgrades	\$4,100,000	\$7,800,000
Frankfort Marina Expansion	\$113,812	\$151,750
GUSC BioEnergy Plant	\$1,500,000	\$18,000,000
Harborway on the Erie Canal	\$783,550	\$48,092,046
Marcy Interceptor Sewer Upgrade	\$5,000,000	\$8,500,000
Marcy Nanocenter Loop Road	\$5,000,000	\$12,700,000
Renssen Storm Water Improvements	\$600,000	\$600,000
Rome- Little Italy Colonnade	\$459,000	\$459,000
Rome Multi-Use Trail	\$50,000	\$50,000
Rome Navigation Center	\$393,060	\$786,120
Schoharie Crossing Parking Lot	\$75,000	\$75,000
Schoharie Crossing Signage	\$20,000	\$20,000
Tryon Technology Park	\$2,000,000	\$3,900,000
Utica Urban Green Infrastructure	\$1,030,000	\$1,030,000
Utica Inner Harbor Catalyst	\$250,000	\$6,000,000
Utica Waterfront Revitalization	\$200,000	\$400,000
Total	\$50,859,750	\$338,826,885

Leverage 7:1



**Mohawk Valley Regional
Economic Development Council**
207 Genesee Street • Utica, NY 13501
(315) 793-2366 • (315) 793-2705 Fax
nys-mohawkval@esd.ny.gov



Mohawk Valley Regional Economic Development Council



Fulton • Herkimer • Oneida • Otsego • Montgomery • Schoharie

2012 Action Plan Appendix

2012 Priority Project Descriptions

Project	Recommended Funding Amount	Total Project	Page #
Gloversville-Johnstown Wastewater	\$1,000,000	\$4,500,000	14
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Old Forge Properties Biomass	\$440,000	\$2,220,278	44
SUNYIT Cyber Shield	\$280,000	\$2,400,000	45
Total	\$25,000,000	\$194,712,696	

Implementation Agenda

Strategy 1: Enhancing Regional Concentrations

Action	Progress	Timeline
<p>Fage USA Dairy Assist in the expansion of Fage USA Dairy (Fage Yogurt) in the City of Johnstown, creating 100 jobs, of which 90% will be made available to low-to-moderate income individuals.</p>	<p>HOLD Project is on hold until the completion of wastewater capacity improvements in Johnstown/Gloversville - which is a 2012 MVREDC priority project request.</p>	<p>Creation of wastewater treatment capacity would ensure immediate growth of company.</p>
<p>Homogeneous Metals - Excelsior Homogeneous Metals, Inc. will design, install, and commission an additional production atomization tower, add dedicated screening equipment, and expand the machine shop in addition to upgrading the existing equipment to provide the capability of eight heats per day at the Clayville Facility.</p>	<p>HOLD Project is being revised in order to accommodate transformations in technology.</p>	<p>Final project decision and go ahead will be decided in 4th quarter 2012 or 1st quarter 2013.</p>
<p>ProTerra Lighting US - Excelsior ProTerra Lighting US Inc. will relocate their Ontario, Canada Facility to Amsterdam, Montgomery County, New York creating 111 jobs by June 30, 2015. The 3 year project cost is approximately \$8,218,000.</p>	<p>HOLD Project is on hold until 4th quarter 2012. Company plans on relocating Ontario, Canada facility to Amsterdam, creating 111 net new jobs. No jobs created to date.</p>	<p>Final investment decisions will be made in the 1st quarter 2013.</p>
<p>Cobleskill Brewing Capital Cobleskill Brewing Company will purchase the former Guilford Mills building (460,000 square feet) in Cobleskill, renovate and equip the building as a Brewery. The total project cost is \$5,150,000.</p>	<p>ON TRACK Environmental audit completed August 2012. Company has invested \$100,000 to date.</p>	<p>Projected real estate closing will be the 3rd quarter of 2012. Renovation of structure scheduled for 4th quarter 2012, with an ETC of 2nd quarter 2013.</p>
<p>Griffiss ETP Defense Contractor Facility Capital Griffiss Local Development Corporation will renovate an existing 28,250 sq. ft. airplane hangar at Griffiss International Airport, Rome, New York into a manufacturing site for an out of state prospect that is looking to relocate to New York State.</p>	<p>ON TRACK Property is owned by Oneida County and the structural, mechanical, and related work on the hangar is underway. Final lease decisions by company is on hold until 3rd quarter 2012 due to Federal DoD budgetary issues. Company plans on relocating out-of-state operations to Rome, NY.</p>	<p>Company anticipates positive response in 3rd quarter 2012, with a 3rd quarter 2013 anticipated occupancy of the building.</p>
<p>Fiber Instrument - Excelsior Complete Phase III of the project; which includes completing the engineering design, building the final preproduction model of the instrument and continue to full scale production in Oriskany, Oneida County.</p>	<p>ON TRACK Machinery and equipment has been purchased and company has invested \$1,000,000 to date. Company has hired 1 FTE and 2 PTE.</p>	<p>Company plans on having the prototype ready for sale in 1st quarter 2013.</p>
<p>Brouwerij Belame Capital Brouwerij Belame Ltd will construct a waste water treatment plant, expand the packaging operation, and expand other aspects of the brewery.</p>	<p>ON TRACK Water treatment plant construction has started with completion scheduled for January, 2013 due to environmental permitting issues. CCT tanks were placed in service April 13, 2012. The company has invested \$1.2 million to date on the project.</p>	<p>Brewing centrifuge will be installed by 3rd quarter 2012. Phase I still on schedule to be completed by 1st quarter 2013.</p>
<p>Delorio Foods - Excelsior Delorio's will be replacing their smaller, older freezers with a new larger energy efficient freezer. This freezer replacement project will also allow additional room for them to create two new assembly lines.</p>	<p>ON TRACK Machinery and equipment has been purchased and company has invested \$500,000 to date. Company has hired 10 FTE.</p>	<p>Phase I of project will be finalized at the end of the 3rd quarter 2012.</p>

<p>Embassy Millworks Assist in the expansion of Embassy Millworks, a wood milling operation that specializes in high end locker room construction, to acquire and renovate the long-vacant Mohasco Mill Complex in Amsterdam.</p>	<p>ON TRACK The company has grown from eight employees in its old facility, to 18 employees. Additional product lines are in the development stages, which will necessitate additional employees as well.</p>	<p>Estimated time of completion is 4th quarter 2013</p>
<p>W. Kintz Plastics, Inc. Assist in the expansion of W. Kintz Plastics, Inc. in Schoharie County, creating 6 jobs, of which 67% will benefit low- and moderate- income New Yorkers.</p>	<p>ON TRACK Original award amount was reduced, as project scope was amended. Project remains on schedule despite amendment to scope.</p>	<p>Estimated time of completion is 4th quarter 2013</p>
<p>Blenheim Hill Farm Assist in the start-up of Blenheim Hill Farm in Schoharie County, creating 4 jobs, of which 75% will benefit low- and moderate- income New Yorkers.</p>	<p>ON TRACK Farm continues to make facilities upgrades and capital improvements.</p>	<p>Estimated time of completion is 4th quarter 2013</p>
<p>Quandt's Foodservice Distributors - Excelsior Quandt's Foodservice Distributors, Inc. will construct a new 126,000 sq. ft. warehouse and office in the Town of Florida, Montgomery County. The project will retain 125 full time jobs and create 25 new jobs within five years.</p>	<p>NYS OFFER DECLINED</p>	
<p>Daimler Buses North America - Excelsior A 10,000-square-foot expansion of an existing operation to increase the amount of repair, refurbishment and new component installation services Daimler can provide.</p>	<p>NYS OFFER DECLINED</p>	
<p>Fulton County IDA - MH Stallman Company (CFA 7951)</p>	<p>DATA NOT AVAILABLE FROM NYS</p>	<p>DATA NOT AVAILABLE FROM NYS</p>

Strategy 2: Workforce Alignment and Education

Action	Progress	Timeline
<p>Ft. Schuyler Management - CCCC To build facility containing clean rooms, offices and laboratories to be owned and operated by FSMC at SUNYIT and that will act as the focal point for the assembly and integration of the “system-on-a-chip” (SOC) devices now being developed at the College of Nanoscale Science and Engineering (CNSE) in Albany.</p>	<p>ON TRACK FSMC has contracted with the M+W Group to conduct design/build services for the new 145,000 SF facility which will act as the focal point to attract corporate partnerships, academic research, and investment. As of now, two tenants for the facility have been confirmed, and two tenants are in active negotiation.</p>	<p>The design/build plans will be concluded in the early Fall of 2012, with construction commencing October 2012.</p>
<p>Milford CSD CORE Initiative The CORE Initiative will establish a community-centered physical and virtual network of adult education courses and career advancement opportunities servicing Otsego, Delaware, Chenango, and Schoharie counties.</p>	<p>ON TRACK Design was commissioned in May 2012, remodeling started in June of 2012, M&E was purchased and installed starting in July 2012. The investment to date is \$160,000.</p>	<p>The project will be 50% complete by the 3rd quarter 2012 and fully completed by 3rd quarter 2013.</p>
<p>Advanced Tool On-the-Job Training Training for 15 employees in Marcy, NY to expand existing capabilities in nano-science and nanotechnology, including increasing existing capacity to manufacture miniature/nano cutting tools.</p>	<p>ON TRACK OJT active and on track.</p>	<p>Estimated time of completion is 3rd quarter 2012.</p>
<p>HCCC Healthcare Worker Skills Upgrading The college will train 70 workers for careers in the healthcare field.</p>	<p>NO NYS OFFER MADE</p>	
<p>Madison-Oneida BOCES Unemployed Worker Training Entry level employment training will be offered at the Madison County One-Stop Career Center in Oneida to 45 adults. Training will prepare them to enter jobs in the manufacturing sector within the Central Region, including a career research project.</p>	<p>DATA NOT AVAILABLE FROM NYS</p>	<p>DATA NOT AVAILABLE FROM NYS</p>
<p>MVCC Brownfield Unemployed Worker Training Train unemployed workers in an Environmental Technician Program. The program will mix work-readiness and adult basic education with technical instruction. Graduates will have multiple certifications in demand by local employers.</p>	<p>ON TRACK Twenty-two students have enrolled in the program to date. The classes are comprised of individuals from a variety of backgrounds including veterans, recovering addicts, ex-offenders, and youth (18-24). In addition to receiving six certifications that will prepare students for a career in the construction field, they receive career and college planning counseling, resume writing assistance and job placement assistance from the Educational Opportunity Center staff.</p>	<p>This project has remains on schedule and on budget, with its first set of measureable benchmarks emerging once students complete their courses, which will be in early-mid August and final project completion estimated at the 4th quarter 2012.</p>
<p>Otsego Northern Catskills BOCES Unemployed Worker Training Will provide 60 unemployed workers with health occupation training in a number of concentrations, including, nursing assistant/home health aide, pharmacy technician, and medical billing and coding.</p>	<p>ON TRACK Training is proceeding as projected.</p>	<p>Estimated time of completion is 4th quarter 2012.</p>
<p>Homogeneous Metals On-the-Job Training Project will design, install and commission an additional production atomization tower, add dedicated screening equipment, and expand the machine shop.</p>	<p>NYS OFFER DECLINED</p>	

CyberShield

SUNY IT Research Foundation and AIS will form a \$7.5 million joint research and education partnership to engage in state-of-the-art malware analysis and reverse engineering.

NYS OFFER DECLINED

Strategy 3: Innovation Enabling Infrastructure

Action	Progress	Timeline
<p>Griffiss Local Development Corporation Capital Establish an advanced fiber connectivity HUB at the Griffiss Institute.</p>	<p>ON TRACK RFP is completed and will be issued in the 3rd quarter 2012.</p>	<p>Estimated time of completion is 4th quarter 2012.</p>
<p>Schoharie County Microenterprise Assistance Program Establish the Schoharie County Microenterprise Tropical Storm Relief Grant Program, assisting at least 5 businesses, and retaining 10 jobs.</p>	<p>ON TRACK Twenty-one businesses were awarded funds, and fourteen are already back in operation. Thirty jobs have been retained.</p>	<p>Estimated time of completion is 2nd quarter 2013.</p>
<p>Northland Networks Northland Networks will design, build, and maintain a fiber optic network extending from Herkimer in Herkimer County to Verona, Oneida County using Northland’s existing network. The project focus is to provide improved telecommunications services including internet and private network bandwidth to private businesses currently lacking sufficient services.</p>	<p>ON TRACK Design completed in 1st quarter of 2012. Construction underway. Northland has committed \$586,000 to date.</p>	<p>Estimated time of completion is 3rd quarter 2013.</p>
<p>Oneonta Microenterprise Assistance Establish a microenterprise assistance program that assists a minimum of 7 businesses and creates a minimum of 12 FTE jobs.</p>	<p>ON TRACK Program has created and retained an estimated 17 low to moderate income jobs through assistance to new and existing businesses</p>	<p>Estimated time of completion is 3rd quarter 2013.</p>

Strategy 4: Increasing Spatial Efficiencies

Action	Progress	Timeline
<p>GUSC Energy Capital GUSC Energy, Inc. will design and build a 15 MW (thermal +electric) Biomass Combined Heat Power Plant that will enable GUSC Energy, Inc. to use biomass resources to generate steam and electricity for its customers located at the Griffiss Business and Technology Park.</p>	<p>ON TRACK Groundbreaking held in July 2012. Final design underway, as well as site preparation. The company has invested \$5.7M to date on equipment, engineering, and legal.</p>	<p>Estimated time of completion is 3rd quarter 2013</p>
<p>Marcy Nanocenter Loop Road Construct approximately 8800 lineal feet of two-lane heavy duty industrial access road including bikeway, storm water swales, bioswales, utility corridor and lighting to provide a loop access service roadway to the Marcy Nanocenter at SUNYIT.</p>	<p>ON TRACK Preliminary engineering and design work completed in June 2012. Cultural resources investigation and wetlands delineation completed in July 2012. Rezoning application for portions of the property pending with the Town of Marcy. Soil borings work has been authorized for bidding end of August, 2012. Infrastructure project with no job creation.</p>	<p>The project is scheduled to be complete by 4th quarter 2014. Construction is expected to commence in 2nd quarter 2013.</p>
<p>MVEDGE - Marcy Interceptor Sewer Upgrade Economic Development Growth Enterprises will replace 9,350 feet of 24" and 30" diameter sewer collection pipe with new 36" diameter pipe, from the Marcy Nanocenter site, Edic and River Roads, Marcy to the Oneida County Sewage Treatment plant on Leland Avenue, Utica. The project will increase the sewage capacity in the system by 6MGD.</p>	<p>ON TRACK Engineering design work underway and scheduled for completion in the 4th quarter 2012. Survey and mapping work, cultural resources, and geotechnical work is on-going.</p>	<p>Easements need to be obtained and SEQRA completed. The project is scheduled to be completed by 4th quarter 2014.</p>
<p>Fulton County Capital Fulton County will be transforming the former detention facility in the Town of Perth into a business park by conducting engineering evaluations of infrastructure needs; then constructing new and updated water, sewer, utilities and roads.</p>	<p>ON TRACK Engineering in progress. Ground breaking for initial phase of the project was June 15, 2012. Fulton County has invested approximately \$245,139 in the project to date.</p>	<p>Acceptance of the final engineering design/plan for the project is pending completion of the real estate transfer of the Tryon property to Fulton County from New York State. Completion of the entire project is estimated to be December, 2015.</p>
<p>Town of Cobleskill Capital Town of Cobleskill will construct a sanitary sewer collection system and water system to serve the Route 7 corridor, County Route 8, and the Howe Caverns facility, roughly a 4 mile business/commercial corridor</p>	<p>ON TRACK Prebid engineering and testing completed. The Town of Cobleskill has invested approximately \$325,000 on preliminary engineering to date.</p>	<p>Formal RFP is scheduled to be released on August 27, 2012. The project is scheduled to be completed by 3rd quarter 2013.</p>
<p>City of Amsterdam Storm Sewer Separation Phase 2 Eliminate storm and sanitary cross connections in the the City of Amsterdam.</p>	<p>ON TRACK</p>	<p>Anticipated completion date is 4th quarter 2013</p>
<p>Village of Remsen Storm Water Improvements Grant funds to install a new drainage system to correct conditions that cause flooding in the Village of Remsen.</p>	<p>ON TRACK</p>	<p>Anticipated completion date is 4th quarter 2013</p>

<p>Marina Expansion- Village of Frankfort Frankfort will purchase underutilized property adjacent to the Frankfort Marina currently owned by the New York State Canal Corporation located between the Mohawk River and Railroad Street in the Village. Acquiring these three additional acres will allow the Village to expand the Marina's recreational opportunities.</p>	<p>ON TRACK Project underway. Real estate negotiation between Frankfort and NYS is ongoing.</p>	<p>Anticipated completion date is 4th quarter 2013</p>
<p>Rome Canalway Trail Multi-use trail will complete the Canalway Trail through Rome</p>	<p>ON TRACK Contract executed and project underway.</p>	<p>Estimated time of completion TBD</p>
<p>Schoharie Crossing Signage project</p>	<p>ON TRACK Contract executed and project underway.</p>	<p>Estimated time of completion is 4th quarter 2012.</p>
<p>Schoharie Crossing Parking lot</p>	<p>DATA NOT AVAILABLE FROM NYS</p>	<p>DATA NOT AVAILABLE FROM NYS</p>
<p>Rome Navigation Center The City of Rome will construct the Rome Navigation Center as the official gateway to Bellamy Harbor Park, including a covered picnic area, performance space, water taps and fountains for boaters and park users, internet access and Wi-Fi, restrooms and shower facilities, a concession stand and an information center.</p>	<p>ON TRACK Contract executed and project engineering and design underway.</p>	<p>Estimated time of completion is 4th quarter 2013.</p>
<p>Harborway on the Erie Canal The City of Rome will redevelop a brownfield area on its Erie Canal waterfront. This award will support the city's design work for a new seawall and expansion of the canal to provide physical connection to the Canalway Trail, and other infrastructure.</p>	<p>ON TRACK Contract executed and project underway.</p>	<p>Estimated time of completion is 4th quarter 2012.</p>
<p>Utica Local Waterfront Revitalization Program Building on substantial revitalization, redevelopment, and conservation planning work, the City of Utica will prepare a Local Waterfront Revitalization Plan.</p>	<p>ON TRACK The City has issued a Request for Proposals in order to procure the services of an experienced and qualified consultant team to assist in the creation of the Plan. Additionally, the Harbor Point Local Development Corporation has been established and a Board is in place and has begun meeting. This LDC will ultimately be responsible for implementation of the Plan.</p>	<p>Estimated time of completion is 2nd quarter 2013.</p>
<p>Utica Inner Harbor Catalyst Projects The City of Utica will undertake initial planning, design and construction activities at Inner Harbor on the east side of Utica's Erie Canal Harbor in conjunction with NYS Canal Corporation's transfer of property at the Inner Harbor to a new LDC created by state legislation.</p>	<p>ON TRACK Contract executed and project engineering and design underway.</p>	<p>Estimated time of completion is 2nd quarter 2013.</p>
<p>Waterfront Heritage Area - Mohawk River Walk The City of Amsterdam will develop the Mohawk River Walk, providing a shoreline trail along the city's waterfront.</p>	<p>ON TRACK Contract executed and project engineering and design underway.</p>	<p>Estimated time of completion TBD</p>

<p>Griffiss International Airport: Rehabilitation of Hangar The overall development plan for Griffiss International Airport includes the reuse of former military hangars for civil aeronautical activities. This project involves the rehabilitation of a 28,000 square foot hangar building to allow the airport to service commercial aircraft. Aircraft servicing creates high-paying technical jobs in the community.</p>	<p>ON TRACK Contract currently under review by AG/OSC</p>	<p>Estimated time of completion is 4th quarter 2012.</p>
<p>Rome Little Italy Red, White, and Green Infrastructure Project will use sustainable and innovative design techniques to create a living streetscape system that will enhance the aesthetics and pedestrian safety of Rome's Little Italy Business District - Rome's primary commercial corridor along the Erie Canal.</p>	<p>ON TRACK Contract executed and project underway.</p>	<p>Estimated time of completion is 4th quarter 2012.</p>
<p>City of Utica- Urban Green Infrastructure Project</p>	<p>ON TRACK Grant agreement fully executed in August 2012. Project engineering and design underway.</p>	<p>Estimated time of completion is 3rd quarter 2013.</p>

Strategy 5: Increasing Government and Civic Effectiveness

Action	Progress	Timeline
No Projects to Report On	No Projects to Report On	No Projects to Report On

2011 Housing Projects

Action	Progress	Timeline
Otsego Rural Housing Assistance, Inc. ORH RESTORE '11. Conduct emergency housing repairs for elderly homeowners in Otsego County.	ON TRACK	Estimated time of completion is 4th quarter 2013
Otsego Rural Housing Assistance, Inc. HOME '11 HOMEowner Rehabilitation. Assistance to low-income homeowners for property rehabilitation.	ON TRACK Project agreement is currently being reviewed by HCR	Estimated time of completion is 4th quarter 2014
Otsego Rural Housing Assistance, Inc. Access '11. Assistance for accessibility improvements for low-income, disabled residents.	ON TRACK	Estimated time of completion is 4th quarter 2013
Otsego Rural Housing Assistance, Inc. Otsego County HIP. Homeowner improvement program.	ON TRACK First disbursement request has been made and processed.	Estimated time of completion is 4th quarter 2013
Western Catskills Community Revitalization Council, Inc HOME Rental Rehab 2. Improve housing conditions throughout twelve towns in three counties by addressing rental rehabilitation needs for low-income residents.	ON TRACK Project agreement is currently being reviewed by HCR	Estimated time of completion is 4th quarter 2014
City of Little Falls Center City-Neighborhood Investment Program. Provide owner-occupied housing assistance within the Center City business district.	ON TRACK	Estimated time of completion is 4th quarter 2014
City of Little Falls City of Little Falls Senior Housing Access - Elevator	ON TRACK	Estimated time of completion TBD
City of Little Falls Center-City Neighborhood Investment Program	ON TRACK Project agreement has been executed.	Estimated time of completion TBD
Mohawk Valley Community Action Agency, Inc. MVCCA/Herkimer County RESTORE Program. Conduct emergency housing repairs for elderly homeowners in Herkimer County.	ON TRACK	Estimated time of completion TBD
Mohawk Valley Community Action Agency, Inc. MVCCA/Oneida County RESTORE Program. Conduct emergency housing repairs for elderly homeowners in Oneida County.	ON TRACK	Estimated time of completion TBD
Habitat for Humanity NYS HFH-NYS 2011. New construction of 25 single-family homes on scattered sites throughout NYS.	ON TRACK 15 units currently in progress (60% of funds disbursed)	Estimated time of completion TBD
Liberty Gardens Associates II, L.P. Liberty Gardens Revitalization Phase 2	HOLD Project is on hold until DHCR and project applicant can resolve permanent financing issue with CPC and NYS Comptroller	Estimated time of completion is 4th quarter 2014
Town of Gilboa Owner Occupied Housing Rehabilitation Program. Rehabilitate 16 substandard units of low-mod housing.	ON TRACK	Estimated time of completion is 4th quarter 2013
City of Gloversville City of Gloversville Housing Rehabilitation Program. Low-income housing rehabilitation in a targeted neighborhood.	ON TRACK	Estimated time of completion is 4th quarter 2013

Herkimer County Herkimer County Housing Rehabilitation Program for Seniors. Renovation of 26 senior citizen homeowner units.	ON TRACK	Estimated time of completion is 4th quarter 2013
Adirondack Community Housing Trust Adirondack Com. Hsg. Trust Homebuyer. Acquisition and rehabilitation of 15 single family homes within the Adirondack Park.	ON TRACK Initial request for disbursement has been made.	Estimated time of completion TBD
Oneida County Oneida County Housing Rehabilitation Program for Seniors. Renovation of 26 senior citizen homeowner units.	ON TRACK	Estimated time of completion is 4th quarter 2013

2012 Competitive Capital Funds (ESD) Priority Projects

Project	Recommended Funding Amount	Total Project	Page #
Gloversville-Johnstown Wastewater	\$1,000,000	\$4,500,000	14
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Total	\$25,000,000	\$194,712,696	

Name: Gloversville-Johnstown Wastewater Pretreatment

CFA # 19209

Description: Project is designed to accommodate the expansion of the Fage USA yogurt manufacturing facility. This expansion will result in a significant increase in high strength wastewater discharge. Current plans include construction of sewer piping, equalization tank, process tanks, pumps, and other items designed to treat this wastewater in an energy efficient manner. Without wastewater improvements, this \$150 million manufacturing expansion project cannot occur.

Explanation: This is a job creation project that will result in a significant economic development investment in New York State. Construction industry jobs will be created, good paying manufacturing jobs will be added, and the agricultural community will benefit since the raw product to make yogurt is significant quantities of milk from local area dairy farms.

<u>Source of Funds</u>		<u>Use of Funds</u>	<u>Amount</u>
Public Sources		Use	
Empire State Development Grant	\$1,000,000	1) Construction/Renovation	\$1,000,000 State (ESD)
NYSERDA - Regional Economic Development and GHG Reduction Program	\$250,000	2) Construction/Renovation	\$250,000 State (NYSERDA)
CWSRF Engineering Planning Grant Program	\$1,000,000	3) Construction/Renovation	\$1,000,000 State (CWSRF)
Other Public Funds: Public Lending	\$2,250,000	4) Construction/Renovation	\$2,250,000 Public Lending
Total Public	<u>\$4,500,000</u>		<u>\$4,500,000</u>
Private Sources			
Private: Owner Equity and Loans	\$0		
Non-Profit			
Total Private	<u>\$0</u>		

Name: PAR Systems: Cyber Center of Innovation & Education (C2IE)	CFA # 18900
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Description: The project will train workforce to be prepared to quickly resolve complex cybersecurity challenges. C2IE will offer a world class combination of cyber security practical expertise, deep knowledge, research, technology innovation, and training capabilities that are not currently available anywhere. C2IE envisions developing a Training Center of Excellence at the Rome Conference Center (RCC) on the Griffiss Business & Technology Park in Rome, NY. The Center will provide the very best training curriculum and will rotate in educators and researchers providing the latest in information assurance and cyber technologies as related to emerging virtualized computing environments.

Explanation: High technology jobs will be created and retained. There will also be a retention of young engineering talent in Mohawk Valley from local college education (SUNYIT, Utica College, MVCC, Clarkson). Cyber security is a major challenge facing the nation. The Mohawk Valley and the surrounding area, have the requisite industry, academia, and government institutions developing the critical technologies to solve these immediate challenges. The area needs to foster these locally developed technologies and to make them available throughout New York State and the nation, by providing training and information exchange opportunities. The project will foster continued technology development and the creation of new high-tech jobs locally.

<u>Source of Funds</u>		<u>Use of Funds</u>	
Public Sources		Use	Amount
Empire State Development Grant	\$85,000	1) Equipment & Machinery	\$85,000 NYS ESD
NYS Tax Credits	\$	2) Salaries & Wages	\$190,000 Owner Equity
Other State Funds	\$	3) Marketing, Outreach, & Advertising	<u>\$25,000</u> Owner Equity
Other Public Funds	\$		\$300,000
Total Public	<u>\$85,000</u>		
Private Sources			
Private: Owner Equity	\$215,000		
Non-Profit			
Total Private	<u>\$215,000</u>		

Name: Griffiss FIS

CFA # 19358

Description: The proposed project includes the design and construction of a 3,500 square foot Customs and Border Patrol (CBP) facility at Griffiss International Airport (RME). The facility will permit CBP clearance of aircraft crews (both civil and military) arriving from international locations. The proposed facility is sized to meet customs and border patrol requirements for clearing international flights. The facility is critical to the retention and growth of the three largest airport tenants, including MidAir USA and Premier Aviation, which provide maintenance and repair operations (MRO). In addition, the Fixed Base Operator (FBO), which is operated by Million Air, needs to be able to clear military crews returning from overseas.

Explanation: This facility is critical to the retention of the three largest Airport tenants, Premier Aviation, MidAir Aviation and the Airport FBO, Milion Air. Together they employ 372 full-time and 49 contract employees in New York. One of the MRO tenants currently serves Air Canada. The MRO has been told Air Canada crews must clear customs in Buffalo, which is unnecessarily costly, and if continued, may jeopardize the presence of the MRO at Griffiss International Airport. Griffiss International Airport has the only functioning large jet MROs in upstate New York and is successfully competing in the international marketplace as a key provider of these services.

<u>Source of Funds</u>		<u>Use of Funds</u>		<u>Amount</u>
Public Sources		Use		
Empire State Development Grant	\$300,000	1) Construction/Renovation		\$1,400,000
NYS Tax Credits	\$ -	2) Equipment & Machinery		\$200,000
Other State Funds	\$	3) Construction/Renovation		\$100,000
Other Public Funds: Public Lending	<u>\$1,400,000</u>			<u>\$1,700,000</u>
Total Public	\$1,700,000			
Private Sources				
Private: Owner Equity and Loans	\$ -			
Non-Profit				
Total Private	<u>\$ -</u>			

Name: Living Learning Health Science Center

CFA # 17519

Description: The project will develop a supportive housing facility for older individuals to be licensed by the New York State Department of Health and operated by the applicant as an Enriched Housing/Assisted Living Program (EH/ALP) facility, and, in conjunction with that facility, plan and establish a new healthcare education and training program at the college. The project will be a collaborative venture by local leading long-term care provider Valley Health Services, Inc. and Herkimer County Community College. It will enhance the learning experience for existing programs offered by the college and allow the college to develop new degree and certificate programs. The project will fully integrate student learning within the senior care environment with classrooms and learning labs located within the new residential facility.

Explanation: The expected outcomes and deliverables from the supportive housing component are the construction of a new housing complex, providing 46 units of supportive living, the creation of 24 new health sector jobs to staff the new housing complex, and the creation of an “aging in place” environment for older adults in need of assistance. The expected outcomes from the workforce development plan are to comprehensively educate direct caregivers and paraprofessionals in the healthcare setting creating a needed pool of qualified staff to address the shortage of healthcare workforce to care for the growing aging population in the area. The development of this workforce will target unemployed individuals as well as those new to the healthcare field. All graduates are expected to achieve employment upon graduation.

<u>Source of Funds</u>		<u>Use of Funds</u>	
Public Sources		Use	Amount
Empire State Development Grant	\$2,500,000	1) Construction/Renovation	\$2,500,000 State (ESD)
Worker Skills Upgrading - Unemployed			
Worker Training	\$ 54,750	2) Construction/Renovation	\$3,050,000 HEAL 12 Award
NYSERDA	\$	3) Construction/Renovation	\$2,405,000 Private Foundation
Other Public Funds: HEAL 12 Award	\$4,200,000	4) Working Capital	\$300,000 Other
Total Public	\$6,754,750	5) Site Preparation	\$600,000 HEAL 12 Award
Private Sources		6) Site Preparation	\$62,794 Private Foundation
Private: Other and Loans	\$1,540,000	7) Construction/Renovation	\$1,430,000 Private Foundation
Non-Profit: Foundation	\$4,260,000	8) Construction/Renovation	\$1,115,000 Lender
Total Private	\$5,800,000	9) Equipment & Machinery	\$200,000 HEAL 12 Award
		10) Equipment & Machinery	\$37,206 Private Foundation
		11) Furniture & Fixtures	\$325,000 Private Foundation
		12) Architectural/Engineering	\$350,000 HEAL 12 Award
		13) Property Acquisition	\$75,000 Other
		14) Architectural/Engineering	\$50,000 Other
		15) Salaries & Wages	\$42,600 NYS DOL
		16) Supplies/Materials	\$12,150 NYS DOL
			<u>\$12,554,750</u>

Name: Masonic MRL: Cloning Replacement Hearts Organ Bioengineering Program

CFA # 18651

Description: Our goal in this project is to create a new Organ Bioengineering Program in our Stem Cell Center at the Cardiac Research Institute of the Masonic Medical Research Laboratory. The principal charge of this division will be to explore alternative therapies for end-stage heart failure using an innovative approach designed to grow new hearts using the patient's own skin cells in order to avoid immune rejection of the hearts once they are transplanted. We are working with Harvard Apparatus to design bioreactors that will permit us to grow and mature the cloned organs. The establishment of an Organ Bioengineering Program in our Stem Cell Center will cost \$200,000. Three staff members will be initially dedicated to this project. Within a period of 5 years, we expect this program to engender extramural grant support from other sources. If successful, this funding source will enable us to add 5 new professional jobs. Economic impact on our region is estimated at \$25,000,000.

Explanation: This project is viewed as a regional economic priority because it will bring to the region a technology that will foster global collaboration among biomedical scientists and physicians and attract, cultivate and empower a skilled workforce working on state-of-the-art projects that will engender federal grant support providing for a very significant multiplier of the initial investment, as this institution has already demonstrated with previous federal support. Their ability to lead the world in this effort will attract additional science, technology and engineering talent to the Mohawk Valley, creating a more technically-competent future workforce in our region.

<u>Source of Funds</u>		<u>Use of Funds</u>	
Public Sources		Use	Amount
Empire State Development Grant	\$302,000	1) Salaries and Wages	\$682,398 Operating Exp.
NYS Tax Credits	\$	2) Supplies/Materials	\$525,000 Operating Exp.
Other State Funds	\$	3) Equipment & Machinery	\$302,000 NYS ESD
Other Public Funds	\$		\$1,509,398
Total Public	\$302,000		
Private Sources			
Private: Operating Expense	\$1,207,398		
Non-Profit			
Total Private	\$1,207,398		

Name: Project Cardinal Phase II Buildout

CFA # 18874

Description: Phase II buildout of approximately 10,600 square feet remaining at the new 153 Brooks Road Facility now partially occupied by Assured Information Security, Inc. This company is a state of the art firm engaged in cybersecurity for the US Department of Defense as well as for other classified customers. They require additional space to expand operations. The total shell (45,805 square feet) of the new 153 Brooks Road facility has been completed; AIS is currently occupying approximately \$35,300 square feet of the building. The construction requirements for the remaining space would be rigorous and costly (to DoD secure standards) beyond normal construction requirements due to a number of Secure Communication and Information Facilities [SCIF] rooms which must be added to the construction budget.

Explanation: This project enhances the growth and sustainability of the regional cybersecurity / information technology industry concentration. Not only will the continued growth of AIS leverage resources from the Mohawk Valley region's key R&D academic Institutions such as Clarkson & Cornell Universities, Rochester Institute of Technology, and Rensselaer Polytechnic Institute among others, but will also sustain a strategic business climate fostering innovation and technology that now exists at the Griffiss Business and Technology Park (GBTP). The 20 new FTE high paying jobs created by AIS will also generate additional wealth within the Utica-Rome MSA through discretionary spending as well as payment of various State and local sales, real estate, school and income taxes.

<u>Source of Funds</u>		<u>Use of Funds</u>	
		Use	Amount
Public Sources			
Empire State Development Grant	\$300,000	1) Construction/Renovation	\$300,000 NYS ESD
NYS Tax Credits	\$	2) Construction/Renovation	\$206,184 Owner Equity
Other State Funds	\$	3) Construction/Renovation	\$855,654 Lender
Other Public Funds	\$	4) Architectural/Engineering	\$5,678 Owner Equity
Total Public	\$300,000	5) Architectural/Engineering	\$51,104 Lender
Private Sources		6) Working Capital	\$7,108 Owner Equity
Private: Owner Equity & Loans	\$1,190,410	7) Working Capital	\$59,682 Lender
Non-Profit		8) Other	\$5,000 Lender
Total Private	\$1,190,410		\$1,490,410

Name: Harden Furniture Modernization Project

CFA # 16424/16348

Description: Harden Furniture is North America's oldest furniture maker and the 15th largest employer in the Mohawk Valley region, employing 255 local craftspeople. The company has been negatively impacted by the economic downturn as revenues and gross margins have declined. As a result, employment levels have dropped. In addition to right-sizing measures, Harden has undergone a complete restructuring of its ownership model. The company conducted an assessment of the marketplace, distribution channels, and operations. Opportunities for improvements were identified that can lead Harden back to profitability, including modernizing their facility and implementing a lean manufacturing process. Harden is seeking grant monies to assist in funding this modernization project with a total estimated cost of just over \$3 million. The company is willing to invest significantly in this undertaking but seeks assistance to make the project proceed in a timely and effective manner.

Explanation: In total, the project requires an investment of just over \$3 million, maintains 252 jobs and, once implemented, will create 10 new full-time positions. The project will serve to sustain a very important business for the local and regional economy, which could be severely impacted if employment levels decrease or if the company decides to close its doors and do business elsewhere. While the workforce at Harden is already highly skilled, the planned modernization also includes training for the employees further cultivating a skilled workforce in the region.

<u>Source of Funds</u>		<u>Use of Funds</u>	
		Use	Amount
Public Sources			
Empire State Development Grant	\$614,545	1) Equipment & Machinery	\$410,000 NYS ESD
HCR - Community Development Block Grant (CDBG) - Small Business Assistance	\$150,000	2) Construction/Renovation	\$204,545 NYS ESD
Recharge NY	\$ -	3) Equipment & Machinery	\$1,258,655 Lender
NYS Department of Labor	\$4,800	4) Training	\$304,000 Owner Equity
Total Public	\$769,345	5) Construction/Renovation	\$222,000 Owner Equity
Private Sources		6) Other	\$104,720 Owner Equity
Private: Owner Equity & Loans	\$2,303,375	7) Construction/Renovation	\$390,000 Lender
Non-Profit		8) Working Capital	\$24,000 Owner Equity
Total Private	\$2,303,375	9) Other: Administrative & Program Delivery	\$16,000 CDBG
		10) Working Capital	\$134,000 CDBG
		11) Training	\$4,800 NYS DOL
			<u>\$3,072,720</u>

Name: Baseball Hall of Fame Technological Infrastructure Upgrades **CFA #** 16513

Description: The project will upgrade HOF infrastructure through the completion of a series of projects. The project includes a comprehensive digitization plan allowing users to access the entire Hall of Fame collection online; virtualized application servers; and Grandstand Theater upgrades to lighting and multimedia assets, which will improve the Hall of Fame’s ability to host a variety of live events and reduce energy consumption.

Explanation: Besides improving the Hall of Fame’s day-to-day operations, the projects will increase the Hall of Fame’s exposure in the United States and across the world, leading to an additional 9,000 tourists annually to the Mohawk Valley region and \$4,500,000 in spending and support to the local economy. The National Baseball Hall of Fame and Museum is the major catalyst that drives the local economy of Cooperstown. Walking through Cooperstown, it is impossible not to see the reliance that local businesses have on the Hall of Fame. The economy of the Mohawk Valley region relies in great part on the Hall of Fame and its continued ability to draw hundreds of thousands of visitors to the region every year.

<u>Source of Funds</u>		<u>Use of Funds</u>	<u>Amount</u>
Public Sources		Use	
Empire State Development Grant	\$625,000	1) Equipment & Machinery	\$625,000 State (ESD)
Regional Tourism Marketing Grant Initiative	\$675,500	2) Other	\$675,500 State (ILNY)
Other State Funds	\$	3) Other	\$800,500 In-Kind
Other Public Funds	\$		<u>\$2,101,000</u>
Total Public	<u>\$1,300,500</u>		
Private Sources			
Private: In-Kind	\$800,500		
Non-Profit			
Total Private	<u>\$800,500</u>		

Name: Downtown Utica Streetscape/Parking Improvement

CFA # 19194

Description: Leveraging existing State funding, the project will infuse additional funds into Downtown Utica to address longstanding issues relative to a lack of adequate off-street parking needs. The project will also include the conversion of Genesee Street, between Oneida Square and NYS Route 5S, from a four lane roadway with parallel parking and no center turning lane to a two lane roadway with a center turning lane and angled parking; such a project will not only improve accessibility throughout the downtown, but will also change the face of the City's true "Main Street".

Explanation: The Downtown Utica Streetscape and Parking Improvement project will alter the landscape of downtown Utica for future generations. As the largest urban municipality between Syracuse and Schenectady, the project will have an impact on three regional economic development council areas. The creation of additional parking supply will induce private investment. A common criticism of downtown development opportunities is that there is no place to park close by. The creation of additional parking supply will negate this oft-heard criticism, eliminating what is often the only hurdle to development of commercial or residential space. As such, it is anticipated that a significant number of private sector, permanent jobs will be created. Furthermore, existing underutilized space on upper floors of many downtown buildings will likely be converted to either commercial space or for loft-style residential use, thereby creating further short-term construction jobs and increasing the property tax base for the City of Utica and Oneida County.

<u>Source of Funds</u>		<u>Use of Funds</u>	<u>Amount</u>
Public Sources		Use	
Empire State Development Grant	\$2,000,000	1) Construction/Renovation	\$6,750,000 ESD & "Committed State Funds"
NYS Tax Credits	\$	2) Architectural/Engineering	\$750,000 "Committed State Funds"
Other State Funds	\$5,500,000	3) Construction/Renovation	\$500,000 City of Utica
Other Public Funds	\$500,000		<u>\$8,000,000</u>
Total Public	\$8,000,000		
Private Sources			
Private	\$		
Non-Profit			
Total Private	<u>\$0</u>		

Name: Griffiss Institute Project

CFA # 19283

Description: The creation of the Cyber Research Institute at Griffiss Institute, which involves the expansion of the building, will result in a center for university-level, classified, and sensitive cyber research. CRI, created by the State Legislature (and awaiting the Governor's signature), will be a not for profit corporation providing universities with an opportunity to conduct classified and sensitive research in cybersecurity, and in support of the Air Force Research Laboratory and its DoD constituencies.

Explanation: This project will support the growing demand for secure research space that in proximity to the Air Force Research Laboratory and will encourage the development of new products, services and technologies.

<u>Source of Funds</u>		<u>Use of Funds</u>	
Public Sources		Use	Amount
Empire State Development Grant	\$2,100,000	1) Construction/Renovation	\$2,100,000 NYS ESD
NYS Tax Credits	\$	2) Contractual Services	\$75,000 GLDC & Public Agencies
Other State Funds	\$	3) Architectural/Engineering	\$400,000 GLDC
Other Public Funds	\$	4) Other: Legal	\$175,000 GLDC
Total Public	\$2,100,000	5) Other: Contingency/Financing fees	\$50,000 GLDC
Private Sources		6) Construction/Renovation	\$1,900,000 GLDC
Private	\$2,600,000		\$4,700,000
Total Private	\$2,600,000		

Name: Project Aqua

CFA # 19366

Description: A state of the art, indoor high tech aquaculture facility to be constructed on approximately 130 acres in the Glen Canal View Business Park located in Montgomery County, New York. The project will involve the acquisition of 130 acres of land in Montgomery County, and the construction of an estimated 840,000 sq. ft. building, housing tanks and other equipment sufficient to produce in excess of 17,000,000 lbs of fish annually. When completed, the facility is expected to employ in excess of 175 workers.

Explanation: The project will have a transformational impact in Montgomery County and provide 175 net new jobs to New York State in an emerging agricultural technology.

<u>Source of Funds</u>		<u>Use of Funds</u>	
		Use	Amount
Public Sources			
Empire State Development Grant	\$2,500,000	1) Property Acquisition	\$420,000 Owner Equity
Excelsior Tax Credits	\$4,000,000	2) Working Capital	\$8,000,000 Owner Equity
Worker Skills Upgrading - Unemployed			
Worker Training	\$50,000	3) Planning	\$100,000 Owner Equity
Worker Skills Upgrading - New Hire (On-the-Job) Training	\$50,000	4) Site Preparation	\$500,000 Owner Equity
Other Public Funds	\$	5) Construction/Renovation	\$3,000,000 State (Excelsior)
Total Public	\$6,600,000	6) Equipment & Machinery	\$1,000,000 State (Excelsior)
Private Sources		7) Construction/Renovation	\$32,000,000 Owner Equity
Private: Owner Equity and Loans	\$170,520,000	8) Equipment & Machinery	\$20,000,000 Owner Equity
Non-Profit		12) Construction/Renovation	\$27,500,000 Owner Equity
Total Private	\$170,520,000	13) Construction/Renovation	\$7,500,000 State (ESD)
		14) Equipment & Machinery	\$18,500,000 Owner Equity
		15) Equipment & Machinery	\$2,500,000 State (ESD)
		16) Training	\$50,000 State (DOL)
		19) Equipment & Machinery	\$21,000,000 Owner Equity
		22) Construction/Renovation	\$35,000,000 Owner Equity
		23) Salaries & Wages	\$50,000 State (DOL)
			<u>\$177,120,000</u>

Name: Utica Memorial Auditorium Next 50 Façade

CFA # 19030

Description: The Utica Memorial Auditorium (Auditorium) Façade Replacement Project provides for the stabilizing and/or removal of existing structurally deficient masonry façade materials and replacement or cladding with new materials. The project will eventually be accompanied by various other related improvements which will permit fuller compliance with codes, energy improvements, safety upgrades, visitor convenience, and replacement of outmoded elements as defined in a recent Master Plan conducted for the site and facility. Replacement of the façade will permit the Auditorium to continue operations. Without this project, the structurally deficient façade will continue to degrade and will result in a condition which would prevent continued operation of the facility for public use. With these improvements, the facility can continue into the next 50 years of service to the region as a convenient and affordable venue for community, business, and sporting events.

Explanation: The Utica Memorial Auditorium figures prominently in the City of Utica's plan to revitalize the Gateway Historic Canal District, which has been undergoing a complete rebirth. The neighborhood has been both at the center of, and peripheral to, a number of local plans and development efforts. The economic value is not just limited to the city of Utica. The Auditorium has been an important regional entertainment attraction for over 50 years, and in 2011, was recognized as a National Historic Civil Engineering Landmark by the ASCE for its unique cable-suspended roof design. The Auditorium provides a regional venue for numerous commercial and community events and attracts over 100,000 visitors per year. Due to the auditorium's success in attracting big-name events, visitors from around the State stay in overnight lodging, purchase items in retail stores, and dine at the area's fine restaurants, all of which contribute to the regional economy. Improving and sustaining civic centers such as the auditorium are part of tourist-targeted cultural investment strategies that have been used successfully in many areas to attract tourists and new businesses.

<u>Source of Funds</u>		<u>Use of Funds</u>	
Public Sources		Use	Amount
Empire State Development Grant	\$1,000,000	1) Construction/Renovation	\$1,000,000 State (ESD)
NYS Tax Credits	\$ -	2) Architectual/Engineering	\$100,000 Lender
Other State Funds	\$	3) Construction/Renovation	\$900,000 Lender
Other Public Funds	\$		<u>\$2,000,000</u>
Total Public	<u>\$1,000,000</u>		
Private Sources			
Private: Loans	\$1,000,000		
Non-Profit			
Total Private	<u>\$1,000,000</u>		

Name: Foothills Performing Arts Center Acoustic Upgrades

CFA # 19298

Description: The project involves the purchase of acoustic treatments for installation at the Foothills Performing Arts Center.

Explanation: Acoustic treatment will complete the most recent phase of improvements at one of the region's arts & culture hubs. Foothills is a key component of the downtown Oneonta economy.

<u>Source of Funds</u>		<u>Use of Funds</u>		
Public Sources		Use		Amount
Empire State Development Grant	\$50,000	1) Equipment & Machinery		\$50,000 Donors & Lender
Environmental Investment Program - Capital	\$50,000	2) Equipment & Machinery		\$50,000 Donors & Lender
NYSERDA - Regional Economic Development and GHG Reduction Program	\$50,000	3) Equipment & Machinery		\$50,000 Donors & Lender
Art Project Grant	\$50,000	4) Equipment & Machinery		\$50,000 Donors & Lender
HCR - Rural Area Revitalization Projects (RARP)	\$25,000	5) Equipment & Machinery		\$25,000 Donors & Lender
Regional Tourism Marketing Grant Initiative	\$25,000	6) Marketing, Outreach, & Advertising		\$25,000 Donors & Lender
Total Public	\$250,000	7) Equipment & Machinery		\$50,000 State (ESD)
Private Sources		8) Equipment & Machinery		\$50,000 State (EIP)
Private: Owner Equity and Loans	\$250,000	9) Equipment & Machinery		\$50,000 State (NYSERDA)
Non-Profit		10) Equipment & Machinery		\$50,000 State (Arts)
Total Private	\$250,000	11) Equipment & Machinery		\$25,000 State (RARP)
		11) Equipment & Machinery		\$25,000 State (ILNY)
				<u>\$500,000</u>

Name: St Mary's Healthcare Outpatient Pavilion

CFA # 18319

Description: St. Mary's Healthcare plans to build a 34,000 square foot Outpatient Pavilion on their Memorial Campus, that will improve operations, provide convenient and accessible outpatient care, especially to our senior population, while enhancing the existing continuum of care.

Explanation: St. Mary's Healthcare is one of the largest employers in the Mohawk Valley Region. The project will retain and create new healthcare positions. This project will also address the need for increased access to primary care, a sector of healthcare that has been determined to be in high demand.

<u>Source of Funds</u>		<u>Use of Funds</u>	
Public Sources		Use	Amount
Empire State Development Grant	\$1,000,000	1) Construction/Renovation	\$1,000,000 State (ESD)
Excelsior Tax Credits	\$	2) Construction/Renovation	\$15,000,000 Owner Equity
Other State Funds	\$	3) Construction/Renovation	\$4,000,000 Private
Other Public Funds	\$		\$20,000,000
Total Public	\$1,000,000		
Private Sources			
Private: Owner Equity and Loans	\$19,000,000		
Non-Profit			
Total Private	\$19,000,000		

Name: Rome Strip Steel

CFA # 14506

Description: The project involves equipment upgrades to an existing steel rolling mill located in the company's Rome, NY manufacturing facility. The proposed upgrades will make the mill more productive, allowing for increased output and quality levels while decreasing scrap and waste.

Explanation: Rome Strip Steel is a key component of the metals manufacturing segment of the Mohawk Valley economy. The company provides stable, well-compensated manufacturing positions.. The proposed capital investment will help Rome Strip Steel remain competitive and sustain current employment levels. Continuous efficiency improvements are essential to staying off the company's out of state competitors. The planned upgrades will allow for a simultaneous increase of output and quality levels, while reducing scrap in a key manufacturing process.

<u>Source of Funds</u>		<u>Use of Funds</u>		<u>Amount</u>
Public Sources		Use		
Empire State Development Grant	\$185,000	1) Equipment & Machinery		\$185,000
NYS Tax Credits	\$ -	2) Equipment & Machinery		\$370,000
Other State Funds	\$	3) Equipment & Machinery		\$370,000
Other Public Funds	\$			\$925,000
Total Public	\$185,000			
Private Sources				
Private: Owner Equity and Loans	\$740,000			
Non-Profit				
Total Private	\$740,000			

Name: Burrows Paper Corporation Upgrades

CFA # 18660

Description: Three Year Capital Plan – 2012 to 2014. Lyonsdale (Lewis Co.): The company will replace its antiquated dryers, which will be a tremendous improvement in terms product quality and process safety. New dryers will also provide the added benefit of energy efficiency. The company is also planning on twelve (12) process system improvement projects for the Mill St. facility. New switchgear will replace old equipment that will be more efficient and provide additional safety benefits.

Explanation: Burrows Paper Corporation plans on making a substantial investment in its New York State facilities. In order to remain competitive within the specialty paper market and maintain a strong market position, it is necessary that this major investment be made in a relatively short time period. This compressed time frame necessitates the need for assistance.

<u>Source of Funds</u>		<u>Use of Funds</u>	
		Use	Amount
Public Sources			
Empire State Development Grant	\$1,000,000	1) Equipment & Machinery	\$1,000,000 State (ESD)
Excelsior Tax Credits		2) Equipment & Machinery	\$5,000,000 Owner Equity
Other State Funds	\$	3) Equipment & Machinery	\$13,000,000 Lender
Other Public Funds	\$	4) Construction/Renovation	\$2,000,000 Owner Equity
Total Public	<u>\$1,000,000</u>		<u>\$21,000,000</u>
Private Sources			
Private: Owner Equity and Loans	\$20,000,000		
Non-Profit			
Total Private	<u>\$20,000,000</u>		

Name: Frankfort Small Business Assistance Program

CFA # 15727

Description: The Village is an impoverished and distressed community, with 53.9% of its residents qualifying as low-mod income (2012 HUD Entitlement Community Data) and 17.7% of its residents living below the poverty level (2006-2010 ACS Survey Data). Additionally, 14.1% of Frankfort residents are on public assistance. With limited incomes being a driving force behind owner disinvestment, the Village has taken proactive steps to improve the community's ability to conserve resources, but more needs to be done to bolster small businesses. The proposed Frankfort Small Business Assistance Program provides much needed support to the businesses located on or immediately adjacent to Main Street. The Village of Frankfort Small Business Assistance Program seeks to provide Main Street businesses with a 'face lift' in compliance with design standards developed as part of a Local Waterfront Revitalization Plan.

Explanation: The project is located in a proposed Brownfield Opportunity Area currently subject to a Pre-Nomination Study, and is within the Village's municipal center / Main Street district. The project fosters smart growth development and promotes the reuse of existing assets to revitalize the historic integrity of the community. There are two primary benefits associated with this project. First, local small businesses receive much needed support to improve facades and public accessways as no cost to them. Second, Main Street receives a warm and inviting 'face lift' that encourages people to stop, shop, and walk through the community, taking advantage of the nearby Frankfort Marina, local eating establishments, the neighborhood supermarket, and public amenities.

<u>Source of Funds</u>		<u>Use of Funds</u>	
Public Sources		Use	Amount
Empire State Development Grant	\$100,000	1) Construction/Renovation	\$215,000 State (NYMS)
HCR - New York Main Street (NYMS)	\$250,000	2) Contractual Services	\$15,000 State (NYMS)
NYS Canalway Grant Program	\$100,000	3) Architectural/Engineering	\$20,000 State (NYMS)
Other Public Funds	\$	4) Construction/Renovation	\$80,000 State (Canalway)
Total Public	\$450,000	5) Contractual Services	\$10,000 State (Canalway)
Private Sources		6) Architectural/Engineering	\$10,000 State (Canalway)
Private: Owner Equity and Loans	\$0	7) Construction/Renovation	\$80,000 State (ESD)
Non-Profit		8) Contractual Services	\$10,000 State (ESD)
Total Private	\$0	9) Architectural/Engineering	\$10,000 State (ESD)
			<u>\$450,000</u>

Name: Rome Capitol Theatre Expansion and Restoration Project **CFA #** 19280

Description: The Capitol will be expanding its operations into three adjacent buildings (currently vacant) and restoring the historic main auditorium. In the process, they will be adding 9 new jobs and three additional performance spaces within currently vacant downtown buildings, and encouraging activity in Rome's Central Business District, located on West Dominick Street, a designated Main Street Corridor. This proposal is part of a larger, multi-phase project that will involve future requests for funds. The total, multi-phase project, is expected to reach over \$11M in total investment.

Explanation: The Capitol is working actively with other arts organizations and local government to fulfill local and regional goals. The reuse of vacant buildings serves to renew and invigorate the City of Rome's downtown, and maximizes in-fill development in one of the region's urban cores.

<u>Source of Funds</u>		<u>Use of Funds</u>			
Public Sources		Use	Amount		
Empire State Development Grant	\$ 700,000	1) Property Acquisition	\$250,000	Lender	
Regional Tourism Marketing Grant Initiative (I LOVE NY Fund)	\$ 30,000	2) Construction/Renovation	\$525,000	Private	
Other State Funds	\$	3) Construction/Renovation	\$700,000	State (ESD)	
Other Public Funds (County)	\$2,500	4) Marketing, Outreach, & Advertising	\$37,500	Equity	
Total Public	<u>\$732,500</u>		<u>\$1,512,500</u>		
Private Sources					
Private: Owner Equity and Loans	<u>\$780,000</u>				
Total Private	\$780,000				

Name: Rome Cable Complex 4 Demolition and Cleanup

CFA # 17748

Description: The project involves the remediation, demolition, and clearance of Building Complex 4, at the former Rome Cable in Rome NY, on a site that is currently participating in the State Environmental Restoration Program (ERP - <http://www.dec.ny.gov/chemical/8444.html>), pursuant to a standing State Assistance Contract. The issue is that the program has not been actively funded in over two years. There are \$400,000 in interim remedial measures to be completed, as well as demolition of the building, which is hazardous to the public, but there are no DEC program funds to do so (despite a commitment by the State to complete the work). Demolition and clearance would produce a 40+ acre, rail ready industrial site within Rome's Brownfield Opportunity Area II.

Explanation: Project meets many of the goals and objectives set out in the MVREDC strategic plan, and more specifically, Strategy 4 - Increasing Spatial Efficiencies, by eliminating blight and environmental contamination, and reclaiming development parcels within the region's urban centers.

<u>Source of Funds</u>		<u>Use of Funds</u>	<u>Amount</u>
Public Sources			
Empire State Development Grant	\$2,720,000	1) Construction/Renovation	\$2,720,000 State (ESD)
NYS Tax Credits	\$ -	2) Construction/Renovation	\$80,000 Nonprofit
Other State Funds	\$		
Other Public Funds	\$		
Total Public	\$2,720,000		
Private Sources			
Private	\$80,000		
Total Private	\$80,000		

Name: Brewery Ommegang 2013

CFA # 19614

Description: Project involves the fourth year of a 6-year \$17,000,000 project expansion of the current facilities. The expansion includes the use of expanding the current brewing and beer packaging operation, as well as the construction of a new water treatment facility.

Explanation: Over the last three years the Brewery Ommegang operation along with her sister company Duvel Moortgat USA, has increased headcount from 42 to 83 employees with plans to add an additional 4 employees in NY by the end of 2013. The average salary per employee has increased significantly as skill levels have increased as the company has become more sophisticated in its operations. Additionally, Brewery Ommegang is one of the principal businesses in the Upstate Brewing Development area, and is an integral part of Senator Schumer's NY State brewing initiative "I Love NY Brew", which is a campaign to boost New York breweries by pushing NYC and NY state restaurants, bars, and convenience stores to sell locally brewed beer from Upstate, NYC, and Long Island.

<u>Source of Funds</u>		<u>Use of Funds</u>	
Public Sources		Use	Amount
Empire State Development Grant	\$360,000	1) Equipment & Machinery	\$104,650 Owner Equity
NYS Tax Credits	\$ -	2) Equipment & Machinery	\$418,600 Lender
Other State Funds	\$	3) Equipment & Machinery	\$523,250 Lender
Other Public Funds	\$	4) Construction/Renovation	\$76,504 Owner Equity
Total Public	\$360,000	5) Construction/Renovation	\$382,520 Lender
Private Sources		6) Construction/Renovation	\$306,016 Lender
Private: Owner Equity and Loans	\$1,811,540	7) Equipment & Machinery	\$360,000 State (ESD)
Non-Profit			\$2,171,540
Total Private	\$1,811,540		

Name: Utica College Northeast Cyber Forensics Center (NCFC)

CFA # 18511

Description: Utica College, in partnership with WetStone Technologies and AMIRC, will create the Northeast Regional Cybercrime Center at Utica College to provide the high technology crime fighting tools and services needed to combat the exponential growth of cybercrime in the public and private sectors. This brings to the region the only center for computer forensic services from Syracuse to Albany, and the Canadian border to Binghamton. NRCC will include five business units: Law Enforcement; Digital Forensics; Private Digital Forensics; Training; R&D, Testing, and Evaluation; Small Business Incubation, and will deliver 30-40 sustainable, high technology jobs in the Mohawk Valley. Utica College is a recognized world leader in cybersecurity, computer forensics, and economic crime investigation, and is one of only eight institutions in the country, the only one in NYS, to receive DoD designation as a National Center of Excellence

Explanation: As an educational institution, Utica College is a major driver of the greater Mohawk Valley regional economy as identified in the Strategic Plan. Their educational programs are recognized as playing a critical role in developing a reliable and qualified workforce pipeline, serving as a primary resource for workforce training and research. Utica College is a significant and growing employer in our region. This project to establish the Northeast Cyber Forensics Center (NCFC) at Utica College is an education/private business partnership that engages three regions in NY in the field of cybersecurity. Cybersecurity is significant for its growth potential and for its critical role in protecting information infrastructure in all three regions. Through seminars, workshops, and courses on computer forensics, cybersecurity, wireless security and investigations, and cyber intelligence, this project will ultimately increase the supply of technologically skilled workers to help meet employers' demands.

<u>Source of Funds</u>		<u>Use of Funds</u>	
Public Sources		Use	Amount
Empire State Development Grant	\$914,000	1) Construction/Renovation	\$600,000 State (ESD)
NYS Tax Credits	\$	2) Equipment and Machinery	\$130,000 State (ESD)
Other State Funds	\$	3) Contractual Services	\$50,000 State (ESD)
Other Public Funds	\$	4) Supplies/Materials	\$20,000 State (ESD)
Total Public	\$914,000	5) Architectural/Engineering	\$114,000 State (ESD)
Private Sources		6) Marketing, Outreach, Advertising	\$100,000 Revenue
Private	\$	7) Fringe Benefits	\$200,000 Revenue
Non-Profit	\$5,586,000	8) Equipment & Machinery	\$1,230,000 In Kind
Total Private	\$5,586,000	9) Salaries & Wages	\$665,000 In Kind
		10) Rent/Lease	\$300,000 Revenue
		11) Construction/Renovation	\$1,356,000 Private
		12) Planning	\$335,000 In Kind
		13) Salaries and Wages	<u>\$1,400,000</u> Revenue
			\$6,500,000

Name: Mohawk Fabrics Expansion

CFA # 12945

Description: Mohawk Fabrics is a manufacturer and distributor of industrial and commercial textiles and fabrics including tricot, warp & circular knits for aerospace, military, home decor, medical & automotive applications. In 2011, the company expanded its manufacturing facility, located at 96 Guy Park Avenue in the City of Amsterdam, by adding an additional 14,000 square feet of space at a cost of \$800,000. The company now plans on purchasing two knitting machines at a cost of \$200,000 each, installing a 50-70 Kw solar energy system on the building for an additional \$350,000, and the purchase new warping equipment at an additional cost of \$300,000. The company has also placed an order for \$1.8 million in materials, which are to be delivered in December 2012. The company is in need of this new equipment to accommodate the demand for new orders it has received. Currently, the company is outsourcing approximately 25,000 lbs of fabric orders to a company in North Carolina at an estimated value of some \$2.5 million.

Explanation: The company plans on initially hiring 5 new employees, but anticipates hiring an additional 30 employees over the next five years. The company has been steadily investing in its facility (adding an \$800,000 addition in 2011) and is preparing to double its manufacturing capabilities.

<u>Source of Funds</u>		<u>Use of Funds</u>	
Public Sources		Use	Amount
Empire State Development Grant	\$75,000	1) Equipment & Machinery	\$125,000 CDBG
HCR - Community Development Block Grant (CDBG)	\$125,000	2) Equipment & Machinery	\$1,000,000 Equity & Loans
Other State Funds	\$	3) Equipment & Machinery	\$75,000 State (ESD)
Other Public Funds	\$		<u>\$1,200,000</u>
Total Public	<u>\$200,000</u>		
Private Sources			
Private: Owner Equity and Loans	\$1,000,000		
Non-Profit			
Total Private	<u>\$1,000,000</u>		

Name: Cold Point Corporation Facility Upgrades **CFA #** 16601

Description: The project involves the purchase and installation of an automatic cut and feed copper tube processor optimized for air conditioning manufacturing. The project will require plant layout design, electrical upgrades and personnel training.

Explanation: The Cold Point Project fits within the Mohawk Valley Regional Plan's Strategy #1: Enhance Regional Concentrations, in that it is an expansion of an existing, small, locally-owned and operated manufacturer of machinery (HVAC units). The direct benefits of the project are increased manufacturing capacity, job retention and job growth. Local trades will be utilized to complete the installation.

<u>Source of Funds</u>		<u>Use of Funds</u>		<u>Amount</u>
Public Sources		Use		
Empire State Development Grant	\$20,390	1) Equipment & Machinery		\$20,390 State (ESD)
Excelsior Tax Credits	\$	2) Equipment & Machinery		\$72,750 Owner Equity
Other State Funds	\$	3) Site Preparation		\$4,200 Owner Equity
Other Public Funds	\$	4) Training		\$3,650 Owner Equity
Total Public	\$20,390	5) Supplies & Materials		\$1,200 Owner Equity
Private Sources				<u>\$102,190</u>
Private: Owner Equity and Loans	\$81,800			
Non-Profit				
Total Private	<u>\$81,800</u>			

Name: Bonide Products Manufacturing Upgrades A

CFA # 17516

Description: Bonide Products is proposing a \$1,200,000 investment over a 2 year period on cutting edge manufacturing equipment and upgrades. When complete, the company will be adding 3 new full time positions and retaining 113 employees. The project is designed to make the company more competitive in the marketplace and meet rising demand.

Explanation: Project involves capital investment and job growth at a key regional employer.

<u>Source of Funds</u>		<u>Use of Funds</u>	
		Use	Amount
Public Sources			
Empire State Development Grant	\$240,000	1) Equipment & Machinery	\$240,000 NYS HCR-CDBG
HCR - Community Development Block Grant (CDBG) - Small Business Assistance	\$ 285,000	2) Equipment & Machinery	\$ 285,000 NYS HCR-CDBG
Other State Funds	\$	3) Equipment & Machinery	\$675,000 Owner Equity
Other Public Funds	\$		\$1,200,000
Total Public	\$525,000		
Private Sources			
Private: Owner Equity	\$675,000		
Non-Profit			
Total Private	\$675,000		

Name: Gehring Tricot Expansion

CFA # 18579

Description: The Gehring Tricot Corporation expansion project includes three facilities located in Herkimer and Montgomery Counties. It will allow the company to move their weaving operations from Norton, MA, expand manufacturing capacity in NY, and enable research and development efforts to continue. The Company was recently awarded a new contract from NIKE to produce NCAA and NFL uniform materials and must immediately upgrade equipment and expand their facilities in order to meet current orders and the new demand for specialty products. Also, the relocation of loading dock facilities is necessary to accommodate the larger product lines. Several new pieces of equipment must be added and existing antique, niche machines are in need of repair and upgrades. At the Belmont Mills location, the renovation of existing warehouse space (11,682 sq ft) into the new loom production area will enable the Company to begin moving operations from Norton, MA. The weaving operations demand is incredibly high and additional looms are crucial to keep up with orders. At the Hough Street facility, the warehouse must have a new roof and docking installed to handle the relocation of warehouse operations from Belmont Mills.

Explanation: The relocation of the Norton, MA facility to the two newly renovated and expanded facilities in New York will add at least 28 net new jobs to New York State within three years.

<u>Source of Funds</u>		<u>Use of Funds</u>		<u>Amount</u>	
Public Sources		Use			
Empire State Development Grant	\$700,000	1) Equipment & Machinery		\$700,000	State (ESD)
Excelsior Tax Credits	\$700,000	2) Equipment & Machinery		\$631,000	Owner Equity
Other State Funds	\$	3) Construction/Renovation		\$700,000	State (Excelsior)
Other Public Funds	\$	4) Construction/Renovation		\$1,000,000	Owner Equity
Total Public	\$1,400,000	5) Construction/Renovation		\$382,520	Lender
Private Sources					
Private: Owner Equity and Loans	\$2,013,520				
Non-Profit					
Total Private	\$2,013,520				

Name: Town of Verona Sewer Upgrades

CFA # 18794

Description: The project involves the installation of a “core” gravity collection system within dense commercial areas within the hamlet and low-pressure mains in the surrounding residential areas.

Explanation: According to the NYSDEC’s 2009 Waterbody Inventory for the Oswego River/Finger Lakes Drainage Basin, Stony Creek is listed as “stressed” for aquatic life and recreation. Known pollutants include phosphorus and the suspected source is identified as agricultural. Potential for future pollution from failing on-site/ septic systems and other sanitary discharges is possible within the proposed project area. There have been reports from residences of failing septic systems within these areas, which are often difficult and expensive to replace due to soil conditions. Failing and inadequate subsurface disposal systems present public health hazards, as described in the letter of support from the Oneida County Health Department (OCHD).

<u>Source of Funds</u>		<u>Use of Funds</u>		<u>Amount</u>
Public Sources		Use		
Empire State Development Grant	\$1,000,000	1) Construction/Renovation		\$1,000,000 State (ESD)
Excelsior Tax Credits	\$	2) Architectural/Engineering		\$166,800 Local
Other State Funds	\$	3) Planning		\$166,800 Local
Other Public Funds	\$5,668,000	4) Other		\$4,212,051 USDA
Total Public	\$6,668,000	5) Construction/Renovation		\$1,122,349 EPA
Private Sources				\$6,668,000
Private: Owner Equity and Loans	\$			
Non-Profit				
Total Private	\$			

Name: Turbo Machined Products LLC

CFA # 17487

Description: This project will expand and purchase state of the art Computer Numerical Control manufacturing equipment for the manufacturing of jet engine parts for the third largest manufacturer of jet engines in the world. This project will create 7 high tech manufacturing jobs over the next 2 years. The project will also retain 40 jobs.

Explanation: The project will create high tech jobs within an value-added regional industry cluster (aviation/aerospace). In order to make the commitment and be competitive we must do this project which will in turn create these jobs and retain the ones we currently have in NY and USA.

<u>Source of Funds</u>		<u>Use of Funds</u>	
Public Sources		Use	Amount
Empire State Development Grant	\$189,065	1) Equipment & Machinery	\$189,065 State (ESD)
NYS Tax Credits	\$ -	2) Equipment & Machinery	\$94,533 Owner Equity
Other State Funds	\$	3) Equipment & Machinery	\$661,730 Lender
Other Public Funds	\$		<u>\$945,328</u>
Total Public	<u>\$189,065</u>		
Private Sources			
Private: Owner Equity and Loans	\$756,263		
Non-Profit			
Total Private	<u>\$756,263</u>		

Name: Custom Electronics, Inc. Expansion **CFA #** 16507

Description: Establish a new business in power distribution and energy storage.

Explanation: The project will expand the company's manufacturing capability and will create and sustain jobs

<u>Source of Funds</u>		<u>Use of Funds</u>	
Public Sources		Use	Amount
Empire State Development Grant	\$250,000	1) Equipment & Machinery	\$1,325,000 Owner Equity
Excelsior Tax Credits	\$75,000	2) Equipment & Machinery	\$250,000 State (ESD)
EIP - Capital	\$150,000	3) Equipment & Machinery	\$75,000 State (Excelsior)
Other Public Funds	\$	4) Equipment & Machinery	\$150,000 State (EIP)
Total Public	<u>\$475,000</u>		<u>\$1,800,000</u>
Private Sources			
Private: Owner Equity and Loans	\$1,325,000		
Non-Profit			
Total Private	<u>\$1,325,000</u>		

Name: East Herkimer Sewer Project

CFA # 19795

Description: The project involves the tie-in and extension of a sanitary sewer line for a 46-acre commercially zoned campus with an existing 430,000 square foot warehouse, distribution, manufacturing facility. The East Herkimer Sewer Project would include 5,600 linear feet of 8-inch sanitary sewer line, connecting the Village of Herkimer's Sanitary Sewer System to the site. The line would also have the capacity to provide services for the Herkimer County BOCES complex and the Herkimer Central School District's elementary school, with a combined population of over 1,200 students and teachers.

Explanation: The projected outcome of this project is to make this campus and underutilized facility marketable and attract additional businesses from outside the area to locate at the facility, bring new jobs the area, while retaining existing jobs. Local economic developing agencies have had several inquiries into this site that have been unsuccessful due to not having municipal sewer at the site.

<u>Source of Funds</u>		<u>Use of Funds</u>		<u>Amount</u>
Public Sources		Use		
Empire State Development Grant	\$1,250,000	1) Construction/Renovation		\$1,260,750
Excelsior Tax Credits	\$ -	2) Contractual Services		\$195,750
Other State Funds	\$	3) Construction/Renovation		\$889,250
Other Public Funds: Public In-Kind	<u>\$1,260,750</u>	4) Architectural Engineering		<u>\$165,000</u>
Total Public	\$2,510,750			<u>\$2,510,750</u>
Private Sources				
Private: In-Kind				
Non-Profit				
Total Private	<u>\$0</u>			

Name: Utica City Center Sewer Improvements

CFA # 19794

Description: This is a complimentary project to the Genesee Street Streetscape Project. The central part of the City's storm water drainage is restricted from reaching the Mohawk River by railroad infrastructure and major trunk sewers that run east-west between the City and the river. Storm water from a 44-acre area bordered by Washington Street and eastward to John Street and south to Hopper Street will be redirected. Under this project, an existing 54-inch storm sewer in Route 5S (Oriskany Street) would be extended by 1,360 LF and connected to an existing storm sewer at the corner of Oriskany Street East and John Street.

Explanation: The Oneida County Water Pollution Control Plant (WPCP) services the City of Utica; Towns of Deerfield, Marcy, Paris, New Hartford, Whitestown; Villages of Clayville, Holland Patent, New Hartford, New York Mills, Whitesboro, and Yorkville; the Oneida County Business Park in Whitestown; and portions of the Towns of Frankfort and Schuyler in Herkimer County. The ability to accept additional wastewater flow from these communities is limited. In order to avoid restrictions on new development, the City of Utica and surrounding communities must eliminate major sources of storm water inflow and infiltration (I/I). This project represents a significant source of storm water inflow that we be eliminated via separation. The net effect of this project and other I/I removal and/or treatment improvement projects in the District, will be improved water quality conditions at the Mohawk River in Utica at a time when waterfront revitalization is a regional priority.

<u>Source of Funds</u>		<u>Use of Funds</u>	
Public Sources		Use	Amount
Empire State Development Grant	\$400,000	1) Architectural/Engineering	\$100,000 State (CWSRF)
Excelsior Tax Credits	\$	2) Construction/Renovation	\$400,000 State (ESD)
CWSRF Engineering Planning Grant Program	\$800,000	3) Construction/Renovation	\$700,000 State (CWSRF)
Other Public Funds	\$400,000	4) Construction/Renovation	\$400,000 City of Utica
Total Public	\$1,600,000		\$1,600,000
Private Sources			
Private: Owner Equity and Loans	\$		
Non-Profit			
Total Private	\$		

Name: Old Forge Properties Biomass Heating Project

CFA # 16409

Description: Old Forge Properties (OFP) is pursuing a biomass district heating system that would annually utilize 879 tons of regionally sourced wood products to offset 5,960 mmBtu/year of #2 fuel oil . OFP has worked with the USDA Forest Service since fall 2011 on the feasibility and design of this \$2.2 Million capital investment project. Feasibility analysis by the USDA Forest Service has shown that installation of the wood chip boiler system using proven technology could replace the existing systems in a cost-effective manner. With estimated fuel cost savings of \$89,000 in the first year alone, OFP will realize revenue to expand their enterprises, create new jobs and attract additional visitors to the region. Sustainable, local energy produced within the Adirondack Park can be a national model for cost effective green energy.

Explanation: This project creates a community scaled district heating project that will use regionally sourced woody biomass for heat. Creating the first commercial district heating project within the Adirondack Park will be a highly visible, model project for future development. The fuel cost savings will allow OFP to expand enterprises, which will create and secure jobs for Northern Herkimer County's largest employer, as well support jobs in Northern New York's forest products industry. Additionally, this project will reduce energy costs, protect OFP's annual operating budget from fluctuations in oil prices, and provide educational and economic development opportunities around renewable energy and energy efficiency. This project also benefits multiple regions, as the benefits of the project will be evident across the MV region as well as the North Country.

<u>Source of Funds</u>		<u>Use of Funds</u>		<u>Amount</u>	
Public Sources		Use			
Empire State Development Grant	\$440,000	1) Equipment & Machinery		\$440,000	State (ESD)
NYSERDA - Regional Economic Development and GHG Reduction Program	\$1,300,500	2) Equipment & Machinery		\$10,000	Private
Other State Funds	\$	3) Construction/Renovation		\$264,000	NYSERDA
Other Public Funds	\$	4) Construction/Renovation		\$20,000	NYSERDA
Total Public	\$1,740,500	5) Contractual Services		\$75,000	NYSERDA
Private Sources		6) Contractual Services		\$62,500	NYSERDA
Private: Owner Equity and In-Kind	\$479,778	7) Construction/Renovation		\$20,000	In-Kind
Non-Profit		8) Contractual Services		\$62,500	In-Kind
Total Private	\$479,778	9) Contractual Services		\$75,000	In-Kind
		10) Other		\$135,450	Private
		11) Equipment & Machinery		\$250,000	NYSERDA
		12) Construction/Renovation		\$30,000	In-Kind
		13) Other		\$21,100	Private
		14) Equipment & Machinery		\$100,000	NYSERDA
		15) Other		\$105,728	Private
		16) Equipment & Machinery		\$75,000	NYSERDA
		17) Equipment & Machinery		\$85,000	NYSERDA
		18) Construction/Renovation		\$20,000	In-Kind
		19) Equipment & Machinery		\$75,000	NYSERDA
		20) Construction/Renovation		\$294,000	NYSERDA
				\$2,220,278	

Name: Cyber Shield

CFA # 18206

Description: SUNYIT and AIS will design and build a unique malware laboratory environment that links the academic learning environment with the real world cyber threats found only in a secure business environment. By designing a public/private education and training laboratory, both students and incumbent workers will expand their knowledge and skills preparing them to work with state-of-the-art cyber security threats.

Explanation: This project builds upon and will expand the intellectual capital of the region to benefit the educational goals of SUNYIT, the research goals of AIS, Inc., the technical requirements of the national networking security area, as well as the research requirements of the US Air Force. Specific goals of the program include one-of-a-kind curriculum development, student support and laboratory development at SUNYIT, collaborative research in key areas of cyber-security between SUNY, AIS Inc, and the USAF.

<u>Source of Funds</u>		<u>Use of Funds</u>	<u>Amount</u>
Public Sources		Use	
Empire State Development Grant	\$280,000	1) Rent/Utilities	\$1,040,000 In-Kind
NYS Tax Credits	\$200,000	2) FF&E	\$80,000 State (ESD)
Other State Funds	\$	3) FF&E	\$30,000 In-Kind
Other Public Funds	\$	4) Contractual Services	\$180,000 State (ESD)
Total Public	\$480,000	5) Contractual Services	\$200,000 Excelsior (ESD)
Private Sources		6) Planning	\$50,000 In-Kind
Private: Owner Equity and Loans	\$1,920,000	7) Equipment & Machinery	\$240,000 In-Kind
Non-Profit		8) Salaries and Wages	\$20,000 Private
Total Private	\$1,920,000	9) Salaries and Wages	\$450,000 In-Kind
		10) Supplies and Materials	\$10,000 In-Kind
		11) Construction/Renovation	\$100,000 State (ESD)
			<u>\$2,400,000</u>